



Indiana Department of Environmental Management
Protecting Hoosiers and Our Environment Since 1986

Office of Land Quality



A State that Works

Financial Assurance Board Meeting

April 28, 2022



Indiana Department of Environmental Management
Protecting Hoosiers and Our Environment Since 1986



Office of Land Quality

IDEM Excess Liability Trust Fund
Fiscal Year 2022 Expenses as of March 31, 2022

Appropriation	\$ 37,260,610
Less Reserve	<u>(1,422,434)</u>
Total Available Budget	\$ 35,838,176
Expenses:	
Personnel Services	\$ 2,781,185
Utilities	62,336
External Services	934,019
Supplies, Materials, Parts	6,025
Capital	-
Claim Payments	8,910,379
Administrative & Operating Expenses	<u>62,259</u>
Total Expenses	\$ 12,756,203
Budget Balance as of 03/31/2022	\$ 24,504,407
Administrative Cost % of Prior Year Revenues	8.83%

IDEM Excess Liability Trust Fund
Fiscal Year 2022 Revenue as of December 31, 2021

UST Fuel Inspection Fee	\$ 33,709,028
Underground Storage Tank Fees	<u>-</u>
Total Revenue as of 3/31/2022	\$ 33,709,028

IDEM Excess Liability Trust Fund
Fund Balance as of December 31, 2021

Ending Fund Balance	\$ 136,959,953
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Underground Petroleum Storage Tank Excess Liability Trust Fund
Fiscal Year 2022 Revenue and Payouts
As of 3/31/2022

	July	August	September	October	November	December	January	February	March	April	May	June	Total
Revenue	\$ 3,900,701	\$ 4,007,232	\$ 3,907,378	\$ 3,723,423	\$ 4,037,673	\$ 3,852,667	\$ 3,720,702	\$ 3,380,503	\$ 3,178,749				\$ 33,709,028
Payouts	\$ (668,026)	\$ (993,247)	\$ (411,331)	\$ (439,977)	\$ (571,816)	\$ (894,878)	\$ (643,405)	\$ (3,671,251)	\$ (616,448)				\$ (8,910,379)
Revenue Over/(Under) Expenses	\$ 3,232,675	\$ 3,013,985	\$ 3,496,047	\$ 3,283,446	\$ 3,465,857	\$ 2,957,789	\$ 3,077,297	\$ (290,748)	\$ 2,562,301	\$ -	\$ -	\$ -	\$ 24,798,649

Underground Petroleum Storage Tank Excess Liability Trust Fund
Fiscal Year 2021 Revenue and Payouts
As of 6/30/2021

	July	August	September	October	November	December	January	February	March	April	May	June	Total
Revenue	\$ 3,499,851	\$ 3,604,503	\$ 3,560,747	\$ 3,689,656	\$ 3,791,756	\$ 3,631,617	\$ 3,635,109	\$ 3,432,850	\$ 3,194,165	\$ 3,728,478	\$ 3,529,065	\$ 4,244,266	\$ 43,542,063
Payouts	\$ (652,001)	\$ (249,704)	\$ (424,440)	\$ (807,512)	\$ (683,726)	\$ (295,777)	\$ (767,019)	\$ (1,147,772)	\$ (1,281,355)	\$ (401,374)	\$ (1,155,116)	\$ (372,979)	\$ (8,238,775)
Revenue Over/(Under) Expenses	\$ 2,847,850	\$ 3,354,799	\$ 3,136,307	\$ 2,882,144	\$ 3,108,030	\$ 3,335,840	\$ 2,868,090	\$ 2,285,078	\$ 1,912,810	\$ 3,327,104	\$ 2,373,949	\$ 3,871,287	\$ 35,303,288

Underground Petroleum Storage Tank Excess Liability Trust Fund
Fiscal Year 2020 Revenue and Payouts
As of 6/30/2020

	July	August	September	October	November	December	January	February	March	April	May	June	Total
Revenue	\$ 3,931,399	\$ 4,066,299	\$ 3,689,787	\$ 4,485,156	\$ 3,326,376	\$ 3,794,170	\$ 3,577,963	\$ 3,917,265	\$ 3,583,262	\$ 3,332,672	\$ 2,504,762	\$ 3,112,631	\$ 43,321,742
Payouts	\$ (7,975,931)	\$ (1,876,941)	\$ (1,220,267)	\$ (1,836,157)	\$ (403,580)	\$ (1,099,190)	\$ (749,257)	\$ (534,416)	\$ (1,210,652)	\$ (1,819,170)	\$ (610,881)	\$ (1,242,351)	\$ (20,578,793)
Revenue Over/(Under) Expenses	\$ (4,044,532)	\$ 2,189,358	\$ 2,469,520	\$ 2,648,999	\$ 2,922,796	\$ 2,694,980	\$ 2,828,706	\$ 3,382,849	\$ 2,372,610	\$ 1,513,502	\$ 1,893,881	\$ 1,870,280	\$ 22,742,949



ELTF Claims - Claims Received and Reviewed per Fiscal Year

Calendar Year	Month	Total Received	Total Amt Requested	Reviewed	Avg Review Time	Amt Reviewed	Amt Approved	Amt Reimbursed
2021	July	70	\$755,333	109	153.12	\$1,291,413	\$715,843	\$652,020
2021	August	68	\$753,847	136	140.77	\$1,878,308	\$1,130,888	\$1,022,458
2021	September	90	\$1,212,744	55	120.64	\$734,004	\$444,245	\$415,227
2021	October	76	\$1,055,849	91	143.96	\$886,939	\$575,021	\$488,394
2021	November	80	\$1,004,163	118	133.43	\$1,389,354	\$734,694	\$642,866
2021	December	53	\$743,636	141	115.45	\$1,484,368	\$872,694	\$830,385
2022	January	65	\$990,376	101	80.50	\$1,141,448	\$815,685	\$671,026
2022	February	157	\$3,909,840	178	31.99	\$3,956,806	\$3,736,104	\$3,672,283
2022	March	87	\$2,666,621	121	80.45	\$1,056,085	\$809,228	\$686,490
Total		746	\$13,092,409	1050	105.86	\$13,818,725	\$9,834,402	\$9,081,150



UST Operations Section Update

Katie Blackburn
Section Chief



UST Operations Section

Pending Claims

- As of 4/4/2022
 - All claims in-house:
89 claims for a total of \$3,296,900.39
 - Claims in-house over 60 calendar/45 business days:
18 claims for a total of \$505,464.71
- As of 7/9/2021
 - All claims in-house:
427 claims for a total of \$6,339,497.61
 - Claims in-house over 60 calendar/45 business days:
280 claims for a total of \$4,451,302.01



UST Operations Section

Claim Life Cycle

- Prepared and submitted to IDEM by consultant or applicant via email
- Entered into IDEM database and tracker; triaged and processed for initial review or administrative denial
- Initial Review
- Additional Review, as Needed:
 - Senior QC/Technical Review
 - Claim Finalized
- Section Chief Review
- Processed for Payment
- Sent to IDEM Accounting
- Sent to Auditor of State (has up to 30 days to issue payment)



UST Operations Section

Less than 100% Reimbursed Reasons

- Deductible Being Met
- Eligibility Percentage is <100%
- Claimed Costs Not Allowed Per Rule or Statute:
 - Tasks at higher classification than specified
 - Rates (labor, equipment, mileage) above allowed amounts
 - Not supported by back-up; missing documentation; discrepancy
 - Missed 365 day deadline
 - Items not allowed: CDs, mark-up on gov't fees, permits, or travel expenses, IP when no free product, equipment beyond purchase price
- Reasonableness and cost effectiveness explanation needed



UST Operations Section

Administrative Denial Reasons

- Eligibility for the incident has not been applied for, not been determined, or has been denied
- Claim does not meet submittal guidelines:
 - Incorrect version of the application
 - Discrepancy—amounts don't match, incident/FID is incorrect
 - POA/AOR issues
 - Signatures missing (application or affidavit)
 - Notary issues
 - Total is under \$5,000 and does not meet exception criteria
- Requested corrections not received
- Does not count towards the three-time submittal limit



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