

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 11053-AG13-0220-008

IN THE MATTER OF:)

James A. Siegel)
Respondent)

9386 Golden Leaf Way)
Indianapolis, IN 46260)

Type of Agency Action: Enforcement)

FILED

AUG 05 2013

STATE OF INDIANA
DEPT. OF INSURANCE

Indiana Resident Producer License No. 3040940

FINAL ORDER AND APPROVAL

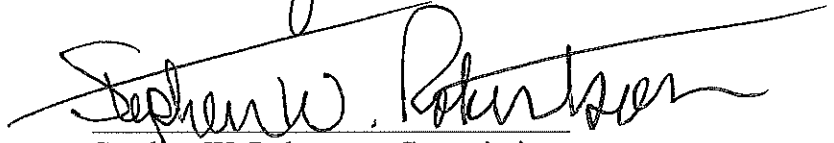
The Indiana Department of Insurance (“Department”), James A. Siegel, (“Respondent”), and counsel, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent’s license, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED, by the Commissioner of Insurance:

1. On February 4, 2013, Respondent was convicted of a felony, which is in violation of Ind. Code § 27-1-15.6-12(b)(6).
2. Should Respondent apply for renewal of his producer's license, said license shall be placed on probation for a period of two (2) years.
3. Respondent shall submit to the Department a copy of the Probation Order imposed by the Marion County Superior Court within thirty (30) days of the Final Order.
4. Respondent shall submit quarterly reports to the Department demonstrating that he is maintaining compliance with the probation imposed by the Marion County Superior Court.

ALL OF WHICH IS ORDERED this 5th day of Aug 2013.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

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AGREED ENTRY

This Agreed Entry is executed by and between the Indiana Department of Insurance (“Department”), by counsel, and James A. Siegel (“Respondent”), to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of the Department’s Commissioner, Stephen W. Robertson.

WHEREAS, Respondent was a licensed resident insurance producer in Indiana, holding license number 3040940, which expired as of June 30, 2013; and

WHEREAS, On February 9, 2012, one (1) count of Class A Felony Dealing in Cocaine or Narcotic and one (1) count of Class C Felony Possession of Cocaine or Narcotic were filed against Respondent in Marion County (Indiana) Superior Court, Criminal Division 20; and

WHEREAS, on March 8, 2012, Respondent notified the Department of his arrest and criminal charges and submitted copies of the Affidavit for Probable Cause, Appearance Form, and Charging Information; and

WHEREAS, on February 4, 2013, Respondent filed a request with the Criminal Court for leave to withdraw his former plea of not guilty and leave to plead guilty to a Class B Felony

Dealing in Cocaine. The Court accepted the pleas, conducted a sentencing hearing, and sentenced Respondent to fifteen (15) years in prison, suspending all fifteen (15) years. Respondent was also placed on probation for seven hundred thirty (730) days;

WHEREAS, on February 4, 2013, Respondent was convicted of a felony, which is in violation of Ind. Code § 27-1-15.6-12(b)(6).

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing;

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Should Respondent apply for renewal of his producer's license, said license shall be placed on probation for a period of two (2) years.
6. Respondent shall submit to the Department a copy of the Probation Order imposed by the Marion County Superior Court within thirty (30) days of the Final Order.
7. Respondent shall submit quarterly reports to the Department demonstrating that he is maintaining compliance with the probation imposed by the Marion County Superior Court.
8. Should this Agreed Entry not be approved by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

9. Respondent has carefully read this agreement and fully understands and accepts its terms.

7/26/13
Date Signed

Holly A. Williams
Holly A. Williams, Attorney, Legal Services
Indiana Department of Insurance

7/10/13
Date Signed

James A. Siegel
James A. Siegel

Jan H. Vogler atty
7/10/13 #
631-619