

STATE OF INDIANA

DEPARTMENT OF VETERANS AFFAIRS

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Eric J. Holcomb, Governor
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Indiana Department of Veterans Affairs Grants for Veterans' Services (GVS) Solicitation

May 3, 2021



Indiana Department of Veterans Affairs Grants for Veterans' Services (GVS) Solicitation

Solicitation Release Date: May 3, 2021

Application Deadline: 5:00 pm Eastern – June 14, 2021

Grant Period of Performance: July 1, 2021 to June 30, 2022

The Indiana Department of Veterans Affairs is seeking applications for the Grants for Veterans' Services program. This solicitation is open to non-profit organizations registered with the Indiana Secretary of State.

The grant amounts will be between \$25,000 and \$150,000 depending on each applicant's need for funds, the availability of funding, and volume of eligible applicants.

All applications, procedures, and documentation should follow Indiana Code, Indiana Administrative Code, and Indiana Department of Veterans Affairs policies.

Grant applications may be submitted via:

Email: Gabrielle J. Owens, General Counsel at GOwens1@dva.in.gov
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Definitions

- **"Grant for Veterans' Services"** means a grant made to a qualified entity under IC 10-17-13.5-4. *(Indiana Veterans' Affairs Commission; 915 IAC 1-1-3)*
- **"Homelessness"** means the state of being homeless, as defined by 42 U.S.C. 11302(a). *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-4)*
- **"Job search assistance"** means training, education, coaching, or other assistance designed to help a veteran find stable, long term employment. It includes assistance with interview techniques, resume writing, or verbal communications skills. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-5)*
- **"Near-term homelessness"** means a circumstance when a veteran experiences an unforeseen event that is highly likely to result in homelessness within forty-five (45) days of the event occurring. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-6)*
- **"On-the-job training"** means training or education designed to help a veteran enhance his or her skills and knowledge to help the veteran increase his or her compensation or be promoted to a higher position within the veteran's current employment. It can include on-site employment enhancement services. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-7)*
- **"Public housing assistance program"** means a federal, state, or local program that provides housing, or money to defray the costs of housing, to individuals or families. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-8)*
- **"Safe and secure living conditions"** means stable housing that can be reasonably assumed to establish conditions that will keep the veteran free from harm and is capable of providing a long term residence for the veteran to live independently or with required assistance. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-10)*

- **"Stable, long term rental status"** means a state where a veteran secures rental housing that is reasonably likely to provide safe and secure living conditions for at least one (1) year. (*Indiana Department of Veterans' Affairs; 914 IAC 1-1-11*)

- **"Therapeutic services"** means services designed to assist a veteran with physiological, psychological, physical, or relational needs. These services may include, but are not limited to the following:
 - (1) Personal, marital, or family counseling.
 - (2) Integration assistance for placement into on-site substance abuse counseling, which does not provide medication.
 - (3) Meditation, yoga, and other nontraditional means of counseling that the approved organization already has established in its reintegration programs. (*Indiana Department of Veterans' Affairs; 914 IAC 1-1-12*)

Eligibility and Purpose

An eligible applicant is an organization that meets the definitions set forth in the Indiana Code (*Appendix B*).

- The organization must be exempt from taxation under Section 501 of the Internal Revenue Code.
- The organization must be a nonprofit organization.
- The organization must provide to veterans services described in IC 10-17-13.5-4(a) (*Appendix B*).
- The organization must be financially able to provide the services proposed in its application and must be able to utilize or obligate the grant funds during the grant year.
- The organization must be in compliance with all state and federal laws and taxes.

Grants for Veterans' Services awards may only be used to provide services to veterans who meet all of the following criteria:

- The veteran receiving services must reside in the state of Indiana;
- The veteran receiving services must have served in any of the United States armed forces as defined in IC 10-17-13.5-3 (*Appendix B*); and
- The veteran receiving services must not have received a dishonorable discharge as evidenced by the veteran's Department of Defense Form 214 (DD-214), National Guard Bureau (NGB) Form 22 as issued by the United States Department of Defense, or other official discharge papers.

Grants for Veterans' Services may be utilized for the purpose of providing services to veterans, including the following:

- Programs focused on eliminating homelessness, preventing near term homelessness, and providing safe and secure living conditions.
- Assisting veterans in moving from public housing assistance programs to:
 - home ownership; or
 - stable, long term rental status.
- A grant under this chapter for the purpose specified in clause (B) may include up to nine (9) months of rental assistance.
- Assisting veterans in finding and using available federal and state resources.
- Providing therapeutic services.
- Providing job training and job search assistance.

Important Dates

Steps in Process	Date
Grant Solicitation Announcement	5/3/2021
Grant Q&A Session	5/13/2021
Grant Applications Due	6/14/2021
Grant Reviews Complete	6/24/2021
Award Notices Sent	6/28/2021
Revised Budgets and Project Narratives Due	7/6/2021
GVS Workbook Training	7/26/2021
Grant Period of Performance Starts	7/1/2021

Application Process

Applicants must submit a:

- Completed grant application form (*Appendix D*) via email, fax, or mail.
- Letter on official letterhead that details the program that the grant funds would support if your organization is awarded grant funds. This letter shall serve as your Project Narrative (Exhibit A attached to the grant agreement).
- Proposed line item budget setting forth how the grant funds would be expended if your organization is awarded grant funds (Exhibit B attached to the grant agreement).

Grant Award

An organization that is awarded a grant shall not receive any funding until a grant agreement is fully executed by all requisite state agencies.

Allowable & Unallowable Costs

Allowable costs are costs necessary and reasonable to perform the program. All costs associated with the proposed program shall be included in the organization's grant proposal budget as Exhibit B. Allowable costs include, not are not limited to:

- Personnel costs (including wages, salary, and benefits)
- Travel costs (reimbursed at the State of Indiana rate or lower)
- Supplies

- Operating expenses
- Direct service to veterans
- Equipment
- Virtual engagement materials.

Unallowable costs are costs that do not further the program purpose or contribute to the successful operation of the program. Any unallowable costs will be denied. Unallowable costs include, but are not limited to:

- Capital improvements
- The purchasing or acquisition of real estate
- Gifts or donations to other organizations
- Alcohol
- Fundraising
- Lobbying activities.

Reporting

All grantees are required to do the following:

- Account for all grant funds received under this program using generally accepted accounting principles. A grantee shall track all assets, expenses, cost of goods, and use of funds.
- Submit **monthly or quarterly** financial reports to the Indiana Department of Veterans Affairs detailing all grant-related expenditures. A grantee shall also submit a comprehensive financial report of the grant at the end of the grant period.
- Submit **monthly or quarterly** programmatic reports to the Indiana Department of Veterans Affairs detailing all grant-related programmatic successes. Submit a detailed performance report at the end of the grant period of performance detailing the outcomes achieved by the organization awarded the grant. The report must include the performance data and metrics identified in the original grant application. The report must also include a detailed analysis comparing the outcomes achieved to the benchmarks for success identified in the grant application.

As it deems necessary, the Indiana Department of Veterans Affairs may audit or inspect any and all books, accounting records, or other documents related to the grant at any time. The Indiana Department of Veterans Affairs may require a

grantee, at grantee's expense, to obtain an independent audit from an auditor approved by the Indiana Department of Veterans' Affairs.

Noncompliance

If the Indiana Department of Veterans Affairs determines that a grantee is not in compliance with the terms of the grant agreement or has failed to maintain compliance with the eligibility requirements of 914 IAC 1, IC 10-17-13.5, or Indiana Department of Veterans Affairs policies, the Indiana Department of Veterans Affairs shall terminate the grant agreement and notify the grantee in writing. A grantee whose grant has been terminated for noncompliance is not eligible to reapply for any grants awarded by the Indiana Department of Veterans Affairs for a period of twelve (12) months from the date of termination of the agreement.

If it is determined that any funds paid to the grantee were inappropriate and/or due to the grantee's non-compliance, those funds shall be returned to the Indiana Department of Veterans Affairs.

Unobligated Funds

Any funds not obligated within the performance period will be unavailable to the grantee.

Appendix A

TITLE 914 INDIANA DEPARTMENT OF VETERANS' AFFAIRS

Final Rule
LSA Document #18-475

DIGEST

Adds 914 IAC 1 to establish qualifications and criteria to receive grants for veterans' services. Effective 30 days after filing with the Publisher.

914 IAC

SECTION 1. 914 IAC IS ADDED TO READ AS FOLLOWS:

TITLE 914 INDIANA DEPARTMENT OF VETERANS' AFFAIRS

ARTICLE 1. GRANTS FOR VETERANS' SERVICES

Rule 1. Definitions

914 IAC 1-1-1 Applicability

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec.1. The definitions in this rule apply throughout this article. (*Indiana Department of Veterans' Affairs; 914 IAC 1-1-1*)

914 IAC 1-1-2 "Department" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 2. "Department" means the Indiana department of veterans' affairs. (*Indiana Department of Veterans' Affairs; 914 IAC 1-1-2*)

914 IAC 1-1-3 "Grant for veterans' services" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 3. "Grant for veterans' services" means a grant made to a qualified entity under IC 10-17-13.5-4. (*Indiana Veterans' Affairs Commission; 915 IAC 1-1-3*)

914 IAC 1-1-4 "Homelessness" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 4. "Homelessness" means the state of being homeless, as defined by 42 U.S.C. 11302(a). (*Indiana Department of Veterans' Affairs; 914 IAC 1-1-4*)

914 IAC 1-1-5 "Job search assistance" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 5. "Job search assistance" means training, education, coaching, or other assistance designed to help a veteran find stable, long term employment. It includes assistance with interview techniques, resume writing, or verbal communications skills. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-5)

914 IAC 1-1-6 "Near-term homelessness" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 6. "Near-term homelessness" means a circumstance when a veteran experiences an unforeseen event that is highly likely to result in homelessness within forty-five (45) days of the event occurring. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-6)

914 IAC 1-1-7 "On-the-job training" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 7. "On-the-job training" means training or education designed to help a veteran enhance his or her skills and knowledge to help the veteran increase his or her compensation or be promoted to a higher position within the veteran's current employment. It can include on-site employment enhancement services. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-7)

914 IAC 1-1-8 "Public housing assistance program" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 8. "Public housing assistance program" means a federal, state, or local program that provides housing, or money to defray the costs of housing, to individuals or families. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-8)

914 IAC 1-1-9 "Qualified entity" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 9. "Qualified entity" has the meaning set forth in IC 10-17-13.5-2. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-9)

914 IAC 1-1-10 "Safe and secure living conditions" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 10. "Safe and secure living conditions" means stable housing that can be reasonably assumed to establish conditions that will keep the veterans free from harm and is capable of providing a long term residence for the veteran to live independently or with required assistance. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-10)

914 IAC 1-1-11 "Stable, long term rental status" defined

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5-4

Sec. 11. "Stable, long term rental status" means a state where a veteran secures rental housing that is reasonably likely to provide safe and secure living conditions for at least one (1) year. (Indiana Department of Veterans' Affairs; 914 IAC 1-1-11)

914 IAC 1-1-12 "Therapeutic services" defined**Authority: IC 10-17-13.5-5****Affected: IC 10-17-13.5-4**

Sec. 12. "Therapeutic services" means services designed to assist a veteran with physiological, psychological, physical, or relational needs. These services may include, but are not limited to the following:

- (1) Personal, marital, or family counseling.**
- (2) Integration assistance for placement into on-site substance abuse counseling, which does not provide medication.**
- (3) Meditation, yoga, and other nontraditional means of counseling that the approved organization already has established in its reintegration programs.**

(Indiana Department of Veterans' Affairs; 914 IAC 1-1-12)

914 IAC 1-1-13 "Veteran" defined**Authority: IC 10-17-13.5-5****Affected: IC 10-17-13.5**

Sec. 13. "Veteran" has the meaning set forth in IC 10-17-13.5-3. *(Indiana Department of Veterans' Affairs; 914 IAC 1-1-13)*

Rule 2. Application Procedure**914 IAC 1-2-1 Application for grant for veterans' services****Authority: IC 10-17-13.5-5****Affected: IC 10-17-13.5**

Sec. 1. To apply for a grant for veterans' services, an organization must submit a written application on the form prescribed by the department. The application shall include the following:

- (1) The name, address, and contact information, including email address, of the organization.**
- (2) The name, address, and contact information of the chief executive officer or the chief financial officer of the organization.**
- (3) A detailed explanation of the organization's mission, activities, and membership.**
- (4) A detailed description of all of the services provided to veterans by the organization and a detailed explanation of how those services align with the services described in IC 10-17-13.5-4(a).**
- (5) Copies of the organization's articles of incorporation and bylaws.**
- (6) Audited financial statements of the organization from an independent auditor for the last three (3) years.**
- (7) The organization's federal and state tax returns for the last three (3) years.**
- (8) A detailed statement, describing the organization's need for a grant, a description of the services in section 4 of this rule that the organization will provide to veterans with the grant for veterans' services, and evidence that the organization is capable of addressing the need it intends to address with the grant if awarded a grant.**
- (9) A set of performance indicators that the organization will use to measure the effectiveness of the services it will provide using the grant and a detailed description of how those performance indicators will be measured, the data that will be used, and the benchmarks for success.**
- (10) A detailed work plan, including the scope of the services offered to veterans and the population of focus for such services.**
- (11) A detailed line-item budget proposal describing how any grant funds will be used.**
- (12) A letter from the Internal Revenue Service affirming the organization's tax exempt status under Section 501 of the Internal Revenue Code.**
- (13) The name, title, and signature of the chief executive officer or chief financial officer of the organization, attesting that all information submitted in the application is true and accurate.**

(Indiana Department of Veterans' Affairs; 914 IAC 1-2-1)

914 IAC 1-2-2 Application for grant for veterans' services consideration

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 2. An application shall not be considered until all required documents have been received by the department. The department may deny an incomplete application if all required documentation is not received within sixty (60) days of the first submission. A qualified entity may submit a new application if a prior application is denied. *(Indiana Department of Veterans' Affairs; 914 IAC 1-2-2)*

914 IAC 1-2-3 Organization's notification of change in application status

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 3. A qualified entity shall notify the department of any changes in the application information in writing within ten (10) days of the change. The department may revoke a qualified entity's eligibility for a grant for veterans' services if the changes affect the applicant's eligibility for a grant and require the qualified entity to submit a new application based on any changes to the information submitted in the prior application *(Indiana Department of Veterans' Affairs; 914 IAC 1-2-3)*

914 IAC 1-2-4 Organization's services provided requirements

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 4. The organization must provide one (1) of the following services to veterans:

- (1) A program focused on eliminating homelessness.
- (2) A program focused on preventing near-term homelessness.
- (3) A program focused on providing safe and secure living conditions.
- (4) Assisting veterans in moving from public housing assistance programs, both federal and state, while transitioning toward:
 - (A) home ownership, or;
 - (B) stable, long term rental status.
- (5) Assisting veterans in finding and using available federal and state resources.
- (6) Providing therapeutic services to assist the veteran in achieving long term stability and stable housing.
- (7) Providing on-the-job training and job search assistance.

(Indiana Department of Veterans' Affairs; 914 IAC 1-2-4)

914 IAC 1-2-5 Application for grant for veterans' services awarding process

Authority: IC 10-17-13.5-5

Affected: IC 4-21.5-3; IC 10-17-13.5

Sec. 5. Grants shall be awarded annually in one (1) year increments. The grant year shall run for twelve (12) full months from the time the grant is awarded. The applications for grants for veterans' services process is as follows:

(1) The department shall notify applicants in writing whether the applicant will receive a grant. If an applicant is awarded a grant, the notice shall specify the amount of the grant, the duration of the grant, and the performance indicators that the award recipient shall use to measure the effectiveness of the services provided using the grant. If the department denies an application for a grant award, the notice shall include the department's determination, the reasons the applications was denied, and a description of the applicant's right to appeal the determination.

(2) An applicant whose application for a grant is denied may appeal the decision by filing a written notice of appeal with the department within fifteen (15) days of receiving notice of the denial. *(Indiana Department of Veterans' Affairs; 914 IAC 1-2-5)*

Rule 3. Eligibility Criteria

914 IAC 1-3-1 Eligibility criteria and organization expectations

Authority: IC 10-17-13.3-5

Affected: IC 10-17-13.5

Sec. 1. To be eligible to receive a grant for veterans' services, an organization must meet the following requirements:

- (1) The organization must be exempt from taxation under Section 501 of the Internal Revenue Code.
- (2) The organization must be a nonprofit organization.
- (3) The organization must provide to veterans services described in IC 10-17-13.5-4(a).
- (4) The organization must be financially able to provide the services proposed in its application and must be able to utilize the grant funds during the grant year.
- (5) The organization must be in compliance with all state and federal laws and taxes.

(Indiana Department of Veterans' Affairs; 914 IAC 1-3-1)

914 IAC 1-3-2 Application for grant for veterans' services veteran eligibility

Authority: IC 10-17-13.3-5

Affected: IC 10-17-12-2; IC 10-17-13.5

Sec. 2. A grant for veteran services may only be used to provide services to veterans who satisfy all of the following criteria:

- (1) The veteran receiving services must reside in the state of Indiana.
- (2) The veteran receiving services must have served in any of the United States armed forces as defined in IC 10-17-13.5-3.
- (3) The veteran receiving services must not have received a dishonorable discharge as evidenced by the veteran's Department of Defense Form 214, National Guard Bureau (NGB) Form 22 as issued by the United States Department of Defense, or other official discharge papers.

(Indiana Department of Veterans' Affairs; 914 IAC 1-3-2)

Rule 4. Selection Procedures

914 IAC 1-4-1 Selection procedures and point grading system

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 1. An application that meets the eligibility criteria set forth in 914 IAC 1-3 shall be assessed using the selection procedures specified in this rule. The department retains the right to determine each grant award at its discretion. In determining the amount of a particular grant, the department shall consider the need for services in the geographic area where the award recipient intends to offer services. *(Indiana Department of Veterans' Affairs; 914 IAC 1-4-1)*

914 IAC 1-4-2 Department's organization point grading system

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 2. The department shall make a determination on selection of applicants based on a point grading system. The department shall award points for each factor as follows:

- (1) The applicant shall be appointed a number between zero (0) and five (5) based on the revenue generated by the organization. The higher the revenue, the lower the score, and vice versa. The points for this section of the grading system are as follows:
 - (A) 0 points: Extreme revenue of \$500,000 or more
 - (B) 1 point: High revenue of \$400,000 to \$499,999
 - (C) 2 points: Above average revenue of \$300,000 to \$399,999
 - (D) 3 points: Average revenue of \$200,000 to \$299,999
 - (E) 4 points: Below average revenue of \$100,000 to \$199,999

(F) 5 points: Little to no revenue up to \$99,999

(2) The organization shall be appointed a number between zero (0) and five (5) indicating geographic diversity and the need for services. Points are awarded based on the needs of the area the organization is serving. The more underserved the population the organization is serving and the needier the population is, the higher the points. The points for this section of the grading system are as follows:

(A) 5 points: Candidate works in an underserved population with high needs.

(B) 3-4 points: Candidate does not work in underserved population, but there is a high need for services.

(C) 1-2 points: Candidate works in a highly served and low need population.

(3) For applicants that have previously received a grant for veterans' services, performance will be based, in part, on the performance indicators used for that grant. Applicants that have not previously received a grant should provide performance data for their programs and services, including any data relating to the services proposed for grant funding. The points for this section of the grading system are as follows:

(A) 5 points: Excellent program performance as demonstrated by verifiable data.

(B) 4 points: Good program performance as demonstrated by verifiable data.

(C) 3 points: Average program performance as demonstrated by verifiable data.

(D) 2 points: Below average program performance as demonstrated by verifiable data.

(E) 0-1 points: Poor program performance as demonstrated by verifiable data or incomplete performance data provided.

(Indiana Department of Veterans' Affairs; 914 IAC 1-4-2)

914 IAC 1-4-3 Department's point awarding to organization

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 3. The applicants receiving the most points under the point grading system shall be given priority for grants, subject to available funding. In the event that funds have become limited, and two (2) or more organizations receive the same amount of points, the department shall consider the following in addition to the points awarded under the point grading system:

(1) Letters of support on behalf of the qualified entity.

(2) Further examination of the key performance indicators outlined in section 2 of this rule.

(3) Extent to which a qualified entity has used assistance available from other assistance programs and sources such as donations, previous grants awarded for similar services, gifts, bequests, and appropriations.

(Indiana Department of Veterans' Affairs; 914 IAC 1-4-3)

914 IAC 1-4-4 Department and organization's agreement

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 4. Applicants selected to receive grant awards must submit a signed grant agreement to the department before any funds can be released. *(Indiana Department of Veterans' Affairs; 914 IAC 1-4-4)*

914 IAC 1-4-5 Withdraw or future ineligibility of organization to receive a grant for veterans' services

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 5. If a grant recipient withdraws from, or declines a grant award, or the department determines that a grant recipient is no longer eligible to receive a grant award, the department may award the funds to the applicant receiving the next highest amount of points under the point grading system who was not selected originally. *(Indiana Department of Veterans' Affairs; 914 IAC 1-4-5)*

Rule 5. Department oversight and verification of use of funds received by qualified entities

914 IAC 1-5-1 Department oversight and verification of use of funds received by qualified entities

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 1. (1) A grantee shall account for all grant funds received under this program using generally accepted accounting principles. A grantee shall track all assets, expenses, cost of goods, and use of funds.

(2) A grantee shall submit quarterly financial reports to the department that detail how grant funds have been spent. A grantee shall also submit a comprehensive financial report of the grant at the end of the grant period. Financial reports shall utilize the department's model report.

(3) The department will create a model for the approved organization to utilize for case management and financial reviews.

(4) As it deems necessary, the department may audit or inspect any and all books, accounting records, or other documents related to the grant at any time. The department may require a grantee, at grantee's expense, to obtain an independent audit from an auditor approved by the department. (Indiana Department of Veterans' Affairs; 914 IAC 1-5-1)

914 IAC 1-5-2 Performance reporting

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 2. A grantee shall submit a detailed performance report at the end of the grant period detailing the outcomes achieved by the organization using the grant. The report must include the performance data and metrics identified in the grant application. The report must also include a detailed analysis comparing the outcomes achieved to the benchmarks for success identified in the grant application. (Indiana Department of Veterans' Affairs; 914 IAC 1-5-2)

914 IAC 1-5-3 Noncompliance of terms of grant agreement

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 3. If the department determines that a grantee is not in compliance with the terms of the grant agreement or has failed to maintain compliance with the eligibility requirements of this rule or IC 10-17-13.5, the department shall terminate the agreement and notify the grantee in writing. A grantee that has been terminated for noncompliance is not eligible to reapply for any grants provided by the department for a period of twelve (12) months from the date of termination of the agreement. (Indiana Department of Veterans' Affairs; 914 IAC 1-5-3)

914 IAC 1-5-4 Unobligated funds from a grant for veterans' services

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 4. All funds that are not obligated within the one (1) year time period shall be returned to the department. (Indiana Department of Veterans' Affairs; 914 IAC 1-5-4)

914 IAC 1-5-5 Failure to comply with requirements of this article or grant agreement

Authority: IC 10-17-13.5-5

Affected: IC 10-17-13.5

Sec. 5. A grantee that fails to comply with the requirements of this article or the grant agreement may be deemed ineligible to receive funding through any other department grant. (Indiana Department of Veterans' Affairs; 914 IAC 1-5-5)

Appendix B

IC 10-17-13.5 Chapter 13.5. Grants for Veterans' Services

10-17-13.5-1 "Department"

10-17-13.5-2 "Qualified entity"

10-17-13.5-3 "Veteran"

10-17-13.5-4 Grants to qualified entities; purposes

10-17-13.5-5 Rules required

10-17-13.5-6 Diagnostic testing and hyperbaric oxygen treatment pilot program; expiration June 30, 2020

10-17-13.5-7 Immunity

IC 10-17-13.5-1 "Department"

Sec. 1. As used in this chapter, "department" refers to the Indiana department of veterans' affairs established by IC 10-17-1-2.

As added by P.L.217-2017, SEC.76.

IC 10-17-13.5-2 "Qualified entity"

Sec. 2. As used in this chapter, "qualified entity" means an entity that provides services to veterans and is exempt from taxation under Section 501 of the Internal Revenue Code.

As added by P.L.217-2017, SEC.76.

IC 10-17-13.5-3 "Veteran"

Sec. 3. As used in this chapter, "veteran" means an individual residing in Indiana who:

- (1) has served in any branch of the armed forces of the United States or their reserves, in the national guard, or in the Indiana National Guard; and
- (2) has received a discharge from service under honorable conditions.

As added by P.L.217-2017, SEC.76.

IC 10-17-13.5-4 Grants to qualified entities; purposes

Sec. 4. (a) The department may make grants to qualified entities to be used for the purpose of providing services to veterans, including the following:

(1) Programs focused on eliminating homelessness, preventing near term homelessness, and providing safe and secure living conditions.

(2) Assisting veterans in moving from public housing assistance programs to:

(A) home ownership; or

(B) stable, long term rental status.

A grant under this chapter for the purpose specified in clause (B) may include up to nine (9) months of rental assistance.

(3) Assisting veterans in finding and using available federal and state resources.

(4) Providing therapeutic services.

(5) Providing job training and job search assistance.

(b) The department may make grants to the provider chosen by the state department of health under section 6 of this chapter to be used for the purpose of providing assistance to the provider

to provide diagnostic testing and hyperbaric oxygen treatment to veterans receiving treatment under the pilot program established under section 6 of this chapter. However, a grant under this chapter may not be awarded for the purposes specified in this subsection unless the state department of health has adopted the rules required by section 6(g) of this chapter. In addition, a grant may not be awarded for the purposes specified in this subsection after the expiration of the pilot program established under section 6 of this chapter.

As added by P.L.217-2017, SEC.76. Amended by P.L.155-2018, SEC.2.

IC 10-17-13.5-5 Rules required

Sec. 5. The department shall adopt rules under IC 4-22-2 for the provision of grants under this chapter. The rules adopted under this section must address the following:

- (1) Application procedures.
- (2) Eligibility criteria.
- (3) Selection procedures.
- (4) A consideration of the extent to which a qualified entity has used assistance available from other assistance programs before assistance may be provided to the qualified entity from the fund.
- (5) Department oversight and verification of use of funds received by qualified entities.
- (6) Other areas in which the department determines that rules are necessary to ensure the uniform administration of the grant program under this chapter.

As added by P.L.217-2017, SEC.76.

IC 10-17-13.5-6 Diagnostic testing and hyperbaric oxygen treatment pilot program; expiration June 30, 2020

Sec. 6. (a) As used in this section, "hyperbaric oxygen treatment" means treatment for traumatic brain injury or posttraumatic stress disorder that is ordered by a health care provider and delivered in a hyperbaric chamber.

(b) The department shall establish a pilot program for the purpose of providing assistance for each provider that has been approved by the state department of health to provide diagnostic testing and hyperbaric oxygen treatment to veterans receiving treatment under section 4(b) of this chapter.

(c) The state department of health shall issue a request for proposals to select up to five (5) providers that collectively represent the north, south, east, west, and central geographic areas of Indiana to offer the treatment described in section 4(b) of this chapter.

(d) An individual veteran is eligible to begin treatment if the service related event that caused the traumatic brain injury or posttraumatic stress disorder is documented by a licensed physician.

(e) An individual veteran must pay a co-pay equal to ten percent (10%) of the cost of treatment billed to the department or the state department of health.

(f) A grant under the pilot program established under subsection (b) may be provided only to the provider chosen by the state department of health to provide diagnostic testing and hyperbaric oxygen treatment to veterans.

(g) The state department of health, after consulting with the department, shall adopt rules under IC 4-22-2 to implement section 4(b) of this chapter, including standards for the following:

- (1) Determination by a provider that an individual is a veteran eligible for participation in the pilot program.

(2) Determination by the state department of health that a provider is eligible to participate in the pilot program, including:

(A) a requirement that the provider must maintain compliance with applicable fire codes, treatment protocols, and state department of health oversight; and

(B) other facility standards determined by the state department of health.

(3) Treatment plan requirements, including the following:

(A) A provider's submission to the state department of health, before providing hyperbaric oxygen treatment to a veteran, of a treatment plan that includes:

(i) a health care provider's prescription for hyperbaric oxygen treatment;

(ii) verification by the provider that the veteran is eligible for participation in the pilot program and voluntarily accepts treatment through the pilot program;

(iii) an estimate of the cost of the veteran's treatment; and

(iv) any other information required by the state department of health.

(B) A reasonable time frame for:

(i) approval or disapproval by the state department of health of a treatment plan described in clause (A); and

(ii) notice to the provider of approval or disapproval of the treatment plan.

(C) Contingent on sufficient funding available in the fund, approval of each treatment plan that meets the requirements established by the state department of health under this section.

(D) The sources of funding for the estimated treatment cost for each veteran whose treatment plan is approved under this section.

(4) Criteria for approval of payment for treatment that has been verified by the state department of health to have been provided under a treatment plan approved under subdivision (3), including:

(A) whether a drug or device used in the treatment plan has been approved for any purpose by the federal Food and Drug Administration;

(B) health improvement of the veteran receiving the treatment, as demonstrated through:

(i) standardized, independent pretreatment and posttreatment neuropsychological testing;

(ii) nationally accepted survey instruments;

(iii) neurological imaging; or

(iv) clinical examination; and

(C) receipt by the state department of health of pretreatment and posttreatment evaluation documentation.

(5) Confidentiality of all individually identifiable patient information of a veteran. However, subject to the requirements of the federal Health Insurance Portability and Accountability Act and any other applicable medical record laws, all data and information from which the identity of an individual veteran cannot be reasonably ascertained must be available to the general assembly, participating institutional review boards, participating health care providers, medical researchers, and other governmental agencies.

(h) A provider under this section, including a physician who supervises treatment, shall bill the pilot program and be paid at cost out of the grant amount awarded to the provider. No providers may profit from services provided under the pilot program. Services offered under the pilot program are provided as a service to veterans.

(i) Each provider shall quarterly file a status report concerning the services provided by the provider under the pilot program with the following:

(1) The department.

(2) The state department of health.

(j) At the conclusion of the pilot program, the department, in collaboration with the state department of health, shall prepare a written final report and transmit it to the following:

(1) The governor.

(2) The leadership of the legislative council in electronic format under IC 5-14-6.

(3) The chairperson of the house committee on veterans affairs and public safety.

(4) The chairperson of the senate committee on veterans affairs and the military.

The report required under this subsection must be made available on the department's Internet web site.

(k) This section expires June 30, 2020.

As added by P.L.217-2017, SEC.76. Amended by P.L.155-2018, SEC.3.

IC 10-17-13.5-7 Immunity

Sec. 7. A provider under section 6 of this chapter, including a physician who supervises treatment, is immune from civil and criminal liability for an act or omission relating to the use of hyperbaric oxygen treatment to treat a veteran under the pilot program, unless the act or omission constitutes gross negligence or willful or wanton misconduct.

As added by P.L.155-2018, SEC.4.

Appendix C

42 U.S. Code § 11302. General definition of homeless individual

(a) In general for purposes of this chapter, the terms “homeless”, “homeless individual”, and “homeless person” means:

(1) an individual or family who lacks a fixed, regular, and adequate nighttime residence;
 (2) an individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;

(3) an individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including hotels and motels paid for by Federal, State, or local government programs for low-income individuals or by charitable organizations, congregate shelters, and transitional housing);

(4) an individual who resided in a shelter or place not meant for human habitation and who is exiting an institution where he or she temporarily resided;

(5) an individual or family who—

(A) will imminently lose their housing, including housing they own, rent, or live in without paying rent, are sharing with others, and rooms in hotels or motels not paid for by Federal, State, or local government programs for low-income individuals or by charitable organizations, as evidenced by—

(i) a court order resulting from an eviction action that notifies the individual or family that they must leave within 14 days;

(ii) the individual or family having a primary nighttime residence that is a room in a hotel or motel and where they lack the resources necessary to reside there for more than 14 days; or

(iii) credible evidence indicating that the owner or renter of the housing will not allow the individual or family to stay for more than 14 days, and any oral statement from an individual or family seeking homeless assistance that is found to be credible shall be considered credible evidence for purposes of this clause;

(B) has no subsequent residence identified; and

(C) lacks the resources or support networks needed to obtain other permanent housing; and

(6) unaccompanied youth and homeless families with children and youth defined as homeless under other Federal statutes who—

(A) have experienced a long term period without living independently in permanent housing,

(B) have experienced persistent instability as measured by frequent moves over such period, and

(C) can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse, the presence of a child or youth with a disability, or multiple barriers to employment.

Appendix D



APPLICATION FOR GRANTS FOR VETERANS' SERVICES

Name of Organization:

Vendor Number:

Bidder Number:

Federal Tax ID Number:

Point of Contact Name:

Contact Telephone Number:

Address:

County:

Service Area(s):

Email Address:

Are you registered with the Indiana Secretary of State?

Yes

No

Question One: How much funding do you wish to receive?

Question Two: What are your overarching goals/objective if you receive GVS funding?

Question Three: If you are not a recipient of a GVS award, how will you fund your program?