

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 12233-AG13-0607-046

Thomas B. Thelen
653 Westerly Drive
Crescent Springs, KY 41017

Respondent

Type of Agency Action: Enforcement

Application ID: 363068

FILED

SEP 18 2013

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, and Thomas B. Thelen, (“Respondent”), signed an Agreed Entry which purports to resolve all issues regarding Respondent’s license application, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

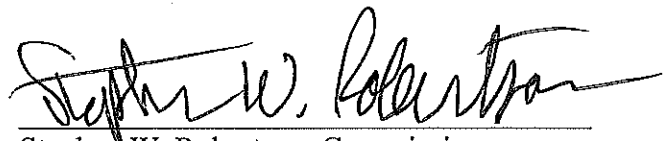
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. The Preliminary Administrative Order and Notice of License Denial dated July 30, 2013, cause number 12233-AD13-0710-024 is hereby vacated.

2. Respondent will pay an administrative fine of two hundred fifty dollars (\$250.00) within thirty (30) days.
3. Upon receipt of the administrative fine payment, Respondent's Producer License Application 363068 will be accepted and approved.
4. The Department agrees to accept Respondent's compliance with the terms of this agreement as full resolution of the issues regarding Respondent's producer license application.

ALL OF WHICH IS ORDERED this 13th day of September 2013.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Debra M. Webb
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Thomas B. Thelen
653 Westerly Drive
Villa Hills, KY 41017

Certified Receipt # 9214 8901 0661 5400 0021 1621 65

**BEFORE THE INDIANA
COMMISSIONER OF INSURANCE**

IN THE MATTER OF:

Thomas B. Thelen
653 Westerly Drive
Crescent Springs, KY 41017

Respondent,

Type of Agency Action: Enforcement

Application ID: 363068

FILED

27 13 2013

STATE OF INDIANA
DEPT. OF INSURANCE

This Agreed Entry is executed by and between the Indiana Department of Insurance (“Department”), by counsel, and Thomas Thelen (“Respondent”), to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of the Department’s Commissioner, Stephen W. Robertson.

WHEREAS, Respondent failed to disclose on the license application an Administrative Action in the State of Kentucky amounting to a \$5,500 fine for failing to timely file annual statements, surplus lines reports, and to remit taxes; and

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing;

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine in the amount of two hundred and fifty dollars (\$250.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. Should this Agreed Entry not be approved by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
7. Respondent has carefully read this agreement and fully understands and accepts its terms.

9-10-13

Date Signed

Debra M Webb

Debra M. Webb, Deputy General Counsel
Indiana Department of Insurance

8/20/13

Date Signed

Thomas B. Thelen

STATE OF KENTUCKY)
) SS:
COUNTY OF _____)

Before me a Notary Public for KENTON County, State of Kentucky,
personally appeared Thomas B. Thelen, and being first duly sworn by me upon his oath, says
that the facts alleged in the foregoing instrument are true.

Signed and sealed this 20TH day of AUGUST, 2013.

Kathleen S. Trimpe
Signature

KATHLEEN S. TRIMPE
Printed

My Commission expires: 1/23/2016

County of Residence: KENTON

KATHLEEN S. TRIMPE
Notary Public, Kentucky State at Large
My Commission Expires Jan. 23, 2016
Notary ID# 457943