

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 14078-AG15-0618-175

Robert Knowles
112 Academy St.
Waverly, PA 18471

Respondent

Type of Agency Action: Enforcement

Producer's License: 406402

FILED

JUL 24 2015

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER


The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Robert Knowles ("Respondent"), an Indiana Nonresident Producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent's Producer's license shall be placed on probationary status for a period of one (1) year, and Respondent shall pay a civil penalty of Two Hundred Fifty Dollars (\$250.00), for failing to timely report a monetary penalty issued by the New York Department of Financial Services.
2. The administrative fine shall be stayed during the probationary period. If no further violations arise during said probationary period, the fine amount shall be vacated.
3. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 24 day of July 2015.


Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Brigitte R. Collier
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Robert Knowles
PO Box 566
Waverly, PA 18471

Certified Receipt Number: 91 7190 0005 2720 0046 7895

STATE OF INDIANA)
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COUNTY OF MARION)
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BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 14078-AG15-0618-175

IN THE MATTER OF:)
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Robert Knowles)
112 Academy St.)
Waverly, PA 18471)
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Respondent.)
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Type of Agency Action: Enforcement)
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Producer's License: 406402)

FILED

JUL 24 2015

**STATE OF INDIANA
DEPT. OF INSURANCE**

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Robert Knowles ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, on Respondent is a nonresident insurance producer, holding license number 406402;

WHEREAS, Respondent failed to timely report a March 19, 2015 monetary penalty issued by the New York Department of Financial Services;

WHEREAS, the Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(a), which states that a producer shall report to the commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;


WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent's Producer's license shall be placed on probationary status for a period of one (1) year, and Respondent shall be ordered to pay an administrative fine in the amount of Two Hundred Fifty Dollars (\$250.00).
6. The administrative fine shall be stayed during the probationary period. If no further violations arise during the said probationary period, the fine amount shall be vacated.
7. Should additional violations manifest, this fine shall be in addition to any administrative actions for the new violation.
8. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
9. Respondent has carefully read and examined this agreement and fully understands its terms.


10. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
11. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
12. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

7-23-15
Date Signed



Brigitte R. Collier, Attorney
Indiana Department of Insurance

July 7, 2015
Date Signed



Robert Knowles, Respondent

STATE OF PENNSYLVANIA)

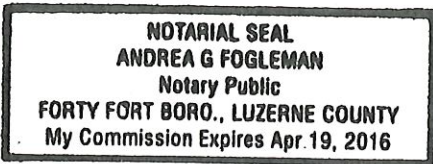
) SS:

COUNTY OF LUZERNE)

Before me a Notary Public for Luzerne County, State of Pennsylvania,

personally appeared Robert Knowles, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 7th day of July, 2015.



Andrea H. Fogleman
Signature

Andrea G. Fogleman

Printed

My Commission expires: April 19, 2016

County of Residence: Luzerne