

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 12496-AG14-0415-060

Johnson, Carroll, Norton, Kent &)
Goedde P.C.)
2230 West Franklin Street)
Evansville, IN 47719)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
Application ID: Expired)

FILED

MAY 30 2014

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Michael F. Mullen, and Johnson, Carroll, Norton, Kent & Goedde, P.C., (“Applicant”), an applicant for Indiana Resident Producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

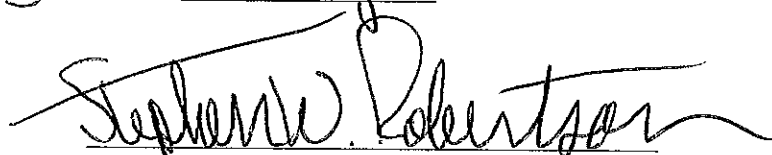
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant shall pay an administrative fine in the amount of One Thousand Ninety and 00/100 (\$1,090.00) within ninety (30) days of the Commissioner’s Final Order adopting this agreed Entry.

2. Respondent shall develop, provide and implement policies to ensure all licensed employees and any agency that requires an insurance license is in compliance with Indiana insurance law. Respondent shall provide the Department a copy of these policies within thirty (30) days of the Commissioners Final Order.

ALL OF WHICH IS ORDERED this 30th day of May 2014.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Michael F. Mullen
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Tom Norton
JOHNSON, CARROLL, NORTON, KENT &
GOEDDE P.C.
2230 West Franklin Street
Evansville, IN 47719

Certified Receipt Number:

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 12496-AG-14-0415-060

IN THE MATTER OF:)
)
Johnson, Carroll, Norton, Kent &)
Goedde, P.C.)
)
Respondent,)
)
2230 West Franklin Street)
Evansville, In 47719)
)
Type of Agency Action: Enforcement)
)
Indiana Producer License No.: Expired)

FILED
MAY 30 2014
STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by Michael F. Mullen, Attorney for and on behalf of the Enforcement Division of the Indiana Department of Insurance (“Department”), and Johnson, Carroll, Norton, Kent & Goedde, PC., (“Respondent”), a title insurance agency previously licensed to do business in Indiana, to resolve all issues in the above captioned matter. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner, Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent, a resident title insurance agency, held resident producer organization license #1782390 from January 1, 1989 until January 31, 2014; and

WHEREAS, Respondent failed to renew its license; and

WHEREAS, the Department examined respondent's business practices on April 9, 2014;
and,

WHEREAS, said examination revealed that Respondent negotiated the terms of title insurance commitments and policies during the time the agency was not licensed, and benefited from those sales of title insurance; and

WHEREAS, the Department alleges Respondent accepted commissions, service fees, brokerage fees, or other consideration; soliciting, or negotiating insurance in Indiana while Respondent was not licensed, which is a violation of Indiana Code Section 27-1-15.6-13 (b);

WHEREAS, the Department and Respondent desire to resolve this matter without the necessity of a hearing;

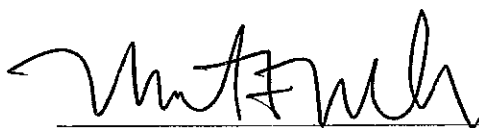
IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent agrees to pay an administrative penalty in the amount of One Thousand and Ninety dollars and no/100 (\$1,090.00) to the Department. This penalty is due within thirty (30) days of the filing of the Commissioner's Final Order in this matter.
6. Respondent shall develop, provide and implement policies to ensure all licensed employees and any agency that requires an insurance license is in compliance with Indiana insurance law. Respondent shall provide the Department a copy of these policies within 30 days of the Commissioners Final Order.

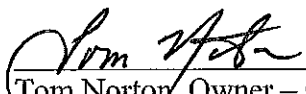
7. Should the Commissioner adopt this Agreed Entry through a Final Order, Respondent may re-apply for licensure after he has paid the above mentioned fine and provided the above mentioned internal policies.
8. The Department agrees to accept Respondent's compliance with the agreement herein as full satisfaction of this matter.
9. Respondent has carefully read and examined this agreement and fully understands its terms.
10. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
11. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
12. Respondent is aware that failure to comply with any term of this agreement will result in the matter being set for hearing.

5/20/2014
Date Signed

5-14-14
Date Signed



Mike F. Mullen, Attorney No. 30395-49
Indiana Department of Insurance

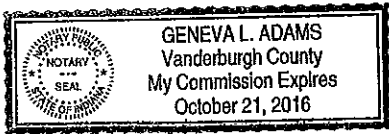


Tom Norton, Owner – On Behalf Of
Johnson, Carroll, Norton, Kent & Goedde, PC

STATE OF INDIANA)
) SS:
COUNTY OF Vanderburgh

Before me a Notary Public for Vanderburgh County, State of Indiana, personally appeared Tom Norton, on behalf of Johnson, Carroll, Norton, Kent & Goedde, and being first duly sworn by me upon his/her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 14th day of May, 2014.



Geneva L. Adams
Signature

Geneva L. Adams
Printed

My Commissioner expires: 10-21-16

County of Residence: Vanderburgh