

STATE OF INDIANA
COUNTY OF MARION

)
) SS:
)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 7811-AG09-0202-024

IN THE MATTER OF:

Steven C. Liston
Agent / Respondent

675 Brentwood Dr.
Waukeee, IA 50203

Type of Agency Action: Enforcement

Indiana Insurance License No.: 465265

FILED

MAY 06 2009

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER AND APPROVAL

The Indiana Department of Insurance ("Department") and Steven C. Liston ("Respondent"), a licensed non-resident Indiana Insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent's license, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval. (See Exhibit 'A' attached hereto)

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED by the Commissioner of Insurance:

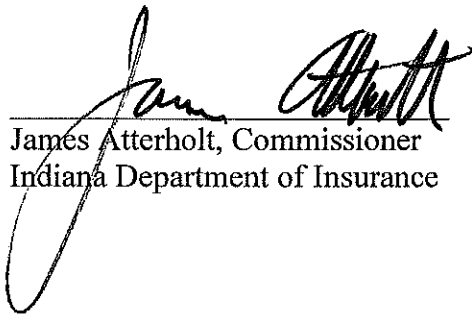
1. Respondent shall pay an administrative fine in the amount of one thousand dollars (\$1000.00), payable within sixty (60) days from the date this Final Order is filed.

2. Respondent, if Respondent has not already done so, shall file a semi-annual tax report for January through June 2008 within ten (10) days from the date this Final Order is filed.

3. Respondent shall be on probation for a period of one (1) year during which time, and at all times in the future, Respondent shall comply with Indiana Insurance Law including Indiana Code 27-1-15.8-4(c).

4. The Department shall accept Respondent's compliance with the terms of this Final Order as full resolution of this matter.

ALL OF WHICH IS ORDERED this 6 day of May, 2009.



James Atterholt, Commissioner
Indiana Department of Insurance

Distribution:

Laura Willett
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

Steven C. Liston
675 Brentwood Dr.
Waukegan, IA 50203

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 7811-AG09-0202-024

IN THE MATTER OF:

Steven C. Liston)
Agent / Respondent)

675 Brentwood Dr.)
Waukeg, IA 50203)

Type of Agency Action: Enforcement)

Indiana Insurance License No.: 465265)

FILED
MAY 06 2009
STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is entered into by Laura A. W. Levenhagen, attorney for and on behalf of the State of Indiana, Department of Insurance ("Department"), and Steven C. Liston ("Respondent"), a licensed Indiana non-resident insurance producer holding license number - 465265, to resolve all matters in the above referenced administrative action. This Agreed Entry is subject to the review and approval of James Atterholt, Commissioner for the Indiana Department of Insurance.

WHEREAS, Respondent is a licensed non-resident insurance producer holding license number **465265**.

WHEREAS, Respondent has been qualified as a surplus lines producer in accordance with and as defined under Indiana Code Section 27-1-15.8 *et seq* and is therefore bound by all requirements and restrictions contained therein.

EXHIBIT

A

WHEREAS, pursuant to Indiana Code 27-1-15.8-4(c) Respondent was required to file a semi-annual tax report for January through June 2008 on or before August 1, 2008.

WHEREAS, Respondent failed to file the above referenced tax report by the specified deadline in violation of Indiana Code 27-1-15.8-4(c).

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing;

IT IS THEREFORE, NOW AGREED by and between the parties as follows:

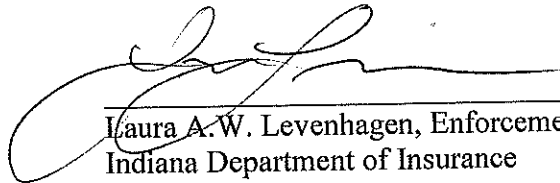
1. The Commissioner has jurisdiction over the subject matter and the Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties. Respondent voluntarily and freely waives the right to a public hearing on this matter.
3. Respondent voluntarily and freely waives the right to petition for judicial review of this agreement and the Commissioner's Final Order.
4. Respondent agrees to pay an administrative fine in the amount of one thousand dollars (\$1000.00), payable within sixty (60) days from the date the Commission files the Final Order in this matter.
5. Respondent agrees, if Respondent has not already done so, to file a semi-annual tax report for January through June 2008 within ten (10) days from the date the Commission files the Final Order in this matter.
6. Respondent agrees to a probationary period of one (1) year during which time, and at all times in the future, Respondent shall comply with Indian Insurance Law including Indiana Code 27-1-15.8-4(c).

7. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full resolution of this matter.

8. Respondent is aware that his failure to comply with any terms of this agreement will result in the matter being set for hearing.

9. Respondent has carefully read this agreement and fully understands and accepts its terms.

April 23, 2009
Date Signed



Laura A.W. Levenhagen, Enforcement Attorney
Indiana Department of Insurance

April 9, 2009
Date Signed



Steven C. Liston, Respondent

STATE OF Iowa)
COUNTY OF Polk) SS:

Before me a Notary Public for Polk County, State of Iowa,
personally appeared Steven C. Liston and being first duly sworn by me upon his oath, states that
the facts alleged in the foregoing instrument are true. Signed and sealed this 9 day
of April 2009.

Lance VanVleet
Notary Signature

Lance VanVleet Notary
Name Printed

My Commission expires: 8-10-2012

County of Residence: Warren



Return original NOTARIZED document to:

INDIANA DEPARTMENT OF INSURANCE
Enforcement Division
Suite 300
311 West Washington Street
Indianapolis, IN 46204-2787
317/233-4243 - telephone
317/232-5251 - facsimile

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 7811-AG09-0202-024

FILED
FEB 06 2009
STATE OF INDIANA
DEPT. OF INSURANCE

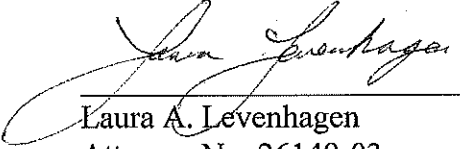
4. On August 26, 2008 the Department notified Respondent via First Class U.S. Mail that Respondent had failed to comply with the requirements of Indiana Code Section 27-1-15.8-4(c) and as of the date these charges have been filed Respondent is still not in compliance with Indiana Code Section 27-1-15.8-4(c).

COUNT I

5. Averments 1 through 4 are repeated as incorporated by reference herein.
6. Respondent's conduct, as alleged herein, is a violation of Indiana Code 27-1-15.8-4(c).
7. Respondent is subject to penalties including, but not limited to, probation, suspension, or revocation of Respondent's Indiana (non-resident or resident) insurance license, and fines in accordance with Indiana Code 27-1-15.6-12(b)(2)(A)

WHEREFORE, the Department, by counsel, Laura A. Levenhagen, requests that the Commissioner permanently revoke the producer licenses of the Respondent and impose a fine of ten thousand dollars (\$10,000.00) per violation.

Respectfully submitted,



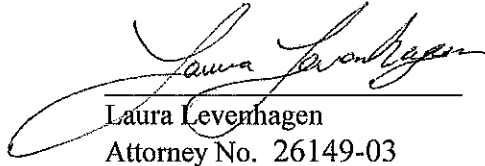
Laura A. Levenhagen
Attorney No. 26149-03

Indiana Department of Insurance
Suite 300
311 West Washington Street
Indianapolis, In. 46204-2787
317/232-2422 - telephone
317/232-5251 - facsimile

CERTIFICATE OF SERVICE

This is to certify that a copy of the foregoing Statement of Charges has been served upon Respondents in the captioned proceeding by mailing a copy of the same by First Class U.S. Mail, postage, this 5th day of February, 2009.

Steven C. Liston
675 Brentwood Dr.
Waukee, IA 50203


Laura Levenhagen
Attorney No. 26149-03

Indiana Department of Insurance
Suite 300
311 West Washington Street
Indianapolis, In. 46204-2787
317/233-4243 - telephone
317/232-5251 - facsimile