STATE OF INDIANA

REQUEST FOR INFORMATION 21-002

INDIANA DEPARTMENT OF ADMINISTRATION

ON BEHALF OF THE
INDIANA DEPARTMENT OF INSURANCE

SOLICITATION FOR:
INDIANA ALL PAYER CLAIMS DATA BASE

RESPONSE DUE DATE:  NOVEMBER 20, 2020 AUGUST 19, 2020 BY 3:00 PM ET

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Indiana Department of Administration
Procurement Division
402 W. Washington St., Room W478
Indianapolis, Indiana  46204
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REQUEST FOR INFORMATION 21-002

INTRODUCTION
This is a Request for Information (RFI) issued by the Indiana Department of Administration (IDOA) on behalf of the Indiana Department of Insurance (IDOI) regarding the All Payer Claims Data Base.

It is the intent of IDOA to solicit responses to this RFI in accordance with the specifications contained in this document and associated attachments. Neither this RFI nor any response (proposal) submitted hereto is to be construed as a legal offer.

THE STATE MAY ELECT TO LIMIT PARTICIPATION IN ANY FUTURE COMPETITIVE SOLICITATION TO VENDORS THAT RESPOND TO THIS RFI.

BACKGROUND AND OBJECTIVE OF THE RFI
Pursuant to Senate Enrolled Act 5, the Indiana General Assembly tasked the IDOI with submitting an RFI concerning the creation, operation, and maintenance of an APCD. APCDs are large-scale databases that often include medical claims, pharmacy claims, dental claims, eligibility and provider files collected from private and public payers. The IDOI is gathering information about establishing an APCD to receive data and maintain information on claims paid by insurers, health maintenance organizations, pharmacy benefit managers, and other payers in Indiana.

The IDOI has the authority and responsibility to issue this RFI to gather information regarding the implementation of an APCD in Indiana. The IDOI regulates the insurance market in Indiana. The purpose of the IDOI is to protect Hoosiers as they purchase and use insurance products to keep their assets and their families from loss or harm, and to monitor the financial solvency of the insurance companies domiciled in Indiana so that the legal promises made in insurance policies are honored.

The purpose of this RFI is to gather information regarding the implementation of an APCD. The goal of this RFI is to gather general functionality and general pricing structures from vendors for the development of a potential Request for Proposal (RFP). This RFI solicits information and suggestions from experienced sources, vendors/contractors, and other qualified service providers as part of a market research effort as the IDOI plans its health care price transparency project. As the RFI will collect claims data from a range of health care payers, the vendor must have rigorous, HIPAA-compliant security and privacy measures in place to protect the data released to the APCD and have programs in place to enforce and monitor data security practices.

The IDOI is requesting information to formalize the scope of work for a potential RFP by allowing the vendor community to apprise the IDOI on information that should be considered as part of the scope of work. The information gained from this RFI may be used in the development of a future competitive solicitation process, leading to the designation of an entity best suited to provide and service an APCD that meet IDOI’s needs.

RESPONSE FORMAT AND ATTACHMENTS
Respondents should submit responses to the RFI, utilizing Attachment A, describing how they will meet the specific requirements of this RFI and the deliverables included within. All narrative responses must be provided to the IDOA in Microsoft Word format. Respondents must structure their response according to the sections outlined below to facilitate the IDOA’s review of the responses. THE TOTAL RESPONSE SHOULD NOT BE MORE THAN 15-20 PAGES IN LENGTH.
If you would like to provide a response/feedback to this RFI for a potential RFP for the IDOI, you must provide your response to IDOA as shown in the RFI Timeline and Response Submission section below.

**RFI TIMELINE**
The following timeline is only an illustration of this RFI process. The dates associated with each step are not to be considered binding.

### Anticipated RFI Dates:

<table>
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<td>Issuance of RFI</td>
<td>July 8, 2020</td>
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<tr>
<td>Deadline to Submit Written Questions (3:00 PM Eastern Time)</td>
<td>August 31, 2020 July 16, 2020</td>
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<tr>
<td>Response to Written Questions/RFI Amendments</td>
<td>September 30, 2020 July 30, 2020</td>
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<tr>
<td>Due Date for Submissions</td>
<td>November 30, 2020 August 19, 2020</td>
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**QUESTION / INQUIRY PROCESS**
All questions/inquiries in regards to RFI 21-002 must be submitted in writing via email using Attachment B, Questions and Answers Template, by the deadline of **August 30, 2020 July 16, 2020 by 3:00PM ET** to tdeaton@idoa.in.gov. The email subject line should contain the following phrase:

“REQUEST FOR INFORMATION 21-002, QUESTION AND INQUIRIES.”

Following the question/inquiry due date, IDOA will compile a list of the questions/inquiries submitted by all Respondents. The responses will be posted to the IDOA website as soon as possible. Only answers posted on the IDOA website will be considered official and valid by the State. No Respondent shall rely upon, take any action, or make any decision based upon any verbal communication with any State employee.

Please note that Teresa Deaton-Reese is the State’s single point of contact for this RFI. **Inquiries are not to be directed to any other staff member of the IDOI.** Such action may disqualify respondent from further consideration in this RFI and any subsequent RFP process.

If it becomes necessary to revise any part of this RFI, or if additional information is necessary for a clearer interpretation of provisions of this RFI prior to the due date for submissions, an addendum will be posted on the IDOA website.

**CLARIFICATIONS AND DISCUSSIONS**
The State reserves the right to request clarifications on information submitted to the State. The State also reserves the right to conduct discussions, either oral or written, with the Respondents. These discussions could include requests for additional information, requests for cost information or technical requirements response attachment revision, etc. Additionally, in conducting discussions, the State may use information derived from the responses submitted by competing Respondents only if the identity of the Respondent providing the information is not disclosed to others. The State will provide equivalent information to all Respondents which have been chosen for discussions.
The Procurement Division will schedule all discussions. Any information gathered through oral discussions must be confirmed in writing.

**CONFIDENTIALITY**

It is important to note that all information submitted in Respondent’s proposals to this RFI will be kept confidential and will not be made available to the public unless this RFI does not result in the release of a solicitation at a later date. If a solicitation results from this RFI, then the information contained in the proposal submissions for this RFI must be made available to the public once the resulting solicitation has been awarded and the protest period has ended.

Respondents are advised that materials contained in proposals are subject to the Access to Public Records Act (APRA), IC 5-14-3 et seq., and, after award, the entire solicitation file may be viewed and copied by any member of the public, including news agencies and competitors.

Please note citing “Confidential” on an entire section is not sufficient. The Public Access Counselor (PAC) provides guidance on APRA. Respondents are encouraged to read guidance from the PAC on this topic as this is the guidance IDOA follows:

- **18-INF-06; Redaction of Public Procurement Documents Informal Inquiry**

Respondents claiming a statutory exception to the APRA must indicate so on a separate attachment labeled “Confidential Documentation Listing”. That document should include the following information:

- List all documents where claiming a statutory exemption to the APRA;
- Specify which statutory exception of APRA that applies for each document;
- Provide a description explaining the manner in which the statutory exception to the APRA applies for each document.

When claiming confidential information, respondents should submit two versions of their response:

1) A confidential version (for the State’s review and evaluation)
   a. Confidential Information must be clearly marked in a separate folder.

2) A redacted version (for public records requests)

If the Respondent does not identify the statutory exception, the Procurement Division will not consider the submission confidential. The State also reserves the right to seek the opinion of the PAC for guidance if the State has doubts the cited exception is applicable.

Prices are **NOT** confidential information.

**RESPONSE SUBMISSION INSTRUCTIONS**

Firms interested in providing information to IDOA should submit responses via email to tdeaton@idoa.in.gov. All responses must be received no later than **August 19, 2020 by 3:00PM ET**. The subject line of the email submission must clearly state the following:

**“RESPONSE TO REQUEST FOR INFORMATION 21-002”**

Any information received after the due date and time may not be considered.

No more than one proposal per Respondent may be submitted.

Templates outlined in this document should be returned in their native file format.

The State accepts no obligations for costs incurred by Respondents in anticipation of being awarded a contract.