| STATE OF INDIANA  | )<br>) SS:<br>) |   | BEFORE THE INDIANA                              |
|---|-----------------|---|---|
| COUNTY OF MARION  |                 |   | COMMISSIONER OF INSURANCE                       |
|   |                 |   | CAUSE NUMBER: 14493-AG15-1005-259               |
| Marshall Griffith<br>8611 Blair Castle Ct<br>Indianapolis, IN 46259<br>Respondent | *               | ) | JAN 15 2016 STATE OF INDIANA DEPT. OF INSURANCE |
| Type of Agency Action: Enf  | Corcement       | ) |   |
| License Number: 827711  |                 | ) |   |
|   |                 |   |   |

## FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Marshall Griffith ("Respondent"), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00)
within thirty (30) days after the Commissioner signs the Final Order, for his failure to
timely report a 2015 misdemeanor charge for Operating a Vehicle While Intoxicated to
the Department.

2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 15 day of canvary 20

Stephen W. Robertson, Commissioner Indiana Department of Insurance

## Distribution:

Calla Dain, Insurance Investigator Indiana Department of Insurance 311 West Washington Street, Suite 103 Indianapolis, Indiana 46204-2787

Marshall Griffith 8611 Blair Castle Ct Indianapolis, IN 46259

Certified Mail Receipt: 91 7190 0005 2720 0054 4046

| STATE OF INDIANA )  | BEFORE THE INDIANA                  |
|---|-------------------------------------|
| COUNTY OF MARION ) SS:  | COMMISSIONER OF INSURANCE           |
|   | CAUSE NUMBER: 14493-AG15-1005-259   |
| IN THE MATTER OF:   | )                                   |
| Marshall Griffith<br>8611 Blair Castle Ct<br>Indianapolis, IN 46259 |                                     |
| Respondent  | ) JAN 15 2016<br>) STATE OF INDIANA |
| Type of Agency Action: Enforcement                                  | DEPT. OF INSURANCE                  |
| Producer's License: 827711  | )                                   |

## AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Marshall Griffith ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, the Respondent is a licensed Resident Insurance Producer, holding license number 827711;

WHEREAS, on February 06, 2015, Respondent was charged with misdemeanor operating a vehicle while intoxicated;

WHEREAS, on February 07, 2015, a pretrial hearing was held on the matter;

WHEREAS, the Respondent failed to timely disclose his criminal proceedings to the Department;

WHEREAS, Respondent's actions are in violation of Indiana Code § 27-1-15.6-17(b) which states which states not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the commissioner any criminal prosecution of the producer initiated in any jurisdiction.

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

## IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. This Agreed Entry is executed voluntarily by the Parties.
- 3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
- 4. Respondent voluntarily and freely waives the right to judicial review of this matter.
- 5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
- 6. Should additional violations manifest, further administrative actions will be taken for the new violation.
- 7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
- Respondent has carefully read and examined this agreement and fully understands and accepts its terms.

- 9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
- 10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
- 11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

1-15-16 Date Signed

Brigitte R. Collier, Attorney
Indiana Department of Insurance

l-7-16 Date Signed

Marshall Griffith, Respondent

| STATE OF INDIANA ( )   |
|--|
| COUNTY OF Management   NSS:  |
|  |
| Before me a Notary Public for County, State of Indiana personally                                |
| appeared Marshall Griffith and being first duly sworn by me upon their oath, says that the facts |
| alleged in the foregoing instrument are true.  |
| Signed and sealed this, day of, 2015.  |
| Printed  |
| My Commission expires: DEREK McNEW  (SEAL.) Resident of Marion County, IN                        |
| County of Residence: Commission Expires: July 10, 2020   |

Certified Mail Receipt: 91 7190 0005 2720 0049 9490