

## SETTLEMENT AGREEMENT

This Settlement Agreement (“Agreement”) is entered into by and among the Indiana Occupational Safety & Health Administration division of the Indiana Department of Labor (“IOSHA”) and Warren Harbor Apartments, LLC (“Warren Harbor”). Each of the aforementioned entities is a “Party,” and are collectively “Parties,” to this Agreement.

Each Party has concluded that it is in its best interest to finally and completely resolve the Safety Order and Notification of Penalty, issued to Warren Harbor on June 28, 2016, which became a Final Order on September 20, 2016 (“Safety Order”), under the terms set forth in this Agreement. To that end, the Parties stipulate and agree as follows:

### RECITALS

1. Warren Harbor manages an apartment complex at 9300 E. 21<sup>st</sup> St. in Indianapolis, Indiana (“Worksite”) that was built in 1971 and has approximately 240 units housed in multiple three-story buildings. On or about January 13, 2016, through May 18, 2016, employees of Warren Harbor were performing interior building renovations and maintenance activities at the site.

2. From January 13, 2016, through May 18, 2016, authorized employees of the Indiana Department of Labor IOSHA division conducted an inspection at Warren Harbor’s Worksite.

3. On June 28, 2016, IOSHA issued the Safety Order resulting from IOSHA Inspection No. 318011186 alleging that Warren Harbor had violated the Indiana Occupational Safety and Health Act (IC 22-8-1.1 et seq.) or the standards or rules thereunder and assessed a total penalty of twenty-seven thousand five hundred dollars (\$27,500). Receipt of the Safety Order was acknowledged by Warren Harbor on August 29, 2016.

4. After receipt, Warren Harbor did not contest the Safety Order within the fifteen working days required by statute and the Safety Order became a Final Order on September 20, 2016.

5. Warren Harbor employees who received the Safety Order mistakenly did not send it where it needed to go to be addressed in a timely manner.

6. The Parties now desire to resolve the abatement required and penalty assessed under the Safety Order.

7. Accordingly, the Parties have agreed to resolve the Safety Order in its entirety.

8. This Agreement is made in consideration of the mutual covenants contained in this Agreement.

## TERMS AND CONDITIONS

1. **Warren Harbor Obligations.** Warren Harbor shall accept all citations as cited in the Safety Order, but each with a 50% reduced penalty. As of April 2, 2017, abatement of all citations has been completed by Warren Harbor and confirmed through assurances from Warren Harbor to IOSHA by Memorandum from James F. Chiu. Warren Harbor shall pay a reduced total penalty of thirteen thousand seven hundred fifty dollars (\$13,750) payable to the Indiana Department of Labor. If Warren Harbor fails to pay this reduced total penalty amount upon execution of this Agreement, the Agreement becomes null and void in its entirety and penalty amounts assessed in the original Safety Order become an enforceable Final Order.

2. **IOSHA Obligations.** IOSHA shall assess all citations as cited in the Safety Order against Warren Harbor and has accepted the said April 2, 2017, Memorandum from James F. Chiu as proof of abatement for all of said citations and no further proof of abatement is required, as to the citations contained in IOSHA Inspection No. 318011186. However, IOSHA shall reduce each assessed penalty for each citation in the Safety Order by 50% and accept this penalty payment amount.

3. **Full Settlement of Safety Order.** The terms identified herein represent a complete settlement and satisfaction of the Safety Order and Notification of Penalty, issued to Warren Harbor on June 28, 2016, which became a Final Order on September 20, 2016, and is the subject of this agreement.

4. **Successors in Interest.** This Agreement and the contents hereof are binding on the Parties and each of their respective agents, representatives, trustees, guardians, administrators, employees, successors, heirs and assigns.

5. **Entire Agreement.** This Agreement sets forth the entire agreement between the Parties with respect to the subject matter of this Agreement and fully supersedes all prior negotiations, representations and agreements, whether written or oral, between or among the Parties or between or among the attorneys for the Parties with respect to the subject matter of this Agreement. This Agreement may be modified only by written agreement of the Parties, and no modification shall be enforceable against a Party unless signed by that Party.

6. **Choice of Law and Venue.** This Agreement shall be interpreted in accordance with the laws of the State of Indiana without regard to its choice of laws provisions. Exclusive jurisdiction and venue over any and all disputes arising out of or in connection with this Agreement shall be in the State or Federal Courts of Marion County, Indiana.

7. **Attorneys Fees.** Each party shall pay his, her or its own attorneys' fees and expenses.

8. **Headings.** The use of any heading or caption in this Agreement is for the convenience of the parties, and shall not have any effect on the meaning or construction of the Agreement or any portion of it.

9. **Counterparts.** This Agreement may be executed in two or more counterparts, each of which shall be deemed an original and all of which, together, shall constitute one and the same instrument.

10. **Effective Date.** This Agreement shall be effective and fully binding on the Parties on the date on which the last Party signs the Agreement.

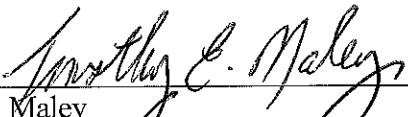
11. **Acknowledgment.** Each of the Parties to this Agreement has read this Agreement, consulted with an attorney concerning this Agreement, or knowingly waives the right to consult with an attorney, and knows and understands the contents of this Agreement. In view of such reading, counseling, knowledge and understanding, and because each Party has also had an opportunity to negotiate fully the terms of this Agreement and its final form, this Agreement and its terms and provisions shall be interpreted and construed without any presumption or inference against the Party causing this Agreement or any provision of it to be drafted.

Accordingly, the Parties have executed this Settlement Agreement on the dates indicated

below:

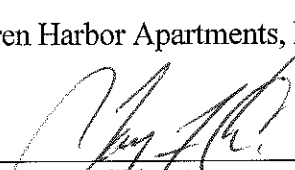
The Indiana Occupational Safety & Health  
Administration division of the Indiana Department  
of Labor ("IOSHA")

Date: 6/19/17

By:   
Tim Maley  
Deputy Commissioner of Labor IOSHA

Warren Harbor Apartments, LLC ("Warren Harbor")

Date: 6/14/17

By:   
James F. Chiu, Attorney for  
Warren Harbor Apartments, LLC

# Indiana Department of Labor

Indiana Occupational Safety and Health Administration  
402 West Washington St - Room W195  
Indianapolis, IN 46204  
Phone: 317-232-2691 FAX: (317)233-3790



*Certified mail # 91 7190 0005 2720 0061 1588 628-16*

## Safety Order and Notification of Penalty

**To:**

Warren Harbor Apartments  
9300 East 21st Street  
Indianapolis, IN 46229

**Inspection Number:** 318011186  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016

**Inspection Site:**

2173 Sussex Lane  
Indianapolis, IN 46229

*The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

**Informal Conference** - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

**Right to Contest** - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s)

and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

**Posting** - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

**Penalties** - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

**Abatement** - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

- (1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or
- (2) The abatement period is extended by the granting of a petition for modification of abatement date.

**PMAs** - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

- (1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance

during the prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

**Notification of Corrective Action** - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty..

**Followup Inspections** - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

**Employer Discrimination Unlawful** - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

**Notice to Employees** - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must

be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

**Indiana Department of Labor**

**NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE**

An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 6/28/2016. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on \_\_\_\_\_ at \_\_\_\_\_. Employees and/or representatives of employees have a right to attend an informal conference.



**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 001**                      Type of Violation: **Serious**

29 CFR 1926.1101(e)(1): All Class I, II, and III asbestos work was not conducted within regulated areas. Regulated areas shall comply with the requirements of paragraphs (2), (3),(4) and (5) of this section:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, regulated areas were not established for employees performing Class I asbestos removal work.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 002**

Type of Violation: **Serious**

29 CFR 1926.1101(e)(6): The employer did not ensure that all asbestos work performed within regulated areas was supervised by a competent person:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no competent person was designated for the Class I asbestos construction work.

**Date By Which Violation Must Be Abated:** 8/1/2016  
**Proposed Penalty:** \$2,500.00

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Safety Order 01 Item 003a**                      Type of Violation: **Serious**

29 CFR 1926.1101(f)(1)(i): Where exposure monitoring was required under 29 CFR 1926.1101, the employer did not perform monitoring to determine accurately the airborne concentrations of asbestos to which employees were or potentially were exposed:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no assessment was performed to determine exposure to airborne asbestos for employees performing Class I removal work.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 003b**                      Type of Violation: **Serious**

29 CFR 1926.1101(f)(2)(ii): The employer failed to presume that employees were exposed in excess of the TWA and excursion limit during Class I asbestos work, prior to a negative exposure assessment made pursuant to 29 CFR 1926.1101(f)(2)(iii) or exposure monitoring was conducted:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no initial assessment was performed to determine exposure to airborne asbestos for employees performing Class I removal work.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$0.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 004**                      Type of Violation: **Serious**

29 CFR 1926.1101(g)(1): The employer did not use engineering controls and work practices in all operations covered by 29 CFR 1926.1101, regardless of the levels of the exposure:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no asbestos engineering controls or asbestos work practices were utilized by employees performing Class I asbestos removal work.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Safety Order 01 Item 005a**            Type of Violation: **Serious**

29 CFR 1926.1101(h)(1)(i): Respirators were not used during all Class I asbestos jobs:

Warren Harbor Apartments - On December 28, 2015 and time prior to, dust masks were used during Class I asbestos construction work.

**Date By Which Violation Must Be Abated:**            **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 005b**                      Type of Violation: **Serious**

29 CFR 1926.1101(h)(2)(i): The employer failed to implement a respiratory protection program in accordance with 29 CFR 1910.134(b) through (d) [except (d)(1)(iii)], and (f) through (m), which covers each employee required by 29 CFR 1926.1101 to use a respirator:

Warren Harbor Apartments - On December 28, 2015 and time prior to, no respiratory protection program was implemented for laborers who performed Class I asbestos construction work and wore dust masks.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$0.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 006**                      Type of Violation: **Serious**

29 CFR 1926.1101(i)(1): The employer did not provide and require the use of protective clothing, such as coveralls or similar whole-body clothing, head coverings, gloves, and foot coverings for each employee exposed to airborne concentrations of asbestos that exceed the time weighted average and/or excursion limit in 29 CFR 1926.1101(c) or for which a required negative exposure assessment was not produced:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, three laborers performed Class I asbestos work in their own clothes.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**



**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 007**                      Type of Violation: **Serious**

29 CFR 1926.1101(k)(8)(i): Labels were not affixed to all products containing asbestos and to all containers containing such products, including waste containers:

Warren Harbor Apartments - On December 28, 2015 and at time prior, construction debris with Class I asbestos material was disposed of in bulk in a general waste dumpster.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 008**                      Type of Violation: **Serious**

29 CFR 1926.1101(k)(9)(i): The employer did not institute, at no cost to employees, a training program for each employee who was likely to be exposed in excess of the permissible exposure limit (PEL) and for each employee who performed Class I through IV asbestos operations:

Warren Harbor Apartments - On December 28, 2015 and at time prior, employees tasked with Class I asbestos construction work did not receive any initial training regarding the requirements of the standard 1926.1101.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 009**                      Type of Violation: **Serious**

29 CFR 1926.1101(1)(2): Asbestos waste, scrap, debris, bags, containers, equipment, and contaminated clothing consigned for disposal was not collected and disposed of in sealed, labeled, impermeable bags or other closed, labeled, impermeable containers:

Warren Harbor Apartments - On December 28, 2015 and at time prior, construction debris with Class I asbestos material was disposed of in bulk in a general waste dumpster.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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**Safety Order 01 Item 010**                      Type of Violation: **Serious**

29 CFR 1926.1101(o)(1): The employer did not designate a competent person on construction worksites, having the qualifications and authorities for ensuring worker safety and health required by 29 CFR 1926.1101(C), General Safety and Health Provisions for Construction 29 CFR 1926.20 through 29 CFR 1926.32:

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no competent person was designated for the Class I asbestos construction work.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**

**Indiana Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 318011186  
**Inspection Date(s):** 1/13/2016 - 5/18/2016  
**Issuance Date:** 6/28/2016  
**CSHO ID:** G1088  
**Optional Report No.:** 0097-16

**Safety Order and Notification of Penalty**

**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Safety Order 01 Item 011a**                      Type of Violation: **Serious**

29 CFR 1910.1200(e)(1): Employer had not developed or implemented a written hazard communication program which included the requirements outlined in 29 CFR 1910.1200(e)(1)(i) and (e)(1)(ii):  
(Construction Reference: 1926.59)

Warren Harbor Apartments - On December 28, 2015 and at time prior to, no hazard communication program was developed for employees exposed to hazardous chemical products such as, but not limited to, asbestos.

**Date By Which Violation Must Be Abated:**                      **8/1/2016**  
**Proposed Penalty:**    **\$2,500.00**



# Indiana Department of Labor

Indiana Occupational Safety and Health Administration  
402 West Washington St - Room W195  
Indianapolis, IN 46204  
Phone: (317)232-2691 FAX: (317)233-3790



## INVOICE/DEBT COLLECTION NOTICE

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**Company Name:** Warren Harbor Apartments  
**Inspection Site:** 2173 Sussex Lane, Indianapolis, IN 46229  
**Issuance Date:** 6/28/2016

**Summary of Penalties for Inspection Number: 318011186**

**Safety Order 1, Serious** = \$27,500.00  
**TOTAL PENALTIES** = \$27,500.00

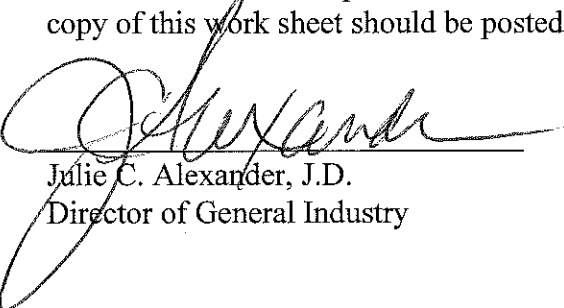
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Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).

  
Julie C. Alexander, J.D.  
Director of General Industry

6/28/16  
Date