

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 15602-AG16-0831-161

IN THE MATTER OF:)
)
Sloan Mason North America)
1230 Columbia St., #530)
San Diego, CA 92101)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 638971)

FILED

FEB 17 2017

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Sloan Mason North America ("Respondent"), a licensed nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative fine of five hundred dollars (\$500) within thirty (30) days after the Commissioner signs the Final Order, for failure to timely report two administrative actions by the Texas Department of Insurance in violation of Indiana Code § 27-1-15.6-17(a).

ALL OF WHICH IS ORDERED this 17th day of February, 20 17.

A large, stylized handwritten signature in black ink, reading "Stephen W. Robertson".

Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Cathleen Nine-Altevogt
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Paul Mason, President
Sloan Mason North America
1230 Columbia St., #530
San Diego, CA 92101

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Sloan Mason North America ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Respondent holds a property and casualty nonresident producer license number 638971, issued on February 20, 2009, and a surplus lines producer license number 649311, issued on May 5, 2009;

WHEREAS, Respondent failed to timely report to the Department the issuance of a May 4, 2016 Consent Order by the Texas Department of Insurance imposing a monetary penalty for submitting late surplus lines filings;

WHEREAS, Respondent failed to timely report to the Department the issuance of a July 7, 2014 Consent Order by the Texas Department of Insurance imposing a monetary penalty against Respondent for submitting late surplus lines filings;

WHEREAS, the Respondent's actions are in violation of Indiana Code §27-1-15.6-12(b)(2)(A) which sanctions violating an insurance law, Indiana Code §27-1-15.6-17(a), is an insurance law stating that a producer shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana, not more than thirty (30) days after the final disposition of the matter;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine in the amount of five hundred dollars (\$500) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.

6. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
7. Respondent has carefully read and examined this agreement and fully understands its terms.
8. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

2-14-17
Date Signed

2/13/17
Date Signed

Cathleen Nine-Altevogt
Cathleen Nine-Altevogt, Attorney (32706-49)
Indiana Department of Insurance

Paul Mason
Paul Mason, President and on behalf of
Sloan Mason North America, Respondent

STATE OF _____)
) SS:
COUNTY OF _____)

Before me a Notary Public for _____ County, State of _____, personally appeared Paul Mason, President of Sloan Mason North America and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this _____ day of _____, 2017.

Signature

Printed

My Commission expires: _____

County of Residence: _____

see attached

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of SAN DIEGO)

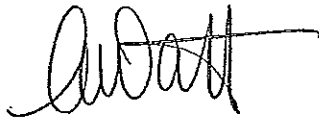
On February 13, 2017 before me, A. WATT, NOTARY PUBLIC
(insert name and title of the officer)

personally appeared Paul V. Mason
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



A. WATT

(Seal)

