

**BEFORE THE INDIANA
COMMISSIONER OF INSURANCE**

IN THE MATTER OF:

FILED

NOV 10 2016

STATE OF INDIANA
DEPT. OF INSURANCE

1. The Commissioner adopts the ALJ's Findings of Fact numbers 1 through 16.
2. On September 14, 2016, the Administrative Law Judge submitted a Recommended Order to be reviewed by the Commissioner.
3. On September 30, 2016, Applicant filed an objection, by written letter, to the Recommended Order.

4. Applicant's objection letter states that: he was cleared of the charges levied against him in Florida; that upon being cleared, Florida reinstated his suspended license; and that Ohio and Washington have reinstated Applicant's licenses.

5. Conclusions of Law that are properly stated as Findings of Fact are hereby incorporated as such.

CONCLUSIONS OF LAW

1. The Commissioner adopts the ALJ's Conclusions of Law numbers 1 through 4.

2. Specifically, Ind. Code § 27-1-15.6-12(b)(9) provides that the Commissioner may deny licensure if the producer's license has been denied, suspended, or revoked in any other state.

3. Applicant's license is currently revoked in Louisiana, and was previously suspended in Washington and Ohio; therefore, there are sufficient grounds to uphold the ALJ's recommendation.

8. The Commissioner adopts the ALJ's Conclusion of Law numbers 6.

9. Findings of Fact that can be adopted as Conclusions of Law are hereby incorporated as such.

FINAL ORDER

With the Findings of Fact and the Conclusions of Law as stated, the Commissioner of Insurance now issues this Final Order AFFIRMING the Administrative Law Judge's

Recommended Order:

1. The order denying the Applicant's license is hereby upheld, but Applicant may reapply when he is in good standing in all states where he is licensed.

Under Indiana Code §4-21.5-5-5, Respondent has the right to appeal this Final Order by filing a petition for judicial review in the appropriate court within thirty (30) days.

ALL OF WHICH IS ORDERED by the Commissioner this 10 day of November 2016.

A handwritten signature in dark ink, appearing to read "Stephen W. Robertson", is written over a horizontal line.

Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Copies to:

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STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER:15363-AD16-0516-033

IN THE MATTER OF:

INSURANCE PRODUCER LICENSE
APPLICATION OF:

Ian Norris
1050 Jefferson St.
Hollywood, FL 33019

FILED

SEP 14 2016

STATE OF INDIANA
DEPT. OF INSURANCE

Applicant.

FINDINGS OF FACT, CONCLUSIONS OF LAW
AND RECOMMENDED ORDER

Administrative Law Judge Reuben Hill, having considered and reviewed all of the evidence, will now render a decision in the matter of Ian Norris ("Applicant"). This matter came to be heard by Reuben Hill, on July 20, 2016, at 11:00 a.m. at the Indiana Department of Insurance at 311 West Washington Street, Indianapolis, Indiana.

The Enforcement Division of the Indiana Department of Insurance ("Department") was represented by counsel, Cathleen Nine-Altevogt. Applicant appeared over the phone and without legal counsel. Evidence was heard and exhibits were received into evidence.

Based upon the evidence presented at the hearing, the Administrative Law Judge now makes the following Findings of Fact, Conclusions of Law, and issues the Recommended Order.

FINDINGS OF FACT

1. Applicant became licensed in Indiana as a nonresident producer in September of 2007.
2. On January 6, 2015, an Information was filed against Applicant alleging five (5) counts of felony grand theft in Florida.
3. On February 19, 2016, the Department filed an Administrative Order Notice of Nonrenewal of License ("Nonrenewal Order") because Applicant failed to report to the Department his April 23, 2015 temporary license suspension in Florida and his criminal proceedings. Applicant also failed to report that his Washington and Ohio licenses were subsequently suspended and his Louisiana license was revoked.
4. On February 23, 2016, Applicant's Florida felony grand theft charges were dismissed.
5. On March 30, 2016, Florida filed an order reinstating the Applicant's producer license.
6. On March 31, 2016, Applicant's Indiana license expired due to the Department's nonrenewal of his license.
7. Applicant did not appeal the Nonrenewal Order.
8. On May 10, 2016, a little over a month after his license expiration, Applicant submitted an application for a nonresident producer's license.
9. On June 10, 2016, the Department filed its "Preliminary Administrative Order and Notice of License Denial" ("Denial Order") noting that Applicant's license was not in good standing in several states contrary, in part, to Indiana Code § 27-1-15.6-12(b).

10. The Denial Order further notified the Applicant that, should he wish for a hearing regarding the license denial, he must notify the Department; Applicant notified the Department that he wished to have a hearing on the matter.

11. At the time Applicant submitted his application to the Department, his Washington and Ohio producer's licenses were suspended; his Louisiana producer license was revoked; and his Indiana producer license had been subject to a Nonrenewal Order.

12. Applicant's Louisiana license was revoked for answering "no" on his March 4, 2015 license renewal to a question regarding whether he was currently charged with committing a felony.

13. Applicant contends the Louisiana license renewal was completed by his secretary and the failure to disclose was a clerical error.

14. Applicant can reapply for the Louisiana license in October 2016.

15. Applicant's Ohio and Washington licenses were suspended based on his earlier Florida temporary license suspension.

16. At the time of the hearing, Applicant's Ohio license had been reinstated but his Louisiana and Washington licenses were not.

CONCLUSIONS OF LAW

1. The Commissioner of Insurance has jurisdiction over both the subject matter and the parties to this action.

2. This hearing was held in compliance with the Administrative Orders and Procedures Act of the Indiana Code.

3. Indiana Code § 27-1-15.6-12(b) states that the Commissioner may refuse to issue a license application due to a number of factors.

4. Specifically, Indiana Code § 27-1-15.6-12(b)(9) provides that the Commissioner may deny licensure if the producer's license has been denied, suspended, or revoked in any other state.

5. Applicant's license is currently revoked in Louisiana and suspended in Washington.

6. The Commissioner's decision to deny licensure to a nonresident producer who is not currently in good standing in all states of business is reasonable.

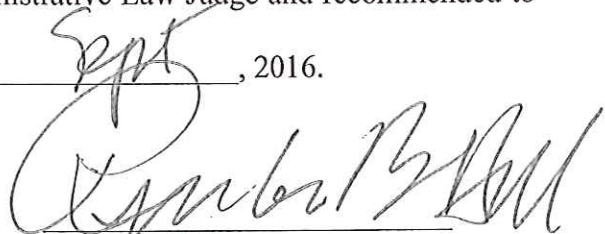
7. Findings of Fact that can be adopted as a Conclusion of Law are hereby incorporated herein as such.

RECOMMENDED ORDER

With the Findings of Fact and the Conclusions of Law as stated, the Administrative Law Judge now recommends to the Commissioner of Insurance the following:

1. That the order denying the Applicant's license be upheld but noting that Applicant should consider reapplying when he is in good standing in all states where he is licensed.

ALL OF WHICH IS ADOPTED by the Administrative Law Judge and recommended to the Commissioner this 14th day of Sept, 2016.



Reuben Hill
Administrative Law Judge

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STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause No.: 15363-AD16-0516-033

IN THE MATTER OF:)
)
INSURANCE PRODUCER LICENSE)
APPLICATION OF:)
)
Ian James Norris)
1000 E. Broward Blvd)
Fort Lauderdale, FL 33301)

FILED

JUN 10 2016

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance, pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code §4-21.5-1 *et seq.* and Indiana Code §27-1-15.6-12, hereby gives notice to Ian James Norris ("Applicant") of the following Administrative Order:


1. Applicant filed an application for nonresident licensure with the Commissioner of the Indiana Department of Insurance ("Commissioner") on May 10, 2016.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code §27-1-15.6-8 and Indiana Code §27-1-15.6-12.
3. Indiana Code §27-1-15.6-12(b) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for having an insurance producer's license or its equivalent, denied, suspended, or revoked in any other state, province, district or territory.
4. Following a review of materials submitted by Applicant in support of his application and after a review of Applicant's regulatory record, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as

stated by Indiana Code §27-1-15.6-12 due to his May 28, 2015 license suspension by the State of Washington Office of the Insurance, his October 14, 2015 license revocation by the Louisiana Department of Insurance, his October 19, 2015 license suspension by the Ohio Department of Insurance, and his March 19, 2016 Order of Nonrenewal of License by the Indiana Department of Insurance.

5. Indiana Code §27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Code §27-1-15.6-12 (b) for having producer licenses suspended, denied, and revoked.

6/10/16
Date Signed


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

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