

**Indiana State Department of Health  
Health Care Quality and Regulatory Commission**

**CIVIL MONEY PENALTY FUND PROJECTS**

List Updated: September 19, 2018

**Background**

The Civil Money Penalty (CMP) Fund was created in 1996 by the Centers for Medicare and Medicaid Services (CMS) as a means of supporting healthcare quality improvements in long term care. Indiana’s Civil Money Penalty (CMP) Fund is authorized under Indiana Code (IC) 16-28-12 and is a dedicated fund administered by the ISDH. The CMP Program contributes to the Indiana State Department of Health’s (ISDH) mission of improving healthcare quality for Hoosiers. The following is a list of projects funded through the CMP Fund:

**Quality Improvement Projects**

<b>Project</b>	<b>Project Description</b>	<b>Project Dates</b>
Achieving a restraint free facility seminar	A 6 ½ hour seminar on restraints was presented three times – once each in South Bend, Indianapolis, and Evansville.	Start: November 1998 End: November 1998
Pressure ulcers: prediction, prevention, assessment, and treatment seminar	The 5 ½ hour seminar on pressure ulcers was presented three times – once each in South Bend, Indianapolis, and Evansville.	Start: November 1999 End: November 1999
Prevention of falls initiative	This program provided educational materials to all Indiana health facilities relating to fall prevention.	Start: October 2000 End: October 2000
Abuse prevention training program for long term care staff seminar	A six hour seminar on abuse prevention was presented four times – once each in Jasper and South Bend and twice in Indianapolis.	Start: November 2000 End: November 2000
Alzheimer’s and dementia care educational programs	The ISDH partnered with the Alzheimer’s Association of Greater Indiana to develop and present training programs to assist in the implementation of the ISDH Alzheimer’s and Dementia Special Care Unit rule. Course offerings began on August 24, 2004. A total of eight courses were developed and presented to providers. There were approximately 50 sessions presented of the courses with about 3,000 total attendees.	Start: January 1, 2004 End: January 30, 2006
Dining assistant train-the-trainer educational program	The ISDH adopted a rule allowing the use of dining assistants in long term care facilities. To implement the rule, the ISDH developed a program to provide training on feeding assistance for long term care residents. The program was provided by the Indiana Health Care Association and consisted of six educational programs for health care facilities throughout the	Start: January 1, 2005 End: June 30, 2005

Project	Project Description	Project Dates
	state and one presentation to the ISDH surveyors. 408 individuals attended the six provider training programs. The dining assistant training program was completed in May 2005.	
Consultant Program	The ISDH developed a voluntary consultant program where the ISDH provides a consultant to the nursing home to assist the facility in improving quality of care. Facilities having deficiencies could request a nursing, social services, or administrative consultant.	Start: 2003 End: 2009
Study of bladder scanner effectiveness at improving bladder training	In March 2009 the Commission hosted a Healthcare Quality Leadership Conference on incontinence. One of the recommendations from the conference was to use bladder scanners as an assessment tool in incontinence planning. In 2009 the Commission funded a study of bladder scanner use in nursing homes. The ISDH partnered with the University of Southern Indiana to study their use as an incontinence training tool. A pilot was conducted at four facilities. A report was produced in October 2009 with suggested protocols for nursing homes in utilizing the devices.	Start: October 1, 2008 End: December 31, 2009
Study of bladder scanner effectiveness at improving bladder training	In connection with the University of Southern Indiana receiving a grant for the study of the use of bladder scanners in incontinence care, the ISDH purchased 4 bladder scanners and carts to be used by the participating facilities.	Start: October 1, 2008 End: December 31, 2009
Alzheimer's education support program	The ISDH contracted with the Alzheimer's Association of Greater Indiana to provide and promote Alzheimer's training programs for health facility staff. Part 1 supported the development of two Web-based courses and a series of workshops at six sites throughout the state. Each workshop was to be provided for 100 individuals. Part 2 funded 50 health care providers to complete the dementia care professional certification.	Start: September 1, 2007 End: May 31, 2010
Indiana Pressure Ulcer Prevention Initiative	<p>In 2007 the ISDH began planning a major pressure ulcer initiative. In the fall of 2007 the Commission hosted a Healthcare Quality Leadership Conference on the topic of preventing pressure ulcers. The Conference served as a kickoff for a statewide pressure ulcer prevention initiative. The Commission partnered with the University of Indianapolis Center for Aging &amp; Community to implement a two-year initiative. The project included regional training, educational resources, expert facilitators, and the development of six online educational modules. The project was renewed for another two years. A total of 240 nursing homes statewide participated in the project.</p> <p>This project supported 2008 CMS projects pursuant to mandates in the Government Performance Responsibility Act (GPRA). The two projects focused on the reduction of pressure ulcers and restraints in nursing homes. CMS</p>	Start: July 1, 2008 End: June 30, 2011

Project	Project Description	Project Dates
	encouraged the states to plan quality improvement projects around the two issues.	
Pressure Ulcer Prevention Initiative – Purchase of Pressure Reducing Mattresses	In 2007 the ISDH began planning a major pressure ulcer initiative. As a demonstration project, the initiative provided one pressure reduction mattress for each nursing home. The bidder selected was Hill-Rom Company of Batesville, Indiana.	Start: October 2007 End: March 2008
Pressure Ulcer Prevention Initiative – Purchase of Pressure Reducing Devices	In 2007 the ISDH began planning a major pressure ulcer initiative. As a demonstration project, the initiative included the purchase of pressure reducing devices for each nursing home. EHOB of Indianapolis, Indiana provided wheel chair pressure reducing cushions.	Start: October 2007 End: March 2008
Expenses for transfer of resident from closing facility	In July 2007, a resident needed to be transferred from a closing facility, Lee Allen Bryant, to Bortz Health Care, a facility in Michigan. Funds paid for the safe transfer of the resident.	Start: June 2007 End: June 2007
Staffing Study	In analyzing the high number of immediate jeopardy level violations in Indiana nursing homes, the issue of staff turnover was identified as a potential cause. There was not however good data on staff turnover specific to Indiana. The Commission therefore funded a study on staff turnover in nursing homes. The University of Indianapolis Center for Aging & Community conducted the study. In October 2009 the Commission hosted a Healthcare Quality Leadership Conference on staffing and the results were presented at that conference.	Start: April 1, 2009 End: September 30, 2009
Healthcare Associated Infection Prevention Initiative  (No CMP Funding)	<p>The American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA), was signed into law on February 17, 2009. Within the Recovery Act, \$50 million was authorized to support states in the prevention and reduction of healthcare associated infections (HAI). Indiana was awarded \$694,152 in through ARRA for this initiative. In addition, the ISDH received \$98,000 of Recovery Act funding to states to improve quality assurance at ambulatory surgery centers by implementing a new survey process to promote better infection control practices. The contractor selected through a Request for Proposals for the initiative as the University of Indianapolis Center for Aging &amp; Community.</p> <p>The Indiana Hospital Association was contracted to provide NHSN trainings for hospitals. The initiative added an HAI Epidemiologist to support surveillance and prevention of HAIs, provided statewide regional education programs, provided educational resources, provided expert facilitators, and created three online education modules. A total of about 180 facilities participated with 80 being nursing homes.</p>	Start: September 1, 2009 End: December 31, 2011
Healthcare Associated Infection Prevention Initiative	The CMS / CDC grant from the ARRA focused primarily on hospitals and ambulatory surgery centers. Our HAI advisory group recommended that we also include nursing homes. The	Start: August 16, 2010 End: March 31, 2012

Project	Project Description	Project Dates
	initiative was therefore designed to include nursing homes and fund their participation through the CMP fund.	
Healthcare Associated Infection Prevention Initiative	As part of the HAI initiative, our partner, the University of Indianapolis Center on Aging & Community translated the HAI consumer brochures into Spanish.	Start: December 10, 2011 End: January 31, 2012
Alzheimer's education support program	The ISDH contracted with the Alzheimer's Association of Greater Indiana to provide and promote Alzheimer's training programs for health facility staff. This project was a follow up of prior projects and supported four dementia professional certification courses to be offered via the web through Ivy Tech workforce development hosting.	Start: July 1, 2010 End: June 30, 2012
Indianapolis Collaborative of Nursing Homes	The ISDH provided 36 books and workbooks to the Indianapolis Collaborative to support their quality improvement activity regarding staffing. The books were: "Encouraging the Heart" and "The Encouraging the Heart Workbook"	January, 2013
Abuse and Neglect Prevention Train-the-trainer Project	In 2013 CMS funded a pilot of a surveyor leadership training project. Indiana and Missouri were selected as the two pilot states. The facilitators for that project were from the organization that developed an abuse prevention program. Resident abuse has been an increasing problem in Indiana so a project was developed to provide a train-the-trainer program. The Commission identified 21 individuals to participate from long term care provider associations, long term care providers, consumer advocate organizations, healthcare quality organizations, and state staff. The plan was to provide the training with the individuals then providing abuse prevention training through their entity's activities. The training occurred in November 2013 by PHI of Michigan.	Start: October 2013 End: February 2014
Nursing Home Advanced Education Project	<p>In previous initiatives, the Commission determined that only about 40% of nursing homes had a certified wound care specialist and only 2% had a certified infection preventionist. CMS is preparing to implement Quality Assurance and Performance Improvement (QAPI) standards for nursing homes which require preparation in project design and development. Few nursing homes have an individual certified in LEAN Six Sigma or other project planning programs. There also continues to be few facilities with a certified Alzheimer's and dementia director.</p> <p>To assist in addressing these problems, the Commission developed a project to provide training for nursing home staff at a certification level in wound care, infection prevention, project planning, and Alzheimer's and dementia care. The training was provided regionally throughout the state. The project director was the University of Indianapolis Center for Aging &amp; Community.</p>	Start: August 1, 2014 End: July 31, 2016

Project	Project Description	Project Dates
Nursing Home Quality Improvement Regional Collaborative Project	<p>In recent years there had been several regional nursing home collaborative projects created in Indiana by healthcare organizations. Collaborative projects in South Bend and Indianapolis had been successful in identifying and addressing quality of care issues. A problem was the sustainability of the collaborative projects and support for facilitation of the collaborative.</p> <p>The Commission developed a project to create several regional collaborative projects statewide. Each collaborative will bring together nursing homes and hospitals to identify care coordination issues and care challenges. Each collaborative will provide training on infection prevention, QAPI, abuse, and issues identified by the collaborative. The project director was the University of Indianapolis Center for Aging &amp; Community.</p>	Start: September 1, 2014 End: August 31, 2016
Polypharmaceutical Reduction in Nursing Homes	This project piloted an approach to polypharmaceutical reduction. Polypharmacy is defined as the prescribing of more than five pharmaceuticals to an individual. The project was designed to assist nursing homes in safely reducing unnecessary drugs.	Start: May 1, 2016 End: April 30, 2018
Expressive Arts In Long Term Care Project	Expressive arts have been used to improve the engagement and quality of life of residents. The project designed and delivered a course on the use of expressive arts in long term care. Courses were offered regionally statewide. The project director was the University of Indianapolis Center for Aging & Community.	Start: April 15, 2016 End: April 14, 2018
Dementia Care in Southwest Indiana	A leading dementia care program is the <i>Positive Approach to Care</i> developed by Teepa Snow. This project introduced and implemented the program to twelve nursing homes. The project director was the University of Southern Indiana Center for Healthy Aging and Wellness	Start: May 1, 2016 End: October 31, 2017
Music in Long Term Care	Music brings a unique pleasure that neuroscience is just starting to understand. Music is emerging as having a role in improving mental health, rehabilitation, and dementia care. The Music in Long Term Care Project introduced music into long term care facilities and the care planning of residents. The project created playlists for residents of their favorite music. Butler University was the project director.	Start: August 1, 2016 End: July 31, 2018
Nursing Home Advanced Education Project – Phase 2	With the success of the Nursing Home Advanced Education Project the Commission implemented a second phase to offer courses to different areas of the state than offered in the first phase. The training programs were provided regionally statewide. The project director was the University of Indianapolis Center for Aging & Community.	Start: December 15, 2016 End: December 14, 2018
Nursing Home Quality Improvement Regional	The Commission developed a project to create several regional collaborative projects statewide. Each collaborative brings together nursing homes and hospitals to identify care	Start: December 1, 2016 End: November 30, 2018

Project	Project Description	Project Dates
Collaborative Project-Phase 2	coordination issues and care challenges. Each collaborative provides training on infection prevention, QAPI, abuse, and issues identified by the collaborative. This phase of the project added another 2 or 3 regional collaborative projects in areas of the state where none currently exist. The project director was the University of Indianapolis Center for Aging & Community.	
Conversations on Advance Care Planning	This project is intended to improve the advance care planning in nursing homes in southwest Indiana. The project is piloting <i>Respecting Choices</i> in 15 nursing homes in southwest Indiana and training 75 facilitators. The University of Southern Indiana is the project director for the project.	Start: October 1, 2017 End: September 30, 2020
Emergency Preparedness in Nursing Homes	The purpose of this project is to improve emergency and disaster management by long term care providers and their partners. The University of Indianapolis Center for Aging and Community is the project director. The project will develop and provide a training course in emergency preparedness. The course will be provided six times over five regional locations with 25 participants at each sessions (150 total participants).	Start: September 1, 2018 End: June 30, 2020
Prevention of Abuse and Neglect in Long Term Care	The purpose of this project is to improve the quality of life for nursing home residents through the prevention of abuse and neglect. The University of Indianapolis Center for Aging and Community is the project director. The project will develop and provide a training course on the prevention of abuse. The course will be offered 12 times regionally with 25 participants at each session	Anticipated start in fall 2018
Dementia Competent Workforce	The purpose of this project is to improve the quality of life for individuals diagnosed with Alzheimer’s disease and dementia in Indiana. The University of Southern Indiana Center for Healthy Aging and Wellness is the project director. The project will introduce the <i>Positive Approach to Care</i> into CNA programs. The training course will offered five times with a total of 125 participants. The project will offer an advanced trainer-coach twice for current certified facilitators. The project will develop a nursing home orientation course to introduce dementia care into orientation programs. The project is expected to include 90 nursing homes with 3,960 participants.	Anticipated start in fall 2018
Infection Prevention in Long Term Care	The purpose of this grant is to improve quality of life for nursing home residents by preventing healthcare associated infections. The University of Indianapolis Center for Aging and Community is the project director. The primary purpose is to develop, pilot, and enhance training programs in infection prevention for long term care. A second purpose is to improvement awareness of infection prevention through resources designed to support best practices in infection prevention. The project will provide a best practices in infection prevention course at six locations regionally. Each session will include 45 participants for a total of 270. The	Anticipated start in fall 2018

Project	Project Description	Project Dates
	project will include development of resources for family and resident.	

**Healthcare Quality Leadership Conferences**

In 2007, the ISDH implemented a Healthcare Quality Leadership Conference. Each conference was a one-day conference on one topic. The ISDH generally hosted two conferences per year. Presenters were nationally recognized leaders on the topic. All nursing homes in the state were invited to attend along with healthcare quality organizations, providers organizations, advocate organizations, and state surveyors. The average attendance has been over 1,000 attendees per conference. The ISDH would often provide attendees with resources and tools to assist in implementing new programs. The following is a summary of the conferences.

Project	Project Description
Leadership Conference June 14, 2007 Falls Prevention	The ISDH presented its 1st Leadership Conference at the Indiana Convention Center. The topic was fall prevention.
Conference Resources June 14, 2007 Falls DVD purchase	In connection with the LTC Leadership Conference on falls, the ISDH purchased a DVD for each nursing home and organization. The DVD was by Diana Waugh.
Conference Resources June 14, 2007 Educational materials purchase	In connection with the LTC Leadership Conference, the ISDH purchased clinical guidelines on Falls from the American Medical Directors Association (AMDA) for each long term care facility..
Leadership Conference October 30, 2007 Pressure Ulcers	The topic of the 2nd Leadership Conference was preventing pressure ulcers.
Leadership Conference October 30, 2007 Pressure Ulcers	In connection with the LTC Leadership Conference, the ISDH purchased a book, “Wound Care Essentials Practice Principles” and a video entitled, “How to Identify and Prevent Pressure Ulcers” for each long term care facility.
Leadership Conference October 30, 2007 Pressure Ulcers	In connection with the LTC Leadership Conference, the ISDH purchased clinical guidelines on Pressure Ulcers from the American Medical Directors Association (AMDA) for each long term care facility.
Leadership Conference March 18, 2008 Behavior Management and Reduction of Restraints	The conference topic was reducing restraints.

Project	Project Description
Leadership Conference March 18, 2008 Behavior Management and Reduction of Restraints	In connection with the LTC Leadership Conference, the ISDH purchased a book, "Geriatric Medication Handbook" and a DVD "Bathing Without a Battle" for each long term care facility.
Leadership Conference September 23, 2008 Emergency Preparedness Planning	The conference topic was emergency preparedness.
Leadership Conference September 23, 2008 Emergency Preparedness Planning	In connection with the LTC Leadership Conference, the ISDH purchased "Disaster & Emergency Preparedness in Foodservice Operations"
Leadership Conference March 24, 2009 Managing Incontinence Care	The conference topic was managing incontinence.
Leadership Conference March 24, 2009 Managing Incontinence Care	In connection with the LTC Leadership Conference, the ISDH purchased the American Medical Directors Association Clinical Guidelines on Urinary Incontinence
Leadership Conference September 17, 2009 Improving Staffing	The conference topic was improving staffing.
Leadership Conference March 2, 2010 Healthcare Associated Infections	The conference topic was healthcare associated infections.
Leadership Conference March 2, 2010 Healthcare Associated Infections	In connection with the LTC Leadership Conference, the ISDH purchased two guides from the Association for Professionals in Infection Control and Epidemiology (APIC). 1. Guide to Elimination of <i>C. diff</i> in Health Care; 2. Guide to Elimination of CAUTIs in Health Care
Leadership Conference October 14, 2010 Alzheimer's and Dementia Care	The conference topic was Alzheimer's and dementia care.
Leadership Conference October 14, 2010 Alzheimer's and Dementia Care	In connection with the LTC Leadership Conference, the ISDH purchased three books by David Troxell: "Building a Culture of Care in Alzheimer's Programs" "Alzheimer's Activities" Volumes 1 and 2
Leadership Conference October 14, 2010	In connection with the LTC Leadership Conference, the ISDH purchased the DVD "When Did I Grow Old?" from WFYI television.



Project	Project Description
Alzheimer's and Dementia Care	
Leadership Conference March 31, 2011 Nutrition in Long Term Care	The conference topic was improving nutrition.
Leadership Conference March 31, 2011 Nutrition in Long Term Care	In connection with the LTC Leadership Conference, the ISDH purchased five online downloads per attendee (1100) of an American Dietetic Association publication "Nutrition In-Service Manual 2010" and a reference manual "Diet & Nutrition in Long Term Care."
Leadership Conference October 27, 2011 Care Coordination and Transition	The conference topic was improving care coordination and transition.
Leadership Conference October 27, 2011 Care Coordination and Transition	In connection with the LTC Leadership Conference, the ISDH purchased the AMDA Know-it-all cards.
Leadership Conference October 27, 2011 Care Coordination and Transition	In connection with the LTC Leadership Conference, the ISDH purchased the book, "Meeting the Leadership Challenge in Long-Term Care."
Leadership Conference March 20, 2012 Patient Safety	The conference topic was patient safety.
Leadership Conference March 20, 2012 Patient Safety	In connection with the LTC Leadership Conference, the ISDH purchased the book, "the Checklist Manifesto" by Atul Gawande, M.D.
Leadership Conference March 20, 2012 Patient Safety	In connection with the LTC Leadership Conference, the ISDH purchased the "Falls" clinical guidelines from the American Medical Directors Association.
Leadership Conference September 13, 2012 Improving Quality: QIS and QAPI	The conference topic was improving healthcare quality.
Leadership Conference April 9, 2013 Abuse and Neglect	The conference topic was preventing abuse and neglect.
Leadership Conference September 19, 2013 Life Safety Code	The conference topic was the life safety code.

Project	Project Description
Leadership Conference March 20, 2014 Continuity of Care	The conference topic was continuity of care.
Leadership Conference March 10, 2015 Preventing Falls	The conference topic was preventing falls.
Leadership Conference March 10, 2015 Preventing Falls	In connection with the LTC Leadership Conference, the ISDH purchased a DVD entitled "Fleeced" from WFYI television.
Leadership Conference October 22, 2015 Expressive Therapies	The conference topic was expressive art therapies.
Leadership Conference March 22, 2016 Investigative Strategies	The conference topic was investigative strategies.
Leadership Conference March 22, 2016 Investigative Strategies	The Indiana Healthcare Leadership Conference held March 22, 2016 discussed Investigative Strategies for nursing homes. As part of the day Antone Aboud from Labor Relations Alternatives, Inc. spoke on how to conduct an investigation. The ISDH provided a copy of the training manual developed by Labor Relations Alternatives, Inc. to each nursing home. The ISDH obtained a perpetual license to provide the manual to nursing homes and ISDH staff.
Leadership Conference March 22, 2016 Investigative Strategies	In connection with the March 22, 2016 Indiana Healthcare Leadership Conference the following AMDA Guidelines were provided to the attendees: <ol style="list-style-type: none"> <li>1. Falls and Fall Risk (distributed now as order was delayed)</li> <li>2. Dementia in the Long Term Care Setting</li> </ol>
Leadership Conference September 13, 2016 Infection Prevention	The conference topic was infection prevention for nursing homes. As part of the day Bonnie Chustz, RN discussed Infection prevention and control in nursing homes and Dr. Kavita Trivedi discussed implementing an effective anti-microbial stewardship program. In the afternoon Dr. Thomas Ahrens spoke on the dangers of Sepsis and the importance of early detection and prompt treatment.
Leadership Conference March 8, 2017 Dementia Care	The conference topic was dementia care. The speaker was Teepa Snow on the <i>Positive Approach to Care</i> . The conference supported the Dementia Care in Southwest Indiana Project.