

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 10/16/2023  
FORM APPROVED  
OMB NO. 0938-039

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER  155760		X2) MULTIPLE CONSTRUCTION A. BUILDING 03 B. WING		X3) DATE SURVEY COMPLETED 09/28/2023	
NAME OF PROVIDER OR SUPPLIER  WATERFORD CROSSING				STREET ADDRESS, CITY, STATE, ZIP COD 1332 WATERFORD CIR GOSHEN, IN 46526			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCY (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)			ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
K 0000  Bldg. 03	<p>An Initial Preoccupancy Life Safety Code Survey for a wing addition Memory Care Unit was conducted by the Indiana Department of Health in accordance with 42 CFR 483.90(a).</p> <p>Survey Date: 09/28/23</p> <p>Facility Number: 011150 Provider Number: 155760 AIM Number: 200831020</p> <p>At this Initial Preoccupancy Life Safety Code survey, Waterford Crossing was found not in compliance with Requirements for Participation in Medicare/Medicaid, 42 CFR Subpart 483.90(a), Life Safety from Fire and the 2012 edition of the National Fire Protection Association (NFPA) 101, Life Safety Code (LSC), Chapter 18, New Health Care Occupancies and 410 IAC 16.2.</p> <p>The 2005 original building and the new 2023 Memory Care Unit is a one-story Building determined to be of Type V (111) construction and was fully sprinklered. The facility has a monitored fire alarm system with hard-wired smoke detectors in the corridors, areas open to the corridors, and in resident rooms. The original building is protected by a 75-kw natural gas generator and the new wing is protected by a 25-kw natural gas generator both are EES Type 2. The facility has a capacity of 87 and had a census of 64 at the time of this survey.</p> <p>All areas where the residents have customary access were sprinklered. All areas providing facility services were sprinklered.</p>			K 0000	/b> /bpreparation>		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Judy Plantinga

Executive Director

10/09/2023

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K 0372 SS=E Bldg. 03	<p>Quality Review completed on 10/02/23</p> <p>NFPA 101 Subdivision of Building Spaces - Smoke Barrie Subdivision of Building Spaces - Smoke Barrier Construction 2012 NEW Smoke barriers shall be constructed to provide at least a one hour fire resistance rating and constructed in accordance with 8.5. Smoke barriers shall be permitted to terminate at an atrium wall. Smoke dampers are not required in duct penetrations of fully ducted HVAC systems. 18.3.7.3, 18.3.7.4, 18.3.7.5, 8.3 Describe any mechanical smoke control system in REMARKS. Based on observation and interview, the facility failed to ensure penetrations through 1 of 3 smoke barrier walls smoke barriers were protected to maintain the smoke resistance of each smoke barrier. LSC 18.3.7.3 states any required smoke barrier shall be constructed in accordance with Section 8.5 and shall have a minimum 1-hour fire resistance rating. LSC Section 8.5.2.1 requires smoke barriers to be continuous from an outside wall to an outside wall, from a floor to a floor, or from a smoke barrier to a smoke barrier, or by use of a combination thereof. 8.5.6.2 requires penetrations for cables, cable trays, conduits, pipes, tubes, vents, wires, and similar items to accommodate electrical, mechanical, plumbing, and communications systems that pass through a wall, floor, or floor/ceiling assembly constructed as a smoke barrier, or through the ceiling membrane of the roof/ceiling of a smoke barrier assembly, shall be protected by a system or material capable of restricting the movement of smoke. This deficient practice could affect staff</p>			K 0372	<p>1 1. No negative outcomes for this alleged deficient practice to residents or staff. 2 2. Licensed electrician in on September 29, 2023. The five unsealed penetrations around pipes and wires in the drop ceiling of Memory care were sealed around pipes and wires. 3 3. This is the initial survey for preparation of opening of new Memory care addition. 4 4. This was checked by maintenance to ensure it was completed correctly this will be on regularly scheduled checks for any issues. 5 5. 09/29/2023 completion</p>		09/29/2023

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K 0915 SS=E Bldg. 03	<p>and at least 30 residents in one two smoke compartments.</p> <p>Findings include:</p> <p>Based on observation with the Maintenance Director and the Administrator on 09/28/23 at 12:20 p.m., above the drop ceiling of the Memory Care smoke wall there were five unsealed penetrations around pipes and wires. Based on interview at the time of observation, the Maintenance Director agreed there were unsealed penetrations in the smoke barrier wall.</p> <p>The finding was reviewed with the Maintenance Director and the Administrator during the exit conference.</p> <p>3.1-19(b)</p> <p>NFPA 101 Electrical Systems - Essential Electric Syste Electrical Systems - Essential Electric System Categories *Critical care rooms (Category 1) in which electrical system failure is likely to cause major injury or death of patients, including all rooms where electric life support equipment is required, are served by a Type 1 EES. *General care rooms (Category 2) in which electrical system failure is likely to cause minor injury to patients (Category 2) are served by a Type 1 or Type 2 EES. *Basic care rooms (Category 3) in which electrical system failure is not likely to cause injury to patients and rooms other than patient care rooms are not required to be served by an EES. Type 3 EES life safety branch has an alternate source of power that will be effective for 1-1/2 hours.</p>						

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	<p>3.3.138, 6.3.2.2.10, 6.6.2.2.2, 6.6.3.1.1 (NFPA 99), TIA 12-3</p> <p>Based on observation and interview, the facility failed ensure the Fire Control Panel (FCP) was connected to 1 of 1 Life Safety branches. NFPA 99, 2012 edition 6.5.2.2.2.1 states the life safety branch shall supply power for lighting, receptacles, and equipment as follows:</p> <p>(1) Illumination of means of egress in accordance with NFPA 101, Life Safety Code</p> <p>(2) Exit signs and exit directional signs in accordance with NFPA 101, Life Safety Code</p> <p>(3) Alarm and alerting systems, including the following:</p> <p>(a) Fire alarms.</p> <p>(b) Alarms required for systems used for the piping of nonflammable medical gases as specified in Chapter 5.</p> <p>(4)*Communications systems, where used for issuing instructions during emergency conditions.</p> <p>(5) Sufficient lighting in dining and recreation areas to provide illumination to exit ways of a minimum of 5 ft-candles.</p> <p>(6) Task illumination and select receptacles at the generator set location.</p> <p>(7) Elevator cab lighting, control, communications, and signal systems.</p> <p>6.5.2.2.2.2 states no functions, other than those listed in 6.5.2.2.2.1(1) through (7), shall be connected to the life safety.</p> <p>This deficient practice could affect 10 residents in the Memory Care Wing.</p> <p>Finding include:</p> <p>Based on observation with the Maintenance Director and the Administrator on 09/28/23 at 11:20 a.m., there were two electrical panels from the new generator, panels X-3 and X-4. The Life Safety branch (panel X-4) contained all Life Safety</p>			K 0915	<p>1 1. No negative outcomes for this alleged deficient practice.</p> <p>2 2. No residents or staff had negative outcomes from alleged deficient practice.</p> <p>3 3. Licensed electrician in on September 29, 2023. A licensed electrician moved the FCP breaker from X-3 equipment branch, to the X-4 life safety breaker box.</p> <p>4 4. The maintenance director checked to ensure FCP breaker was on the life safety box X-4. This will be on regularly scheduled checks for any issues.</p> <p>5 5. 09/29/2023 completion.</p>		09/29/2023

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	<p>items. The equipment branch (panel X-3) contained equipment items with exception of the FCP breaker which belongs on the life safety branch. Based on interview at the times of observation, the Maintenance Director agreed the FCP was connected to the equipment branch and not the Life Safety branch.</p> <p>This finding was reviewed with the Maintenance Director and Administrator during the exit conference.</p> <p>3.1-19(b)</p>						