

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 14315-AG15-1208-307

IN THE MATTER OF)
)
John C. Miller)
30 S. Meridian St., Suite 600)
Indianapolis, IN 46204)
)
Respondent)
)
)
Type of Agency Action: Enforcement)
)
)
Producer's License: 428648)

FILED

JUN 23 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

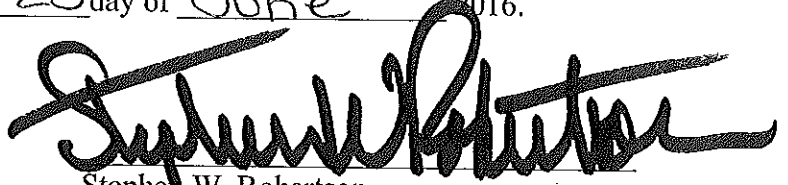
The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and John C. Miller ("Respondent"), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative fine of one thousand dollars (\$1000) within thirty (30) days after the Commissioner signs the Final Order, for his failure to timely report the March 20, 2015 administrative action taken by the Financial Industry Regulatory Authority ("FINRA").
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 23 day of June, 2016.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Melissa Higgins, Insurance Investigator
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

John C. Miller
30 S. Meridian St., Suite 600
Indianapolis, IN 46204

Certified Mail Receipt: 91 7190 0005 2720 0056 9391

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NO: 14315-AG-1208-307

IN THE MATTER OF:)
)
John C. Miller)
30 S. Meridian St., Suite 600)
Indianapolis, IN)
)
Respondent)
)
Type of Agency Action:)
Enforcement)
)
Resident Producer License: 428648)

FILED

JUN 23 2016

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel Cathleen Nine-Altevogt, and John C. Miller ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on November 1, 2002, Respondent obtained an Indiana Resident Producer's license under number 428648; and,

WHEREAS, Indiana Code §27-1-15.6-17(a) states that producers shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter. The report shall include a copy of the order, consent to order, or other relevant legal documents; and,

WHEREAS, the Department has alleged and Respondent has denied that Respondent was required to disclose the March 2015 administrative action taken by the Financial Industry Regulatory Authority (FINRA) within thirty (30) days; and,

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing in order to avoid the expense and uncertainty of further proceedings.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order adopting this Agreed Entry.
5. Respondent shall pay a civil penalty in the amount of One Thousand dollars and 00/100 (\$1,000.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution

of these proceedings.

7. This Agreed Entry constitutes neither a finding nor an admission of the violation alleged.

8. Applicant has carefully read this agreement and fully understands and accepts its terms.

6/21/2016
Date Signed

Cathleen Nine-Altevogt
Cathleen Nine-Altevogt, Attorney
Indiana Department of Insurance

6/9/2016
Date Signed

John C. Miller
John C. Miller, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

Before me a Notary Public for Marion County, State of Indiana,
personally appeared John C. Miller, and being first duly sworn by me upon his oath, says
that the statements in the foregoing instrument are true to the best of my knowledge and
belief.

Signed and sealed this 9th day of June, 2016.

Cynthia J Stagg
Signature

Cynthia J Stagg
Printed

My Commission Expires: 9/28/17
My County of Residence: Boone