

SETTLEMENT AGREEMENT

The Commissioner of Labor (hereinafter referred to as "Commissioner") and City of Indianapolis-Department of Public Works (DPW) ("Employer") hereby agree as follows:

The Commissioner amends the Safety Order(s) and Notification(s) of Penalty, IOSHA Inspection No. 316551738 issued to the Employer on September 5, 2013 in the following manner:

SAFETY ORDER 01:

Item 1: Upheld. Penalty is reduced to Zero Dollars.
Item 2a-2d: Upheld. Penalty is reduced to Zero Dollars.

SAFETY ORDER 02:

Item 1a-1d: Reduced to a Serious violation and the Penalty is reduced to Zero Dollars.

SAFETY ORDER 03:

Item 1: Upheld. Abatement extended to December 1, 2013.

The Penalty is reduced to zero as DPW is a public sector employer and has agreed to abate all violations.

The TOTAL AGREED PENALTY is \$00.00.

THE EMPLOYER IS SATISFIED WITH THE AMENDMENTS STATED ABOVE AND ACCORDINGLY WAIVES ITS RIGHT TO FILE A NOTICE OF CONTEST OF THE SAFETY ORDER(S) AND NOTIFICATION(S) OF PENALTY AS AMENDED AND AGREES TO WITHDRAW ANY PREVIOUSLY FILED NOTICES OF CONTEST IN THIS MATTER.

Upon full execution of this Settlement Agreement ("Agreement") the Employer will post this Agreement for three (3) working days or until abatement is completed, whichever period is longer.

The total AGREED PENALTY is due and payable within fifteen (15) working days from the Employer's execution of this Agreement. The Employer further agrees that if the AGREED PENALTY is not paid within fifteen working days from the Employer's execution of this Agreement, that the full amount of the penalty initially assessed against the Employer in the Safety Order(s) and Notification(s) of Penalty which are the subject of this Agreement is due and payable immediately.

The Safety Order(s) and Notification(s) of Penalty are, and shall be, herein a final and enforceable Order of the Board of Safety Review.

Except for this Agreement, and IOSHA matters arising out of this Agreement, and any other subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by Employer shall be deemed an admission by Employer

of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings and actions taken herein are made in order to compromise and settle this IOSHA matter economically and amicably, and they shall not be used for any other purpose, except as herein stated.

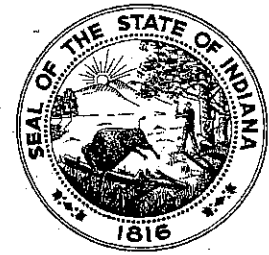
CITY OF INDIANAPOLIS
DEPARTMENT OF PUBLIC WORKS

By: *Jm Bluser*
Title: *Director*
Date: *9.30.13*

COMMISSIONER OF LABOR

By: *Alexander*
Title: *Director*
Date: *9/30/13*

Indiana Department of Labor
Indiana Occupational Safety and Health Administration
402 West Washington Street
Room W195
Indianapolis, IN 46204-2751
Phone: 317/232-1979 Fax: 317/233-8509



Safety Order and Notification of Penalty

To: City of Indianapolis,
and its successors
200 East Washington Street Room 2260
Indianapolis, IN 46204

Inspection Number: 316551738
Inspection Date(s): 04/09/2013 - 06/19/2013
Issuance Date: 09/05/2013

Inspection Site:

35th and Bancroft
Indianapolis, IN 46218

The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

Right to Contest - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days

on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s) and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

Posting - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

Penalties - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

Abatement - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

(1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or

(2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

(1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the

prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

Notification of Corrective Action - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty.

Followup Inspections - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

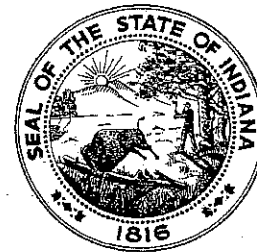
Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to

the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

Indiana Department of Labor

Indiana Occupational Safety and Health Administration



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

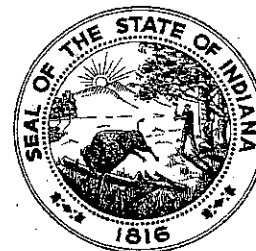
An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 09/05/2013. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on _____ at _____.

Employees and/or representatives of employees have a right to attend an informal conference.

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 -
06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

Safety Order 1 Item 1 Type of Violation: **Serious**

IC 22-8-1.1, Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck by and/or crushing hazards due to inadequate and ineffective operator training:

Facility - No written program was developed based upon a hazard assessment and evaluation which included procedures for operation, inspection and maintenance of equipment, prohibited practices, training requirements, and normative references to documents, such as operating manuals. Drivers and collection laborers performed solid waste collection activities using an American LaFrance sanitation truck equipped with a Heil DuraPack 5000 Rear Loader up to 8 hours per day.

AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: ensure the operation and use of a rear loading sanitation truck is in accordance with ANSI Z245.1 Standard for Mobile Wastes and Recyclable Materials Collection, Transportation, and Compact Equipment-Safety Requirements and the Heil DuraPack 5000 Rear Loader Operator's Manual.

Date By Which Violation Must be Abated:	09/26/2013
Proposed Penalty:	\$5,000.00

Indiana Department of Labor

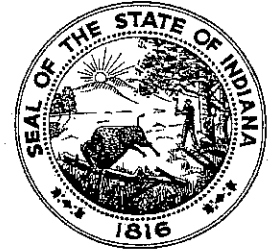
Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738

Inspection Dates: 04/09/2013 -

06/19/2013

Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis

Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for illness or injury resulting from an accident.

Safety Order 1 Item 2a Type of Violation: **Serious**

29 CFR 1910.147(c)(2)(i): Where an energy isolating device was not capable of being locked out, the employer's energy control program under 29 CFR 1910.147(c)(1) did not utilize a tagout system:

Belmont campus Garage: Lockout tags were not utilized by drivers and collection laborers when exposed to hydraulic and other potential hazardous energy sources while performing cleaning tasks inside the body of the Heil Durapack 5000 Rear Loader up to 45 minutes per day.

Date By Which Violation Must be Abated: 09/26/2013
Proposed Penalty: \$5,000.00

Safety Order 1 Item 2b Type of Violation: **Serious**

29 CFR 1910.147(c)(4)(i): Procedures were not developed, documented and utilized for the control of potentially hazardous energy when employees are engaged in activities covered by this section:

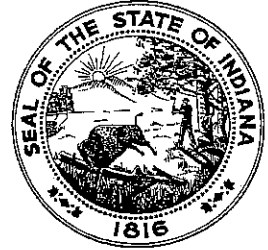
Belmont Campus Garage: An energy control plan for the Heil Durapack 5000 Rear Loader was not completed to assure that appropriate procedures are followed for equipment specific energy isolation. Drivers and collection laborers were exposed to hydraulic and other potential hazardous energy sources when performing cleaning tasks inside the body of the Heil Durapack 5000 Rear Loader up to 45 minutes per day.

Date By Which Violation Must be Abated: 09/26/2013

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 -
06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

Safety Order 1 Item 2c Type of Violation: **Serious**

29 CFR 1910.147(c)(6)(i)(B): The periodic inspection of the energy control procedure was not conducted to correct any deviations or inadequacies identified during the inspection:

Belmont Campus Garage: Recurring inspections were not completed to ensure the energy control procedures were followed, affected employees knew their responsibilities under the procedure and the procedure was adequate to provide the necessary protection. Drivers and collection laborers were exposed to hydraulic and other potential hazardous energy sources when performing cleaning tasks inside the body of the Heil Durapack 5000 Rear Loader up to 45 minutes per day.

Date By Which Violation Must be Abated: 09/26/2013

Safety Order 1 Item 2d Type of Violation: **Serious**

29 CFR 1910.147(c)(7)(i): The employer did not provide adequate training to ensure that the purpose and function of the energy control program was understood by employees:

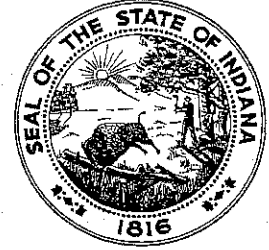
Belmont Campus Garage: Drivers and collection laborers were not trained to at least the level of affected personnel where they were exposed to hydraulic and other potential hazardous energy sources when performing cleaning tasks inside the body of the Heil Durapack 5000 Rear Loader up to 45 minutes per day.

Date By Which Violation Must be Abated: 09/26/2013

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 -
06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for illness or injury resulting from an accident.

Safety Order 2 Item 1a Type of Violation: **Knowing**

IC 22-8-1.1, Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck by and/or crushing hazards due to riding on the rear step at excessive speeds.

Facility - Collection laborers who performed residential solid waste operations on collection routes such as, but not limited to, Route 23, using an American LaFrance sanitation truck equipped with a Heil DuraPack 5000 Rear Loader, were riding on the rear step when the vehicle was exceeding 10 miles per hour.

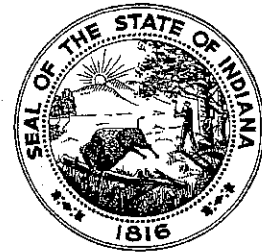
AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: ensure the operation and use of a rear loading sanitation truck is in accordance with ANSI Z245.1 Standard for Mobile Wastes and Recyclable Materials Collection, Transportation, and Compact Equipment-Safety Requirements and the Heil DuraPack 5000 Rear Loader Operator's Manual.

Date By Which Violation Must be Abated: 09/26/2013
Proposed Penalty: \$70,000.00

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 - 06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

Safety Order 2 Item 1b Type of Violation: **Knowing**

IC 22-8-1.1, Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck by and/or crushing hazards due to laborers riding on the rear step during prohibited activity.

Facility - Collection laborers who performed solid waste operations on collection routes such as, but not limited to, Route 23 and Inner Route (downtown), using an American LaFrance sanitation truck equipped with a Heil DuraPack 5000 Rear Loader, were riding on the rear step when the truck was operating in reverse.

AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: ensure the operation and use of a rear loading sanitation truck is in accordance with ANSI Z245.1 Standard for Mobile Wastes and Recyclable Materials Collection, Transportation, and Compact Equipment-Safety Requirements and the Heil DuraPack 5000 Rear Loader Operator's Manual.

Date By Which Violation Must be Abated: 09/26/2013

Safety Order 2 Item 1c Type of Violation: **Knowing**

IC 22-8-1.1, Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck by and/or crushing hazards due to line of sight not established between drivers and laborers when the vehicle was operating in reverse.

Facility - Employees who performed residential solid waste operations on collection routes such as, but not limited to, Route 23, using an American LaFrance sanitation truck equipped with a Heil DuraPack 5000 Rear Loader were driving the vehicle in reverse without making sure the location of all coworkers was known.

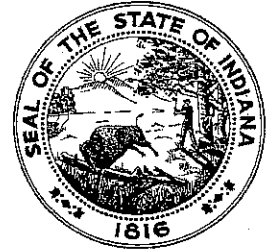
AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: ensure the operation and use of a rear loading sanitation truck is in accordance with ANSI Z245.1 Standard for Mobile Wastes and Recyclable Materials Collection, Transportation, and Compact Equipment-Safety Requirements and the Heil DuraPack 5000 Rear Loader Operator's Manual when operating the sanitation truck in reverse.

Date By Which Violation Must be Abated: 09/26/2013

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 -
06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

Safety Order 2 Item 1d Type of Violation: **Knowing**

IC 22-8-1.1, Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to struck by and/or crushing hazards, due to laborers riding on the step for an excessive distance.

Facility - Employees who performed residential solid waste operations on collection routes such as, but not limited to, the Inner Route (downtown) using an American LaFrance sanitation truck equipped with a Heil DuraPack 5000 Rear Loader, were riding on the step for a distance greater than 0.2 mile.

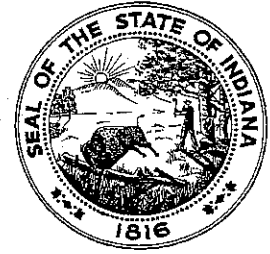
AMONG OTHER METHODS, FEASIBLE AND ACCEPTABLE MEANS OF ABATEMENT MAY INCLUDE: ensure the operation and use of a rear loading sanitation truck is in accordance with ANSI Z245.1 Standard for Mobile Wastes and Recyclable Materials Collection, Transportation, and Compact Equipment-Safety Requirements and the Heil DuraPack 5000 Rear Loader Operator's Manual.

Date By Which Violation Must be Abated: 09/26/2013

Indiana Department of Labor

Indiana Occupational Safety and Health
Administration

Inspection Number: 316551738
Inspection Dates: 04/09/2013 - 06/19/2013
Issuance Date: 09/05/2013



Safety Order and Notification of Penalty

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218

Safety Order 3 Item 1 Type of Violation: **Nonserious**

29 CFR 1910.132(d)(2): A written certification that identified the workplace evaluated and workplace hazard assessment and dated accordingly did not exist:

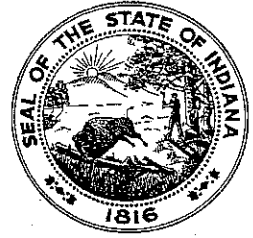
Facility - No written certification of a job hazard assessment was completed which identified the hazards and personal protective equipment required for employees who performed solid waste collection operations for 33 collection routes, pre-route and end of day duties.

Date By Which Violation Must be Abated:	09/26/2013
Proposed Penalty:	\$.00

Julie C. Alexander, J.D.
Director, General Industry

Indiana Department of Labor

Indiana Occupational Safety and Health Administration
402 West Washington Street
Room W195
Indianapolis, IN 46204-2751
Phone: 317/232-1979; Fax: 317/233-8509



INVOICE/DEBT COLLECTION NOTICE

Company Name: City of Indianapolis
Inspection Site: 35th and Bancroft, Indianapolis, IN 46218
Issuance Date: 09/05/2013

Summary of Penalties for Inspection Number 316551738

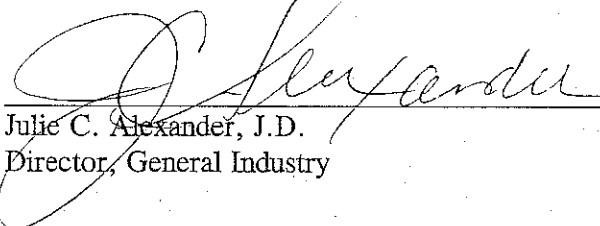
Safety Order 01, Serious	=	\$10,000.00
Safety Order 02, Knowing	=	\$70,000.00
Safety Order 03, Nonserious	=	\$0.00
<u>Total Proposed Penalties</u>		\$80,000.00

Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).


9/5/13

 Julie C. Alexander, J.D. Date
 Director, General Industry