

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 14382-AG15-0721-212

John C. Adler Jr.)
1818 Charles St)
Morris, IL 60450)
Respondent)
Type of Agency Action: Enforcement)
License Number: 758910)

FILED
JUL 31 2015
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

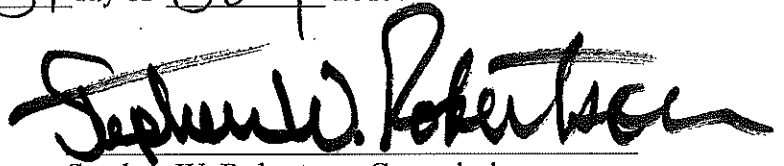
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Joshua D. Harrison, and John C. Adler Jr. (“Respondent”), a licensed nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) within thirty (30) days after the signing of the Final Order, for his failure to timely disclose a 2012 administrative action by the Illinois Department of Insurance.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 31 day of July 2015.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Joshua D. Harrison, Attorney
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

John C. Adler Jr.
1818 Charles St
Morris, IL 60450

Certified Receipt Number: 91 7190 0005 2720 0046 9394

STATE OF INDIANA)
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BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 14382-AG15-0721-212

IN THE MATTER OF:)
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John C. Adler Jr.)
1818 Charles St.)
Morris, IL 60450)
)
Respondent.)
)
Type of Agency Action:)
Enforcement)
)
Producer's License: 758910)

FILED

JUL 31 2015

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Joshua D. Harrison, and John C. Adler Jr. (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, Respondent was a licensed Nonresident Insurance Producer, holding license number 758910 from June 15, 2011 to June 30, 2013;

WHEREAS, on May 30, 2012 Respondent was subject to an administrative action by the Illinois Department of Insurance, which was not reported to the Department;

WHEREAS, Respondent’s conduct is in violation of Indiana Code § 27-1-15.6-17(a) which states that a producer shall report to the commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter.

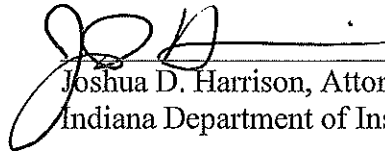
WHEREAS, the Department and Respondent (collectively, the “Parties”) desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) to the Department within thirty (30) days after the Commissioner's Final Order adopting this Agreed Entry.
6. Should additional violations manifest, this fine shall be in addition to any administrative actions for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

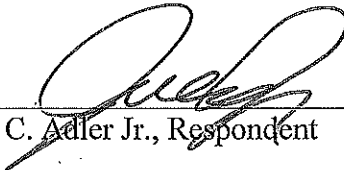
11. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

7-24-15
Date Signed



Joshua D. Harrison, Attorney
Indiana Department of Insurance

7/24/15
Date Signed



John C. Adler Jr., Respondent

INDIANA)
STATE OF ILLINOIS)
COUNTY OF MARION) SS:

Before me a Notary Public for MORGAN County, State of ~~Illinois~~ ^{Indiana},
personally appeared John C. Adler Jr. and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.

Signed and sealed this 24th day of July, 2015.

Barb B. Young
Signature

BARBARA B. YOUNG
Printed

My Commission expires: 11-20-2016

County of Residence: MORGAN