

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 8205-AG09-0824-196

IN THE MATTER OF:

Jeffrey Gielau,  
Respondent,

183 S Peralta Hills Dr.  
Anaheim, CA 92807

Type of Agency Action: Enforcement

License Number: 491551

**FILED**

SEP 11 2009

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER AND APPROVAL**

The Indiana Department of Insurance ("Department") and Jeffrey Gielau, ("Respondent"), a licensed non-resident insurance agent, signed an Agreed Entry which purports to resolve all issues regarding the above cause number, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED by the Commissioner of Insurance:

1. Respondent was recently terminated by Thrivent Financial for Lutherans. In their "Summary of Findings" provided to the Department as support for their decision

to terminate Respondent, Thrivent stated that Respondent violated company policy with regards to "the collection of authentic client signatures and otherwise altering documents submitted for processing."

2. Respondent agrees to pay an administrative fine in the amount of \$1,500.00 within thirty (30) days of this Final order.

ALL OF WHICH IS ORDERED this 11<sup>th</sup> day of Sept., 2009.



Carol Cutter, Commissioner  
Indiana Department of Insurance

Distribution:

Jeffrey Gielau  
183 S Peralta Hills Dr.  
Anaheim, CA 92807

Nikolas P. Mann  
**INDIANA DEPARTMENT OF INSURANCE**  
311 West Washington Street, Suite 300  
Indianapolis, Indiana 46204-2787

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 8205-AG09-0824-196

IN THE MATTER OF:

Jeffrey Gielau,  
Respondent,

183 Peralta Hills Dr.  
Anaheim, CA 92867

Type of Agency Action: Enforcement

License Number: 491551

**FILED**

SEP 11 2009

STATE OF INDIANA  
DEPT. OF INSURANCE

**AGREED ENTRY**

This Agreed Entry is executed by Nikolas P. Mann, Attorney for and on behalf of the State of Indiana, Indiana Department of Insurance ("Department"), and Jeffrey Gielau ("Respondent"), a non-resident insurance agent licensed in the state of Indiana, to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of Carol Cutter, Commissioner, Indiana Department of Insurance.

WHEREAS, Respondent is a non-resident insurance agent licensed in the State of Indiana, holding license number 491551; and,

WHEREAS, the Department received notification from Thrivent Financial for Lutherans ("Thrivent") that Respondent had been terminated for cause; and,

WHEREAS, upon request from the Department, Thrivent provided a "Summary of Findings" wherein Thrivent stated that Respondent was terminated for violating company policy with regards to "the collection of authentic client signatures and otherwise altering documents submitted for processing;" and,

WHEREAS, Respondent neither admits nor denies any statement within Thrivent's "Summary of Findings;" and,

WHEREAS, Indiana Code 27-1-15.6-12 (b) (10) prohibits the behavior alleged in Thrivent's "Summary of Findings;" and,

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing;

IT IS THEREFORE NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine in the amount of \$1,500.00 within thirty (30) days of the filing of a Final Order adopting this Agreed Entry.
6. Respondent has carefully read this agreement and fully understands and accepts its terms.

8/28/09  
Date Signed

Nikolas P. Mann  
Nikolas P. Mann, Attorney  
Indiana Department of Insurance

8-26-09  
Date Signed

Jeffrey A. Gielan  
Jeffrey Gielan,  
Respondent

STATE OF CALIFORNIA )  
 ) SS:  
COUNTY OF ORANGE )

Before me a Notary Public for ORANGE County, State of CALIFORNIA, personally appeared Jeffrey Gielau, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 26TH day of AUGUST, 2009.

  
Signature

GREGORY V. VEIX  
Printed

My Commissioner expires: 11/24/2009

County of Residence: ORANGE

