

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 14893-AG16-0406-085

IN THE MATTER OF:)
)
The Farmington Company)
P O Box 527)
Farmington, CT 06034)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
Non-Resident Producer License)
Number: 166550N)

FILED

JUL 19 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

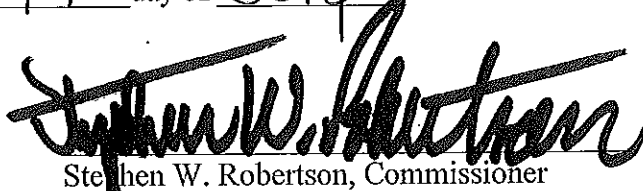
The Indiana Department of Insurance (“Department”), by counsel, Cathleen Nine-
Altevogt, and The Farmington Company (“Respondent”) an applicant for an Indiana non-resident
producer license, signed an Agreed Entry which purports to resolve all issues involved in the
above-captioned cause number, and which has been submitted to the Commissioner of the Indiana
Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly
and without fraud, duress or undue influence, and is fair and equitable between the parties. The
Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and
adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant shall pay an administrative fine of five hundred dollars (\$500.00) to the Department within thirty (30) days after the Commissioner signs this Order, for failure to disclose to the Department the July 2007 and June 2011 administrative actions taken by the Commonwealth of Massachusetts Division of Insurance and State of New York Insurance Department;
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 19th day of July 2016.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Gregory Clark, Sr. Insurance Investigator
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

The Farmington Company
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Farmington, CT 06034

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel Brigitte Collier, and The Farmington Company ("Respondent") to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on May 03, 2002, Respondent obtained an Indiana Non-Resident Producer's License under number 166550N;

WHEREAS, Indiana Code § 27-1-15.6-17(a) states that producers shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;

WHEREAS, on July 9, 2007, the Commonwealth of Massachusetts Division of Insurance fined Respondent three thousand four hundred and twenty five dollars (\$3,425.00) for selling insurance between January 27, 2007 through March 6, 2007 without a license;

WHEREAS, On June 28, 2011, the State of New York Insurance Department fined Respondent seven hundred and fifty dollars (\$750.00) for failing to report other states' actions;

WHEREAS, Respondent failed to disclose to the Department the July 2007 and June 2011 administrative actions taken by the Commonwealth of Massachusetts Division of Insurance and State of New York Insurance Department respectively within thirty (30) days;

WHEREAS, Robert Burke, Chairman, CEO, The Farmington Company, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement; and

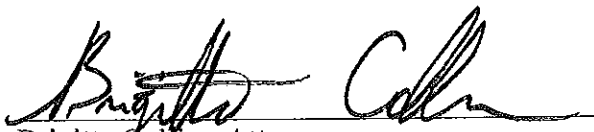
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

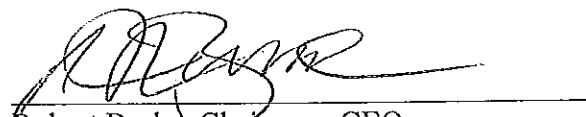
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine in the amount of five hundred dollars (\$500.00) within thirty (30) days after the Commissioner signs the Final Order approving this Agreed Entry.

6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

4-25-16
Date Signed


Brigitte Collier, Attorney
Indiana Department of Insurance

4-19-16
Date Signed


Robert Burke, Chairman, CEO
The Farmington Company, Respondent

STATE OF CONNECTICUT)

COUNTY OF Hartford) SS:
)

Before me a Notary Public for Hartford County, State of Connecticut,
personally appeared Robert Burke, Chairman, CEO in his capacity as licensing agent of The Farmington
Company and being first duly sworn by me upon her oath, says that the facts alleged in the foregoing
instrument are true.

Signed and sealed this 19th day of April, 2016.

Cheryl-Ann M. Case
Signature

Cheryl-Ann M. Case
Printed

My Commission expires: 9/30/2017

County of Residence: Hartford