

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 14677-AG15-1015-261

James B Johnston Inc)
733 E Route 70)
Elmwood Business Park Bldg 3, Ste 303)
Marlton, NJ 08053)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
)
Surplus Lines License: 899845)

FILED
NOV 13 2015
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and James B Johnston Inc ("Respondent"), an Indiana Nonresident Surplus Lines Organization signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) to the Department within thirty (30) days after the Commissioner signs this Order, for

failing to timely report a January 21, 2015 Consent Order issued by the Ohio Department of Insurance.

2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 13 day of November 2015

A handwritten signature in dark ink, appearing to read "Stephen W. Robertson", is written over a horizontal line.

Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

James B Johnston Inc
C/O Robin Bickel
733 E Route 70
Elmwood Business Park Bldg 3, Ste 303
Marlton, NJ 08053

Certified Receipt Number: 91 7190 0005 2720 0051 4018

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 14677-AG15-1015-261

IN THE MATTER OF:

James B Johnston Inc
733 E Route 70
Elmwood Business Park Bldg 3
Ste 303

Respondent

Type of Agency Action: Enforcement

Surplus Lines License: 899845

FILED

NOV 13 2015

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and James B Johnston Inc ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, Respondent is a licensed Surplus Lines Organization, holding license number 899845;

WHEREAS, Respondent failed to timely report the January 21, 2015 Consent Order issued by the Ohio Department of Insurance;

WHEREAS, the Respondent's actions are in violation of Indiana Code §27-1-15.6-17(a), which states that a producer shall report to the commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;

WHEREAS, James B. Johnston, President and Owner of James B Johnston Inc, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

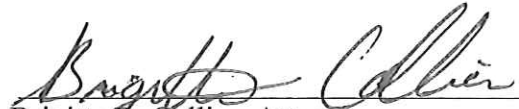
IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

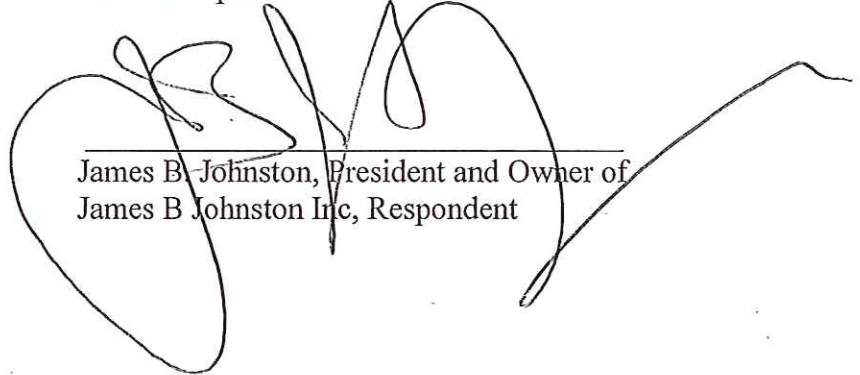
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.

9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

11-13-15
Date Signed

10/28/2015
Date Signed


Brigitte R. Collier, Attorney
Indiana Department of Insurance


James B. Johnston, President and Owner of
James B Johnston Inc, Respondent

STATE OF NEW JERSEY)
COUNTY OF Camden) SS:

Before me a Notary Public for Camden County, State of New Jersey personally appeared James B. Johnston, in his capacity as President and Owner of James B Johnston Inc, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 28th day of October, 2015.

Nancy L. Zieminski-Foltz
Signature

NANCY L. ZIEMINSKI-FOLTZ
Printed

My Commission expires: 7-19-2016
County of Residence: Camden New Jersey
My Commission Expires: 07/19/2016

Nancy L. Zieminski-Foltz
Notary Public
New Jersey
My Commission Expires: 07/19/2016

Certified Mail Receipt: 91 7190 0005 2720 0050 2633