

Agenda Item #8

Agenda Item #9

From: Sara.Tait@icemiller.com
To: [Pitman, Deena](#)
Cc: [P. Hendricks](#); [Joseph Morris](#); [Rothenberg, David](#)
Subject: Request for Debt Financing Approval
Date: Monday, November 13, 2023 9:17:09 AM
Attachments: [image001.png](#)
[image002.png](#)
[CEI - 2025 Maturities Refinancing - 11.06.23 - Model-c.pdf](#)
[11.13.23 CZR Financing Request to IHRC-c.pdf](#)

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Executive Director Pitman,

On behalf of Caesars Entertainment, please find attached a request for an Interim Approval of a Debt Financing. We appreciate the Commission's time and attention to reviewing this transaction. As noted in the request, we are hopeful to receive approval in advance of December 1, 2023. We stand ready to work with staff and are available to answer any questions that may arise during the course of your review.

Thanks,
Sara

Please note: The attached correspondence and materials contain confidential financial information and we would request they be withheld from public disclosure.

[Sara Tait](#) | Partner



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Thank you.
ICE MILLER LLP

Agenda Item #10



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 1/1/2023 to 11/20/2023

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2020-2091	8/14/20	KEITH E. ERNEST		8/13/20	Medication/Drug/Alcohol Violation (Human)	\$0	8/14/20	12/31/20	140
IG-2021-2410	8/11/21	JOEL BAHENA		7/26/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/11/21	12/31/21	143
IG-2022-2779	8/17/22	RANDALL D. HAFFNER		7/24/22	License Violation	\$0			
IG-2022-2783	8/19/22	JOSE A. BELTRAN	QH	8/18/22	License Violation	\$0			
IG-2022-2869	10/31/22	EDGAR A. DIAZ		10/27/22	License Violation	\$0			
HP-2022-2889	11/17/22	MELANIE S. WRENN	SB	9/13/22	Trainer Responsibility	\$0	11/14/22	1/28/23	76
IG-2023-2903	12/30/22	CYNTHIA LOOMIS	TB	7/4/22	Ruling vacated by Commission pursuant to Final Order signed 7/21/23.				
IG-2023-2904	1/9/23	NICOLE WETTSTEIN		10/21/22	Vet Rule Violation	\$2,500	11/7/22	1/5/23	60
IG-2023-2905	2/8/23	GILBERTO GARCIA-VELAZQUEZ		2/7/23	Conduct/Behavior	\$0	2/9/23	4/21/23	72
IG-2023-2906	2/27/23	BRAD H. COX	TB	7/9/22	Ruling vacated by Commission pursuant to vote on 10/4/23.				
HP-2023-2907	3/17/23	EDWIN NOLASCO	SB	1/12/23	License Violation	\$0	3/17/23		
HP-2023-2908	3/25/23	BRETT A. MILLER	SB	3/24/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2909	3/29/23	JOSE A. CANDIA	QH	11/17/22	Medication/Drug Violation (Equine)	\$5,000	3/30/23	3/28/24	365
IG-2023-2910	3/30/23	EVELYN V. URBINA		3/30/23	License Violation	\$0	3/30/23	4/21/23	23
HP-2023-2911	4/1/23	BRADLEY K. FERGUSON	SB	3/31/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2912	4/1/23	JEREMY R. SMITH	SB	4/1/23	Riding/Driving Infraction Violation	\$150			
HP-2023-2913	4/4/23	BRADLEY K. FERGUSON	SB	3/31/23	Conduct/Behavior	\$50			
HP-2023-2914	4/5/23	JORDAN M. ROSS	SB	4/1/23	Whip Violation	\$200			



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HP-2023-2915	4/6/23	CRISTIAN M. PACHECO	SB	3/27/23	Conduct/Behavior	\$500			
HP-2023-2916	4/6/23	ROBERT S. TAYLOR	SB	4/5/23	Riding/Driving Infraction Violation	\$100			
HP-2023-2917	4/8/23	KYLE J. WILFONG	SB	4/7/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2918	4/14/23	THOMAS M. AMOSS	TB	10/17/22	Medication/Drug Violation (Equine)	\$5,000	4/15/23	4/29/23	15
HP-2023-2919	4/15/23	JARED T. SEEKMAN	SB	4/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2920	4/20/23	CLAY A. CRAIB	SB	4/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2921	4/20/23	ROBERT S. TAYLOR	SB	4/15/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2922	4/21/23	KAYLIN RIVERS-SMITH	SB	4/21/23	License Violation	\$0			
HP-2023-2923	4/21/23	DALE P. HITEMAN	SB	4/20/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2924	4/21/23	BRANDON L. BATES	SB	4/20/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2925	4/22/23	RANDY D. CRISLER	SB	4/21/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2926	4/22/23	SAMUEL D. WIDGER	SB	4/21/23	Riding/Driving Infraction Violation	\$100			
HP-2023-2927	4/22/23	ROBERT S. TAYLOR	SB	4/19/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2928	4/21/23	LEONEL S. OLGUIN		4/7/23	License Violation	\$0			
HP-2023-2929	4/25/23	JOHN J. DELONG	SB	4/22/23	Whip Violation	\$200			
HP-2023-2930	4/25/23	RICHARD C. PLANO	SB	4/7/23	Medication/Drug Violation (Equine)	\$1,000			
HP-2023-2931	4/27/23	REUBEN G. WAGLER	SB	4/15/23	Conduct/Behavior	\$500			
HP-2023-2932	4/28/23	CLAY A. CRAIB	SB	4/27/23	Riding/Driving Infraction Violation	\$200			



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HP-2023-2933	4/27/23	SCOTT A. SKINNER	SB	4/27/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2934	4/28/23	DANELDA S. ROBERTS	SB	4/27/23	Improper Business Manner	\$200			
IG-2023-2935	4/28/23	RONALD K. KINMON	TB	4/28/23	Improper Business Manner	\$500			
HP-2023-2936	5/4/23	SUSAN M. MILLER	SB	5/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2937	5/6/23	DONALD J. EASH	SB	5/4/23	Whip Violation	\$200			
HP-2023-2938	5/6/23	BRANDON L. BATES	SB	5/5/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2939	5/6/23	GREGORY M. KAIN	SB	4/22/23	Conduct/Behavior	\$0			
IG-2023-2940	5/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/6/23	Whip Violation	\$250			
IG-2023-2941	5/8/23	LUZ D. MARTINEZ	QH	5/6/23	Whip Violation	\$250			
IG-2023-2942	5/9/23	JOHN BYRD III	QH	5/3/23	Riding/Driving Infraction Violation	\$0	5/15/23	5/24/23	10
IG-2023-2943	5/9/23	MARCIAL W. BENITEZ		5/9/23	License Violation	\$100			
HP-2023-2944	5/9/23	DONALD J. EASH	SB	5/6/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2945	5/11/23	JIMMY R. DANIEL	SB	4/29/23	Whip Violation	\$200			
IG-2023-2946	5/11/23	SAUL T. LOPEZ		5/10/23	License Violation	\$0			
HP-2023-2947	5/13/23	DONALD J. EASH	SB	5/10/23	Whip Violation	\$300			
HP-2023-2948	5/13/23	SIXTO RIVAS	SB	5/12/23	Trainer Responsibility	\$100			
IG-2023-2949	5/17/23	MCKENNA K. ANDERSON	TB	5/15/23	Riding/Driving Infraction Violation	\$0	5/23/23	5/23/23	1
IG-2023-2950	5/17/23	ROGELIO A. MIRANDA		5/16/23	Medication/Drug/Alcohol Violation (Human)	\$200			



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HP-2023-2951	5/18/23	PETER M. WRENN	SB	5/17/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2952	5/20/23	LAUREN B. NICKELLS	SB	5/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2953	5/20/23	TRAVIS J. SEEKMAN	SB	5/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2954	5/20/23	JARED D. FINN	SB	5/18/23	Whip Violation	\$200			
HP-2023-2955	5/19/23	JOHN J. DELONG	SB	5/12/23	Whip Violation	\$300			
HP-2023-2956	5/20/23	MELISSA S. ESSIG	SB	5/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2957	5/19/23	CLEMENT C. COOK	SB	5/16/23	License Violation	\$0	11/24/21	5/16/23	539
IG-2023-2958	5/22/23	REYLUIS A. GUTIERREZ	TB	5/17/23	Riding/Driving Infraction Violation	\$0	5/30/23	5/30/23	1
IG-2023-2959	5/22/23	SERGIO A. DONJUAN		5/22/23	Miscellaneous Ruling	\$0			
IG-2023-2960	5/25/23	DEXTAVIOUS I. MITCHELL	QH	5/24/23	Whip Violation	\$250			
HP-2023-2961	5/26/23	HENRY L. WENGERD	SB	3/24/23	License Violation	\$0			
HP-2023-2962	5/26/23	KEITH A. HURLESS	SB	2/3/23	License Violation	\$0			
HP-2023-2963	5/27/23	JORDAN M. ROSS	SB	5/26/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2964	5/27/23	RICHARD L. MACOMBER JR	SB	5/24/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2965	5/29/23	MICHAEL J. OOSTING	SB	5/26/23	Riding/Driving Infraction Violation	\$200			
IG-2023-2966	5/30/23	JESSICA A. VAZQUEZ	QH	5/18/23	Contraband/Drug Violation	\$1,000			
IG-2023-2967	5/30/23	GERMARIUS A. O'NEAL	QH	5/29/23	Whip Violation	\$250			
IG-2023-2968	5/30/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/29/23	Whip Violation	\$500			



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IG-2023-2969	5/31/23	GIOVANI VAZQUEZ-GOMEZ	QH	5/29/23	Whip Violation	\$0			
HP-2023-2970	5/31/23	DONNA A. LONEY	SB	5/29/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2971	5/31/23	DANNY J. JOHNSON	SB	5/31/23	Race Office/Track Rule Violation	\$100			
IG-2023-2972	5/31/23	ROGER L. LEWIS		5/31/23	Miscellaneous Ruling	\$0			
HP-2023-2973	5/31/23	PETER M. WRENN	SB	5/27/23	Whip Violation	\$200			
HP-2023-2974	6/1/23	RICHARD L. MACOMBER JR	SB	5/29/23	Whip Violation	\$200			
HP-2023-2975	6/1/23	ATLEE E. BENDER	SB	5/31/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2976	6/1/23	BRANDON L. BATES	SB	5/29/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2977	6/2/23	MARK P. HERLIHY	SB	5/18/23	Conduct/Behavior	\$250			
HP-2023-2978	6/2/23	CASE A. BATESON	SB	6/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2979	6/2/23	TRAVIS J. SEEKMAN	SB	6/1/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2980	6/3/23	MARTIN D. MUNOZ	QH	5/24/23	Riding/Driving Infraction Violation	\$0	6/10/23	6/16/23	7
IG-2023-2981	6/6/23	RENE CAZARES	QH	5/30/23	Conduct/Behavior	\$250			
IG-2023-2982	6/7/23	MARCELINO PEDROZA	TB	6/1/23	Riding/Driving Infraction Violation	\$0	6/13/23	6/13/23	1
IG-2023-2983	6/7/23	CESAR G. GARCIA-ESQUEDA	QH	6/6/23	Whip Violation	\$250			
IG-2023-2984	6/7/23	CHAD E. HASSEBROCK		6/7/23	Miscellaneous Ruling	\$0			
HP-2023-2985	6/7/23	DESHAWN T. HORTON	SB	6/7/23	Conduct/Behavior	\$0	6/7/23	7/12/23	36
HP-2023-2986	6/8/23	KYLE A. HUSTED	SB	6/3/23	Race Office/Track Rule Violation	\$100			



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HP-2023-2987	6/8/23	BRETT H. WILFONG	SB	5/11/23	Medication/Drug Violation (Equine)	\$500			
HP-2023-2988	6/8/23	JORDAN M. ROSS	SB	6/3/23	Whip Violation	\$300			
HP-2023-2989	6/9/23	BRADLEY K. FERGUSON	SB	6/7/23	Riding/Driving Infraction Violation	\$200			
HP-2023-2990	6/9/23	JORDAN M. ROSS	SB	6/7/23	Whip Violation	\$300			
HP-2023-2991	6/10/23	LOGAN A. LONEY	SB	6/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-2992	6/13/23	ROBERT S. TAYLOR	SB	6/8/23	Riding/Driving Infraction Violation	\$50			
IG-2023-2993	5/19/23	JULIE C. SEBASTIAN		5/19/23	Miscellaneous Ruling	\$0			
IG-2023-2994	6/16/23	OSCAR MACIAS	QH	6/15/23	Whip Violation	\$250			
IG-2023-2995	6/16/23	ROMAN A. CRUZ-JAIME	QH	6/15/23	Whip Violation	\$250			
IG-2023-2996	6/16/23	ORIEL A. CHAVEZ		6/15/23	Conduct/Behavior	\$100			
HP-2023-2997	6/17/23	JORDAN M. ROSS	SB	6/14/23	Whip Violation	\$0			
HP-2023-2998	6/17/23	MICHAEL G. PETERSON	SB	6/16/23	Whip Violation	\$200			
HP-2023-2999	6/17/23	RICHARD L. MACOMBER JR	SB	6/15/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3000	6/14/23	BOBBY A. BROWER	SB	3/14/23	License Violation	\$0			
IG-2023-3001	6/20/23	ROLANDO DEL RIO PINA	QH	6/15/23	Whip Violation	\$250			
IG-2023-3002	6/21/23	JEFF L. ENGLER		6/17/23	Conduct/Behavior	\$250			
HP-2023-3003	6/20/23	ATLEE E. BENDER	SB	6/17/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3004	6/23/23	CASE A. BATESON	SB	6/20/23	Conduct/Behavior	\$100			



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HP-2023-3005	6/23/23	ANTHONY D. SWARTZENTRUBER	SB	6/22/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3006	6/23/23	LEWAYNE L. MILLER	SB	6/22/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3007	6/23/23	HENRY GRABER JR	SB	6/22/23	Trainer Responsibility	\$200			
HP-2023-3008	6/24/23	JULIE N. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3009	6/24/23	GREGORY M. KAIN	SB	6/24/23	License Violation	\$0	6/24/23	7/13/23	20
HP-2023-3010	6/28/23	RICHARD C. PLANO	SB	6/27/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3011	6/30/23	OSCAR MACIAS	QH	6/27/23	Riding/Driving Infraction Violation	\$0	7/6/23	7/6/23	1
HP-2023-3012	7/1/23	HOMER J. HOCHSTETLER	SB	6/21/23	Conduct/Behavior	\$500			
HP-2023-3013	7/1/23	TREVOR G. SMITH	SB	6/27/23	Riding/Driving Infraction Violation	\$250			
HP-2023-3014	7/1/23	CASE A. BATESON	SB	6/29/23	Whip Violation	\$200			
HP-2023-3015	7/4/23	JORDAN M. ROSS		6/30/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3016	7/5/23	GERMAN RODRIGUEZ	QH	7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3018	7/5/23	JUAN D. MARQUEZ		7/1/23	License Violation	\$0	7/5/23	8/7/23	34
IG-2023-3019	7/5/23	ALBERTO BURGOS	TB	6/29/23	Riding/Driving Infraction Violation	\$0	7/12/23	7/12/23	1
IG-2023-3020	7/3/23	FRANCISCO J. QUINTERO	QH	6/29/23	Whip Violation	\$250			
IG-2023-3021	7/3/23	SHANLEY L. JACKSON	QH	6/29/23	Whip Violation	\$250			
IG-2023-3022	7/6/23	CALEB L. LONGWORTH		7/3/23	Medication/Drug/Alcohol Violation (Human)	\$100			



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IG-2023-3023	7/6/23	LUZ D. MARTINEZ	QH	7/3/23	Whip Violation	\$500			
IG-2023-3024	7/7/23	GIOVANI VAZQUEZ-GOMEZ	QH	7/6/23	Whip Violation	\$500	7/13/23	7/18/23	3*
IG-2023-3025	7/8/23	CESAR G. GARCIA-ESQUEDA	QH	7/7/23	Whip Violation	\$500			
HP-2023-3026	7/8/23	DALE P. HITEMAN	SB	6/1/23	Conduct/Behavior	\$1,500	7/12/23	8/10/23	30
HP-2023-3027	7/7/23	DONALD C. COY	SB	7/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3028	7/7/23	WILBUR D. SCHWARTZ	SB	7/6/23	Whip Violation	\$200			
HP-2023-3029	7/8/23	KYLE J. WILFONG	SB	7/6/23	Whip Violation	\$200			
HP-2023-3030	7/8/23	MELISSA S. ESSIG	SB	7/7/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3031	7/11/23	PHILIP L. EASH	SB	7/6/23	Conduct/Behavior	\$500			
IG-2023-3032	7/13/23	OSCAR MACIAS	QH	7/11/23	Whip Violation	\$500			
IG-2023-3033	7/13/23	EDGAR A. DIAZ	QH	7/12/23	Whip Violation	\$250			
HP-2023-3034	7/13/23	JULIE N. KAIN	SB	6/24/23	Conduct/Behavior	\$500			
IG-2023-3035	7/13/23	CARLOS CONDOR JR		7/8/23	Medication/Drug/Alcohol Violation (Human)	\$100			
HP-2023-3036	7/14/23	JEFFREY EDWARDS	SB	7/12/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3037	7/14/23	LEWAYNE MILLER	SB	7/12/23	Whip Violation	\$200			
HP-2023-3038	7/15/23	DONALD J. EASH	SB	7/13/23	Whip Violation	\$500			
HP-2023-3039	7/21/23	TRENT P. STOHLER	SB	6/17/23	Medication/Drug Violation (Equine)	\$1,000			
IG-2023-3040	7/21/23	FRANCISCO GARCIA	TB	7/19/23	Riding/Driving Infraction Violation	\$0			



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HP-2023-3041	7/20/23	JORDAN M. ROSS	SB	7/14/23	Whip Violation	\$0	7/27/23	7/29/23	3
HP-2023-3042	7/20/23	LOUIS P. GRABER	SB	4/15/23	Conduct/Behavior	\$500			
HP-2023-3043	7/20/23	TYLER A. MILLER	SB	7/18/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3044	7/20/23	MICHAEL D. KNICLEY	SB	7/18/23	Riding/Driving Infraction Violation	\$300			
HP-2023-3045	7/20/23	ALVIN R. MILLER	SB	7/19/23	Trainer Responsibility	\$200			
HP-2023-3046	7/21/23	LESBIA L. PEREZDELUNA	SB	7/20/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3047	7/22/23	JARED T. SEEKMAN	SB	7/20/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3048	7/22/23	JARED T. SEEKMAN	SB	7/21/23	Whip Violation	\$200			
IG-2023-3049	7/24/23	GERMARIUS A. O'NEAL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3050	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$0	8/1/23	8/3/23	3
IG-2023-3051	7/24/23	LUZ D. MARTINEZ	QH	7/22/23	Whip Violation		8/1/23	8/3/23	3
IG-2023-3052	7/24/23	DEXTAVIOUS I. MITCHELL	QH	7/22/23	Whip Violation	\$500			
IG-2023-3053	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/5/23	8/9/23	3*
IG-2023-3054	7/24/23	CESAR G. GARCIA-ESQUEDA	QH	7/22/23	Whip Violation	\$500	8/10/23	8/15/23	3*
HP-2023-3055	7/27/23	MARVIN LUNA	SB	7/25/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3056	7/27/23	KYLE J. WILFONG	SB	7/25/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3057	7/27/23	JORDAN M. ROSS	SB	7/26/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3058	7/28/23	MELISSA S. ESSIG	SB	7/27/23	Trainer Responsibility	\$200			



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HP-2023-3059	7/28/23	JOHN J. DELONG	SB	7/21/23	Whip Violation	\$300			
IG-2023-3060	7/31/23	SHELBY M. WEINTRAUT		7/22/23	Conduct/Behavior	\$250			
IG-2023-3061	8/1/23	FRED L. JACKSON	TB	8/1/23	Miscellaneous Ruling	\$0			
HP-2023-3062	8/3/23	JOHN J. DELONG	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3063	8/3/23	JARED D. FINN	SB	8/1/23	Whip Violation	\$200			
HP-2023-3064	8/4/23	BRANDON L. BATES	SB	8/1/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3065	8/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	8/2/23	Whip Violation	\$500	8/15/23	8/17/23	3
IG-2023-3066	8/8/23	ERIK N. ESQUEDA	QH	8/3/23	Whip Violation	\$250			
HP-2023-3067	8/8/23	BRADLEY K. FERGUSON	SB	8/4/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3068	8/12/23	CASE A. BATESON	SB	8/10/23	Whip Violation	\$300			
HP-2023-3069	8/11/23	KENNETH M. RUCKER	SB	8/11/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3070	8/12/23	ATLEE E. BENDER	SB	8/11/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3071	8/12/23	ERIK N. ESQUEDA	QH	8/10/23	Whip Violation	\$500			
IG-2023-3072	8/12/23	JAVIER TAVARES	QH	8/11/23	Whip Violation	\$250			
IG-2023-3073	8/12/23	ANTONIO RODRIGUEZ	QH	8/11/23	Whip Violation	\$250			
HP-2023-3074	8/15/23	BRETT A. MILLER	SB	8/11/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3075	8/16/23	DEXTAVIOUS I. MITCHELL	QH	8/15/23	Whip Violation	\$500	8/23/23	8/26/23	3*
HP-2023-3076	8/16/23	ATLEE E. BENDER	SB	8/15/23	Riding/Driving Infraction Violation	\$50			



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HP-2023-3077	8/16/23	JOEY D. PUTNAM	SB	8/15/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3078	8/18/23	ROBERT S. TAYLOR	SB	8/16/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3079	8/18/23	ROBERT S. TAYLOR	SB	8/17/23	Whip Violation	\$200			
HP-2023-3080	8/19/23	JAY D. CROSS	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3081	8/18/23	MARTIN D. MUNOZ	QH	8/16/23	Whip Violation	\$250			
IG-2023-3082	8/18/23	AGUSTIN F. GOMEZ SR	QH	8/16/23	Whip Violation	\$250			
IG-2023-3083	8/22/23	FRANCISCO J. QUINTERO	QH	8/19/23	Whip Violation	\$500			
IG-2023-3084	8/24/23	BILLY E. BIRKETT	TB	8/2/23	Conduct/Behavior	\$500			
IG-2023-3085	8/25/23	CADEN ARTHUR		8/25/23	Miscellaneous Ruling	\$0			
HP-2023-3086	8/23/23	JARED D. FINN	SB	8/18/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3087	8/23/23	WALTER E. HAYNES JR	SB	8/19/23	Whip Violation	\$200			
HP-2023-3088	8/23/23	BRADLEY K. FERGUSON	SB	8/22/23	Whip Violation	\$200			
IG-2023-3089	8/25/23	DAVID HUEBNER		7/28/23	License Violation	\$0			
IG-2023-3090	8/25/23	JOSEPH DALLAO		7/28/23	License Violation	\$0			
IG-2023-3091	8/25/23	CRISTIAN A. TORRES		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3092	8/25/23	PAUL CHENG		7/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3093	8/25/23	TOMMY PIWONSKI		7/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3094	8/25/23	SEAN W. DENNY		7/28/23	License Violation	\$0	8/25/23	9/5/23	12



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IG-2023-3096	8/25/23	VICTOR M. LEBRON		8/9/23	License Violation	\$0			
IG-2023-3097	8/25/23	BEN L. DELONG		5/17/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3098	8/25/23	GUSTAVO J. DELGADO JR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3099	8/25/23	GUSTAVO DELGADO SR		7/14/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3101	8/25/23	ROBERTO BEVILACQUA		5/28/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3102	8/25/23	SAEED AL QASSIMI		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3103	8/25/23	CARSON N. MCCORD		5/30/23	License Violation	\$0			
IG-2023-3104	8/25/23	RONALD MCKAY		7/10/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3105	8/25/23	JOHN P. WADE		5/31/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3106	8/25/23	DAVID MOULTON		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3107	8/25/23	MAX J. FRANKLIN		8/25/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3108	8/25/23	DANIEL CRONIN		7/7/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3110	8/25/23	IRAD ORTIZ JR		6/6/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3111	8/25/23	LUIS A. ALVAREZ		7/4/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3113	8/25/23	KLINT KEESLING		7/3/23	License Violation	\$0	8/25/23	11/22/23	90
IG-2023-3114	8/25/23	CHRISTOPHER M. LANDEROS		6/19/23	License Violation	\$0			
IG-2023-3115	8/26/23	RAY A. GREEN		8/26/23	Miscellaneous Ruling	\$0			
HP-2023-3116	8/29/23	PAUL C. HAUSCHILD JR	SB	8/29/23	License Violation	\$0	8/29/23		



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HP-2023-3117	8/29/23	DEREK HARMON	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3118	8/29/23	REY J. RODRIGUEZ	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3119	8/29/23	JESSICA D. GRAY	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3120	8/29/23	RYAN MOREFIELD	SB	8/29/23	License Violation	\$0	8/29/23	9/13/23	16
HP-2023-3121	8/29/23	SCOTT R. PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/2/23	5
HP-2023-3122	8/29/23	ARLANDO PRICE	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3123	8/29/23	STEVE T. FORTUNE	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3124	8/29/23	LARRY B. STEMEN	SB	8/29/23	License Violation	\$0	8/29/23	9/15/23	18
HP-2023-3125	8/29/23	LAQUINTON SHAVERS	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3126	8/29/23	JAMES D. BROWN	SB	8/29/23	License Violation	\$0	8/29/23		
HP-2023-3127	8/29/23	ROSHUN D. TRIGG	SB	8/29/23	License Violation	\$0	8/29/23	9/6/23	9
HP-2023-3128	8/30/23	DONALD C. COY	SB	8/25/23	Whip Violation	\$200			
HP-2023-3129	9/1/23	BRANDON L. BATES	SB	8/31/23	Conduct/Behavior	\$0	9/1/23	9/30/23	30
HP-2023-3130	9/2/23	KYLE A. HUSTED	SB	8/29/23	Riding/Driving Infraction Violation	\$150			
HP-2023-3131	9/2/23	KYLE A. HUSTED	SB	9/1/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3132	9/2/23	PATRIZIO ANCORA	SB	8/30/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3133	9/2/23	MICHAEL J. OOSTING	SB	9/1/23	Whip Violation	\$200			
IG-2023-3134	9/7/23	CESAR G. GARCIA-ESQUEDA	QH	9/5/23	Whip Violation	\$500			



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IG-2023-3135	9/7/23	FRANCISCO J. QUINTERO	QH	9/5/23	Whip Violation	\$0			0*
HP-2023-3136	9/7/23	JORDAN M. ROSS	SB	9/5/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3137	9/8/23	CONCEPCION TORRES		9/8/23	Miscellaneous Ruling	\$0			
HP-2023-3138	9/9/23	JARED D. FINN	SB	8/30/23	Whip Violation	\$300			
HP-2023-3139	9/9/23	ATLEE E. BENDER	SB	9/6/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3140	9/12/23	MARVIN LUNA	SB	9/9/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3141	9/12/23	JOHN J. DELONG	SB	9/9/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3142	9/13/23	DON R. TIGER II	SB	9/13/23	License Violation	\$0	9/13/23	9/20/23	8
HP-2023-3143	9/13/23	JOHN E. PITTS	SB	9/13/23	License Violation	\$0	9/13/23	9/19/23	7
HP-2023-3144	9/13/23	PAULA C. WELLWOOD	SB	9/13/23	License Violation	\$0	9/13/23	9/29/23	17
IG-2023-3145	9/13/23	CESAR G. GARCIA-ESQUEDA	QH	9/12/23	Whip Violation	\$500	9/19/23	9/21/23	3
HP-2023-3146	9/15/23	MATTHEW W. KRUEGER	SB	9/14/23	Whip Violation	\$200			
HP-2023-3147	9/16/23	ANDREW J. MCCARTHY	SB	9/15/23	Whip Violation	\$300			
HP-2023-3148	9/16/23	JORDAN M. ROSS	SB	9/14/23	Riding/Driving Infraction Violation	\$100			
IG-2023-3149	9/15/23	MATILDA M. BURNHAM	TB	9/12/23	Riding/Driving Infraction Violation	\$0	9/21/23	9/21/23	1
IG-2023-3150	9/20/23	NICOLE R. PHELPS		9/20/23	Miscellaneous Ruling	\$0			
HP-2023-3151	9/20/23	CARSON M. CONRAD	SB	9/19/23	Whip Violation	\$300			
HP-2023-3152	9/20/23	PETER M. WRENN	SB	9/19/23	Riding/Driving Infraction Violation	\$50			



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HP-2023-3153	9/20/23	JOEY D. PUTNAM	SB	9/19/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3154	9/22/23	DEVON J. THARPS	SB	9/21/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3155	9/23/23	MICHAEL J. OOSTING	SB	9/22/23	Whip Violation	\$300			
HP-2023-3156	9/27/23	MARVIN LUNA	SB	9/26/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3157	9/29/23	TRAVIS T. ROBINSON	SB	9/27/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3158	9/29/23	DALE P. HITEMAN	SB	9/28/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3159	9/30/23	MARK E. P'POOL	SB	9/29/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3160	9/29/23	GIOVANI VAZQUEZ-GOMEZ	QH	9/28/23	Whip Violation	\$500	10/5/23	10/10/23	3*
IG-2023-3161	9/28/23	JOSE L. DAVILA	QH	9/26/23	Whip Violation	\$250			
IG-2023-3162	9/28/23	JOHN A. O'MEARA		9/28/23	Miscellaneous Ruling	\$0			
HP-2023-3163	10/3/23	TRACE A. TETRICK	SB	9/29/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3164	10/3/23	JOHN J. DELONG	SB	9/30/23	Riding/Driving Infraction Violation	\$100			
IG-2023-3165	10/4/23	BOB BASS		10/4/23	Miscellaneous Ruling	\$0			
IG-2023-3166	10/4/23	JOSE L. DAVILA	QH	10/3/23	Whip Violation	\$500			
HP-2023-3167	10/4/23	JOHN J. DELONG	SB	9/29/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3168	10/5/23	JOSE L. DAVILA	QH	10/4/23	Whip Violation	\$0	10/11/23	10/16/23	3*
IG-2023-3169	10/5/23	ARON H. HUNT	QH	10/4/23	Whip Violation	\$250			
HP-2023-3170	10/5/23	DALE P. HITEMAN	SB	10/4/23	Conduct/Behavior	\$0			



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HP-2023-3171	10/6/23	LOGAN A. LONEY	SB	10/4/23	Whip Violation	\$200			
HP-2023-3172	10/6/23	TREVOR G. SMITH	SB	10/4/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3173	10/7/23	MICHAEL J. OOSTING	SB	10/6/23	Whip Violation	\$200			
IG-2023-3174	10/9/23	FERNANDO MORIN	QH	10/7/23	Whip Violation	\$250			
IG-2023-3175	10/9/23	HUGO MACIAS	QH	10/7/23	Whip Violation	\$250			
IG-2023-3176	10/11/23	ARON H. HUNT	QH	10/10/23	Whip Violation	\$500			
HP-2023-3177	10/11/23	JOEY D. PUTNAM	SB	10/10/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3178	10/13/23	ATLEE E. BENDER	SB	10/11/23	Whip Violation	\$200			
HP-2023-3179	10/13/23	DOUGLAS J. RIDEOUT	SB	10/12/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3180	10/14/23	LUKE R. PLANO	SB	10/10/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3181	10/14/23	RONNIE H. WRENN JR	SB	10/13/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3182	10/14/23	TABATHA M. DELONG	SB	10/13/23	Miscellaneous Ruling	\$100			
HP-2023-3183	10/16/23	NEIL E. COLEMAN	SB	10/14/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3184	10/18/23	TRACE A. TETRICK	SB	10/13/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3185	10/20/23	RONNIE H. WRENN JR	SB	10/13/23	Whip Violation	\$200			
HP-2023-3186	10/21/23	JORDAN M. ROSS	SB	10/19/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3187	10/23/23	DEVON J. THARPS	SB	10/12/23	Riding/Driving Infraction Violation	\$50			
HP-2023-3188	10/23/23	JORDAN M. ROSS	SB	10/20/23	Riding/Driving Infraction Violation	\$100			



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HP-2023-3189	10/23/23	DAVID S. MILLER	SB	10/20/23	Whip Violation	\$200			
HP-2023-3190	10/23/23	ANDREW J. MCCARTHY	SB	10/20/23	Whip Violation	\$300			
HP-2023-3191	10/23/23	AKE SVANSTEDT	SB	10/21/23	Riding/Driving Infraction Violation	\$50			
IG-2023-3192	10/25/23	BRANDON P. WELLS		10/25/23	License Violation	\$0	10/25/23	1/1/24	69
HP-2023-3193	10/26/23	JOEY D. PUTNAM	SB	10/21/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3194	10/26/23	DOUGLAS R. MCNAIR	SB	10/21/23	Riding/Driving Infraction Violation	\$200			
IG-2023-3195	10/26/23	ARON H. HUNT	QH	10/25/23	Whip Violation	\$0	11/1/23	11/7/23	3*
HP-2023-3196	10/31/23	SCOTT NANCE	SB	10/20/23	Trainer Responsibility	\$100			
HP-2023-3197	10/31/23	JORDAN M. ROSS	SB	10/25/23	Whip Violation	\$300			
HP-2023-3198	10/31/23	ROBERT S. TAYLOR	SB	10/27/23	Riding/Driving Infraction Violation	\$100			
HP-2023-3199	10/31/23	JASON E. ALLEN	SB	10/31/23	License Violation	\$0	10/31/23		
HP-2023-3200	11/2/23	JORDAN M. ROSS	SB	11/27/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3201	11/2/23	MARVIN LUNA	SB	11/1/23	Riding/Driving Infraction Violation	\$200			
HP-2023-3202	11/4/23	YANNICK GINGRAS	SB	10/28/23	Whip Violation	\$500			
IG-2023-3203	11/8/23	GIOVANI VAZQUEZ-GOMEZ	QH	11/2/23	Riding/Driving Infraction Violation	\$0	11/15/23	11/17/23	3
IG-2023-3204	11/10/23	JOSE L. DAVILA	QH	11/7/23	Whip Violation	\$500	11/16/23	4/8/24	3*
IG-2023-3205	11/10/23	ISIDRO I. BANUELOS	QH	11/2/23	Whip Violation	\$250			
IG-2023-3206	10/30/23	JOHN BYRD III	QH	10/28/23	Whip Violation	\$250			



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IG-2023-3207	10/28/23	GERALD A. CRAWFORD		10/28/23	Miscellaneous Ruling	\$0			
HP-2023-3208	11/11/23	MICHAEL G. PETERSON	SB	11/8/23	Whip Violation	\$200			
HP-2023-3209	11/11/23	MARVIN LUNA	SB	11/10/23	Whip Violation	\$200			
IG-2023-3210	11/16/23	JOSE M. RUIZ	QH	11/14/23	Riding/Driving Infraction Violation	\$0	4/8/24	4/17/24	3*
HP-2023-3211	11/18/23	MATTHEW W. KRUEGER	SB	11/16/23	Riding/Driving Infraction Violation	\$100			