

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTIONS		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION A. BUILDING B. WING	(X3) DATE SURVEY COMPLETED 04/20/2023
NAME OF PROVIDER OR SUPPLIER AUTUMN GLEN		STREET ADDRESS, CITY, STATE, ZIP CODE 98 NORTH 10TH STREET, GREENCASTLE, , 46135			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES...	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION...	(X5) COMPLETION DATE	
R 0000	INITIAL COMMENTS This visit was for a State Residential Licensure Survey. Survey dates: April 19 and 20, 2023 Facility number: 013322 Residential Census: 48 These State Residential Findings are cited in accordance with 410 IAC 16.2-5. Quality review completed on May 4, 2023.	R 0000			
R 0273	Food and Nutritional Services - Deficiency 410 IAC 16.2-5-5.1(f) (f) All food preparation and serving areas (excluding areas in residents' units) are maintained in accordance with state and local sanitation and safe food handling standards, including 410 IAC 7-24.	R 0273	This plan of correction is submitted as required under State and Federal law. The submission of this Plan of Correction does not constitute an admission on the part of Autumn Glen as to the accuracy of the surveyors' findings or the conclusions drawn therefrom. Submission of this Plan of Correction also does not	04/21/2023	

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

2. During a dining observation, on 4/19/23 at 11:54 a.m., Cook 7 served a resident her plate of food. Cook 7 was holding the plate from the kitchen with her thumb touching the inside surface of the plate. Cook 7 returned to the kitchen and brought out another plate of food for a resident. Cook 7 was holding the plate with her thumb touching the inside surface of the plate. Cook 7 went back into the kitchen and returned with a 3rd plate of food. She touched the inside surface of the plate with her thumb while she served another resident.

During an interview, on 4/20/23 at 11:54 a.m., Cook 8 indicated staff should hold the plate on the bottom with their hands so that they do not touch the surface of the plate.

On 4/20/23 at 12:25 p.m., the Executive Director (ED) provided an undated document, titled, "Meal Preparation," and indicated it was the policy currently being used by the facility. The policy indicated, "...14. ...Avoid handing the eating surface of glasses, dishes, or silverware"

Based on observation, interview, and record review, the facility failed to ensure hair restraints were worn properly in the kitchen food preparation area for 1 of 2 kitchen

constitute an admission that the findings constitute a deficiency or that the scope and severity regarding the deficiency cited are correctly applied. Any changes to the Community's policies and procedures should be considered subsequent remedial measures as that concept is employed in Rule 407 of the Federal Rules of Evidence and any corresponding state rules of civil procedure and should be inadmissible in any proceeding on that basis. The Community submits this plan of correction with the intention that it be inadmissible by any third party in any civil or criminal action against the Community or any employee, agent, officer, director, attorney, or shareholder of the Community or affiliated companies.

- What corrective action(s) will be accomplished for those residents found to have been affected by the deficient practice;
- How the facility will identify other residents having the potential to be affected by the same deficient practice

observations, and food was served in a sanitary manner during dining for 1 of 2 dining observations.

Findings include:

1. During the initial kitchen tour with the Dietary Director, on 4/19/23 at 11:15 a.m., Cook 5 was observed in the food preparation area. His beard cover was pulled down below his nose, fully exposing his moustache, and the beard cover and/or hairnet failed to cover his sideburns.

During an interview, on 4/19/23 at 11:27 a.m., the Dietary Director indicated Cook 5 was the only male who worked in the kitchen. He should have been aware that he needed to keep his moustache and beard covered when in the kitchen.

During an interview, on 4/19/23 at 11:48 a.m., the Executive Director (ED) indicated they had spoken with Cook 5 about the importance of keeping his beard and moustache covered when in the kitchen area. She understood it was regulated in the food establishment guidelines.

On 4/19/23 at 3:28 p.m., the ED provided an undated document, titled, "Hair Restraint Policy," and indicated it was the policy currently being used by the

and what corrective action will be taken;

- What measures will be put into place or what systemic changes the facility will make to ensure that the deficient practice does not recur;
- How the corrective action(s) will be monitored to ensure the deficient practice will not recur, i.e. what quality assurance program will be put into place?; and
- By the date the systemic changes will be completed.

R 273

1. Cook 5 is properly wearing a beard restraint in the kitchen food preparation area. Cook 7 is properly holding plates to ensure fingers do not touch the side of plates.

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

facility. The policy indicated, "Policy: It is the policy of this Community that all dietary staff shall wear hair restraints (hairnets), including beard covers, if applicable, while in the kitchen and preparing meals."

2. During a dining observation, on 4/19/23 at 11:54 a.m., Cook 7 served a resident her plate of food. Cook 7 was holding the plate from the kitchen with her thumb touching the inside surface of the plate. Cook 7 returned to the kitchen and brought out another plate of food for a resident. Cook 7 was holding the plate with her thumb touching the inside surface of the plate. Cook 7 went back into the kitchen and returned with a 3rd plate of food. She touched the inside surface of the plate with her thumb while she served another resident.

During an interview, on 4/20/23 at 11:54 a.m., Cook 8 indicated staff should hold the plate on the bottom with their hands so that they do not touch the surface of the plate.

On 4/20/23 at 12:25 p.m., the Executive Director (ED) provided an undated document, titled, "Meal Preparation," and indicated it was the policy currently being used by the facility. The policy indicated, "...14. ...Avoid handing the eating surface of glasses, dishes, or

1. The Community reviewed each resident's record to determine which residents, if any, could be affected by the alleged deficient practice.

1. Cook 5 was in-serviced on ensuring hair restraints, including beard restraints are worn properly while in the kitchen food preparation area. Cook 7 was in-serviced on proper meal preparation, including ensuring fingers do not touch the inside surface of the plate.

1. The Dietary Director or designee will randomly audit kitchen staff for proper use of hair restraints, including beard restraints, and proper meal preparation, including ensuring fingers do not touch the surface of the plate. Said audit will occur weekly for four weeks, and monthly for an additional five months. In addition, all staff will be in-serviced on the Community's policies on hair restraints, including beard restraints, and meal preparation.

silverware"

Based on observation, interview, and record review, the facility failed to ensure hair restraints were worn properly in the kitchen food preparation area for 1 of 2 kitchen observations, and food was served in a sanitary manner during dining for 1 of 2 dining observations.

Findings include:

1. During the initial kitchen tour with the Dietary Director, on 4/19/23 at 11:15 a.m., Cook 5 was observed in the food preparation area. His beard cover was pulled down below his nose, fully exposing his moustache, and the beard cover and/or hairnet failed to cover his sideburns.

During an interview, on 4/19/23 at 11:27 a.m., the Dietary Director indicated Cook 5 was the only male who worked in the kitchen. He should have been aware that he needed to keep his moustache and beard covered when in the kitchen.

During an interview, on 4/19/23 at 11:48 a.m., the Executive Director (ED) indicated they had spoken with Cook 5 about the importance of keeping his beard and moustache covered when in the kitchen area. She understood it was regulated in

1. Corrective date: 4/21/23

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

the food establishment guidelines.

On 4/19/23 at 3:28 p.m., the ED provided an undated document, titled, "Hair Restraint Policy," and indicated it was the policy currently being used by the facility. The policy indicated, "Policy: It is the policy of this Community that all dietary staff shall wear hair restraints (hairnets), including beard covers, if applicable, while in the kitchen and preparing meals."

2. During a dining observation, on 4/19/23 at 11:54 a.m., Cook 7 served a resident her plate of food. Cook 7 was holding the plate from the kitchen with her thumb touching the inside surface of the plate. Cook 7 returned to the kitchen and brought out another plate of food for a resident. Cook 7 was holding the plate with her thumb touching the inside surface of the plate. Cook 7 went back into the kitchen and returned with a 3rd plate of food. She touched the inside surface of the plate with her thumb while she served another resident.

During an interview, on 4/20/23 at 11:54 a.m., Cook 8 indicated staff should hold the plate on the bottom with their hands so that they do not touch the surface of the plate.

On 4/20/23 at 12:25 p.m., the

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

Executive Director (ED) provided an undated document, titled, "Meal Preparation," and indicated it was the policy currently being used by the facility. The policy indicated, " ...14. ...Avoid handing the eating surface of glasses, dishes, or silverware"

Based on observation, interview, and record review, the facility failed to ensure hair restraints were worn properly in the kitchen food preparation area for 1 of 2 kitchen observations, and food was served in a sanitary manner during dining for 1 of 2 dining observations.

Findings include:

1. During the initial kitchen tour with the Dietary Director, on 4/19/23 at 11:15 a.m., Cook 5 was observed in the food preparation area. His beard cover was pulled down below his nose, fully exposing his moustache, and the beard cover and/or hairnet failed to cover his sideburns.

During an interview, on 4/19/23 at 11:27 a.m., the Dietary Director indicated Cook 5 was the only male who worked in the kitchen. He should have been aware that he needed to keep his moustache and beard covered when in the kitchen.

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

During an interview, on 4/19/23 at 11:48 a.m., the Executive Director (ED) indicated they had spoken with Cook 5 about the importance of keeping his beard and moustache covered when in the kitchen area. She understood it was regulated in the food establishment guidelines.

On 4/19/23 at 3:28 p.m., the ED provided an undated document, titled, "Hair Restraint Policy," and indicated it was the policy currently being used by the facility. The policy indicated, "Policy: It is the policy of this Community that all dietary staff shall wear hair restraints (hairnets), including beard covers, if applicable, while in the kitchen and preparing meals."

2. During a dining observation, on 4/19/23 at 11:54 a.m., Cook 7 served a resident her plate of food. Cook 7 was holding the plate from the kitchen with her thumb touching the inside surface of the plate. Cook 7 returned to the kitchen and brought out another plate of food for a resident. Cook 7 was holding the plate with her thumb touching the inside surface of the plate. Cook 7 went back into the kitchen and returned with a 3rd plate of food. She touched the inside surface of the plate with her thumb while she served another resident.

During an interview, on 4/20/23 at 11:54 a.m., Cook 8 indicated staff should hold the plate on the bottom with their hands so that they do not touch the surface of the plate.

On 4/20/23 at 12:25 p.m., the Executive Director (ED) provided an undated document, titled, "Meal Preparation," and indicated it was the policy currently being used by the facility. The policy indicated, "...14. ...Avoid handing the eating surface of glasses, dishes, or silverware"

Based on observation, interview, and record review, the facility failed to ensure hair restraints were worn properly in the kitchen food preparation area for 1 of 2 kitchen observations, and food was served in a sanitary manner during dining for 1 of 2 dining observations.

Findings include:

1. During the initial kitchen tour with the Dietary Director, on 4/19/23 at 11:15 a.m., Cook 5 was observed in the food preparation area. His beard cover was pulled down below his nose, fully exposing his moustache, and the beard cover and/or hairnet failed to cover his sideburns.

During an interview, on 4/19/23

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FORM APPROVED

CENTERS FOR MEDICARE & MEDICAID SERVICES

OMB NO. 0938-0391

at 11:27 a.m., the Dietary Director indicated Cook 5 was the only male who worked in the kitchen. He should have been aware that he needed to keep his moustache and beard covered when in the kitchen.

During an interview, on 4/19/23 at 11:48 a.m., the Executive Director (ED) indicated they had spoken with Cook 5 about the importance of keeping his beard and moustache covered when in the kitchen area. She understood it was regulated in the food establishment guidelines.

On 4/19/23 at 3:28 p.m., the ED provided an undated document, titled, "Hair Restraint Policy," and indicated it was the policy currently being used by the facility. The policy indicated, "Policy: It is the policy of this Community that all dietary staff shall wear hair restraints (hairnets), including beard covers, if applicable, while in the kitchen and preparing meals."

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting provided it is determined that other safeguards provide sufficient protection to the patients. (See instructions.)

LABORATORY DIRECTOR'S OR
PROVIDER/SUPPLIER REPRESENTATIVE'S
SIGNATURE

TITLE

(X6) DATE