



INDIANA
DEPARTMENT *of*
EDUCATION

Office of Special Education

Indicator 12

Monitoring and Compliance Guide



Table of Contents

Indicator 12: Early Childhood Transition	3
Monitoring Cycle	3
Compliance Guidelines	3
State Targets	5
Historical Data	5
Target Data	5
Correction of Noncompliance	5
Initial Noncompliance	5
Continued Noncompliance	5
Longstanding Noncompliance	5
Results Driven Accountability (RDA)	7
Technical Assistance	8

Indicator 12: Early Childhood Transition

Definition

Percent of children referred by Part C prior to age three, who are found eligible for Part B, and who have an individualized education program (IEP) developed and implemented by their third birthday (20 U.S.C. 1416(a)(3)(B)).

Monitoring Cycle

As part of the Indiana Department of Education's (IDOE's) integrated monitoring system, local education agencies (LEAs) are monitored for Indicator 12 compliance annually. LEAs submit evaluation data through the DOE-EV data collection period (May-June) utilizing Data Exchange.

State Performance Plan/Annual Performance Report (SPP-APR) Measurement

- a. # of children who have been served in Part C and referred to Part B for Part B eligibility determination.
- b. # of those referred determined to be NOT eligible and whose eligibility was determined prior to their third birthdays.
- c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
- d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services or to whom exceptions under 34 CFR §300.301(d) applied.
- e. # of children determined to be eligible for early intervention services under Part C less than 90 days before their third birthdays.
- f. # of children whose parents chose to continue early intervention services beyond the child's third birthday through a State's policy under 34 CFR §303.211 or a similar State option.

Account for children included in (a), but not included in b, c, d, e, or f. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed, and the reasons for the delays.

Percent = [(c) divided by (a - b - d - e - f)] times 100.

Compliance Guidelines

Indicator 12 is a compliance indicator. Thus, any score below 100% results in a finding of noncompliance as defined by IDEA. The percentage of on-time evaluations calculator differs from the SPP-APR calculation in that evaluations completed after the timeline or

not completed are included in the calculation. This aligns with the definition of “Compliant” in the Data Exchange DOE-EV data collection for those evaluations that are “Excused.” “Pending” evaluations (those not completed and not required for completion until the following reporting period) are not included in the Results Driven Accountability (RDA) or SPP-APR calculation.

The calculation for RDA percentage of evaluations completed or excused is as follows:

$$\frac{\text{No. of Timely Evaluations (Eligible)} + \text{No. of Timely Evaluations (Ineligible)} + \text{No. of Untimely Evaluations Excused}}{\text{No. of Total Evaluations (Parental Consent Obtained)}}$$

Scoring/Quintiles

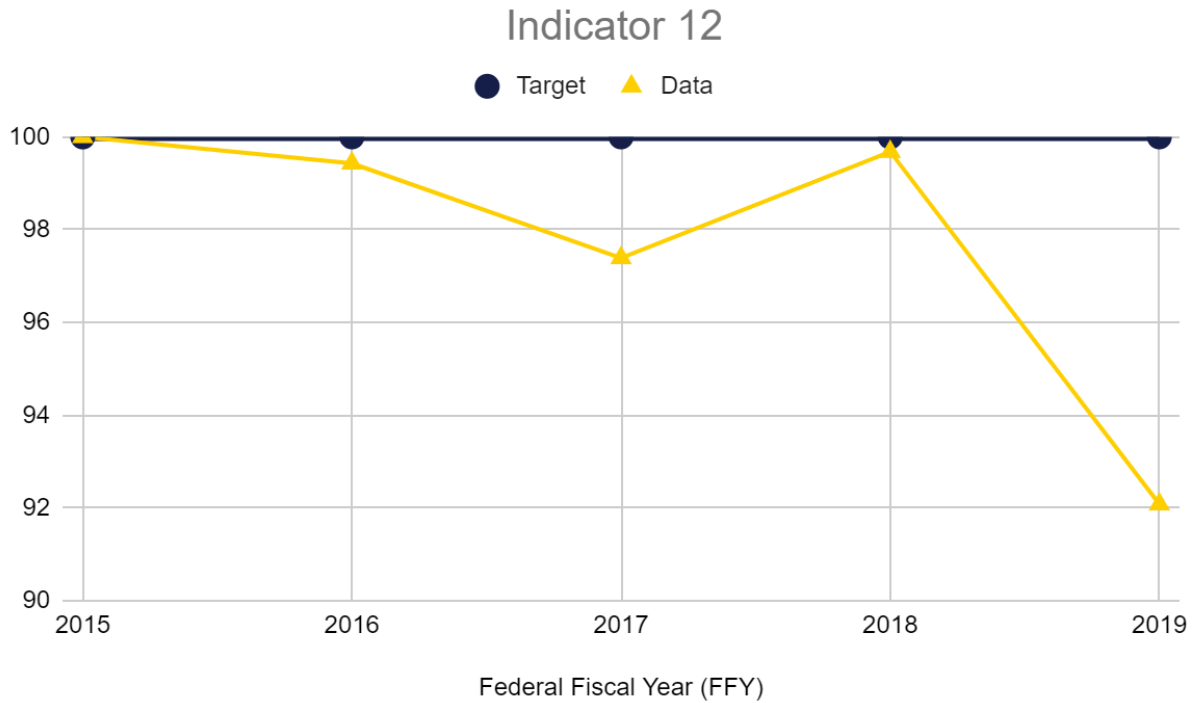
RDA scoring for Indicators 11, 12, and 13 is as follows:

RDA Scoring for Indicators 11, 12, and 13	
Percentage Compliance	Points
100.00% (Compliant)	5
95.00% - 99.99% (Substantially Compliant - Finding)	5
Less Than 95.00% (Finding)	1

These indicators are scored the same regardless of enrollment size group.

State Targets

Historical Data



Target Data

FFY	2020	2021	2022	2023	2024	2025
Target	100%	100%	100%	100%	100%	100%

Correction of Noncompliance

LEAs that do not meet timelines for early childhood transition fall into one of the following categories: initial noncompliance, continued noncompliance, or longstanding noncompliance.

Initial Noncompliance

LEAs that have a first year finding will complete the following tasks to correct the noncompliance:

1. When email notification of the preliminary finding of noncompliance is received, complete the Data Confirmation Excel Workbook. The workbook is submitted to IDOE's Office of Special Education (OSE) Monitoring and Compliance inbox: osemonitoring@doe.in.gov.
2. IDOE reviews the LEA submission and determines compliance. LEAs determined non-compliant develop a Corrective Action Plan and submit to IDOE.

Continued Noncompliance

LEAs identified as noncompliant for three consecutive years will complete the following tasks to correct noncompliance:

1. When email notification of the preliminary finding of noncompliance is received, complete the Data Confirmation Excel Workbook. The workbook is submitted to IDOE's OSE Monitoring and Compliance inbox: osemonitoring@doe.in.gov.
2. IDOE reviews the LEA submission and determines compliance. LEAs determined to be noncompliant submit a Corrective Action Plan (CAP) will be developed and submitted through to IDOE
3. Upload LEA specific policies and procedures related to early childhood transition to the Corrective Action Plan.
4. IDOE will review the submitted policies and procedures and provide feedback to the LEA.
5. The LEA is required to participate in targeted training opportunities as outlined in the CAP.

Longstanding Noncompliance

LEAs identified as noncompliant for three consecutive years will complete the following tasks to correct noncompliance:

1. When email notification of the preliminary finding of noncompliance is received, complete the Data Confirmation Excel Workbook. The workbook is submitted to IDOE's OSE Monitoring and Compliance inbox: osemonitoring@doe.in.gov.
2. IDOE reviews the LEA submission and determines compliance. LEAs determined non-compliant develop a Corrective Action Plan and submit to IDOE.

3. Upload LEA specific policies and procedures related to early childhood transition to the Corrective Action Plan.
4. IDOE will review the submitted policies and procedures and provide feedback to the LEA.
5. The LEA is required to participate in intensive training opportunities.

Results Driven Accountability

Indicator 12 is included in the Compliance Matrix of RDA. When a corporation meets the target for a particular compliance indicator, they are considered “compliant” and are assigned a score of 5. If the corporation has a “finding of noncompliance” for an indicator, the corporation is assigned a score of 1.¹

Category Weights for Compliance Index	
Category	Percentage Weight
Indicator 4B	8%
Indicator 9	8%
Indicator 10	8%
Indicator 11	22%
Indicator 12	22%
Indicator 13	22%
Continued Noncompliance	5%
Longstanding Noncompliance	5%
Total	100%

¹ If the compliance target (100% or 0%) is not met for the compliance indicators, the corporation is out of compliance and must correct the noncompliance within one year. For Indicators 11, 12, and 13, a corporation is designated as “substantially compliant” for the indicator and will receive a score of 5 if their compliance falls within a range of 95% - 100%. “Substantial compliance” is still considered a finding and must be corrected.

Technical Assistance

Level	Resources
Universal	IDOE Early Childhood Special Education
Targeted	Request Technical Assistance with the Office of Student Support and Accessibility using this form .
Intensive	