STATE OF INDIANA	)	BEFORE THE INDIANA
COUNTY OF MARION	) SS: )	COMMISSIONER OF INSURANCE
•		Cause Number: 13201-AG14-1021-193
Frances I. Wright	)	
9013 S Western Ave	)	
Chicago, IL 60643	)	
Respondent	)	
	)	JAN 06 2015
Type of Agency Action: Enforcement		STATE OF INDIANA
Producer License	Ś	DEPT. OF INSURANCE
Number: 456497 & 746468	ý	

#### FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Joshua Harrison, and Frances I. Wright ("Respondent"), an Indiana Resident Producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

# IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay a civil penalty of One Thousand Two Hundred Dollars and 18/100 (\$1002.18) for selling insurance while her license had been suspended within thirty (30) days of today's date.

ALL OF WHICH IS ORDERED this le day of Januar

Commissioner

Indiana Department of Insurance

### Distribution:

Joshua Harrison
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Frances I. Wright 9013 S Western Ave Chicago, IL 60643

Certified Receipt Number: 9214 8901 0661 5400 0046 3715 97

STATE OF INDIANA )	BEFORE THE INDIANA
COUNTY OF MARION ) SS:	COMMISSIONER OF INSURANCE
	Cause Number: 13201-AG14-1021-193
IN THE MATTER OF:	)
Frances I. Wright	j
9013 S Western Ave	)
Chicago IL 60643	
Respondent.	) JAN 0.6 2015
Type of Agency Action:	STATE OF INDIANA
Enforcement	DEPT. OF INSURANCE
Producer's License: 456497 & 746	

### AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Joshua D. Harrison, and Frances I. Wright ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

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WHEREAS, Respondent is a licensed Non-Resident Insurance Producer, holding license number 456497 from September 23, 2004 to June 30, 2008 and since March 16, 2011; and

WHEREAS, Respondent was a licensed Non-Resident Surplus Lines Producer, holding license number 746468 from March 25, 2011 to March 31, 2013; and

WHEREAS, Respondent held a Surplus Lines license and was required by Indiana Code § 27-1-15.8-4(a) to submit a semiannual tax report ("Report"); and

WHEREAS, a Report to cover the period from July 1, 2011 until December 31, 2011 was due February 1, 2012; and

WHEREAS, said Report was not submitted until August 1, 2012; and

WHEREAS, a Report to cover the period from July 1, 2012 until December 31, 2012 was due February 1, 2013; and

WHEREAS, said Report was not submitted and Respondent's Non-Resident Producer's license and Non-Resident Surplus Lines license were suspended by Commissioner's Order 12135-AG13-0619-056 on July 2, 2013; and

WHEREAS, Copies of the Suspension Order were sent to the Respondent's address as provided; and

WHEREAS, On or about July 21, 2014, Respondent called the Department to inquire about the status of her Producer's license and disclosed that she had sold, solicited, or negotiated insurance policies during the period since her license has been suspended; and

WHEREAS, Applicant's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-3(a); and

WHEREAS, Respondent has now submitted all Surplus Lines tax reports; and,

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

## IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. This Agreed Entry is executed voluntarily by the Parties.
- 3. Respondent voluntarily and freely waives the right to a public hearing on this matter.
- 4. Respondent voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
- 5. Respondent shall pay an administrative fine in the amount of One Thousand Two Dollars and 18/100 (\$1002.18) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.

- 6. Respondent will submit a list of appointments within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
- 7. When the administrative fine has been paid and Respondent has submitted the list of appointments, Respondent's producer license will be reinstated with an expiration date of December 31, 2015.
- 8. If Respondent wishes to have a Surplus Lines license, she will need to apply for it in the normal course.
- 11. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
- 12. Respondent has carefully read this agreement and fully understands and accepts its terms.

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da D. Harrison, Attorney for the Ladiana Department of Insurance, **Enforcement Division** 

STATE OF ILLINOIS )
COUNTY OF COOK ) SS:
Before me a Notary Public for Cook / County, State of Illinois,
personally appeared Frances I. Wright, and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.
Signed and sealed this day of, 2014.
<u>Hinolla Prevo</u> Signature Genelle Prevo
Genelle Prevo
My Commission expires: May 13, 2017
County of Residence:
GENELLE PREVO OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires May 13, 2017

CERTIFIED MAIL RECEIPT: 9214 8901 0661 5400 0042 8467 09