

Indiana Strategic Workforce Plan – Appendix 4

PERKINS ASSURANCES, CERTIFICATIONS, AND OTHER FORMS

A. Statutory Assurances

The eligible agency assures that:

1. It made the State plan publicly available for public comment⁹ for a period of not less than 30 days, by electronic means and in an easily accessible format, prior to submission to the Secretary for approval and such public comments were taken into account in the development of this State plan. (Section 122(a)(4) of Perkins V) **Yes**
2. It will use the funds to promote preparation for high-skill, high-wage, or in-demand industry sectors or occupations and non-traditional fields, as identified by the State. (Section 122(d)(13)(C) of Perkins V) **Yes**
3. It will provide local educational agencies, area career and technical education schools, and eligible institutions in the State with technical assistance, including technical assistance on how to close gaps in student participation and performance in career and technical education programs. (Section 122(d)(13)(E) of Perkins V) **Yes**
4. It will comply with the requirements of this Act and the provisions of the State plan, including the provision of a financial audit of funds received under this Act, which may be included as part of an audit of other Federal or State programs. (Section 122(d)(13)(A) of Perkins V) **Yes**
5. None of the funds expended under this Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization. (Section 122(d)(13)(B) of Perkins V) **Yes**
6. It will use the funds provided under this Act to implement career and technical education programs and programs of study for individuals in State correctional institutions, including juvenile justice facilities. (Section 122 (d)(13)(D) of Perkins V) **Yes**

B. EDGAR Certifications

By submitting a Perkins V State Plan, consistent with 34 CFR 76.104, the eligible agency certifies that:

1. It is eligible to submit the Perkins State plan.
2. It has authority under State law to perform the functions of the State under the Perkins program(s).
3. It legally may carry out each provision of the plan.
4. All provisions of the plan are consistent with State law.
5. A State officer, specified by title in Item C on the Cover Page, has authority under State law to receive, hold, and disburse Federal funds made available under the plan.
6. The State officer who submits the plan, specified by title in Item C on the Cover Page, has authority to submit the plan.
7. The entity has adopted or otherwise formally approved the plan.
8. The plan is the basis for State operation and administration of the Perkins program.

C. Other Forms

The eligible agency certifies and assures compliance with the following enclosed forms:

1. Assurances for Non-Construction Programs (SF 424B) Form (OMB Control No. 0348-0040) - <https://www2.ed.gov/fund/grant/apply/appforms/sf424b.pdf>
2. Disclosure of Lobbying Activities (SF LLL) (OMB Control No. 4040-0013): https://apply07.grants.gov/apply/forms/sample/SFLLL_1_2-V1.2.pdf
3. Certification Regarding Lobbying (ED 80-0013 Form): <https://www2.ed.gov/fund/grant/apply/appforms/ed80-013.pdf>
4. General Education Provisions Act (GEPA) 427 Form (OMB Control No. 1894-0005): <https://www2.ed.gov/fund/grant/apply/appforms/gepa427.pdf>

IV. BUDGET

A. Instructions

1. On the form in Item IV.B below, provide a budget for the upcoming fiscal year. As you prepare your budget, refer to the statutory descriptions and assurances in Section II.C and Section III.A, respectively, of this guide.

B: Budget Form

State Name: Indiana

Fiscal Year (FY): 2020

Line Number	Budget Item	Percent of Funds	Amount of Funds
1	Total Perkins V Allocation	Not applicable	\$ 28,478,889.00
2	State Administration	1.73 %	\$ 494,000.00
3	State Leadership	8.27 %	\$ 2,353,888.90
4	• Individuals in State Institutions	.97 %	\$ 275,000.00
4a	- Correctional Institutions	Not required	\$ 150,000.00
4b	- Juvenile Justice Facilities	Not required	\$ 100,000.00
4c	- Educational Institutions that Serve Individuals with Disabilities	Not required	\$ 25,000.00
5	• Non-traditional Training and Employment	Not applicable	\$ 60,000.00
6	• Special Populations Recruitment	1.06 %	\$ 25,000.00
7	Local Formula Distribution	90 %	\$ 25,631,000.10
8	• Reserve	3.9 %	\$ 1,000,000.00
9	- Secondary Recipients	65 %	\$ 650,000.00
10	- Postsecondary Recipients	35 %	\$ 350,000.00
11	• Allocation to Eligible Recipients	96.1 %	\$ 24,631,000.10
12	- Secondary Recipients	65 %	\$ 16,010,150.06
13	- Postsecondary Recipients	35 %	\$ 8,620,850.04
14	State Match (from non-federal funds)	Not applicable	\$ 494,000

Baseline Data for State Determined Performance Levels

P5		2018-19	2017-18	2016-17	2015-16	3 yr avg
1S1	Four-Year Grad Rate	98.42	94.90	91.66	96.20	94.99
1S2	Ext-Grad Rate	n/a	96.71	96.41	96.00	96.56
2S1	Academic Proficiency - Language Arts	61.20	76.96	80.62	78.85	72.93
2S2	Academic Proficiency - Math	36.87	87.49	88.64	87.95	71.00
2S3	Academic Proficiency - Science	24.73	27.91	46.14	44.57	32.93
3S1	Postsecondary Placement	64.71	72.79	79.93	85.75	72.48
4S1	Non-Traditional Program Enrollment	30.59	30.08	29.80	29.01	29.87
5S1	Prog Q-Attained Recognized Postsec Credential	40.63	49.88	46.97	48.18	45.83
5S2	Prog Q-Attained Postsec Credits	10.69	9.68	9.75		10.04
5S3	Prog Q-Participated in WBL	26.86	29.76	28.2		28.27
1P1	Postsecondary Retention and Placement	99.70	99.49	99.32		99.50
2P1	Credential, Certificate or Diploma	38.10	38.10	33.70		36.63
3P1	Non-Traditional Program Enrollment	26.48	27.11	27.96		27.18