

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 09/14/2022

FORM APPROVED

OMB NO. 0938-039

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G136	X2) MULTIPLE CONSTRUCTION A. BUILDING -- _____ B. WING _____	X3) DATE SURVEY COMPLETED 08/31/2022
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NAME OF PROVIDER OR SUPPLIER  RES CARE COMMUNITY ALTERNATIVES SE IN	STREET ADDRESS, CITY, STATE, ZIP COD 427 W LONGEST ST PAOLI, IN 47454
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIE (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE
E 0000  Bldg. --	<p>An Emergency Preparedness Survey was conducted by the Indiana Department of Health in accordance with 42 CFR 483.475.</p> <p>Survey Date: 08/31/22</p> <p>Facility Number: 000673 Provider Number: 15G136 AIM Number: 100248740</p> <p>At this Emergency Preparedness survey, Res Care Community Alternatives SE IN was found in compliance with Emergency Preparedness Requirements for Medicare and Medicaid Participating Providers and Suppliers, 42 CFR 483.475.</p> <p>The facility has 8 certified beds. At the time of the survey, the census was 8.</p> <p>Quality Review completed on 09/06/22</p>	E 0000		
K 0000  Bldg. 01	<p>A Life Safety Code Recertification Survey was conducted by the Indiana Department of Health in accordance with 42 CFR 483.470(j).</p> <p>Survey Date: 08/31/22</p> <p>Facility Number: 000673 Provider Number: 15G136 AIM Number: 100248740</p> <p>At this Life Safety Code survey, Res Care Community Alternatives SE IN was found not in</p>	K 0000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosed days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K S100 Bldg. 01	<p>compliance with Requirements for Participation in Medicaid, 42 CFR Subpart 483.470(j), Life Safety from Fire and the 2012 edition of the National Fire Protection Association (NFPA) 101, Life Safety Code (LSC), Chapter 33, Existing Residential Board and Care Occupancies.</p> <p>This one story facility with a basement was not sprinklered. This facility has a fire alarm system with hard wired smoke detection on both levels including the corridors, common living areas, the basement and all client sleeping rooms. The facility has a capacity of 8 and had a census of 8 at the time of this survey.</p> <p>Calculation of the Evacuation Difficulty Score (E-Score) using NFPA 101A, Alternative Approaches to Life Safety, Chapter 6, rated the facility Prompt with an E-Score of 0.52.</p> <p>Quality Review completed on 09/06/22</p> <p>NFPA 101 General Requirements - Other General Requirements - Other 2012 EXISTING List in the REMARKS section any LSC Section 33.1 or 33.2 General Requirements that are not addressed by the provided K-tags, but are deficient. This information, along with the applicable Life Safety Code or NFPA standard citation, should be included on Form CMS-2567.</p> <p>1. Based on observation and interview, the facility failed to ensure 1 of 2 bathroom exhaust vent fans was free of lint/dirt. NFPA 101 at 33.1.1.3 refers to Chapter 4, General. 4.5.8 at Maintenance states whenever or wherever an device, equipment, system, condition, arrangement, level of protection, or any other</p>	K S100	To correct the deficient practice the emergency lights will be repaired, and the bathroom vent will be cleaned. All staff responsible for the maintenance of the home will be re-trained to ensure all LSC features are	09/30/2022			

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	<p>feature is required for compliance with the provisions of this Code, such device, equipment, system, condition, arrangement, level of protection, or other feature shall thereafter be maintained, unless the Code exempts such maintenance. This deficient practice could affect one client.</p> <p>Findings include:</p> <p>Based on observations on 08/31/22 between 9:45 a.m. and 11:30 a.m. during a tour of the facility with the Area Supervisor and Direct Support Lead, bathroom #1 (near separation door to dining room) exhaust vent fan was substantially filled with lint/dirt, which could cause a fire if not cleaned on a regular basis. Based on interview at the time of observation, the Area Supervisor and Direct Support Lead agreed there was a substantial amount of lint/dirt built up in the bathroom #1 exhaust vent fan.</p> <p>This finding was reviewed with the Area Supervisor and Direct Support Lead during the exit conference.</p> <p>2. Based on record review, observation and interview; the facility failed to ensure 1 of 2 interior emergency lights were tested, maintained, and the records of the testing maintained. LSC 33.1.1.3 states the provisions of Chapter 4, General, shall apply. LSC 4.6.12.3 states existing life safety features obvious to the public, if not required by the Code, shall either be maintained or removed. LSC 7.9.3.1.1 testing of required emergency lighting systems shall be permitted to be conducted as follows: (1) Functional testing shall be conducted monthly, with a minimum of 3 weeks and a maximum of 5 weeks between tests, for not less than 30</p>		functional. Ongoing monitoring will be achieved through a monthly LSC inspection report to be completed by the AS.	

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K S511  Bldg. 01	<p>seconds.</p> <p>(2) The test interval shall be permitted to be extended beyond 30 days with approval of the authority having jurisdiction.</p> <p>(3) Functional testing shall be conducted annually for a minimum of 1 ½ hours if the emergency lighting is battery powered.</p> <p>(4) The emergency lighting equipment shall be fully operational for the duration of the test.</p> <p>(5) Written records of visual inspections and tests shall be kept by the owner for inspection for the authority having jurisdiction.</p> <p>This deficient practice could affect all occupants in the facility.</p> <p>Findings include:</p> <p>Based on observations on 08/31/22 between 9:45 a.m. and 11:30 a.m. during a tour of the facility with the Area Supervisor and Direct Support Lead, the facility had two battery powered emergency light units. The battery powered emergency light unit in the Living Room was not working when tested. Based on interview at the time of observation, the Area Supervisor and Direct Support Lead confirmed the emergency battery backup light did not work when tested. Based on record review between 9:45 a.m. and 11:30 a.m., the most recent monthly 30 second report was dated 08/01/22.</p> <p>This finding was reviewed with the Area Supervisor and Direct Support Lead during the exit conference.</p> <p>NFPA 101 Utilities - Gas and Electric Utilities - Gas and Electric Equipment using gas or related gas piping complies with NFPA 54, National Fuel Gas</p>			
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	<p>Code, electrical wiring and equipment complies with NFPA 70, National Electric Code.</p> <p>32.2.5.1, 33.2.5.1, 9.1.1, 9.1.2</p> <p>Based on observation and interview, the facility failed to ensure 2 of 6 wet locations were provided with ground fault circuit interrupter (GFCI) protection against electric shock. NFPA 70, NEC 2011 Edition at 210.8 Ground-Fault Circuit-Interrupter Protection for Personnel, states, ground-fault circuit-interruption for personnel shall be provided as required in 210.8(A) through (C). The ground-fault circuit-interrupter shall be installed in a readily accessible location.</p> <p>Informational Note: See 215.9 for ground-fault circuit interrupter protection for personnel on feeders.</p> <p>(B) Other Than Dwelling Units. All 125-volt, single-phase, 15- and 20-ampere receptacles installed in the locations specified in 210.8(B)(1) through (8) shall have ground-fault circuit-interrupter protection for personnel.</p> <p>(1) Bathrooms (2) Kitchens (3) Rooftops (4) Outdoors</p> <p>Exception No. 1 to (3) and (4): Receptacles that are not readily accessible and are supplied by a branch circuit dedicated to electric snow-melting, deicing, or pipeline and vessel heating equipment shall be permitted to be installed in accordance with 426.28 or 427.22, as applicable.</p> <p>Exception No. 2 to (4): In industrial establishments only, where the conditions of maintenance and supervision ensure that only qualified personnel are involved, an assured equipment grounding conductor program as specified in 590.6(B)(2) shall be permitted for only those receptacle outlets used to supply equipment that would</p>	K S511	To correct the deficient practice the malfunctioning GFCI receptacles will be repaired or replaced. All staff responsible for the maintenance of the home will be re-trained to ensure all LSC features are functional. Ongoing monitoring will be achieved through a monthly LSC inspection report to be completed by the AS.	09/30/2022

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	<p>create a greater hazard if power is interrupted or having a design that is not compatible with GFCI protection.</p> <p>(5) Sinks - where receptacles are installed within 1.8 m (6 ft.) of the outside edge of the sink. Exception No. 1 to (5): In industrial laboratories, receptacles used to supply equipment where removal of power would introduce a greater hazard shall be permitted to be installed without GFCI protection.</p> <p>Exception No. 2 to (5): For receptacles located in patient bed locations of general care or critical care areas of health care facilities other than those covered under 210.8(B)(1), GFCI protection shall not be required.</p> <p>(6) Indoor wet locations</p> <p>(7) Locker rooms with associated showering facilities</p> <p>(8) Garages, service bays, and similar areas where electrical diagnostic equipment, electrical hand tools. NFPA 70, 517-20 Wet Locations, requires all receptacles and fixed equipment within the area of the wet location to have ground-fault circuit interrupter (GFCI) protection. Note: Moisture can reduce the contact resistance of the body, and electrical insulation is more subject to failure. This deficient practice could affect all clients and staff.</p> <p>Findings include:</p> <p>Based on observations on 08/31/22 between 9:45 a.m. and 11:30 a.m. during a tour of the facility with the Area Supervisor and Direct Support Lead, the following was noted:</p> <p>a. Three of four electric receptacles within five feet of the kitchen sink were not provided with GFCI protection. When tested with a GFCI tester they did not break the electrical circuit.</p>			

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	<p>b. There was one electric receptacle in bathroom #1 (nearest the door to the dining room) which had a GFCI receptacle. When tested, the GFCI tester showed an open ground.</p> <p>Based on interview at the time of each observation, the Area Supervisor and Direct Support Lead agreed the electric receptacles in the kitchen and bathroom #1 were not provided with proper GFCI protection.</p> <p>This finding was reviewed with the Area Supervisor and Direct Support Lead during the exit conference.</p>				