

DBE AFFIRMATIVE ACTION CERTIFICATION

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I hereby certify that contact has been made with the firms listed in this certification, and that I have confirmed that these firms are certified by the State of Indiana as DBEs (For listing of DBE certified firms, see [www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls).)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

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CERTIFICATION CODE AND DBE NAME AND ADDRESS PAY ITEM NUMBER AND SERVICE PLANNED	USED AS	PARTICIPATION ACTUAL DOLLAR AMOUNT TO BE PAID TO DBE
DBEW SLUSSER`S GREEN THUMB, INC. 125 MONTGOMERY STREET P.O. BOX 33 , LOGANSFORT, IN 46947-0033 21-24, 57-65, 93, 94	SubContractor	Race Neutral 103,598.25

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Contract Number R -28983-A  
Contract Bid Amount \$6,264,147.75  
DBE Goal 7.00%

DBE AFFIRMATIVE ACTION CERTIFICATION

CERTIFICATION CODE AND DBE NAME AND ADDRESS PAY ITEM NUMBER AND SERVICE PLANNED	USED AS	PARTICIPATION ACTUAL DOLLAR AMOUNT TO BE PAID TO DBE
DBEW CARDINAL INDIANA, INC. 2490 INDUSTRIAL PARK DRIVE , BLOOMINGTON, IN 47404-2690 92	SubContractor	Race Neutral 1,705.00
DBE STATEWIDE TRUCKING, INC. 7020 ARDMORE AVE P.O. BOX 9249 , FORT WAYNE, IN 46809 19. 31, 27	SubContractor	Race Neutral 304,998.69
DBEW HOOSIER COMPANY INC 5421 W. 86TH STREET P.O. BOX 681064 , INDIANAPOLIS, IN 46268 12, 102-105, 107	SubContractor	Race Neutral 30,425.40

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 7.04% or \$ 440727.34  
Required: 7.00% or \$ 438490.34  
<GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 2,237.00

Please Note: Only 60% of the participation of a DBE supplier who does not  
manufacture or install the product will be counted toward the goal.

*Brooks Construction*

Contract Number R -29933-A  
 Contract Bid Amount \$418,986.00  
 DBE Goal 6.00%

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DBEM C LEE CONSTRUCTION SERVICES, IN 1200 W. MAIN ST. P. O. BOX 618 , GRIFFITH, IN 46319 14, Hauling Broken Concrete	SubContractor	Race Conscious 3,000.00

Contract Number R -29933-A  
Contract Bid Amount \$418,986.00  
DBE Goal 6.00%

DBE AFFIRMATIVE ACTION CERTIFICATION

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DBEM HIGHWAY SAFETY SERVICES INC 3215 IMPERIAL PARKWAY , LAFAYETTE, IN 47909 17, 18, 19, 20, 21(Partial), 22, Traffic Control	SubContractor	Race Conscious 24,220.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 6.50% or \$ 27220.00  
Required: 6.00% or \$ 25139.16  
<GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 0.00

Please Note: Only 60% of the participation of a DBE supplier who does not  
manufacture or install the product will be counted toward the goal.

*Rieth-Riley Construction Co*

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DBEM MASTER ENTERPRISES 4101 E 30TH STREET P O BOX 18523 , INDIANAPOLIS, IN 46218 69,70,71,72	Supplier	Race Conscious 49,254.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 5.05% or \$ 29552.40  
Required: 5.00% or \$ 29288.13  
<GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 264.27

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*Primco, INC*

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CERTIFICATION CODE AND DBE NAME AND ADDRESS	USED AS	PARTICIPATION ACTUAL DOLLAR AMOUNT TO BE PAID TO DBE
DBEM HARMON STEEL, INC. 623 S. STATE STREET , NORTH VERNON, IN 47265 items 75,76, & 78 - install re-steel & SIP metal decking	SubContractor	Race Conscious 91,172.00

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DBEW SIMS & PEDIGO COMPANY 8311 N. SR 37 , BLOOMINGTON, IN 47404 items 79,80,82 - concrete bridge rail & trans and surface se	SubContractor	Race Conscious 25,000.00
DBEW C-TECH CORPORATION, INC. 5300 W 100 N , BOGGSTOWN, IN 46110 items 39-42,46,47,96,97 Guard Rail	SubContractor	Race Conscious 25,743.10
DBEW C A FULKERSON LLC P O BOX 336 LANESVILLE, IN 47136 ITEMS 53-63 SEED AND SOD	SubContractor	Race Conscious 7,000.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 7.11% or \$ 148915.10  
 Required: 7.00% or \$ 146675.30  
 <GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 0.00

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PAY ITEM NUMBER AND SERVICE PLANNED		ACTUAL DOLLAR AMOUNT TO BE PAID TO DBE
DBEW C-TECH CORPORATION, INC. 5300 W 100 N , BOGGSTOWN, IN 46110 Items 17,45-52 Guard Rail	SubContractor	Race Conscious   62,000.00

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DBEM HARMON STEEL, INC. 623 S. STATE STREET , NORTH VERNON, IN 47265 Item 72, 73, & 76 - partial Install re-steel and SIP metal d	SubContractor	Race Conscious 88,000.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 8.31% or \$ 150000.00  
 Required: 8.00% or \$ 144450.88  
 <GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 0.00

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DAVE O'MARA CONTRACTOR

Contract Number RS-28669-A  
Contract Bid Amount \$757,383.89  
DBE Goal 6.00%

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DBEW M A S MARKERS, INC. 1625 S. 400 E. , LEBANON, IN 46052 #58 SRPM; Furnish & Install	SubContractor	Race Conscious  9,119.25

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DBEM HIGHWAY SAFETY SERVICES INC 3215 IMPERIAL PARKWAY , LAFAYETTE, IN 47909 037, 040, 053-057 Construction Sign, C & A, Line Paint, Tran	SubContractor	Race Conscious 18,348.00
DBEW BETH'S TRUCKING LLC PO BOX 142 NASHVILLE, IN 47448 #17, 18, 22, 23 TRUCKING (PARTIAL)	SubContractor	Race Conscious 9,000.00
DBEM RAMON EXCAVATING, INC. 7690 W. GIFFORD ROAD, BLOOMINGTON, IN 47403 #17, 18, 22, 23 TRUCKING (PARTIAL)	SubContractor	Race Conscious 9,000.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 6.00% or \$ 45467.25  
 Required: 6.00% or \$ 45443.03  
 <GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
 (RACE/GENDER NEUTRAL): \$ 0.00

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PHEND & BROWN, INC.

Contract Number RS-29733-A  
Contract Bid Amount \$380,319.21  
DBE Goal 4.00%

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DBEW J & R TRUCKING 2207 WEST COOK ROAD , FORT WAYNE, IN 46818 14,15-21,24 Hauling	SubContractor	Race Conscious  12,000.00

TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 4.19% or \$ 15930.00  
 Required: 4.00% or \$ 15212.77  
 <GOAL MET>

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(RACE/GENDER NEUTRAL): \$ 0.00

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Contract Bid Amount \_\_\_\_\_  
 MBE Goal 7 %  
 WBE Goal 5 %

**MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN**

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is [www.IN.gov/idoa/minority/](http://www.IN.gov/idoa/minority/) and contains a complete list of all the Department's certified MBE's and WBE's.

**Minority & Women's Business Enterprises Participation Letter of Commitment**

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

**MBE/WBE PARTICIPATION PLAN**

RFP # / (Bid # / ) Quote # TM 29454 B DUE DATE September 19, 2007

(Circle one)

RFP / (BID) QUOTE NAME Tm 29454-B

(Circle One)

RESPONDENT Lights & Signals, Inc.

ADDRESS 4332 Flagstaff Cove

CITY/STATE/ZIP Fort Wayne, IN 46815

PHONE( ) 260-485-3944

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

MBE/WBE PHONE	COMPANY NAME	SCOPE OF PRODUCTS/SERVICES	UTILIZATION DATE	AMOUNT
MBE 317-545-3335	Master Filter Corp	Signal Poles-handholes-gal pipe-signal heads	12/15/07	\$35,564.73
			60% =	\$21,338.84
WBE 317-594-9259	Traffic Signal Co.	electronic signal equipment	100%	\$23,781.25

\*If additional room is necessary, indicate here \_\_\_\_\_ Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

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I hereby certify that contact has been made with the firms listed in this certification, and that I have confirmed that these firms are certified by the State of Indiana as DBEs (For listing of DBE certified firms, see [www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls).)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral" DBE participation). I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.

After contract award, any change to the firms listed as DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL or DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

CERTIFICATION CODE AND DBE NAME AND ADDRESS PAY ITEM NUMBER AND SERVICE PLANNED	USED AS	PARTICIPATION ACTUAL DOLLAR AMOUNT TO BE PAID TO DBE
DBEW HAWK ENTERPRISES, INC. 1850 E. NORTH STREET , CROWN POINT, IN 46307 15, 17, 34, 37, 44, 139-194 ; ELECTRICAL AND SHEET SIGNS	SubContractor	Race Conscious 1,464,567.03



TOTAL DOLLAR AMOUNT CREDITED TOWARD DBE GOAL (RACE/GENDER CONSCIOUS):

Entered: 12.69% or \$ 1464567.03  
Required: 5.00% or \$ 577171.89  
<GOAL MET>

TOTAL DOLLAR AMOUNT OF VOLUNTARY DBE WORK ANTICIPATED OVER DBE GOAL  
(RACE/GENDER NEUTRAL): \$ 0.00

Please Note: Only 60% of the participation of a DBE supplier who does not  
manufacture or install the product will be counted toward the goal.

*Name of company: Walsh & Kelly, Inc.*