

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTIONS		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION A. BUILDING B. WING		(X3) DATE SURVEY COMPLETED 04/03/2025	
NAME OF PROVIDER OR SUPPLIER HERITAGE ASSISTED LIVING OF YORKTOWN		STREET ADDRESS, CITY, STATE, ZIP CODE 1400 S PATRIOT DRIVE, YORKTOWN, , 47396					
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES...	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION...	(X5) COMPLETION DATE			
R 0000	INITIAL COMMENTS This visit was for the Investigation of Complaint IN00456210. Complaint IN00456210 - State deficiencies related to the allegations are cited at R246. Survey date: April 3, 2025 Facility number: 014281 Residential Census: 26 These State Residential Findings are cited in accordance with 410 IAC 16.2-5. Quality review completed April 10, 2025.	R 0000	Due to the scope and severity of the citation, we respectfully request desk compliance.				
R 0246	Health Services - Deficiency 410 IAC 16.2-5-4(e)(6) (6) PRN medications may be administered by a qualified medication aide (QMA) only upon authorization by a licensed nurse or physician. The QMA must	R 0246	All residents have the potential to be affected by this alleged deficient practice. All QMAs were educated on PRN medication administration policy	04/25/2025			

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

receive appropriate authorization for each administration of a PRN medication. All contacts with a nurse or physician not on the premises for authorization to administer PRNs shall be documented in the nursing notes indicating the time and date of the contact.

Based on record review and interview, the facility failed to ensure oversight of a QMA (qualified medication aide) when administering PRN (as needed) medication for 3 of 4 residents (Residents B, D, and E), and failed to follow physician's orders regarding administration times for the PRN medication for 1 of 4 residents (Resident E) reviewed for medication administration.

Findings include:

1. Resident B's clinical record was reviewed on 4/3/25 at 10:55 a.m. Diagnoses included Alzheimer's disease, diabetes mellitus type 2, and hypertension.

A current signed physician's order, dated 2/23/24, indicated to administer anti-diarrhea tablet 2 mg (milligram), one tablet after each loose stool. Do not exceed 8 mg in 24 hours.

Review of the MAR (medication administration record) for February 2025, indicated the medication was administered by

No residents were affected by this alleged deficient practice. QMA staff were educated regarding the policy for PRN medication administration.

The DON or designee will audit, daily PRN administrations to ensure there are no PRNs offered without proper notification of a Nurse.

Audit will be performed daily for 4 weeks, then bi-weekly for 2 months, then monthly for 3 months or until 100% compliance is met

Audits will be presented in monthly QA meeting.

a QMA on 2/8/25 at 2:18 p.m. The clinical record lacked documentation regarding nurse's name or verification of contact and authorization.

2. Resident D's clinical record was reviewed on 4/3/25 at 2:11 p.m. Diagnoses included Alzheimer's disease and history of pulmonary embolism.

A current signed physician's order, dated 8/5/24, indicated to administer acetaminophen (to treat pain) 500 mg, two tablets every six hours as needed for pain.

Review of the eMAR (electronic medication administration record) for January to March 2025, indicated the medication was administered by a QMA on 1/2/25 at 7:19 a.m., on 1/2/25 at 10:29 p.m., on 1/3/25 at 8:51 a.m., on 1/6/25 at 2:43 a.m., on 1/7/25 at 4:55 a.m., on 1/8/25 at 6:46 p.m., and on 3/30/25 at 10:45 a.m. The clinical record lacked documentation regarding nurse's name or verification of contact and authorization.

3. Resident E's clinical record was reviewed on 4/3/25 at 3:10 p.m. Diagnoses included adult failure to thrive and rheumatoid arthritis.

A current signed physician's orders, dated 8/5/24, indicated to administer oxycodone-acetaminophen (to

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

treat pain) 5-325 mg, one tablet every six hours as needed for pain. Minimum time: six hours.

Review of the eMAR for January to March 2025, indicated the medication was administered by a QMA on 1/1/25 at 12:11 p.m., on 1/2/25 at 7:16 a.m., on 1/2/25 at 8:27 p.m., on 1/3/25 at 9:37 a.m., on 1/4/25 at 11:46 a.m., on 1/4/25 at 5:37 p.m., 1/5/25 at 6:58 a.m., on 1/5/25 at 1:42 p.m., on 1/6/25 at 2:42 a.m., on 1/6/25 at 11:25 p.m., on 1/7/25 at 6:20 a.m., on 1/8/25 at 8:30 a.m., on 1/8/25 at 8:19 p.m., on 1/10/25 at 9:50 a.m., on 1/11/25 at 1:37 p.m., on 1/11/25 at 9:17 p.m., on 1/12/25 at 2:02 p.m., on 1/12/25 at 7:39 p.m., on 1/14/25 at 3:25 a.m., on 2/3/25 at 9:38 p.m., on 2/9/25 at 12:06 a.m., on 2/9/25 at 5:37 a.m., on 2/9/25 at 12:08 p.m., on 2/28/25 at 3:06 a.m. on 3/4/25 at 2:56 a.m., on 3/5/25 at 2:27 a.m., on 3/14/25 at 3:43 p.m., on 3/15/25 at 3:21 p.m., on 3/17/25 at 2:51 p.m., on 3/20/25 at 9:46 a.m., on 3/20/25 at 3:49 p.m., on 3/21/25 at 2:58 p.m., on 3/22/25 at 10:00 a.m., on 3/22/25 at 2:08 p.m., 3/24/25 at 9:25 a.m., 3/24/25 at 4:32 p.m., on 3/25/25 at 10:05 a.m., on 3/26/25 at 10:02 a.m., and on 3/27/25 at 3:09 a.m. The clinical record lacked documentation regarding nurse's name or verification of contact and authorization.

During review of the eMAR for Resident E, the following was observed:

a. On 1/12/25, QMA 3 administered the medication at 2:02 p.m. and again at 7:39 p.m. The medication was note due to be given until 8:02 p.m., per the minimum time noted in the order of six hours.

b. On 2/9/25, QMA 4 administered the medication at 12:06 a.m. and again at 5:37 a.m. The medication was not due to be given until 6:06 a.m., per the minimum time indicated in the order of six hours.

c. On 3/22/25, QMA 3 administered the medication at 10:00 a.m. and again at 2:08 p.m. The medication was not due to be given until 4:00 p.m., per the minimum time indicated in the order of six hours.

During an interview on 4/3/25 at 3:19 p.m., the DON indicated QMAs should document the name and time the nurse was contacted for authorization to administer a PRN medication. The approving nurse needed to follow up and co-sign the administration.

A current, undated, facility policy titled, "Medication Administration Policy," provided by the DON on 4/3/25 at 1:43 p.m., indicated the following: "...Administration. The "Five

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

Rights" must be followed: Right resident,Right time...."

An undated "Qualified Medication Aide, Scope of Practice," document was retrieved on 4/3/25 from the Indiana Department of Health (IDOH) website and indicated the following: "...The following tasks are within the scope of practice for the QMA unless prohibited by facility policy:....(11) Administer previously ordered pro re nata (PRN) medication only if authorization is obtained from the facility's licensed nurse on duty or on call. If authorization is obtained, the QMA must do the following:...(D) Ensure that the resident's record is cosigned by the licensed nurse who gave permission by the end of the nurse's shift, or if the nurse was on call, by the end of the nurse's next tour of duty...."

This citation relates to complaint IN00456210.

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DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting provided it is determined that other safeguards provide sufficient protection to the patients. (See instructions.)

LABORATORY DIRECTOR'S OR
PROVIDER/SUPPLIER REPRESENTATIVE'S
SIGNATURE

TITLE

(X6) DATE