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# **DEPARTMENT OF ADMINISTRATION**

Public Works Division
402 West Washington Street, Room W462
Indiana Government Center – South
Indianapolis, Indiana 46204-2746
PHONE: (317) 232-3001

# **NOTICE TO BIDDERS**

BY STATE OF INDIANA DEPARTMENT OF ADMINISTRATION, PUBLIC WORKS DIVISION FOR A PUBLIC WORKS CONSTRUCTION PROJECT ESTIMATED AT ONE HUNDRED FIFTY THOUSAND DOLLARS OR ABOVE

AN ELECTRONIC DOCUMENT CONTAINING ALL BIDDING INFORMATION INCLUDING INSTRUCTIONS FOR SUBMITTING BIDS ELECTRONICALLY AND TIME AND PLACE OF A PREBID MEETING, IF REQUIRED, WILL BE EMAILED TO ALL BIDDERS

#### INSTRUCTIONS TO BIDDERS

# PROJECT ESTIMATED BY DEPARTMENT OF ADMINISTRATION, PUBLIC WORKS DIVISION TO BE BID AT ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000) AND ABOVE

## 01 GENERAL

- A. This project is estimated by the Public Works Division, Indiana Department of Administration (the Owner), as stated in the Notice to Bidders, at One Hundred Fifty Thousand Dollars (\$150,000) and above.
- B. QUALIFICATION BY THE CERTIFICATION BOARD IS REQUIRED FOR THIS PROJECT PRIOR TO BID OPENING DATE. For information and procedure contact Executive Secretary, Certification Board, Indiana Department of Administration, 402 W. Washington St., Room W462, Indianapolis, Indiana 46204 or phone (317) 232-3005.
- PROJECT NUMBER, DESCRIPTION AND LOCATION is as stated in the Notice to Bidders.

# 03 TITLE AND DEFINITIONS

Said building and/or land upon which it stands is the property of the State of Indiana. All references to the title owner of said property hereinafter will be by the term "State" and all references to the person, firm, or corporation awarded the contract for the project will be by the term "Contractor". All references to Designer shall refer to the consulting person or firm employed to contract with the Public Works Division, Indiana Department of Administration to provide architectural, engineering or other consulting services for the project, or to the Public Works Division. The preparation and issuance of contracts for this project are the responsibility of the Commissioner of the Indiana Department of Administration acting with approval of the Governor.

Contract: A written agreement between two or more parties enforceable by law.

Contractor: A person who has entered into or seeks to enter into a contract with Public Works Division.

<u>Prime Contractor:</u> A person or business which is primarily responsible for providing goods and service or performing a specific service, etc. under contract. A prime contractor can also be a Minority Business Enterprise.

<u>Subcontractor</u>: A person or a business who has a direct contract with a prime contractor who is under contract to provide goods and services or perform a specific service.

<u>Joint Venture:</u> An association of two or more businesses to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills and knowledge.

Manufacturer: A supplier that produces goods from raw materials or substantially alters them before resale.

Minority or Women Business Enterprise (M/WBE): A business concern which is certified as at least fifty-one percent (51%) owned and controlled by a woman or women or, one or more of the individuals classified as a minority group which includes: African Americans, Hispanic Americans, Asian Americans, and other racial minorities.

<u>Supplier:</u> Any person or entity engaged to furnish goods, materials and/or equipment, but no on-site labor, is capable of furnishing such goods, materials and/or equipment either <u>directly</u> from its own stock or by ordering materials and/or equipment <u>directly</u> from a manufacturer, and is engaged to furnish such goods, materials and/or equipment <u>directly</u> to a prime contractor or one of its subcontractors.

#### 04 PRE-BIDDING, BIDDING AND POST BIDDING REQUIREMENTS

- A. The Director, Public Works Division will authorize the Designer to issue bidding documents, construction documents and addenda to bidders.
- B. It is recommended that all Bidders visit the site prior to submitting bid, and become thoroughly familiar with the existing site conditions and work to be performed, as indicated in the bidding documents, construction documents and addenda. Extra compensation or extension of time will not be allowed for failure to examine the site prior to bidding.
- C. During the bidding period, should questions arise as to the meaning of any part of the bidding documents, construction documents or addenda that may affect the Bidder, the Bidder shall contact the Designer and/or Public Works Division and submit a written request for clarification. The Designer and/or Public Works Division will make such clarification only by written Addendum that will be mailed to each document holder or may be obtained at the office of the Designer and/or Public Works Division. By submitting a bid, the Bidder acknowledges procurement of all Addenda. No written request for clarification will be accepted by the Designer and/or Public Works Division later than fourteen (14) calendar days prior to the scheduled bid date.

- D. Bid as described in <u>Contractor's Bid</u> (DAPW 13) shall include Base Bid (in figures and in words) and Alternates as specified in Section entitled Alternates. In verifying bids, word amounts shall have precedence over figure amounts.
- E. Alternate amount(s) shall be listed where indicated. Add Alternates <u>are not</u> to be included in the Base Bid Scope of Work. Deduct Alternates <u>are</u> to be included in the Base Bid Scope of Work. The bid form must be signed. Note that by signing the bid document, the Bidder is acknowledging the procurement of all addenda and is a certifying that the bid recognizes all items in all addenda.
- F. A bid by a corporation shall be in the legal name of the corporation followed by the word "by" and the signature of the president. The secretary of the corporation shall sign indicating his/her authority to sign. A <u>Certificate of Corporate Resolution</u> (DAPW 41) is required with and as a part of the bid if anyone other than the president of the corporation is signing bid documents.
- G. The Form 96A-Questionnaire and Financial Statement is no longer required to be submitted. The Director, Public Works Division reserves the right to request additional financial information or contractor experience as a basis for rejection of bid or award of contract.
- H. Each Bidder must file with his bid a Non-Collusion Statement (DAPW-121) signed by the same authorized person(s) who signed the bid.
- I. Each Bidder must file with his bid a completely filled in and executed <u>Bid Bond</u> (DAPW 15A) in accordance with IC 4-13.6-7-5. The bid bond penal sum shall be the minimum amount of five percent (5%) of the bid including all additive alternates.
- J. Each Bidder must file with his bid a completed <u>M/WBE Participation Plan</u> and <u>Good Faith Effort Work Sheet</u> (DAPW 26SUP2). Refer to the Supplement to the <u>General Conditions</u> for M/WBE Participation Policy (DAPW 26SUP1) for specific requirements.
- K. Each Bidder must file with his bid, the completed <u>Contractor's Affidavit of Subcontractors Employed</u> (DAPW 12) only if he proposes to perform any work with a subcontract amount of \$150,000.00 or more.
- L. Each bidder must file with his bid an Employee Drug Testing Plan (DAPW 150A) in accordance with IC 4-13-18 (P.L. 160-2006), or evidence that the contractor is subject to a collective bargaining agreement containing drug testing requirements that comply with IC 4-13-18.
- M. Each Bidder must include his Federal ID number or Social Security number on page 1 of 3 of the Bid Form (DAPW 13). <u>All</u> required bid documents must contain original hand written signatures.
- N. All documents required by statute, rule or these instructions to be included in the bid, must be submitted together in a single email file plainly marked on the subject line and in the email file with the Name of Bidder, Project Identification, Project Number, Bid Time and Bid Date. Bids shall be rejected if all required documents are not in the single email file
- O. A Bidder with proper identification may withdraw his bid at any time prior to the scheduled time for receipt of the bids; however, no bid may be withdrawn without written consent of the Director, Public Works Division for a *period of sixty (60) days after the date of the bid opening*, or unless extended in accordance with IC 4-13.6-6-4. Bids received after the designated due time for any reason, shall be rejected and the email file will not be opened. The Director, Public Works Division reserves the right to reject any or all bids.
- P. Subcontractors whose work will equal or exceed One Hundred Fifty Thousand Dollars (\$150,000.00) must attain a Certificate of Qualification by the Certification Board before commencing any work on this project. Note paragraph 01. (B) above.
- Q. All Bidders (corporations) must be in good standing with the Indiana Secretary of State.

## 05 SIGNATURE AFFIDAVIT

- A. A <u>Signature Affidavit</u> (DAPW-14) containing the Bidder's authorized signature(s), properly notarized, may be submitted as a signature supplement to all other bid documents, except the bid bond, including:
  - 1. Contractor's Bid (DAPW 13)
  - 2. Non-Collusion Statement (DAPW-121)
  - 3. Contractor's Affidavit of Subs Employed (DAPW 12)
  - M/WBE Participation Plan and Good Faith Effort Work Sheet (DAPW 26 SUP 2)
- B. All documents herein before required with the bid may be unsigned if the signature affidavit is submitted, except for the BID BOND. BIDDER MUST SIGN THE BID BOND.

NOTE: SIGNING THE SIGNATURE AFFIDAVIT OR BID FORM IS ACKNOWLEDGMENT OF PROCUREMENT OF ALL ADDENDA AND CERTIFICATION BY BIDDER THAT THE BID RECOGNIZES ALL ITEMS IN ALL ADDENDA.

## 06 WORK BY CONTRACTOR

The Contractor shall perform a minimum of 15% of the value of work (measured in dollars of the total contract price) with his own forces, and not more than 85% of the value of work is to be subcontracted.

## 07 SUBSTITUTIONS

The materials, products, systems and equipment described in the bidding documents, construction documents and addenda establish a standard or required function, dimension, appearance and quality that shall also be met by any proposed substitution. No substitution by manufacturer, or trade name of product named, or of a quality specified will be considered unless written request for approval has been submitted by the Bidder and has been received by the Designer and/or Public Works Division at least fourteen (14) calendar days prior to the date for receipt of bids. Each such request shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitute including drawings, cuts, performance and test data and any other information necessary for an evaluation. A statement setting forth any changes in other materials, equipment or work that incorporation of the substitute would require shall be included. The burden of proof of the merit of the proposed substitute is upon the proposer. The Designer and/or Public Works Division decision of approval or disapproval of the proposed substitution shall be final. Products, materials or systems not specified or approved prior to bidding, shall not be accepted for use in this project. All such substitutions accepted shall be acknowledged by addendum. See paragraph. 04 (C).

## 08 NONDISCRIMINATION

Pursuant to IC 22-9-1-10, the Contractor and subcontractors, if any, shall not discriminate against any employee or applicant for employment, to be employed in the performance of this contract, with respect to his hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of his race, religion, color, sex, disability, national origin, or ancestry. Breach of this covenant may be regarded as a material breach of the contract. Pursuant to IC 5-16-6-1, the contractor agrees:

- A. that in the hiring of employees for the performance of work under this contract or any subcontract hereunder, no contractor, or subcontractor, nor any person acting on behalf of such contractor or subcontractor shall, by reason of race, religion, color, sex, disability, national origin or ancestry, discriminate against any citizen of the State of Indiana who is qualified and available to perform the work to which the employment relates; and
- B. that no contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this contract on account of race, religion, color, sex, national origin or ancestry; and
- C. that there may be deducted from the amount payable to the contractor by the State of Indiana or by any municipal corporation thereof, under this contract, a penalty of five dollars (\$5.00) for each person for each calendar day during which such person was discriminated against or intimidated in violation of the provisions of the contract; and
- D. that this contract may be canceled or terminated by the State of Indiana or by any municipal corporation thereof, and all money due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this section of the contract.

#### 09 EMPLOYMENT ELIGIBILITY VERIFICATION

The Contractor affirms under the penalties of perjury that he/she/it does not knowingly employ an unauthorized alien.

The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC 22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.

The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.

The Contractor shall require his/her/its subcontractors, who perform work under this contract, to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

The contractor shall submit, before work begins the E-Verify case verification number for each individual who is required to be verified under IC 22-5-17. An individual who is required to be verified under IC 22-5-17 whose final case result is final nonconfirmation may not be employed on the public works project.

A contractor may not pay cash to any individual employed by the contractor for work done by the individual on the public works project.

A contractor must be in compliance with the federal Fair Labor Standards Act of 1938, as amended (29 U.S.C. 201-209) and IC 22-2-2-1through IC 22-2-2-8. A contractor must be in compliance with IC 22-3-5-1 and IC 22-3-7-34. A contractor must be in compliance with IC 22-4-1 through IC 22-4-395. A contractor must be in compliance with IC 4-13-18-1 through IC 4-13-18-7.

# 10 NOTICE OF AWARD

- A. Prior to execution of the Contract, in accordance with IC 4-13.6-5-2, the Director of Public Works may require additional submittals from Bidder/s to clarify contractor's experience and plans for performing the proposed work. Submittals which may be required include a critical path construction schedule which coordinates all significant tasks sequences and durations; schedule of values, and documentation of efforts to include minority and woman owned businesses in the proposed work. The Director may require Bidder/s to provide a comprehensive list of subcontractors and suppliers within 24 hours of receipt of bids.
- B. Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Domestic Steel Affidavit</u> (DAPW-11) to Public Works Division, Indiana Department of Administration as part of the contract. The Domestic Steel Affidavit is included for Bidder's review but need not be submitted at the time of the bid opening. Definition of Steel Products:
  - "Steel products" means products rolled, formed, shaped, drawn, extruded, forged, cast, fabricated, or otherwise similarly processed, or processed by a combination of two (2) or more of such operations, from steel made in the United States by the open hearth, basic oxygen, electric furnace, Bessemer or other steel making process.
- C. Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Contractor's Bond for Construction</u> (DAPW 15) (combined performance and payment bond) to Public Works Division, Department of Administration as part of the contract. The Bond form is included for Bidder's review but need not be submitted at the time of the bid opening.
- D Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Contractor's Certificate of Insurance</u> (DAPW 16) to Public Works Division, Department of Administration as part of the contract. The Insurance form is included for Bidder's review but need not be submitted at the time of the bid opening.
- E. Prior to execution of the Contract, the State of Indiana will issue to the successful Bidder an email letter stating that his bid was the lowest responsible and responsive bid and that the attached electronic format contract document is submitted to him for his consideration. If he finds it in accordance with the bid documents, it is to be returned to Public Works Division within ten (10) calendar days after receipt for further execution and with the caution that a contract will not exist until it is signed by all signatories required. Failure to execute the proper contract and furnish the ancillary documents shall constitute reason to surrender the bid bond.
- F. Concurrent with execution of the Contract, the successful Bidder may be required to furnish executed copies of Contractor-Subcontractor agreements as required in Article 5 of the <u>General Conditions</u>.

#### 11 SUMMARY

All required bid documents must contain original hand written signatures. Complete documents to be submitted with this bid:

- A. The <u>Bid Bond</u> (DAPW-15A) must be signed by both the Bidder and Bonding Company. The Bonding Company must also attach a Power of Attorney. Bid bond information, may be on the Bonding Company's standard form.
- B. The Contractor's Bid (DAPW-13)
  - Page 1: State the amount of the bid in figures and words.
  - Page 2: State the amount of the alternate(s), indicate add, deduct or no change (READ CAREFULLY).
  - Page 3: Authorized signature of the Company. If the signature affidavit is completed and submitted with the bid, this page must be submitted but need not be signed or notarized.
- C. The <u>Signature Affidavit</u> (DAPW-14) must contain the completed authorized signatures properly notarized and submitted with the bid as a supplement.

This Signature Affidavit shall fulfill all of the signature requirements. <u>NOTE</u>: The Signature Affidavit does not apply to the Bid Bond (DAPW 15A). The Bid Bond document must be fully completed with all required signatures and submitted with the bid.

- D. The <u>Non-Collusion Statement</u> (DAPW-121) must be signed by the same authorized person(s) who signed the bid documents. If the signature affidavit is completed and submitted with bid, this form shall be submitted, but need not be signed.
- E. For corporations, if anyone other than the president of the corporation signs, a <u>Certificate of Corporate Resolution</u> (DAPW 41) giving signature authority for the signer must be included.
- F. <u>M/WBE Participation Plan</u> and <u>M/WBE Good Faith Effort Work Sheet</u> (DAPW 26SUP2) must be completed and signed by the same authorized person who signed the bid documents.
- G. The completed <u>Contractor's Affidavit of Subcontractors Employed</u> (DAPW-12) whose subcontract amount will be \$150,000.00 or more.
- H. The completed plan for Contractor's Employee Drug Testing Plan (or statement of collective bargaining agreement).
- I. One copy only of the Bid Documents is required. Bidders may remove and use the Documents included in the project specifications or use reproductions of the Documents.

# 12 INDIVIDUAL BIDS SHALL BE REJECTED BY THE DIRECTOR, PUBLIC WORKS DIVISION FOR THE FOLLOWING REASONS (IC 4-13.6-5-2; IC 4-13.6-6-1; 25 IAC 2-6-5)

- A. If the bid email subject line and bid form heading does not clearly identify the project number and description; if the name of the Bidder is not clearly indicated in the email and/or if the email is not received and date stamped within the Public Works Division electronic bid receipt mail box prior to the stated time for receipt of bids.
- B. If the estimated base bid cost exceeds \$150,000.00 and the bidding contractor is not certified by Public Works Certification Board to offer bids in one of the specified categories.
- C. If the bidding contractor is under suspension by the Director of Public Works or by the Public Works Certification Board.
- D. If the bidding contractor is a trust and does not identify all beneficiaries and empowered settlors of the trust.
- E. If the contractor's drug plan is not included in the bid documents pursuant to and complies with IC 4-13-18
- 13 INDIVIDUAL BIDS MAY BE REJECTED BY THE DIRECTOR, PUBLIC WORKS DIVISION FOR THE FOLLOWING REASONS (25 IAC 2-6-5)
- A. If the Contractor's Bid (DAPW 13) Non-Collusion Statement (DAPW 121) and/or Bid Bond (DAPW 15A) are not signed and notarized as required by these instructions, <u>or</u> the Signature Affidavit (DAPW 14) and the Bid Bond (DAPW 15A) are not signed and notarized as allowed as an alternative.
- B. If all required bid or alternate(s) amounts, or unit prices are not submitted with the bid when specifically called for by the specifications issued for the project.

- C. When the Bidder adds any provision reserving the right to accept or reject the award, or if the Bidder adds conditions or alternates to his bid not requested (voluntary alternates), or if there are unauthorized additions or irregularities of any kind which tend to make the proposal incomplete, indefinite or ambiguous as to its meaning or amount.
- D. When no bids received are under or within funds that can be appropriated, or within the Designer's estimate or when situations develop which make it impossible or not practical to proceed with the proposed work.
- E. If, subsequent to the opening of the bids, facts exist which would disqualify the Bidder, or that such Bidder is not deemed by the Director, Public Works Division to be responsive or responsible.
- F. If an out-of-state contractor is not registered with the Indiana Secretary of State or if any bidding contractor is not in good standing with the Secretary of State.

### Supplemental instructions to bidders

# For oil and gas projects \$150,000 and above

The Instructions to Bidders are revised as follows:

SECTION 3, TITLES AND DEFININTIONS, PARAGRAPH 1, DELETE AND INSERT AS FOLLOWS:

Said building and/or land upon which it stands is the property of the State of Indiana. The land involved in this project is privately owned or owned by the State of Indiana. In either case, the work will be performed under a right-of-entry granted to the State from the private title holders or from the property managers of a state property. All references to the title owner of said property hereinafter will be by the term State and all—All references to the person, firm, or corporation awarded the contract for the project will be by the term "Contractor". All references to designer shall refer to Indiana Department of Natural Resources, Division of reclamations, Oil and Gas Section or the consulting firm listed on the plans and project specifications. the consulting person or firm employed to contract with the Public Works Division, Indiana Department of Administration to provide architectural, engineering or other consulting services for the project, or to the Public Works Division. The preparation and issuance of contracts for this project are the responsibility of the Commissioner of the Indiana Department of

Administration acting with approval of the Governor.

"General Decision Number: IN20220006 07/01/2022

Superseded General Decision Number: IN20210006

State: Indiana

Construction Types: Heavy and Highway

Counties: Adams, Allen, Bartholomew, Benton, Blackford, Boone, Brown, Carroll, Cass, Clark, Clay, Clinton, Crawford, Daviess, Dearborn, Decatur, DeKalb, Delaware, Dubois, Elkhart, Fayette, Floyd, Fountain, Franklin, Fulton, Gibson, Grant, Greene, Hamilton, Hancock, Harrison, Hendricks, Henry, Howard, Huntington, Jackson, Jasper, Jay, Jefferson, Jennings, Johnson, Knox, Kosciusko, Lagrange, Lawrence, Madison, Marion, Marshall, Martin, Miami, Monroe, Montgomery, Morgan, Newton, Noble, Ohio, Orange, Owen, Parke, Perry, Pike, Posey, Pulaski, Putnam, Randolph, Ripley, Rush, Scott, Shelby, Spencer, Starke, Steuben, Sullivan, Switzerland, Tippecanoe, Tipton, Union, Vanderburgh, Vermillion, Vigo, Wabash, Warren, Warrick, Washington, Wayne, Wells, White and Whitley Counties in Indiana.

\* EXCEPT LAKE, LAPORTE, PORTER AND ST. JOSEPH COUNTIES HEAVY AND HIGHWAY CONSTRUCTION PROJECTS

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

|If the contract is entered |into on or after January 30, | generally applies to the |2022, or the contract is |renewed or extended (e.g., an |. The contractor must pay |option is exercised) on or | all covered workers at |after January 30, 2022:

- |. Executive Order 14026 | contract.
- | least \$15.00 per hour (or | the applicable wage rate | listed on this wage | determination, if it is | higher) for all hours | spent performing on the contract in 2022.

|If the contract was awarded on|. Executive Order 13658 |or between January 1, 2015 and | generally applies to the January 29, 2022, and the |contract is not renewed or |extended on or after January | covered workers at least |30, 2022:

| contract. |. The contractor must pay all|

| \$11.25 per hour (or the | applicable wage rate listed| | on this wage determination, | | if it is higher) for all | hours spent performing on that contract in 2022.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the

Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at https://www.dol.gov/agencies/whd/government-contracts.

Modification Nu	mber	Publication	Date
0		01/07/2022	
1		02/04/2022	
2		02/18/2022	
3		02/25/2022	
4		03/04/2022	
5		04/01/2022	
6		04/15/2022	
7		04/22/2022	
8		04/29/2022	
9		05/06/2022	
10		05/13/2022	
11		05/27/2022	
12		06/03/2022	
13		06/10/2022	
14		06/17/2022	
15		07/01/2022	

ASBE0008-004 03/01/2022

DEARBORN, FAYETTE, FRANKLIN, OHIO, RIPLEY SWITZERLAND AND UNION COUNTIES

	Rates	Fringes
Asbestos Workers/Insulator (Includes application of all insulating materials, protective coverings, coatings & finishings to all types of mechanical systems) HAZARDOUS MATERIAL HANDLER (Includes preparation, wettings, stripping, removal, scrapping, vacuuming, bagging & disposing of all insulation materials, whether they contain asbestos or not, from mechanical systems)		20.19

ASBE0017-008 06/01/2022

# NEWTON COUNTY:

	Rates	Fringes
ACDECHEC MODERN / HEAR & FROM		
ASBESTOS WORKER/HEAT & FROST		
INSULATOR	52.80	32.30
HAZARDOUS MATERIAL HANDLER		
(INCLUDES PREPARATION,		
WETTING, STRIPPING REMOVAL		
SCRAPPING, VACUUMING, BAGGING		
AND DISPOSAL OF ALL		
INSULATION MATERIALS, WHETHER		

2 of 51

THEY CONTAIN ASBESTOS OR NOT,
FROM MECHAINCAL SYSTEMS).....\$ 38.85 24.60

ASBE0018-005 06/01/2021

BROWN, BARTHOLOMEW, BENTON, BOONE, CARROLL, CASS, CLAY, CLINTON, DECATUR, DELAWARE, ELKHART. FOUNTAIN, FULTON, GREENE, HAMILTON, HANCOCK, HENDRICKS, HENRY, HOWARD, JASPER, JOHNSON, KOSCIUSKO, LAGRANGE, MARSHALL, MADISON, MARION, MONROE, MONTGOMERY, MORGAN, OWEN, PARKE, PULASKI, PUTNAM, RUSH, SHELBY, STARKE, TIPPECANOE, TIPTON, VERMILLION, VIGO, WARREN and WHITE Counties

Rates Fringes

ASBESTOS WORKER/HEAT & FROST INSULATOR (includes application of all insulating materials, protective coverings, coatings and finishings to all types of mechanical systems).....\$ 33.90 21.38 HAZARDOUS MATERIAL HANDLER (includes preparation, wettings, stripping, removal, scrapping, vacuuming, bagging & disposing of all insulation materials, whether they contain asbestos or not, from mechanical systems).....\$ 23.00 14.40 \_\_\_\_\_\_

ASBE0037-004 04/02/2021

DAVIESS, DUBOIS, GIBSON, KNOX, MARTIN, PIKE, POSEY, SPENCER, SULLIVAN, VANDERBURGH AND WARRICK COUNTIES

Rates

Fringes

ASBESTOS WORKER/HEAT & FROST INSULATOR (includes application of all insulating materials protective coverings, coatings an finishes to all types of mechanical systems. Also the application of firestopping, material openings and penetrations in walls, floors, ceilings, curtain walls and all lead abatement.)...\$ 32.00 21.89 HAZARDOUS MATERIAL HANDLER (Includes preparation, wetting, stripping, removal, scrapping, vacuuming, bagging and disposing of all insulation materials, whether they contain asbestos or not, from mechanical systems).....\$ 23.00 \_\_\_\_\_\_

ASBE0041-002 07/01/2021

ADAMS, ALLEN, BLACKFORD, DE KALB, GRANT, HUNTINGTON, JAY, MIAMI, NOBLE, STEUBEN, WABASH, WELLS AND WHITLEY COUNTIES:

	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST INSULATOR (includes application of all insulating materials, protective coverings, coatings and finishings to all types of mechanical systems)		21.49
ASBE0051-003 03/01/2022		
CLARK, CRAWFORD. FLOYD, HARRISOLLAWRENCE, ORANGE, PERRY, SCOTT,		
	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST INSULATOR (Includes application of all insulating materials, protective coverings, coatings and finishings to all types of mechanical systems)	\$ 19.80	18.38
ASBE0079-002 07/01/2017		
RANDOLPH AND WAYNE COUNTIES	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST INSULATOR (Includes application of all insulating materials, protective coverings, coatings & finishings to all types of mechanical systems)		8.89
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BRIN0003-001 06/01/2021

#### INDIANAPOLIS

BOONE, HANCOCK, HENDRICKS, JOHNSON, MARION, MONTGOMERY, MORGAN and SHELBY COUNTIES

	Rates	Fringes	
Bricklayer, Stone Mason,			
Pointer, Caulking	\$ 33.59	15.89	
TERRAZZO FINISHER	\$ 20.74	11.98	
TERRAZZO WORKER/SETTER	\$ 33.36	15.74	
Tile & Marble Finisher	\$ 21.69	11.99	
Tile, Marble Setter	\$ 32.61	15.73	

BRIN0004-004 06/01/2021

#### FORT WAYNE

ADAMS, ALLEN, DEKALB, HUNTINGTON, NOBLE, STEUBEN, WELLS AND WHITLEY COUNTIES:

	Rates	Fringes
BRICKLAYER (STONE MASON, MARBLE MASONS, POINTER,		
CLEANER, AND CAULKER)	28.00	18.96 14.84 18.76
Tile Setter & Marble Mason		
Mechanic		16.36
Finisher	5 25.00	13.78

BRIN0004-005 06/01/2020

CRAWFORD, DUBOIS, PERRY, POSEY, SPENCER, VANDERBURGH, and WARRICK Counties

	Rates	Fringes
BRICKLAYER	\$ 30.00	14.71
TILE FINISHER	\$ 20.31	12.00
TILE SETTER	\$ 27.19	13.85

BRIN0004-009 06/01/2021

BARTHOLOMEW, BROWN, DEARBORN, DECATUR, JENNINGS, MONROE, OHIO, OWENS, RIPLEY and SWITZERLAND COUNTIES

	Rates	Fringes
Bricklayer, Stonemason TERRAZZO FINISHER		15.95 11.99
TERRAZZO WORKER/SETTER	\$ 33.36	15.74
Tile & Marble Finisher  Tile, Marble Setter	•	11.99 15.73

BRIN0004-010 06/01/2021

CLARK, FLOYD, and HARRISON Counties

	Rates	Fringes
BRICKLAYER BRICKLAYERS, STONEMASONS		
AND CEMENT MASONS	\$ 29.57	15.10
BRIN0004-015 06/01/2021		

TERRE HAUTE

CLAY, DAVIESS, GIBSON, GREENE, KNOX, MARTIN, PARKE, PIKE, PUTNAM, SULLIVAN, VERMILLION and VIGO COUNTIES

	Rates	Fringes
BRICKLAYER		
BRICKLAYERS, STONE MASONS and POINTER/		
CLEANER/CAULKER\$	33.59	15.97
CEMENT MASON (Greene and Sullivan Counties)\$	27.78	11.02
CEMENT MASON (REMAINING COUNTIES)\$	33.59	15.97
TERRAZO FINISHER\$	20.74	11.98
TERRAZZO WORKER\$ TILE LAYER, MARBLE MASON,	33.36	15.74
MOSAIC WORKER\$	32.61	15.73

BRIN0004-016 06/01/2021

## MUNCIE

BLACKFORD, DELAWARE, FAYETTE, FRANKLIN, HAMILTON, HENRY, JAY, MADISON, RANDOLPH, RUSH, TIPTON, UNION and WAYNE COUNTIES

	Rates	Fringes
Bricklayer, Stonemason,		
Pointer, Caulker & Cleaner	\$ 31.75	18.07
TERRAZZO FINISHER	\$ 20.74	11.98
TERRAZZO WORKER/SETTER	\$ 33.36	15.74
Tile & Marble Finisher	\$ 20.74	11.98
Tile & Marble Setter; Mosaic		
Worker	\$ 32.61	15.73

BRIN0006-001 06/01/2021

JASPER, NEWTON & STARKE COUNTIES

	Rates	Fringes
BRICKLAYER (Including Stonemason, and Pointer,		
Caulker & Cleaner)\$	38.85	27.17
Tile, Marble & Terrazzo Worker\$	37.05	21.64

BRIN0011-001 06/01/2021

# LAFAYETTE

BENTON, CARROLL, CLINTON, FOUNTAIN, TIPPECANOE, WARREN and WHITE COUNTIES

Rates Fringes

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Bricklayer, Stonemason, Pointer, Caulker & Cleaner TERRAZZO FINISHER TERRAZZO WORKER/SETTER Tile & Marble Finisher Tile & Marble Setter; Mosaic Worker	.\$ 21.69 .\$ 33.36 .\$ 21.69	18.97 11.99 15.74 11.99
BRIN0018-002 06/01/2021		
CASS, ELKHART, FULTON, GRANT, HO MARSHALL, MIAMI, PULASKI, WABASH		LAGRANGE,
	Rates	Fringes
Bricklayer, Caulker, Cleaner,		

CARP0002-023 04/01/2022

Pointer....\$ 31.11

DEARBORN, JACKSON, JENNINGS, OHIO, RIPLEY AND SWITZERLAND COUNTIES

	Rates	Fringes
CARPENTER\$	26.95	26.11

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18.40

CARP0133-001 04/01/2021

BOONE, CLAY, FOUNTAIN, MONROE, MONTGOMERY, MORGAN, OWEN, PARKE, PUTNAM, VERMILLION AND VIGO COUNTIES

	Rates	Fringes
CARPENTER	.\$ 28.71	23.36

CARP0133-003 04/01/2021

HAMILTON, HANCOCK, HENDRICKS, JOHNSON (Townships of Clark, Camp Atterbury north of Hospital Road, Pleasant, White River), and MARION Counties

	Rates	Fringes	
CARPENTER	\$ 29.82	23.36	
CARP0175-004 04/01/2021			_

CLARK, FLOYD, HARRISON, JEFFERSON, SCOTT AND WASHINGTON COUNTIES

	Rates	Fringes
CARPENTER	\$ 25.82	25.59
CARP0215-002 04/01/2022		

BENTON, CARROLL, CLINTON, PULASKI, TIPPECANOE, WARREN AND WHITE COUNTIES

	Rates	Fringes
CARPENTER	\$ 30.53	23.04
CARP0224-011 04/01/2021		
CRAWFORD, DUBOIS, PERRY, PIKE, WARRICK COUNTIES:	POSEY, SPEI	NCER, VANDERBURGH AND
	Rates	Fringes
CARPENTER	\$ 25.89	25.52
CARP0224-012 04/01/2021		
DAVIESS, GIBSON, GREENE, KNOX, SULLIVAN COUNTIES:	LAWRENCE,	MARTIN, ORANGE AND
	Rates	Fringes
CARPENTER	\$ 26.24	25.57
CARP0232-003 04/01/2022		
ALLEN, DEKALB, LAGRANGE, NOBLE,	STEUBEN a	and WHITLEY COUNTIES
	Rates	Fringes
CARPENTER		23.87
CARP0301-001 04/01/2022		
BARTHOLOMEW, BROWN, (Camp Atterk DECATUR, FRANKLIN, JOHNSON (Tow Hensley, Needham, Nineveh, Unic	nships of l	Blue River, Franklin,
	Rates	Fringes
CARPENTER	\$ 29.26	23.87
CARP0413-003 04/01/2022		
ADAMS, CASS, ELKHART, FULTON, G KOSCIUSKO, MARSHALL, MIAMI, TIE		
	Rates	Fringes
CARPENTER	\$ 29.46	23.70
CARP0999-001 06/01/2017		
JASPER, NEWTON, AND STARKE COUN	ITIES	
	Rates	Fringes
CARPENTER		26.42
CARP1016-001 04/01/2022		
BLACKFORD, DELAWARE, FAYETTE, F UNION AND WAYNE COUNTIES	HENRY, JAY,	MADISON, RANDOLPH,

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	Rates	Fringes
CARPENTER	\$ 29 56	24.01
CARP1076-004 04/01/2016		
HAMILTON and MARION Counties, JOHNSON County: Camp Atterbury Pleasant, and White River		
	Rates	Fringes
MILLWRIGHT	\$ 26.81	19.28
CARP1076-005 06/01/2017		
JASPER, NEWTON, PULASKI, and S	TARKE Counties	
	Rates	Fringes
MILLWRIGHT	\$ 37.66	26.42
CARP1076-006 06/01/2018		
FAYETTE, FOUNTAIN, FRANKLIN, HENRY, JAY, JOHNSON, MADISON, MORGAN, OWEN, PARKE, PUTNAM, FOURMILLION, VIGO, AND WAYNE CO	MARION, MONROE ANDOLPH, RUSH,	, MONTGOMERY,
NTT TUD TOWN		-
MILLWRIGHT	\$ 28.18	22.39 
CARP1080-001 04/01/2021  GIBSON, GREENE, POSEY, SULLIVA  COUNTIES	N, VANDERBURGH	and WARRICK
	Rates	Fringes
MILLWRIGHT  ZONE 1  POSEY, VANDERBURGH and WARRICK COUNTIES  ZONE 2  GIBSON, GREENE AND SULLIVAN COUNTIES	\$ 29.64	24.83 25.77
ELEC0016-003 04/01/2022		
CRAWFORD, DAVIESS, DUBOIS, GIE PERRY, PIKE, POSEY, SPENCER, V		
	Rates	Fringes
ELECTRICIAN		18.62
ELEC0016-006 08/31/2020		

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CRAWFORD, DAVIESS, DUBOIS, GIBSON, LAWRENCE, MARTIN, ORANGE, PERRY, PIKE, POSEY, SPENCER, VANDERBURGH, WARRICK

	Rates	Fringes
ELECTRICIAN (Communication Technician Only)	\$ 29.15	15.40
ELEC0071-006 01/02/2019		
DEARBORN, OHIO, and SWTIZERLAND	COUNTIES	
	Rates	Fringes
Line Construction:  Equipment Operator  Groundman  Lineman & Cable Splicers	\$ 24.17	13.46 11.38 14.48
ELEC0153-003 06/01/2021		
DIVIDDE VOCCTIONO MADGIALI	COLINETEC	

ELKHART, KOSCIUSKO and MARSHALL COUNTIES

	Rates	Fringes
Communication Technician	\$ 26.50	18.33
ELECTRICIAN	\$ 36.50	25.98

Includes the installation, operation, inspection, modification, maintenance and repair of systems used for the transmission and reception of signals of any nature, for any purpose, including but not limited to , sound and voice transmission/transference systems, communication systems that transmit or receive information and /or control systems, television and video systems, micre-processor controlled fire alarm systems, and security systems and the performance of any task directly related to such installation or service. The scope of work shall exclude the installation of electrical power wiring and the installation of conduit raceways exceeding fifteen (15) feet in length.

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ELEC0212-002 11/30/2021

DEARBORN, OHIO, and SWITZERLAND COUNTIES

	Rates	Fringes
ELECTRICIAN (Communication Technician Only)	\$ 25.95	12.27
* ELEC0212-009 06/07/2022		

ELEC0212-009 06/07/2022

DEARBORN, OHIO, and SWITZERLAND COUNTIES

	Rates	Fringes	
ELECTRICIAN	\$ 33.29	20.05	
FIEGO205 002 05/01/2022			

ELEC0305-003 05/01/2022

ADAMS, ALLEN, DE KALB, HUNTINGTON, LAGRANGE, NOBLE, STEUBEN,

WELLS, and WHITLEY COUNTIES

	Rates	Fringes
ELECTRICIAN	.\$ 35.71	10.14+26.14%
ELEC0305-004 08/31/2020		
ADAMS, ALLEN, DE KALB, HUNTINGTO WELLS, and WHITLEY COUNTIES	N, LAGRANGE, 1	NOBLE, STEUBEN,
	Rates	Fringes
ELECTRICIAN (Communication Technician Only)		16.85
ELEC0369-005 05/31/2021		
CLARK, FLOYD, HARRISON, JACKSON, WASHINGTON Counties	JEFFERSON, SO	COTT, and
	Rates	Fringes
ELECTRICIAN	.\$ 33.85	18.72
* ELEC0481-003 03/31/2022		
BARTHOLOMEW, BOONE, DECATUR, HAM JENNINGS, JOHNSON, MADISON, MARI RIPLEY, RUSH AND SHELBY COUNTIES	ON, MONTGOMER	
	Rates	Fringes
ELECTRICIAN	.\$ 38.20	25.56
ELEC0481-004 01/01/2021		
BARTHOLOMEW, BOONE, DECATUR, HAM JENNINGS, JOHNSON, MADISON, MARI RIPLEY, RUSH AND SHELBY COUNTIES	·	K HENDBICKS
		· ·
		· ·
ELECTRICIAN (Communication Technician Only)	Rates .\$ 30.64	Y, MORGAN, PUTNAM, Fringes
ELECTRICIAN (Communication Technician Only)	Rates .\$ 30.64	Fringes  17.22
ELECTRICIAN (Communication Technician Only)	Rates .\$ 30.64	Fringes  17.22
ELECTRICIAN (Communication Technician Only)  ELEC0531-002 05/31/2021  JASPER, PULASKI, and STARKE COUN	Rates .\$ 30.64 TIES Rates	Fringes  17.22
ELECTRICIAN (Communication Technician Only)	Rates .\$ 30.64 TIES Rates	Fringes  17.22
ELECTRICIAN (Communication Technician Only)  ELEC0531-002 05/31/2021  JASPER, PULASKI, and STARKE COUN	Rates .\$ 30.64 TIES Rates	Fringes  Fringes  Fringes
ELECTRICIAN (Communication Technician Only)	Rates .\$ 30.64 TIES Rates .\$ 41.50	Fringes  Fringes  Fringes

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ELECTRICIAN (Communication Technician Only)	\$ 27.64	13.23
* ELEC0538-005 01/01/2022		
FOUNTAIN, VERMILLION, and WARRE	N Counties	
	Rates	Fringes
ELECTRICIAN	\$ 37.80	22.66
ELEC0538-009 09/01/2018		
FOUNTAIN, VERMILLION, and WARRE	N Counties	
	Rates	Fringes
ELECTRICIAN (Communication Technician Only)		16.28
ELEC0668-001 06/01/2019		
BENTON, CARROLL, CASS, FULTON,	TIPPECANOE ar	nd WHITE COUNTIES
	Rates	Fringes
ELECTRICIAN (Communication Technician Only)	\$ 28.90	14.53
ELEC0668-002 01/01/2022		
BENTON, CARROLL, CASS, FULTON,	TIPPECANOE ar	nd WHITE COUNTIES
	Rates	Fringes
ELECTRICIAN	\$ 35.59	21.28
FOOTNOTE: a. PAID HOLIDAYS: July 4th, Labor Day, Veterans Christmas Day		
ELEC0697-003 08/31/2021		
NEWTON COUNTY		
	Rates	Fringes
ELECTRICIAN (Communication Technician Only)	\$ 35.75	28.77
* ELEC0697-006 06/01/2022		
NEWTON COUNTY		
	Rates	Fringes
ELECTRICIAN		
ELEC0702-003 12/30/2019		
DUBOIS, GIBSON, PERRY, PIKE, P COUNTIES	OSEY, SPENCER	R AND VANDERBURGH

	Rates	Fringes
Line Construction:  GROUNDMAN, Class A  GROUNDMAN-EQUIPMENT  OPERATOR (All other	\$ 28.58	29% + 7.75
equipment)  HEAVY-EQUIPMENT OPERATOR  (All crawler type	\$ 36.35	29% + 7.75
equipment D-4 and larger).	\$ 41.49	29% + 7.75
LINEMAN	\$ 50.63	29% + 7.75
77 77 77 77 77 77 77 77 77 77 77 77 77		

ELEC0725-007 06/01/2021

BROWN, CLAY, GREENE, KNOX, MONROE, OWEN, PARKE, SULLIVAN AND VIGO COUNTIES

Rates Fringes

Communication Technician......\$ 29.56 15.96

Includes the installation, operation, inspection, maintenance, repair and service of radio, television, recording, voice sound and vision production and reproduction apparatus, equipment and appliances used for domestic, commercial, education, entertainment and private telephone systems.

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ELEC0725-014 10/01/2021

BROWN, CLAY, GREENE, KNOX, MONROW, OWEN, PARKE, SULLIVAN AND VIGO COUNTIES

	Rates	Fringes	
ELECTRICIAN	\$ 39.00	21.01	
ELEC0855-002 06/01/2018			_

BLACKFORD, DELAWARE, FAYETTE, FRANKLIN, HENRY, JAY, RANDOLPH, UNION and WAYNE Counties

	Rates	Fringes	
ELECTRICIAN (Communication Technician Only)	\$ 27.64	14.15	
ELEC0855-004 06/01/2021			-

BLACKFORD, DELAWARE, FAYETTE, FRANKLIN, HENRY, JAY, RANDOLPH, UNION and WAYNE Counties

	Rates	Fringes
ELECTRICIAN	\$ 33.57	19.03
ELEC0873-001 06/01/2021		

CLINTON, GRANT, HOWARD, MIAMI, TIPTON, AND WABASH COUNTIES

	Rates	Fringes
ELECTRICIAN (Communication Technician Only)		17.23
ELEC0873-002 03/01/2022		
CLINTON, GRANT, HOWARD, MIAMI,	TIPTON AND WABASH	COUNTIES:
	Rates	Fringes
ELECTRICIAN	\$ 36.59	20.12
ELEC1393-001 12/02/2020		
REMAINING COUNTIES		
	Rates	Fringes
Line Construction:  EQUIPMENT OPERATOR 1:  Diggers, 5th wheel type  trucks, crawler type, D-4  and smaller, bucket trucks  and live boom type line  trucks		29%+6.75
(Backhoes over 1/2 yard bucket capacity, cranes rated at 15 ton or more capacity) 95% J.L. rate GROUNDMAN TRUCK DRIVER GROUNDMAN	\$ 26.14 \$ 25.04 \$ 41.61	29%+6.75 29%+6.75 29%+6.75 29%+6.75

ENGI0103-003 04/01/2021

# INCLUDING UNDERGROUND AND UTILITY CONSTRUCTION

ADAMS, ALLEN, BENTON, BLACKFORD, CARROLL, CASS, CLINTON, DEKALB, DELAWARE, FAYETTE, GRANT, HAMILTON, HANCOCK, HENRY, HOWARD, HUNTINGTON, JAY, JOHNSON, MADISON, MARION, MIAMI, RANDOLPH, RUSH, SHELBY, STEUBEN, TIPPECANOE, TIPTON, UNION, WABASH, WAYNE, WELLS, WHITE AND WHITLEY COUNTIES

1	Rates	Fringes
Power equipment operators:		
GROUP 1\$	37.75	20.21
GROUP 2\$	36.03	20.21
GROUP 3\$	35.11	20.21
GROUP 4\$	33.61	20.21

#### POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Air compressors in manifold with throttle valve; Asphalt plant engineer; Auto grade or similar type machine; Auto patrol; Backhoe or farm-type tractor, 45 hp and over; Ballast regulator (RR); Bituminous mixer; Bituminous paver; Bituminous plant engineer; Bulldozer; Caisson drilling machine; Cherry picker, 15 ton or over; Chip spreader; Concrete mixer 21 cu. ft. or over; Core drilling machine; Crane or derrick with any attachment (including clamshell, dragline, shovel, backhoe, etc.); Dredge engineer; Dredge

operator; Drilling machine on which the drill is an integral part; Earth mover, rubber-tired (paddle wheel, 616, 631, TS-24 or similar type); Earth mover, rubber-tired, tandem (\$0.50 per hour additional for each bowl); Elevating grader; Fork lift, 10 ton or over; P.C.C. formless paver post driver; Highlift shovel, 1 1/2 cu. yd. or over; Hoist, 2 drums and over; Helicopter, crew; Hydraulic boom truck; keystone, skimmer scoop; Loader, self-propelled (belt, chain, wheel); Locomotive operator; Mechanic; Mucking machine; Panel board concrete plant, central mix type; Paver, Hetherington; Pile driver, skid or crawler; Road paving mixer; Rock breaking plant; Rock crushing plant, portable; Roller (asphalt, waterbound macadam, bituminous macadam, brick surface); Roller with dozer blade; Root rake, tractor-mounted; Self-propelled widener; Stump remover, tractor-mounted; Surface heater and planer; Tandem push tractor (\$0.50 per hour additional); Tractor, boom; Winch or hoe head; Tractor, push; Tractor with scoop; Tractor-mounted spreader; Tree mover; Trench machine, over 24""; Tug boat operator; Well drilling machine; Winch truck with A-frame

GROUP 2: Air compressor with throttle valve or clever brooks-type combination; Backfiller; Backhoe on farm-type tractor, under 45 hp; Bull float; Cherry picker under 15 ton; Chip spreader, self-propelled; Concrete pump; Concrete mesh depressor, independently operated; Concrete spreader, power-driven; End loader under 1 1/2 cu. yd.; Excavating loader, portable; Finishing machine and bull float; Gunite machine; Head greaser; Mesh or steel placer; Multiple tamping machine (RR); P.C.C. concrete belt placer; Pull grader, power control; Refrigerating machine, freezing operation; Ross carrier; Sheepfoot roller (self-propelled); Tamper (multiple vibrating, asphalt, waterbound macadam, bituminous macadam, brick surface); Trench machine, 24"" and under; Tube float; Welder

GROUP 3: Assistant plant engineer; Base paver (Jersey or similar type machine); Concrete finishing machine; Concrete mixer, less than 21 cu. ft.; Curb machine; Farm tractor, including farm tractor with all attachments except backhoe and including high lift end loaders of 1 cu. yd. capacity or less; Fire tender on boiler; Hoist, 1 drum; Operator, 5 pieces of minor equipment; Paving breaker; Power broom, self-propelled; Roller, earth and sub-base material; Slurry seal machine; Spike machine (RR); Tamper (multiple vibrating, earth and sub-base material); Throttle valve and fire tender combination on horizontal or upright boiler; Tractaire with drill; Tractor, 50 h.p. or over; Well point system; Widener, APSCO or similar type

GROUP 4: Air compressor; Assistant to engineer, oiler; Automatic dry batch plant; Bituminous distributor; Bituminous patching tamper; Belt spreader; Broom and belt machine; Chair cart, self-propelled; Coleman-type screen; Conveyor, portable; Digger post hole, power-driven; Fork lift, under 10 ton; Form grader; Form tamper, motor-driven; Generator; Hetherington driver; Hydra seeder; Operator, 1 through 4 pieces of minor equipment; Outboard or inboard motor boat; Power curing spraying machine; Power saw, concrete, power-driven; Pug mill; Pull broom, power-type; Seaman tiller; Straw blower or brush mulcher; Striping machine paint, motor-driven; Sub grader; Tractaire, tractor, below 50 h.p.; Truck crane oiler, driver; Spreader; Water pump; Welding machine, 2 of 300 amps or over

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ENGI0150-009 04/01/2021

HEAVY, HIGHWAY AND RAILROAD CONSTRUCTION

ELKHART, FULTON, JASPER, KOSCIUSKO, LAGRANGE, MARSHALL, NEWTON, NOBLE, PULASKI, and STARKE COUNTIES

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1	\$ 31.85	30.85
GROUP 2	\$ 30.25	30.85
GROUP 3	\$ 28.95	30.85
GROUP 4	\$ 27.55	30.85
GROUP 5	\$ 24.30	30.85

#### POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Air compressors in manifold with throttle valve; Asphalt plant engineer; Auto grade or similar type machine; Auto patrol; Automatic Sub-Grade; Backhoe or farm type tractor, 45 hp and over; Ballast regulator (RR); Barrier Wall Machine; Batch Plants (Concrete & Asphalt); B ituminous mixer; Bituminous paver; Bituminous plant engineer; Boring Machine; Bulldozer; Caisson drilling machine; Cherry picker, 15 ton or over; Chip spreader; Concrete mixer, 21 cu. ft. or over; Concrete Belt Placer; Concrete Paver; Concrete Pump (Truck Mounted); Concrete Saw (track mounted); Concrete Spreader (power driven); Core drilling machine; Crane or derrick with any attachment (including clamshell, dragline, shovel, backhoe, etc.); Curb Machine; Gutter Machine; Dredge engineer; Dredge operator; Drilling machine on which the drill is an integral part; Earthmover, rubber-tired (paddle wheel, 616, 631, TS-24 or similar type); Earthmover, rubber-tired, tandem (.50 per hr. additional for each bowl); Elevating Grader; Forklift (10 ton or over); P.C.C. Formless Paver; Gradall; Gravel Processing Plant (portable); Operator of Guard Rail Post Driver; Highlift Shovel 1-1/2 cu.yd. or over) Frame; Hoist (2 drum & over); Helicopter crew; Hydraulic boom truck; Hydraulic Excavator; Loaded-Self propelled (belt chain wheel); Laser Screed; Locomotive operator; Mechanic; Mucking machine; P.C.C. Concrete Belt Placer; Panel board concrete plant (central mix type); Paver (Hetherington); Pavement Breaker; Pile driver, skid or crawler; Road paving mixer; Rock breaking plant; Rock crushing plant (portable); Roller (asphalt, waterbound macadam, bituminous macadam, brick surface); Roller with dozer blade; Road Widener; Root rake (tractor-mounted); Roto Mill Grinder; Self-propelled widener; Stump remover; Surface heater and planer; Tandem push tractor (\$0.50 per hour additional); Tractor, boom; Winch or hoe head; Tractor (push); Tractor with scoop; Tractor-mounted spreader; Tree mover; Trench machine, over 24""; Tug boat operator; Well drilling machine; Widener (Apsco or similar type); Winch truck with A-frame

GROUP 2: Air compressor with throttle valve or Clever Brooks type combination; Backfiller; Farm type tractor (under 45 H.P.); Cherry picker under 15 ton; Chip spreader (self-propelled); Concrete pump (trailer type); Concrete mesh depressor, independently operated; End loader under 1

1/2 cu. yd.; Excavating loader (portable); Finishing machine and bull float; Gunite machine; Hydraulic Power unit; Head greaser; Mesh or steel placer; Multiple tamping backhoe on machine (RR); Bull float (bidwell Machine); Refrigerating machine-operation; Ross Carrier; Sheepfoot roller (self-propelled); Tamper-Multiple Vibrating (Asphalt, Waterbound, Macadam, Bituminous Macadam, Brick Surface); Trench machine (24"" and under); Tube float; Water Pull/Wagon; Welder

GROUP 3: Plant engineer; Base paver (Jersey or similar type machine); Concrete finishing machine; Concrete mixer, less than 21 cu. ft.; Curb machine; Farm tractor, including farm tractor with all attachments except backhoe and including high lift end loaders of 1 cu. yd. capacity or less; Fireman, on boiler; Hoist, 1 drum; Operator, 3-5 pieces of minor equipment; Paving breaker; Power broom, self-propelled; Roller, earth and sub-base material; Power Saw-Concrete (Power Driven); Slurry seal machine; Spike machine (RR); Sub-surface Material Distributor; Tamper (multiple vibrating, earth and sub-base material); Throttle valve; Throttle Valve and fireman combination on horizontal or upright boiler; Tractaire with drill; Well Point

GROUP 4: Air compressor; Assistant to engineer, oiler; Bituminous patching tamper; Belt spreader; Broom and belt machine; Chair cart, self-propelled; Coleman-type screen; Conveyor, portable; Deck-hand Digger post hole, power-driven; Forklift, under 10 ton; Form grader; Form tamper, motor-driven; Generator; Hetherington driver; Hydra seeder; Mechanic heater; Operator, 2 pieces of minor equipment; Outboard or inboard motor boat; Power curing spraying machine; Pug mill; Pull broom, power type; Seaman tiller; Skid steer loader over 3/4 cu. yd.; Straw blower or brush mulcher; Striping machine paint, motor-driven; Sub-grader; Tractaire; Tractor, below 50 h.p.; Truck crane oiler; Spreader; Water pump

GROUP 5: Skid steer loader under 3/4 cu. yds

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ENGI0150-039 06/01/2021

UNDERGROUND & UTILITY CONSTRUCTION:

JASPER, NEWTON, PULASKI AND STARKE COUNTIES:

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1	\$ 42.00	39.68
GROUP 2	\$ 41.20	39.68
GROUP 3	\$ 36.90	39.68
GROUP 4	\$ 34.70	39.68
GROUP 5	\$ 29.25	39.68

POWER EQUITMENT OPERATOR CLASSIFICATIONS

GROUP 1: Asphalt plants (construction), Asphalt plant (permanent), Auto Patrol (Maintainer), Automatic Dry Batch Plant, Automated Concrete Placer, Automated Sub-Grader, Automated Slip Form Paver, Automated Finish Machine, Combination Backhoe Front, End Loader Machine (1/2 cu. yd.), Backhoe bucket or over or with attachments), Combination backhoe 1 cu yd, Backhoe bucket or over or with

attachments, Ballast Regulator (RR), Belt Loader (stationary), Boring Machine (road), Bulldozer, Concrete Mixer(27 cu. ft. or over), Concrete Pump (truck mounted), Concrete Breaker (truck mounted and self-propelled), Core Drilling Machine, Cranes and Backhoes (all attachments), Cranes, Hammerhead, Creter Crane, Crushers (concrete, rock, recycling, etc.), Derricks , Derricks (traveling), Dredge Operator, Formless Curb and Gutter Machine (36 inches and over), Formless Curb and Gutter Machine under 36 inches, Gradall and Machines (of a like nature), Guardrail Post Driver (truck mounted), Lead Greaser, Helicopter, Highlift Shovel (3 yd. and over), Hoist (1 drum), Hoist (2, and 3 drums), Hydraulic Power Units (grouting, piledriving and extracting) Hydro or water blaster (self-propelled), Locomotive Operators, Mechanic, Welder, Mucking Machine, Panelboard Concrete Plant (central mix type), Paver (Hetherington), Pile Driver (Skid or Crawler), Road Paving Mixer, Rock Drill Crawler or Skid Rig, Rock Drill (truck Mounted), Ross Carrier, Roto Mill Grinder (36"" and over), Roto mill grinder (less than 36""), Throttle Valve and Compressor or Clever Brooks Type Combination, Throttle Valve and Fireman Combination or Horizontal or Upright Boiler, Tournapull or similar type equipment, Tractor (boom), Tractor Drawn Belt Loader with attached Pusher (requires two engineers), Trench Machine, Tug Boat Operator, Wheel Excavator, Winch Tractor with ""a"" frame, Scoops, Turnapull or similar types machine used in Tandem (add \$1.00 to class 1 hourly rate for each machine attached there to).

GROUP 2: Combination Backhoe Front End Loader Machine with less than 1/2 cu. yd., Backhoe Bucket or with attachments, Bituminous Mixer, Bituminous Paver, Bridge Deck Finisher, Concrete Mixer (less than 27 cu. ft.), Compressor and throttle valve, Compressor (common receiver 3), Greaser, Highlift Shovels (under 3 cu. yds.), Jersey Spreader or Base Paver, Pavement Bump Grinder (self-propelled), Roller (Asphalt, waterbound, Macadam, Bituminous Macadam, Brick Surface, Sheepfoot Roller (self- propelled with blade), Surface Heater and Planer, Tamper (mutiple vibrating, asphalt waterbound macadam, bituminouus macadam, brick surface), Tractor (push), Tractor with scoop, Widener, Apsco or similar type.

GROUP 3: Back Filler, Bituminous Distributor, Broom and Belt Machine, Bull Float, Compressor (common receiver 2), Concrete cutter wheel type (rockwell), Concrete Finishing Machine, Concrete Spreader (power driven), Digger, Post Hole (power driven), Finishing Machine and Bull Float, Forklift, Form Grader, Form Tamper (motor driven), Hydraulic (boom truck) when used for hauling materials, Laser screed, Mutiple Tamping Machine, Paving Breaker, Roller (earth and subbase material), Roller sheepfoot (self-propelled), Sub-grader, Tamper, Mutipile Vibrating (earth and subbase material), Tractaire with Drill, Tractor (with all drawn attachements except backhoe and including Highlift, Endloader of 1 cu. yd. capacity and less.

GROUP 4: Air Compressors, Conveyor (all), Fireman on Boiler, Generator, Grout Machine, Power curing Spraying Machine (self-propelled), Broom (self-propelled), Seaman Tiller, Skid steer loaders, Spike Machine (RR), Stripping Machine (paint, self-propelled), Throttle Valve, Welding Machine, Well Points System.

GROUP 5: Deck Hand, Hetherington Driver, Mechanical Heater (1 to 5), Outboard or Inboard Motor Boat, Oiler, Power Saw (Concrete Power Driven), Water Pump, Grasscutter.

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ENGI0181-014 04/01/2022

HEAVY AND HIGHWAY CONSTRUCTION:

BARTHOLOMEW, BROWN, CLARK, CRAWFORD, DEARBORN, DECATUR, DUBOIS, FLOYD, FRANKLIN, GIBSON, HARRISON, JACKSON, JEFFERSON, JENNINGS, LAWRENCE, MARTIN, OHIO, ORANGE, PERRY, PIKE, POSEY, RIPLEY, SCOTT, SPENCER, SWITZERLAND, VANDERBURGH, WARRICK, and WASHINGTON COUNTIES

I	Rates	Fringes
Power equipment operators:		
GROUP A\$	39.50	18.56
GROUP B\$	36.85	18.56
GROUP C\$	34.72	18.56

#### POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP A: Air compressor in manifold with throttle valve; Asphalt plant engineer; Auto grade or similar type machine; Bituminous mixer; Bituminous paver; Bituminous plant engineer; Bulldozer; Caisson drilling machine; Cherry picker, all; Ballast regulator (RR); Chip spreader, self-propelled; Cold grinder or similar type equipment; Concrete mixer, 21 cu. ft. or over; Concrete pump, truck-mounted; Core drilling machine; Crane or derrick with any attachment (including clamshell, dragline, shovel, backhoe, etc.); Dredge operator; Drilling machine on which the drill is an integral part; Earth mover, rubber-tired, tandem 0.50 per hour additional; Elevating grader; Endloader, Hi- lift shovel; P.C.C. formless paver; Gradall; Gravel processing plant, portable; Guardrail post driver operator; Head greaser; Hi-lift shovel, endloader; Hoist (2 drums and over); Helicopter crew; Hydraulic boom truck, Keystone, Skimmer Scoop; Loader, self-propelled (belt, chain wheel); Locomotive operator; Mechanic; Mucking machine; Multi-bank drill operator; Panel board concrete plant, central mix type; Paver, Hetherington; Pile driver, skid or crawler; Road paving mixer; Rock breaking plant; Rock crushing plant, portable; Roller (asphalt, waterbound, macadam, bituminous macadam, brick surface); Roller, with dozer blade; Root rake, tractor-mounted; Stump remover, tractor- mounted; Surface heater and planer; Tandem push tractor, \$0.50 per hour additional; Tractor, boom winch or hoe head; Tractor, push; Tractor with scoop; Tractor-mounted spreader; Tree mover; Trench machine, over 24""; Tug boat operator; Welder; Well drilling machine; Self-propelled widener.

GROUP B: Air compressor with throttle valve or clever brooks-type combination; Backfiller, base paver, Jersey or similar type machine; Bull float; Concrete finishing machine; Concrete mesh depressor, independently operated; Concrete spreader, power- driven; Dredge engineer; Excavator loader, portable; Fire tender on boiler; Forklift, regardless of ton; Hoists, 1 drum; Mesh or steel placer; Minor equipment operator, 5 pieces; Multiple tamping machine (RR); P.C.C. concrete placer; Paving

breaker; Power broom, self-propelled;
Pull grader, power-controlled; Refrigerating machine,
freezing operation; Roller, earth and sub- base material;
Ross carrier (Straddle buggy); Sheepfoot roller,
self-propelled without blade; Tamper, multiple\vibrating
(asphalt, waterbound macadam, bituminous macadam, brick
surface); Tamper, multiple vibrating (earth and sub-base
material); Trench machine, 24"" and under; Tube float; Well
point system; Widener, Apsco or similar type; Winch truck
with A-frame.

GROUP C: Air compressor, oiler; Automatic dry batch plant; Bituminous distributor; Bituminous patching tamper; Belt spreader; Broom and belt machine; Brush burner; Chair cart, self- propelled; Coleman-type screen; Cold grinder oiler; Concrete mixer, less than 21 cu. ft.; Conveyor, portable; Curb machine; Deckhand; Digger (post hole, power-driven); Farm tractor, including farm tractor with all attachments (except backhoe, Hi- lift endloaders); Form grader; Form tamper, motor-driven; Generator; Gunite machine; Hetherington driver; Hydra seeder; Mechanical heater; Minor equipment operator, 1 through 4 pieces; Curing spraying machine; Power saw, concrete (power-driven); Pug mill pull broom, power type; Seaman tiller; Slurry seal machine; Spike machine; Straw blower or brush mulcher; Stripping machine (paint, motor-driven); Sub grader; Throttle valve; Tractaire with drill; Truck crane and multi-drill oiler, driver; Spreader; Water pump.

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ENGI0181-015 04/01/2022

## SEWER WATERLINE & UTILITY CONSTRUCTION:

BARTHOLOMEW, BROWN, CLARK, CRAWFORD, DEARBORN, DECATUR, DUBOIS, FLOYD, FRANKLIN, GIBSON, HARRISON, JACKSON, JEFFERSON, JENNINGS, LAWRENCE, MARTIN, OHIO, ORANGE, PERRY, PIKE, POSEY, RIPLEY, SCOTT, SPENCER, SWITZERLAND, VANDERBURGH, WARRICK, and WASHINGTON COUNTIES

I	Rates	Fringes
Power equipment operators:		
GROUP A\$	39.50	19.28
GROUP B\$	36.85	19.28

# SEWER WATERLINE & UTILITY CONSTRUCTION

GROUP A: A-frame winch truck; Air compressor 900 cu. ft. and over; Air tugger; Autograde (CMI); Auto patrol; Backhoe; Ballast regulator (RR); Batch plant (electrical control concrete); Bending machine (pipe); Bituminous plant (engineer); Bituminous plant; Bituminous mixer travel plant; Bituminous paver; Bituminous roller; Buck hoist; Bulldozer; Cableway; Chicago boom; Clamshell; Concrete mixer, 21 cu. ft. or over; Concrete paver, concrete pump, crete; Crane; Craneman; Crusher plant; Derrick; Derrick boat; Dinky; Dope pots (pipeline); Dragline; Dredge operator; Dredge engineer; Drill operator; Elevator grader; Elevator; Ford hoe, or similar type equipment; Forklift; Formless paver; Gantry crane; Gradall; Grademan; Hopto; Hough loader or similar type; Hydro crane; Motor crane; Mucking machine; Multiple tamping machine (RR); Overhead crane; Pile driver; Pulls; Push dozer; Push boats; Roller

(sheep foot); Ross Carrier; Scoop; Shovel; Side boom; Swing crane; Trench machine; Welder (heavy duty; Truck-mounted concrete pump; Truck-mounted drill; Well point; Whirleys.

GROUP B: Air compressor, up to 900 cu. ft.; Brakeman; Bull float; Concrete mixer, over 10S and under 21S; Concrete spreader or puddler; Deck engine; Electric vibrator compactor (earth or rock); Finishing machine; Fireman; Greaser, on grease facilities servicing heavy equipment; Material pump; Motor boats; Portable loader; Post hole digger; Power broom; Rock roller; Roller, wobble wheel (earth and rock); Spike machine (RR); Seaman tiller; Spreader rock; Sub grader; Tamping machine; Welding machine; Widener, Apsco or similar type: Bituminous distributor; Cement gun; Concrete saw; Conveyor; Deckhand oiler; Earth roller; Form grader; Generator; Guard rail driver; Heater; JLG lifts; Oiler; Paving joint machine; Power traffic signal; Scissor lift; Steam Jennyu; Truck crane oiler; Vibrator; Water pump.

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ENGI0841-011 04/01/2020

HEAVY, HIGHWAY AND UTILITY CONSTRUCTION

BOONE, CLAY, DAVIESS, FOUNTAIN, GREENE, HENDRICKS, KNOX, MONROE, MONTGOMERY, MORGAN OWEN, PARKE, PUTNAM, SULLIVAN, VERMILLIAN, VIGO, and WARREN COUNTIES

	Rates	Fringes
Power equipment operators:  GROUP 1	•	23.00+a 23.00+a

#### POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Air Compressor Over 600 CU FT, Air Compressors (2), Compressors hooked in Manifold, Asphalt Plant Engineer, Auto Grade and/or C.M.I. or similar type Machine, Auto Patrol, Motor Patrol, Power Blade, Aspco Paver, Asphalt Planer, Asphalt Rollers, Asphalt Paver Operator, Concrete or Asphalt Milling Machine, Self Propelled Widener, Backhoe and/or Pavement Breaker Attachment, Self Propelled Pavement Breaker, Ballast Regulator (R.R), Bituminous Mixer, Bituminous Paver, Bituminous Plant Engineer, Bulk Cement Plant Engineer, Bulldozer, One Drum Hoist with Tower or Boom, Cableways, Tower Machines, Back Filler, Boom Tractor, Boom or Winch Truck, Winch or Hydraulic Boom Truck, Boring Machine, Bolier Operator, Brush Mulcher, Bull Float, Finishing Machine, Power Cranes, Overhead Cranes, Truck cranes, Piledriver, Skid or Crawler, Guard Rail Post Driver, Tower Cranes, Hydro Crane, Cherry Picker, Draglines, Derricks, Shovels, Clam, Gradalls, Two Drum Machine, Concrete or Asphalt Curb Machine, Self Propelled, Concrete Mixers with Skid, Tournamixer, Concrete Pump (Truck or Skid Mounted), Concrete Plant Engineer, Soil Cement Machine, Formless Paver, Concrete Spreader, Span Saw (and similar types), Chip Spreader, Mesh Placer, Dredging Equipment or Dredge Engineer or Dredge Operator, Tug Boat Operator, Marine Scoops, Ditching Machine with Dual Attachment, Standard or Dinkey Locomotives, Drilling Machine, including Well Testing, Caissons, Shaft or any similar type Drilling Machine (Well Point Systems), 4 Point

Life System (Power Lift or similar type), Mud Cat, Mucking Machine, Sull-Air, Mechanics, Welder, Head Equipment Greaser, Tournapull, Tractor Operating Scoops, Push Tractors, Large Rollers on Earth, Loaders (Track or Rubber Mounted), or similar type Machine, Lull, Tournadozer, Scoopmobiles, Elevating Machines, Power Broom (Self Propelled), Power Sub Grader, Hydra Ax, Farm Tractor with Attachments, Soil Stabilizer (Seaman Tiller, Bo mag, Rago Gator and similar types of equipment), Tree Mover, Stump Remover, Root Rake, Hydra Seeder, Straw Blower, Refrigerating Machine, Freezing Operator, Chair Cart-Self Propelled, Helicopter Crew (3), Ross Carrier or Straddle Buggy or similar Machine, Rock Crusher Plant, Gravel Processing Machine, Pipe Cleaning Machine, Pipe Wrapping Machine, Pipe Bending Machine, Pug Mill, Concrete Bump Grinder Machine, Power Curing Spray Machine, Forklift (except when used for landscaping), Snooper Truck Operator.

GROUP 2: Air Compressor 600 cu. ft. and under, Air Tugger, Air Valves, Assistant Concrete Plant Engineer, Assistant Asphalt Plant Engineer, Asphalt Plant Fireman, Bulk Cement Plant Equipment Greaser, Concrete Mixers without Skips, Curbing Machine, Concrete Saw (Self Propelled), Conveyors, Cement Blimps, Ditching Machine under 6"", Distributor Operator On trucks, Deck Hands, Elevators when used for hoisting material, Engine Tenders, Fork Lift (when used for landscaping), Farm Tractor, Fireman, Fireman on Paint or Dope Pots, Form Tamper, Form Grader, Flex Plane, Generators (two to four), or Welding Machines or Water Pumps, within 400 feet, Gunite Machine, Machine Mounted Post Hole Digger, Mude Jack, One Drum Machines without Tower or Boom, One Water Pump, One Welding Machine, Outboard or Inboard Motor Boat, Pull Broom (Power Type, Siphons and Pulsometer, Switchman, Striping and or Painting Machine (motor driven), Slurry Seal Machine, Track Jack, Temporary Heat, Throttle Valve, Tube Float, Tractaire, Wagon Drill, Multiple Tamping Machine (R.R.), Spike Machine (R.R.), Mechanical Heaters, Brush Burner, Vacuum Truck (Super Sucker and similar types).

#### FOOTNOTES:

- A. Employees operating booms from 149Ft. to 199 Ft. including jib, shall receceive an additional seventy-five Cents (.75) per hour above the rate. Employees operating booms over 199 Ft. including jib, shall receive an additional one dollar and twenty- five cents (\$1.25) per hour above the regular rate.
- B. Employees operating scoops, pulls, or tractors hooked in tandem shall receive an additional one dollar (\$1.00) per hour above the regular rate.
- C. Employees operating scoops, pulls, or tractors pulling any other hauling unit in tandem shall receive an additional one dollar (\$1.00) per hour above the regular rate.
- D. Underground work Employees working in tunnels, shafts, etc. shall be paid a thirty percent (30%) premium above the wage rate.

IRON0022-001 06/01/2021

BARTHOLOMEW, BENTON, BOONE, BROWN, CARROLL, CASS, CLAY, CLINTON, DAVIESS (REMAINDER OF COUNTY), DECATUR (W 3/4), DELAWARE (REMAINDER OF COUNTY), FAYETTE (W 1/3), FOUNTAIN, FRANKLIN (NW TIP), FULTON (REMAINDER OF COUNTY), GRANT (REMAINDER OF COUNTY), GREENE, HAMILTON, HANCOCK, HENDRICKS, HENRY, HOWARD, JACKSON, JASPER (SOUTHEASTERN 1/2), JENNINGS (NORTHWEST 2/3), JOHNSON, KNOX (REMAINDER OF COUNTY), LAWRENCE, MADISON, MARION, MARTIN (NW 2/3), MIAMI (REMAINDER OF COUNTY), MONROE, MONTGOMERY, MORGAN, NEWTON (SOUTHERN 1/2), OWEN, PARKE, PULASKI (REMAINDER OF COUNTY), PUTNAM, RANDOLPH (SW TIP), RUSH (REMAINDER OF COUNTY), SHELBY, SULLIVAN, TIPPECANOE, TIPTON, VERMILLION, VIGO, WAYNE, WARREN AND WHITE COUNTIES:

	Rates	Fringes
IRONWORKER	\$ 33.90	24.70

The following holidays shall be observed: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and the day after Thanksgiving and Christmas Day. Any holiday which occurs on a Sunday shall be observed the following Monday, unless the legal observance of these holidays is changed by law.

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IRON0044-010 06/01/2022

DEARBORN, DECATUR (REMAINDER OF COUNTY), FAYETTE (REMAINDER OF COUNTY), FRANKLIN (REMAINDER OF COUNTY), JEFFERSON (REMAINDER OF COUNTY), JENNINGS (REMAINDER OF COUNTY), OHIO, RIPLEY, RUSH (SOUTHEASTERN TIP), SWITZERLAND, AND UNION (SOUTHERN 1/3)

	Rates	Fringes
		3
Ironworkers:		
FENCE ERECTORS	\$ 30.28	22.30
ORNAMENTAL	\$ 31.87	22.30
STRUCTURAL, MACHINERY		
MOVERS, RIGGERS	\$ 31.87	22.30

IRON0070-002 06/01/2022

CLARK, CRAWFORD, FLOYD, HARRISON, JACKSON (SOUTHERN 3/4); JEFFERSON (EXCLUDING NORTHEASTERN TIP); JENNINGS (SOUTHERN 3/4), LAWRENCE (SOUTHERN 2/3), MARTIN (SOUTHEASTERN 2/3), ORANGE, PERRY (EASTERN 3/4); SCOTT AND WASHINGTON COUNTIES:

	Rates	Fringes
IRONWORKER	\$ 31.79	24.62

IRON0070-016 06/01/2022

DEARBORN, DECATUR (REMAINDER OF COUNTY), FAYETTE (SE CORNER), FRANKLIN (S 3/4), OHIO, RIPLEY (REM. OF COUNTY), SWITZERLAND (REMAINDER OF COUNTY) and JENNINGS (NE TIP) COUNTIES

	F	Rates E	Fringes
IRONWORKER (R	einforcing)\$	31.79	24.62

IRON0103-001 04/01/2021

DAVIESS (S 1/2), DUBOIS, GIBSON, KNOX (S 1/2), MARTIN (SW 1/3), PERRY (W 1/4), PIKE, POSEY, SPENCER, VANDERBURGH, AND WARRICK

	Rates	Fringes
IRONWORKER	.\$ 30.00	25.66
TDONO147 004 06/01/0001		

IRON0147-004 06/01/2021

ADAMS, ALLEN, BLACKFORD, DEKALB, DELAWARE (NORTHEAST THIRD OF COUNTY), FULTON (EASTERN PART), GRANT (EXCLUDING SOUTHWEST PORTION), HUNTINGTON, JAY, MIAMI (NORTHEAST HALF), NOBLE (EXCLUDING NORTHEAST TIP), STEUBEN, WABASH, WELLS, and WHITLEY COUNTIES

	Rates	Fringes	
IRONWORKER	\$ 30.35	24.22	
IRON0290-004 06/01/2022			

FAYETTE (NE 1/4), RANDOLPH (S. PART OF COUNTY EXCLUDING WINCHESTER BUT INCLUDING UNION CITY) UNION (NORTHERN 2/3) AND WAYNE (REMAINDER OF COUNTY) COUNTIES

	Rates	Fringes	
Ironworkers:	\$ 31.59	24.40	
TDONO202 005 06/01/2022			

IRON0292-005 06/01/2022

ELKHART, FULTON (North 2/3), KOSCIUSKO (Remainder of County), LAGRANGE (West 1/3), MARSHALL, MIAMI (Northwestern Tip), NOBLE (Northwestern Tip), PULASKI (Northeast Half), and STARKE COUNTIES

	Rates	Fringes	
IRONWORKER	\$ 33.62	24.25	

<sup>\*</sup> IRON0395-002 06/01/2022

JASPER (NORTHERN 1/2), NEWTON (NORTHERN 1/2), PULASKI (NORTHWESTERN TIP) COUNTIES

	Rates	Fringes	
		_	
IRONWORKER			
IRONWORKERS	\$ 43.00	37.24	
SHEETER	\$ 41.75	34.54	
			_

LABO0041-003 04/01/2022

HEAVY & HIGHWAY CONSTRUCTION

NEWTON COUNTY

Rates Fringes

#### LABORERS

Group 1\$	31.74	23.63
Group 2\$	32.04	23.63
Group 3\$	32.74	23.63

#### LABORERS CLASSIFICATIONS (HEAVY AND HIGHWAY)

GROUP 1: Construction Laborer, Carpenter Tender, Fence Erector, Grade Checker, Guard Rail Erector, Continuous Steel Rod or Mat Installer, Wire Mesh Layer, Joint Man (Mortar, Mastic, and all other types), Lighting Installer (Permanent or Temporary), Lineman for Automatic Grade Maker on Paving Machines, Mortar Man, Multi-Plant Erector, Rip-rap Installer (all Products and Materials), Road Marking and Delineation Laborer, Setting and Placing of all Precast Concrete Products, Sing Installation including supporting structure, Spraying of all Epoxy, Curing Compound, or Like Material, Flagperson, Air Tool, Power Tool Operator, Asphalt Raker Man, Batch Truck Dumper, Bridge Hand Rail ERector, Handler (bulk or bag cement), Chain Saw Man, Concrete Puddler, Concrete Rubber, Concrete Saw Operator, Core Drill Operator, Eye Level, Hand Blade Operator Hydro Seeder Man, Motor Driven Georgia Buggy Operator, Power Driven Compactor or Taper Operator, Power Saw Operator, Pump Crete Assembly Man, Sreed Man or Screw Man on Asphalt Paver, Regar Installer, Sandblaster Man, Sealer Applicator for Asphalt (toxic), Setting and Placing pre-stressed on Pre-cast Concrete Structural Members, Side Rail Setters (for Sidewalk, Side Ditches, Radii, and Pavement), Spreader Box Tender (manua or power driven), Straw Blower Man, Subsureface Drain and Culvert Pipe Layer, Concrete Conveyor, Horizonal Boring and Jackman and Sheetman, Pipe Greade Man, Winch and Windless Operator Conduit Installer, Sod Layer

GROUP 2: Cutting Torch Burner, Laser Beam Aligner, Manhole Erector, Sewer Pipe Layer, Water Line Installer, Temporary or Permanent Welders (electric or Oxy Acetylene)

GROUP 3: Air Track and Wagon Drillman, Dynamite and Powder Man, Concrete Barrier Rail Form Setter, Concrete Saw Joint Control Cutting

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LABO0041-005 04/01/2022

UTILITY CONSTRUCTION

JASPER & NEWTON COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	31.74	23.63
GROUP	2\$	32.04	23.63
GROUP	3\$	32.74	23.63

## LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector;

Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0041-006 04/01/2022

HEAVY & HIGHWAY CONSTRUCTION

JASPER & STARKE COUNTIES

	I	Rates	Fringes
LABORERS			
Group	1\$	28.97	23.63
Group	2\$	29.27	23.63
Group	3\$	29.97	23.63

#### LABORERS CLASSIFICATIONS (HEAVY AND HIGHWAY)

GROUP 1: Construction Laborer, Carpenter Tender, Fence Erector, Grade Checker, Guard Rail Erector, Continuous Steel Rod or Mat Installer, Wire Mesh Layer, Joint Man (Mortar, Mastic, and all other types), Lighting Installer (Permanent or Temporary), Lineman for Automatic Grade Maker on Paving Machines, Mortar Man, Multi-Plant Erector, Rip-rap Installer (all Products and Materials), Road Marking and Delineation Laborer, Setting and Placing of all Precast Concrete Products, Sing Installation including supporting structure, Spraying of all Epoxy, Curing Compound, or Like Material, Flagperson, Air Tool, Power Tool Operator, Asphalt Raker Man, Batch Truck Dumper, Bridge Hand Rail Erector, Handler (bulk or bag cement), Chain Saw Man, Concrete Puddler, Concrete Rubber, Concrete

Saw Operator, Core Drill Operator, Eye Level, Hand Blade Operator Hydro Seeder Man, Motor Driven Georgia Buggy Operator, Power Driven Compactor or Taper Operator, Power Saw Operator, Pump Crete Assembly Man, Sreed Man or Screw Man on Asphalt Paver, Regar Installer, Sandblaster Man, Sealer Applicator for Asphalt (toxic), Setting and Placing pre-stressed on Pre-cast Concrete Structural Members, Side Rail Setters (for Sidewalk, Side Ditches, Radii, and Pavement), Spreader Box Tender (manua or power driven), Straw Blower Man, Subsureface Drain and Culvert Pipe Layer, Concrete Conveyor, Horizonal Boring and Jackman and Sheetman, Pipe Greade Man, Winch and Windless Operator Conduit Installer, Sod Layer

GROUP 2: Cutting Torch Burner, Laser Beam Aligner, Manhole Erector, Sewer Pipe Layer, Water Line Installer, Temporary or Permanent Welders (electric or Oxy Acetylene)

GROUP 3: Air Track and Wagon Drillman, Dynamite and Powder Man, Concrete Barrier Rail Form Setter, Concrete Saw Joint Control Cutting

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LABO0081-003 04/01/2022

UTILITY CONSTRUCTION

STARKE COUNTY

	]	Rates	Fringes
Laborers:			
GROUP	1\$	28.97	23.63
GROUP	2\$	29.27	23.63
GROUP	3\$	29.97	23.63

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass

#### operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0120-003 04/01/2022

UTILITTY CONSTRUCTION

MARION & SHELBY COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

LABO0204-003 04/01/2022

UTILITY CONSTRUCTION

CLAY, FOUNTAIN, GREENE, HENDRICKS, OWEN, PARKE, PUTNAM, SULLIVAN, VERMILLION, VIGO, & WARREN COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0213-003 04/01/2022

#### UTILITY CONSTRUCTION

ADAMS, ALLEN, DEKALB, HUNTINGTON, NOBLE, STEUBEN, WABASH, WELLS, & WHITLEY COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0274-005 04/01/2022

UTILITY CONSTRUCTION

BENTON, BOONE, CARROLL, CASS, CLINTON, FULTON, HOWARD, MIAMI, MONTGOMERY, PULASKI, TIPPECANOE, TIPTON, and WHITE COUNTIES

Rates Fringes

Laborers:
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GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

## LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0561-015 04/01/2022

UTILITY CONSTRUCTION

DAVIESS, DUBOIS, GIBSON, KNOX, PIKE, POSEY, SPENCER, VANDERBURGH, & WARRICK COUNTIES

	1	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

LABO0645-005 04/01/2022

UTILITTY CONSTRUCTION

ELKHART COUNTY

	I	Rates	Fringes
T 1			
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

# LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including

supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0645-006 04/01/2022

UTILITY CONSTRUCTION

KOSCIUSKO, LAGRANGE, & MARSHALL COUNTIES

	]	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

# LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw

operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

LABO0741-007 04/01/2022

#### UTILITY CONSTRUCTION

BARTHOLOMEW, BROWN, DEARBORN, DECATUR, FRANKLIN, JACKSON, JENNINGS, JOHNSON, LAWRENCE, MARTIN, MONROE, MORGAN, OHIO, ORANGE & RIPLEY COUNTIES

	1	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven);

Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0795-004 04/01/2022

#### UTILITY CONSTRUCTION

CLARK, CRAWFORD, FLOYD, HARRISON, JEFFERSON, PERRY, SCOTT, SWITZERLAND, & WASHINGTON COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole

erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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LABO0999-001 04/01/2020

HEAVY AND HIGHWAY CONSTRUCTION

ALL COUNTIES EXCEPT: Jasper, Newton, & Starke

	I	Rates	Fringes
Laborers:			
GROUP	1\$	25.10	16.17
GROUP	2\$	25.40	16.17
GROUP	3\$	26.10	16.17

#### LABORERS CLASSIFICATIONS

GROUP 1: Building and Construction Laborers; Scaffold Builders (other than for Plasterers); Mechanic Tenders; Window Washers and cleaners; Waterboys and Toolhousemen; Roofers Tenders; Railroad Workers; Masonry Wall Washers (interior and exterior); Cement Finisher Tenders; Carpenter Tenders; All Portable Water pumps with discharge up to (3) inches; Plaster Tenders; Mason Tenders; Flag & Signal Person.

GROUP 2: Waterproofing; Handling of Creosot Lumber or like treated material (excluding railroad material); Asphalt Rakers and Lutemen; Kettlemen; Air Tool Operators and all Pneumatic Tool Operators; Air and Electric Vibrators and Chipping Hammer Operators; Earth Compactors Jackmen and Sheetmen working Ditches deeper than (6) ft.in depth; Laborers working in ditches (6) ft.in depth or deeper; Assembly of Unicrete Pump; Tile Layers (sewer or field) and Sewer Pipe Layer (metallic or non-metallic); Motor driven Wheelbarrows and Concrete Buggies; Hyster Operators; Pump Crete Assemblers; Core Drill Operators; Cement, Lime or Silica Clay Handlers (bulk or bag); Handling of Toxic Materials damaging to clothing; Pneumatic Spikers; Deck Engine and Winch Operators; Water Main and Cable Ducking (metallic and non-metallic); Screed Man or Screw Operator on Asphalt Paver; Chain and Demolition Saw Operators; Concrete Conveyor Assemblers.

GROUP 3: Water Blast Machine Operator; Mortar Mixers; Welders (Acetylene or electric); Cutting Torch or Burner; Cement Nozzle. Laborers; Cement Gun Operator; Scaffold Builders when Working for Plasterers. Dynamite Men; Drillers - Air Track or Wagon Drilling for explosives Hazardous and Toxic material handler, asbestos removal or handler.

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LABO1112-003 04/01/2022

UTILITY CONSTRUCTION

BLACKFORD, DELAWARE, FAYETTE, GRANT, HAMILTON, HANCOCK, HENRY, JAY, MADISON, RANDOLPH, RUSH, UNION & WAYNE COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	27.40	17.22
GROUP	2\$	27.90	17.22
GROUP	3\$	28.40	17.22

#### LABORERS CLASSIFICATIONS (UTILITY CONSTRUCTION)

GROUP 1: Construction laborer; Fence erector; Flagger; Grade checker; Guard rail erector; Wire mesh layer; Joint man (mortar, mastic and all other types); Lighting installer (permanent or temporary); Lineman for automatic grade maker on paving machines; Mortar man; Multi-plate erector; Rip-rap installer (all products and materials); Road marking and delineation laborer; Setting and placing of all precast concrete products; Sign installation including supporting structure; Spraying of all epoxy, curing compound, or like material; sod layer; Air tool, power tool, and power equipment operator; Asphalt lute man; Asphalt raker man; Batch truck dumper; Bridge handrail erector; Handler (bulk or bag cement); Chain saw man; concrete puddler; concrete rubber; Concrete saw operator; Core drill operator, eye level; Hand blade operator; Hydro seeder man; Motor-driven Georgia buggy operator; Power-driven compactor or tamper operator; Power saw operator; Pumpcrete assembly man; Screed man or screw man on asphalt paver; Rebar installer; Sandblaster man; Sealer applicator for asphalt (toxic); Setting and placing prestressed or precast concrete structural memebers; Side rail setter (for sidewalks, side ditches, radii, and pavements); Spreader box tender (manual or power-driven); Straw blower man; Subsurface drain and culvert pipe layer; Transverse and longitudinal hand bull float man; Concrete conveyor assembly man; Horizontal boring and jacking man; Jackman and sheetman; Pipe grade man; Winch and windlass operator

GROUP 2: Cutting torch burner; Laser beam aligner; Manhole erector; Sewer pipe layer; Water line installer, temporary or permanent; Welder (electric or exy-acetylene) in connection with waterline and sewer work, Hod Carrier (tending bricklayers); TVing and associated grouting of utility lines

GROUP 3: Air track and wagon drillman; Concrete barrier rail form setter; Dynamite and powder man; General leadman; Concrete Saw Joint Control cutting

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PAIN0012-006 05/01/2020

COMMERCIAL AND INDUSTRIAL

DEARBORN, OHIO, RIPLEY AND SWITZERLAND COUNTIES:

PAINTER
Bridges, Lead Abatement....\$ 26.30 11.35
Brush & Roller,

Paperhanger, Drywall Taping.\$	25.30	11.35
Sandblasting, Waterblasting.\$	26.05	11.35
Spray\$	25.80	11.35
PAIN0027-005 06/01/2021		

NEWTON COUNTY, West of Highway #41

	Rates	Fringes	
GLAZIER	\$ 47.83	39.84	
D7 TX 0.047 0.05 0.6 /01 /0.000			

PAIN0047-005 06/01/2020

BARTHOLOMEW, BOONE, BROWN, DECATUR, HAMILTON, HANCOCK, HENDRICKS, JACKSON, JENNINGS, JOHNSON, LAWRENCE, MARION, MARTIN, MONROE, MORGAN, ORANGE, AND SHELBY COUNTIES

	Rates	Fringes
PAINTER		
BRIDGE WORK		
Concrete/Masonry Bridges	\$ 26.44	13.30
Steel Bridges	\$ 30.50	14.50
NON-BRIDGE WORK		
Brush, Roller	\$ 26.23	15.55
Spray and Sand-Blasting	\$ 27.23	15.55

PAIN0080-001 06/01/2020

BENTON, CARROLL, CASS, CLINTON, FOUNTAIN, MONTGOMERY TIPPECANOE AND WARREN COUNTIES

	Rates	Fringes
PAINTER		
Brush and Roller	\$ 25.05	17.53
Spray and Sandblasting	\$ 26.00	17.53

PAIN0091-007 06/01/2020

ELKHART, FULTON, KOSCIUSKO AND MARSHALL COUNTIES

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PAINTER	
Brush & Roller, Drywall	
Taping & Finishing,	
Vinyl/Paper Hanging\$ 27.00	16.36
Spray\$ 27.50	16.36

Rates

Fringes

PAIN0118-005 06/01/2020

CLARK, CRAWFORD, FLOYD, HARRISON JEFFERSON, SCOTT AND WASHINGTON COUNTIES

F	Rates	Fringes
Painters:		
Heavy Construction		
Brush, Roller &		
Paperhanger\$	22.20	14.07

Spray, Sandblast &		
Waterblast	\$ 23.45	13.19
Highway Construction &		
Railroad Bridges		
Brush, Roller &		
Paperhanger	\$ 28.63	14.07
Spray, Sandblast &		
Waterblast	\$ 29.63	14.07

PAIN0156-001 04/01/2020

DAVIESS, DUBOIS, GIBZSON, KNOX, PERRY, PIKE, POSSEY, SPENCER, VANDERBURGH, AND WARRICK COUNTIES

	Rates	Fringes
Painters:  BRUSH & ROLLER OF MASTICS,  CREOSOTES, KEWINCH KOATE,		
& COAL TAR EPOXY\$ BRUSH & ROLLER\$ DRYWALL FINISHERS\$ SPRAY of MASTICS CREOSOTES, KWINCH KOATE,	27.60	17.53 17.53 17.53
COAL TAR EPOXY\$  SPRAY, SANDBLAST, POWER	29.60	17.53
TOOLS, WATERBLAST & STEAM CLEANING\$	28.60	17.53

#### FOOTNOTE A:

All Structures over 40? 0.75/ hour above base wage All Structures over 75? 1.50/ hour above base wage All Structures over 100? 2.50/ hour above base wage

PAIN0197-001 06/01/2020

CLAY, GREENE, OWEN, PARKE, PUTNAM, SULLIVAN, VERMILLION AND VIGO COUNTIES:

	11000	1111900
Painters: Brush & Roller Sandblasting Spray & Pot Man	.\$ 29.05	13.42 13.42 13.42
FOOTNOTE A: \$1.00 premium for wo above floor/groun \$2.00 premium for wo above floor/groun	d level rk on structures d level	s over 100 ft
PAIN0387-004 11/01/2021		
DEARBORN, FRANKLIN, OHIO, RIPLEY	, and SWITZERLAN	ND COUNTIES
	Rates	Fringes
GLAZIER	.\$ 27.93	16.82

PAIN0460-004 06/01/2020

39 of 51 7/6/2022, 3:54 PM

Rates Fringes

JASPER, NEWTON, PULASKI, STARKE AND WHITE COUNTIES

	Rates	Fringes
Painters:		
Brush & Roller		
Building\$	35.05	26.21
Brush and Roller		
Heavy and Highway\$	37.85	26.21
Drywall Taping & Finishing\$	35.85	26.21

PAIN0469-002 12/01/2021

ADAMS, ALLEN, DEKALB, GRANT, HUNTINGTON, LAGRANGE, NOBLE, STEUBEN, WABASH, WELLS, and WHITLEY COUNTIES

	Rates	Fringes
Painters: Brush, Roller,		
Paperhanger, & Drywall		
Finishing	\$ 24.86	14.77
Lead Abatement	\$ 27.66	14.30
Spray & Sandblast Pot Tenders and Ground		
Personnel	\$ 24.86	14.30
Spray, Sandblast, Power Tools, Waterblast, & Stea	a m	
Cleaning		14.30

PAIN0669-001 05/01/2021

BLACKFORD, DELAWARE, FAYETTE, FRANKLIN, HENRY, HOWARD, JAY, MADISON, MIAMI, RANDOLPH, RUSH, TIPTON, UNION and WAYNE COUNTIES

	Rates	Fringes
Painters:		
Brush; Roller; Paperhanging; Drywall		
Finishers	.\$ 21.70	14.79
Spray/Waterblasting;		
Sandblasting	.\$ 22.70	14.79

PAIN1165-014 07/01/2021

CLARK, CRAWFORD, DAVIESS, DUBOIS, FLOYD, GIBSON, HARRISION, JEFFERSON, KNOX, MARTIN, ORANGE, PERRY, PIKE, POSEY, SCOTT, SPENCER, VANDERBURGH, WARRICK AND WASHINGTON

	Rates	Fringes
GLAZIER	.\$ 30.45	17.25

PAIN1165-017 07/01/2021

ADAMS, ALLEN, BLACKFORD, DE KALB, GRANT, HUNTINGTON, JAY, NOBLE, STEUBEN, WABASH, WELLS AND WHITLEY COUNTIES

Rates Fringes

	\$ 27.42	15.55
PAIN1165-018 07/01/2021		
JASPER and NEWTON (East of High	ghway #41) COU	UNTIES
	Rates	Fringes
GLAZIER	\$ 38.18	25.53
PAIN1165-019 07/01/2021		
ELKHART, FULTON, KOSCIUSKO, LAG STARKE COUNTY	GRANGE, MARSHA	ALL, PULASKI, and
	Rates	Fringes
GLAZIER	\$ 29.81	17.68
PAIN1165-022 01/01/2021		
BARTHOLOMEW, BENTON, BOONE, BROCLINTON, DECATUR, DELEWARE, FAYHANCOCK, HENDRICKS, HENRY, HOWALLAWRENCE, MADISON, MARION, MIANDOWEN, PARKE, PUTNAM, RANDOLPH, PIPPECANOE, TIPTON, UNION, VIGORALLE COUNTIES	ZETTE, FOUNTAI ARD, JACKSON, 41, MONROE, MC RUSH, SHELBY,	N, GREENE, HAMILTON, JENNINGS, JOHNSON, ONTGOMERY, MORGAN, SULLIVAN,
	Rates	Fringes
GLAZIER	\$ 29.61	17.42
PLAS0075-001 06/01/2017		
	MILLION AND VI	GO COUNTIES:
	MILLION AND VI Rates	GO COUNTIES: Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN	Rates	Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN	Rates	Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017	Rates	Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN	Rates	Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017	Rates\$ 25.75	Fringes 13.50
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017 GREENE and SULLIVAN COUNTIES	Rates\$ 25.75	Fringes  13.50  Fringes
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017 GREENE and SULLIVAN COUNTIES CEMENT MASON/CONCRETE FINISHER	Rates \$ 25.75 \$ 28.50	Fringes  13.50  Fringes  13.50
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017 GREENE and SULLIVAN COUNTIES  CEMENT MASON/CONCRETE FINISHER PLAS0101-001 06/01/2018 ELKHART, FULTON AND MARSHALL CO	Rates \$ 25.75 \$ 28.50	Fringes  13.50  Fringes  13.50
CLAY, OWEN, PARKE, PUTNAM, VERN CEMENT MASON/CONCRETE FINISHER PLAS0075-002 06/01/2017 GREENE and SULLIVAN COUNTIES  CEMENT MASON/CONCRETE FINISHER PLAS0101-001 06/01/2018 ELKHART, FULTON AND MARSHALL CO	Rates\$ 25.75  Rates\$ 28.50  DUNTIES; PULAS Rates	Fringes  13.50  Fringes  13.50  SKI COUNTY (SOUTHERN

STEUBEN, WELLS AND WHITLEY COUNTIES

	Rates	Fringes	
CEMENT MASON/CONCRETE FINISHER. PLASTERER		11.94 11.75	
PLAS0438-003 06/01/2018			

PULASKI (NOTHERN 2/3), JASPER (N. EASTERN PORTION OF WEST TO BUT NOT INCLUDING WHEATFIELD), ALL OF STARKE COUNTY

	Rates	Fringes	
CEMENT MASON/CONCRETE FINISHER.	\$ 36.01	25.40	
PLAS0692-002 06/01/2016			

AREA #46

BARTHOLOMEW, BOONE, BROWN, CLARK, CLAY, CRAWFORD, DAVIESS, DUBOIS, GIBSON, HENDRICKS, JACKSON, JEFFERSON, JENNINGS, JOHNSON, KNOX, LAWRENCE, MARION, MARTIN, MONROE, MORGAN, ORANGE, OWEN, PARKE, PERRY, PIKE, POSEY, PUTNAM, SCOTT, SHELBY, SPENCER, VANDERBURGH, VERMILLION, VIGO and WARRICK COUNTIES

	Rates	Fringes	
PLASTERER	\$ 25.04	13.23	
PLAS0692-008 05/01/2017			_

BARTHOLOMEW, BROWN, CLARK, DEARBORN, FLOYD, JACKSON, JEFFERSON, JENNINGS, LAWRENCE, OHIO, ORANGE, RIPLEY, SCOTT, SHELBY, SWITZERLAND, and WASHINGTON Counties

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER AREA #821	\$ 24.18	13.49
PLAS0692-009 04/01/2020		

AREA #83

BLACKFORD, DELAWARE, GRANT, HAMILTON (Northern Part), HANCOCK (Northern Part), JAY, MADISON, TIPTON, and WABASH COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER	•	15.54 11.95

PLAS0692-011 04/01/2020

AREA #83

DECATUR, FAYETTE, FRANKLIN, HENRY, RANDOLPH, RUSH, UNION and WAYNE COUNTIES

	Rates	Fringes	
CEMENT MASON/CONCRETE FINISHER. PLASTERER		15.54 11.95	
PLAS0692-015 06/01/2016			

AREA #121

BENTON, CARROLL, CASS, CLINTON, FOUNTAIN, HOWARD, MIAMI, MONTGOMERY, TIPPECANOE, WARREN, WHITE and VERMILLION (Northern Part) COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER PLASTERER		17.30 16.40

PLAS0692-018 06/01/2017

AREA #165

NEWTON COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER.	\$ 38.88	23.73
PLAS0692-022 06/01/2017		

Southward on Rt. No. 49 to the JASPER, BENTON and WHITE County lines, including the City Limits of Wheatfield, Rensselaer and Remington, Indiana. To the West, the boundary of NEWTON County

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER AREA #406	.\$ 33.35	19.09
PT.AS0692-023 06/01/2018		

AREA #532

BOONE, HAMILTON (SOUTH HALF OF COUNTY NORTH TO NEW ROUTE INDIANA #32 INCLUDING NOBLESVILLE); HANCOCK COUNTY (SOUTHERN AND WESTERN PART OF HANCOCK COUNTY, NORTH TO BUT NOT INCLUDING FORTVILLE); HENDRICKS, JOHNSON, MARION and MORGAN COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER Slip Form Shift Work Swinging/Suspended Scaffold	.\$ 27.45	18.11 18.11 18.11

PLAS0692-027 04/01/2020

AREA #566

CRAWFORD, DAVIESS, DUBOIS, GIBSON, HARRISON, KNOX, MARTIN, PERRY, PIKE, POSEY, SPENCER, VANDERBURGH and WARRICK COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER	.\$ 28.30	18.31
PLUM0136-003 04/01/2022		
BROWN, DAVIESS, DUBOIS, GIBSON, OMONROE, ORANGE, OWEN, PERRY, PIKE WARRICK, and WASHINGTON Counties		
	Rates	Fringes
Plumbers and Pipefitters	.\$ 40.07	19.11
* PLUM0157-002 07/01/2022		
BENTON, CARROLL, CLINTON, FOUNTAIN WARREN AND WHITE COUNTIES:	IN, MONTGOMERY,	TIPPECANOE,
	Rates	Fringes
Plumbers and Pipefitters	.\$ 39.73	20.85
PLUM0166-001 06/01/2020		
ADAMS, ALLEN, BLACKFORD, DE KALB, STEUBEN, WABASH, WELLS, and WHITI		TON, NOBLE,
	Rates	Fringes
Plumber and Steamfitter	.\$ 38.16	17.46
PLUM0166-002 06/01/2020		
ELKHART, KOSCIUSKO, and LAGRANGE	COUNTIES	
	Rates	Fringes
PLUMBER	.\$ 38.16	17.46
PLUM0172-001 06/01/2021		
JASPER (S of the N. Side of the OPULASKI and STARKE COUNTIES	City of Renssele	ar), MARSHALL,
	Rates	Fringes
Plumber, Pipefitter, Steamfitter	.\$ 35.76	21.51
PLUM0210-003 09/01/2020		
JASPER (to the City of Rensselae	c) and NEWTON CO	UNTIES
	Rates	Fringes
PLUMBER	.\$ 42.07	25.16
PLUM0392-006 06/01/2018		
DEARBORN, OHIO, RIPLEY, AND SWITZ	ZERLAND COUNTIES	

		Fringes
Plumbers and Pipefitters	\$ 32.01	19.67 
PLUM0440-002 06/04/2022		
BARTHOLOMEW, BOONE, HAMILTON, H JOHNSON AND MARION COUNTIES; MI LINE WHERE ROUTE 218 ENTERS W. TIPTON COUNTIES	AMI COUNTY (SC	OUTH OF A STRAIGHT
	Rates	Fringes
Plumbers and Pipefitters	\$ 41.57	18.99
PLUM0440-004 06/01/2018		
FAYETTE, FRANKLIN, HENRY, RANDO COUNTIES	DLPH, RUSH, UNI	ON and WAYNE
	Rates	Fringes
Plumber and Steamfitter	\$ 37.67	16.79
PLUM0502-001 08/01/2016		
CLARK, FLOYD AND HARRISON COUNT	IES	
	Rates	Fringes
PLUMBER/PIPEFITTER	\$ 32.00	20.13
PLUM0597-004 06/01/2018		
JASPER (Excluding the city limit (Entire County)	ts of Renssele	ear), AND NEWTON
	Rates	Fringes
PIPEFITTER		31.12
ROOF0023-004 06/01/2021		
ADAMS, ALLEN, DEKALB, ELKHART, LAGRANGE, MARSHALL, MIAMI, NOBI WABASH, WELLS, and WHITLEY COUN	E, PULASKI, SI	
	Rates	Fringes
ROOFER  COMPOSITION		19.03 19.03
ROOF0026-002 06/01/2021		
JASPER AND NEWTON COUNTIES		
	Rates	Fringes
ROOFER	\$ 39.59	22.07

\_\_\_\_\_\_

ROOF0042-002 08/01/2021

DEARBORN, OHIO and RIPLEY COUNTIES

Rates ROOFER....\$ 28.85 17.05

Fringes

ROOF0075-001 05/01/2021

FAYETTE, RANDOLPH, UNION, and WAYNE Counties

	Rates	Fringes
ROOFER		
Composition	.\$ 24.38	20.09
Slate & Tile	.\$ 24.60	20.09
ROOF0075-002 05/01/2021		

CLINTON COUNTY

Rates Fringes ROOFER Composition.....\$ 24.38 Slate & Tile.....\$ 24.60 20.09

ROOF0106-006 04/01/2021

CRAWFORD, DAVIESS, DUBOIS, GIBSON KNOX, MARTIN, ORANGE PERRY, PIKE, POSEY, SPENCER, VANDERBURGH AND WARRICK

F	Rates	Fringes
ROOFER		
COMPOSITION\$	31.00	18.43
SLATE & TILE\$	30.80	16.52

ROOF0119-002 09/01/2021

BARTHOLOMEW, BLACKFORD, BOONE, BROWN, DECATUR, DELAWARE, FRANKLIN, GRANT, HAMILTON, HANCOCK, HENDRICKS, HENRY, HOWARD, JACKSON, JAY, JENNINGS, JOHNSON, LAWRENCE, MADISON, MARION, MONROE, MONTGOMERY, MORGAN, PUTNAM, RUSH, SHELBY, and TIPTON Counties

	Rates	Fringes	
ROOFER	\$ 27.80	11.75	
ROOF0147-002 04/01/2018			-

CLARK, FLOYD, HARRISON JEFFERSON, SCOTT, SWITZERLAND, and WASHINGTON Counties

	Rates	Fringes
ROOFER	.\$ 24.43	10.20

ROOF0150-002 07/01/2021

CLAY, GREENE, OWEN, PARKE, SULLIVAN, VERMILLION AND VIGO COUNTIES

	Rates	Fringes
ROOFER	.\$ 28.50	16.50
SHEE0020-003 07/01/2021		
	Rates	Fringes
Sheet metal worker (HVAC Duct Work)	.\$ 32.71	25.82
SHEE0020-010 07/01/2021		
BARTHOLOMEW, BOONE, BROWN, DECATOR FRANKLIN, HAMILTON, HANCOCK, HEND JENNINGS, JOHNSON, LAWRENCE, MAD MONTGOMERY, MORGAN, ORANGE, RIPLIAND WASHINGTON COUNTIES	DRICKS, HEI ISON, MARIO	NRY, JACKSON, ON, MONROE,
	Rates	Fringes
SHEET METAL WORKER	.\$ 37.46	23.83
SHEE0020-011 07/01/2020		
CLINTON COUNTY		
	Rates	Fringes
SHEET METAL WORKER	.\$ 33.31	25.51
SHEE0020-024 07/01/2020		
CLAY, GREENE, MARTIN, OWEN, PARKI VERMILLION, and VIGO COUNTIES	E, PUTNAM,	SULLIVAN,
	Rates	Fringes
Sheet metal worker		21.95
TEAM0135-003 04/01/2021		
REMAINING COUNTIES		
	Rates	Fringes
TRUCK DRIVER  GROUP 1 GROUP 2 GROUP 3 GROUP 4 GROUP 5 GROUP 6 GROUP 7 GROUP 8 GROUP 9 GROUP 9 GROUP10 GROUP11	.\$ 31.21 .\$ 31.26 .\$ 31.31 .\$ 31.36 .\$ 31.26 .\$ 31.46 .\$ 31.46 .\$ 31.56 .\$ 31.01	17.27 17.27 17.27 17.27 17.27 17.27 17.27 17.27 17.27 17.27 17.27

GROUP12.....\$ 31.66 17.27

#### TRUCK DRIVER CLASSIFICATIONS

- GROUP 1: Single/batches axle straight trucks; Batch trucks, wet or dry 3 (34E) axle or less; Single axle Grease and maintenance truck
- GROUP 2: Single axle fuel and water trucks
  - GROUP 3: Single axle ""dog-legs"", and tandem truck or dog-legs; Winch trucks or A-frames when used for transportation purposes; Drivers on batch trucks, wet or dry over 3 (34E) batches and tandem axle grease and maintenance truck
  - GROUP 4: Tandem axle fuel trucks; tandem axle water trucks; butuminous distributors (two-man)
  - GROUP 5: Tandem trucks over 15 tons payload; Single axle semi trucks; Farm tractors hauling material; Mixer trucks (all types); Trucks pulling tilt-top trailer single axle; Single axle low- boys; Truck-mounted pavement breakers
  - GROUP 6: Tandem trucks or ""dog-legs""; Semi-water Truck; Sprinkler Truck; Heavy equipment-type water wagons, 5,000 gallons and under; butuminous distributors (one-man)
  - GROUP 7: Tri-axle trucks; Tandem axle semi trucks; Equipment when not self-loaded or pusher loaded, such as Koehring or similar dumpsters, track trucks, Euclid bottom dump and hug bottom dump, tournatrailers, tournarockers, Acey wagons or for similar equipment (12 cu yds or less); Mobile mixer truck; Tandem Axle trucks pulling tilt-top trailer; Tandem Axle lowboy; Tri- Axle batch Truck; Tri-Axle grease and maintenance truck
  - GROUP 8: Tandem-tandem semi trucks; Truck mechanics and welders; Heavy equipment-type water wagon over 5,000 gallons; Tri-Axle Trucks pulling tilt-top trailer; Low-boys, tandem-tandem axle
  - GROUP 9: Low-boys, tandem tri-axle; Acey wagons up to and including 3 buckets; Equipment when not self-loaded or pusher loaded, such as koehring or similar dumpsters, Track Trucks, Euclid bottom dump and hug bottom dump, Tournatrailers, Tournarockers, Acey wagons or for similar equipment (over 12 cu yds.)
- GROUP 10: Pick-up trucks
  - GROUP 11: Helpers; Greasers; Tire men; Batch board tenders; Warehouseman
  - GROUP 12: Acey wagon (over 3 buckets); Quad Axle Trucks; Articulating Dump

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

\_\_\_\_\_\_

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the

Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses

\_\_\_\_\_

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

(29CFR 5.5 (a) (1) (ii)).

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

# Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average

calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- $^{\star}$  an existing published wage determination
- \* a survey underlying a wage determination
- $^{\star}\,$  a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator

U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

\_\_\_\_\_

END OF GENERAL DECISIO"

# CONTRACTOR'S AFFIDAVIT OF SUBS EMPLOYED

Public Works Project Nu	ımber:	Da	te:			
Project Description:						
Prime Contractor:						
Form Submitted for Bid	Contract:	or Payme	nt No.:			
The following companie	s are subcontractors	on this project for	the amount in	idicated:		
Subcontractor Name	Subcontract For	Subcontract Amount	Revised Amount	DAPW Certified Y/N	MBE WBE	On Site Y/N
is		of the firm of _		_		•
familiar with the affidavit	herewith and that thes	e entries are comple	te and true.			
STATE OF COUNTY OF	} SS:			5.111		
County and State, thisc	_	sonally appeared bef after being duly swo		•		
the foregoing affidavit are	true.					
My Commission Expires:			NO	TARY PUBL	IC - SIG	NATURE
(SEAL)			NOTA	RY PUBLIC	PRINTE	D NAME
STATE FORM						DAPW 12

STATE FORM 21243

DAPW 12 REV 7/01

# GENERAL BID FOR PUBLIC WORKS

# CONTRACTOR'S BID

For		
	(Insert class of work)	
Project Number		
Project Description (Title)_		
Date		
To: Department of Administ Room W462 402 West Washingto Indianapolis, Indian		
9	the undersigned proposes to furnish and instruction documents prepared by:	tall work
·	signer Name, Address, Telephone)	
for the sum of		
	(State amount in words)	
	\$\$	(State amount in figures)
If required add attachment for	or all unit prices called for in the Specifica	ations.
	Federal I.D. Number or Social Security N	Number
Contractor's Email address_	(Contract and Purchase Order will be sent to email address	ss provided)
Bidder ID Number		
(If you do not have an Indian <a href="http://www.in.gov/idoa/2464">http://www.in.gov/idoa/2464</a>	na Department of Administration Bidder I 4.htm )	D Number, please obtain one online at:
State Form 34894	Page 1 of 3	DAPW 13

Rev. 10/13

#### **ALTERNATE BIDS**

Add Alternates Are Not to be included as part of the Base Bid Scope of Work.

Deduct Alternates are items of work that Are to be included in the Base Bid Scope of Work, and deducted from the project as described herein.

The work shall be as described in Section, ALTERNATES.

Bidder shall provide a response to each alternate specified. Response must indicate the amount to be ADDED to the base bid, DEDUCTED from the base bid, or that there is NO CHANGE.

Failure to respond to all alternates may cause the bid to be rejected.

BIDDER SHALL CHECK APPLICABLE BOX for each listed alternate.

Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$

Ethics Compliance. The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State, as set forth in Indiana Code § 4-2-6 et seq., the regulations promulgated there under, and Executive Order 04-08, dated April 27, 2004. If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Indiana State Ethics Commission website at <<<hr/>http://www.in.gov/ethics/>>>. If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this contract immediately upon notice to the Contractor. In addition, the Contractor may be subject to penalties under Indiana Code § 4-2-6-12.

empl	oyment, because o		of employment, or any matter directly or indirectly related to color, sex, disability, national origin, or ancestry. Breach of this h of the contract.
	ESTIMONY WHE		sole proprietor) has hereunto set his hand
			Proprietorship (Company Name)
(IND	IVIDUAL)		
			Bidder (Owner)
	ESTIMONY WHE		partnership) has hereunto set their hands
			Company Name
			Partner
			Partner
			(a corporation) has caused this proposal to be signed by its ecretary this day of, 20
			Corporation Name
			By President or Other Authorized Signatory
			Secretary
signa	_	~	esident, a Corporation Resolution designating other authorized nless already on file with the Certification Board of the Public
			KNOWLEDGES PROCUREMENT OF ALL ADDENDA AND S ALL ITEMS IN ALL ADDENDA.

Pursuant to IC 22-9-1-10, the Contractor and subcontractors, if any, shall not discriminate against any employee or applicant for employment, to be employed in the performance of this contract, with respect to his

## **Unit Prices**

# Project No. ENG2312302087 Dubois, Warrick, and Pike Counties Blanket Plugging Project

The contract is based on the following unit prices. These prices are installed, but not limited to labor and materials.

Single well estimate	Base Bid	unit for pricing cost /unit	total
Rig hours (including Tubing and Labor)	30	\hour	
Rig operation w/Power swivel (Including			
mud pump, tubing, and labor) or			
Spudder/cable tool	12	\hour	
Bit, including change overs	0.2	each	
Drill collars	0.4	each	
Tank truck	12	\hour	
Bulk Truck	8	\hour	
Winch truck	10	\hour	
Crane truck	0.5	\hour	
Backhoe	16	\hour	
Rig mat/ mud boat	0.5	\day	
Dozer/Cat	8	\hour	
Cement pump truck and set up charge	1.5	each	
Cement	300	\sack	
lost circulation material	1	\sack	
Calcium Chloride cement additive	2	\sack	
Wireline service set up fee	1	each	
Cast Iron Bridge Plug	0.5	each	
Cement Bond Log	0.5	each	
Perforations	1	each	
Extra shot for perforating	0.5	each	
Roustabout work per person	16	\hour	
Lined pit/surface pit	1	each	
Liquid oilfield waste disposal	200	\BbI	
Welder/Torch	6	\hour	
Dump Bailer run with 1 sack cement	1	each	
land/crop damages	2	\acre	
admin cost/plug plan/land owner letter etc.	1	\hour	
Performance bond	1	\bid	
Mobilization	1	\well	
		Total single well bid estimate	\$

Other materials, specialty rental tools, and equipment, as ordered by the division, necessary to complete the required work shall be paid at cost to the CONTRACTOR (invoice required) plus 10% (to defray transportation costs). Equipment of value recovered must be reflected as a credit on invoice.

# SIGNATURE AFFIDAVIT

PROJECT NO:	·	
STATE OF	} } SS:	
COUNTY OF	}	
Before me, the	undersigned notary public, appeared	and being duly
	(nam	ne of bidder)
sworn, on his o	oath says that he/she is	
	(president, ge	eneral partner, owner)
of	(name of company)	, bidder on Project No, and
	(name of company)	
Affirmed that:		
1.	This bid is submitted in good faith in the amount of the Courtness December 1997.	
	according to the Contract Documents (contract technical specification, drawings and addendated)	
2.	The statements are true contained in the Non-	**
	Contractor's Affidavit of Subs Employed, the M/WBE Good Faith Effort Work Sheet.	M/ WBE Farticipation Fiant and the
	Ву:	
	By.	(Signature)
		(Printed name)
		(Printed or typed name of company)
	(must be signed by principal of	organization)
	(mass or signer of principal of	0.5
STATE OF	} } SS:	
COUNTY OF	} 55. }	
	personally appear	red before me, a Notary Public, in and for
said County an	d State, this day ofsays that the facts alleged in the foregoing affid	, 20 , after being duly sworn
upon his oath, s	says that the facts alleged in the foregoing affid	avit are true.
My Commissio	on Expires:	
		NOTARY PUBLIC – SIGNATURE
		NOTARY PUBLIC PRINTED NAME
(SEAL)		
State Form 3306	ior 1	DAPW 14

State Form 33060R1 DAPW 14
Rev. 3/08

# BID BOND

KNOW ALL MEN BY THESE PRESENT	S, that we		
		(Contractor's Name and Address)	)
as Principal, hereinafter called the Principa	l, and the		
		(Bonding Company Name)	
a corporation duly organized under the law as Surety, hereinafter called the Surety, Administration, State of Indiana, as Oblige	are held and firmly	bound unto Public Works Division/De	partment o
in the sum of		Dollars (\$	)
for the payment of which sum well and tru heirs, executors, administrators, successors		id Principal and the said Surety, bind ou	
WHEREAS, the Principal has submitted a	bid for: (insert State Proje	ect Number, Description and Location)	
Project No.			
Project Description:			
Project Location:			
NOW THEREFORE, if the Obligee shall a with the Obligee in accordance with the te bidding or contract documents with good a the prompt payment of labor and material Principal to enter such contract and give so not to exceed the penalty hereof between Obligee may in good faith contract with an shall be null and void, otherwise to remain	erms of such bid, and and sufficient surety f furnished in the pros uch bond or bonds, if the amount specified nother party to perforn in full force and effect	give such bond or bonds as may be spe or the faithful performance of such cont ecution thereof, or in the event of the fa the Principal shall pay to the Obligee th I in said bid and such larger amount fo in the work covered by said bid, then thi	cified in the tract and for ailure of the e difference or which the
Signed and sealed thisday of	,20		
(Witness)		(Principal)	
	Ву: _	(Title)	
		(TIUC)	
	_	(Surety)	
Witness)		(Attorney-in-fact)	

State Form 41485 DAPW 15A Rev. 10/14

## I. MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is <a href="www.IN.gov/idoa/minority">www.IN.gov/idoa/minority</a> and contains a complete list of all the Department's certified MBE's and WBE's.

#### Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must accompany the Plan. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

## MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote #	DUE DATE	<u> </u>	
(Circle One)			
RFP / BID / QUOTE NAME			
(Circle One)			
RESPONDENT			
ADDRESS			
CITY/STATE/ZIP			
PHONE ( )			
The following MBE and/or WBE's listed in t	he MWBD directory will be participa	ating in the contract:	
MBE/WBE P HONE COMPANY NAME	SCOPE OF PRODUCTS/SERVICES	UTILIZATION DATE	<b>AMOUNT</b>

# Indiana Department of Administration Public Works and State Office Building Commission GOOD FAITH EFFORTS WORKSHEET

BIDDER			BID/PROJECT NUMBER
CONTRACT GOALS	7 <b>% MBE</b>	5% WBE	
List the MANDE	4 . 1 1 1 . 4		annualis of Carrier of all

List the M/WBEs contacted and complete the following information for each. Copies of all communications to and from each vendor should be maintained.

Company Name and Address	MBE	WBE	Type of Contact	Date of Contact	Date Response Due	Goods Or Services Requested	Result (Include Price Quote)

Indicate **Good Faith Efforts** made to utilize MWBEs. Check and explain all that apply or should be considered. Please provide evidence of the efforts that you want to be considered. A complete description of each criteria may be found in the **Indiana Department of Administration Public Works and State Office Building Commission MWBE Participation Policy.** 

MBE and WBE Barrier	Describe
Assistance	
Advertisement	Describe
Agency Assistance	Describe
Other Criteria	Describe

# CERTIFICATE OF CORPORATE RESOLUTION

1,	, do hereby certify that I am the Secretary
Type Name	
of	, a corporation duly organized and s of the State of Indiana;
existing under and by virtue of the Law	s of the State of Indiana;
corporation, duly called held and conv	meeting of the members of the Board of Directors of said ened in conformity with the Charter and By Laws of said, 20, a quorum being present and voting luly adopted, to-wit:
appears on record in the Minute Rec	esolution is a full, true, and complete copy as the same cord Book of said corporation of which I am the legal altered, amended or repealed and is now in full force and
In Witness Whereof, I have hereunto so of, 20	et my hand for said corporation thisday
	Rv·
	By:(Signature)
	signed by principal of organization)
STATE OF } } SS:	
COUNTY OF }	
personally appeared before me, a N day of, 20 alleged in the foregoing affidavit are tr	Notary Public, in and for said County and State, this _, after being duly sworn upon his oath, says that the facts ue.
My Commission Expires:	NOTARY PUBLIC - SIGNATURE
(SEAL)	NOTARY PUBLIC PRINTED NAME
	D A DW 41

DAPW 41 Rev. 2/13

#### NON-COLLUSION STATEMENT

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof. Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

Signature		
Printed Name		
Title		
Company		

# DOMESTIC STEEL AFFIDAVIT

STATE OF		}	
COUNTY OF		<pre>} SS: }</pre>	
PROJECT NO	:		
	r, under penalties of pana Code Definitions an		at the steel products furnished for this project shall conform to the t provisions:
IC 5-16-8-1 Definition	"Steel products" means produ	f two (2) or 1	formed, shaped, drawn, extruded, forged, cast, fabricated, or otherwise similarly processed, or more of such operations, from steel made in the United States by the open hearth, basic oxygen, iking process.
	"United States" refers to the U United States.	nited States	of America. The term includes all territory, continental or insular, subject to the jurisdiction of the
IC 5-16-8-2 Public a	maintenance of public works contract or subcontract, only s	cy shall reque contain a pro ceel or found	ing reasonable pricing.  ine that every contract for the construction, reconstruction, alteration, repair, improvement or a vision that, if any steel or foundry products are to be used or supplied in the performance of the ry made in the United States shall be used or supplied in the performance of the contract or any of ablic agency determines, in writing, that the cost of steel or foundry products is deemed to be
			(Signature)
			(Printed name)
(Attest) (Vice Presiden	t/Secretary/Treasurer)	_	(Printed or typed name of company)
STATE OF		} } SS:	
COUNTY OF		}	
and State, this foregoing affid			rsonally appeared before me, a Notary Public, in and for said County fter being duly sworn upon his oath, says that the facts alleged in the
My Commissio	on Expires:		NOTARY PUBLIC - SIGNATURE
(SEAL)			NOTARY PUBLIC PRINTED NAME

STATE FORM 12125R3 DAPW 11 Rev. 10/14

## CONTRACTOR'S BOND FOR CONSTRUCTION

KNOW ALI	L MEN BY THE	SE PRESENT, that	(Contractor)	
as principal and	(Address)	of	(City, State)	
us principui unu		(Bonding Company)		
	(Address)	(City, State)	(Zip Code)	
the payment of whi	ch, well and trul	State of Indiana in the penal suly to be made, we bind ourselv istrators and assigns, firmly b	ves, jointly and several	ly, and our joint
of Indiana acting by	y and through th	E ABOVE OBLIGATION AR he Commissioner, Department of	t of Administration, ha	as entered into a
	(P	Project Number and Description)		
Indiana, in accorda	nce with the co	nstruction documents approved the are made a part of this bond.	d and adopted by said	1 Commissioner,
NOW THER	EFORE, if the s	said		
plans and specificat the time, terms and prosecution of said	ions adopted by conditions speci- work, including emain in full for	and faithfully do and perform the said Commissioner, Department ified in said contract and incurring labor, service and materials for the ce, virtue and effect. This bond	ent of Administration, a cred by him or any sub- furnished, then this ob	and according to contractor in the ligation shall be
IN WITNES	S WHEREOF, v	we hereunto set our hands and s	seals this	day
of,	20			
		By:(Contrac	etor)	(Seal)
		By:(Bonding	g Company)	(Seal)
		By:(Attorne	ey-in-fact)	

## CONTRACTOR'S CERTIFICATE OF INSURANCE

OVERING (show State project hur	ber, name and location	)				
ADDRESSEE: PUBLIC WORK	S DIVISION/DEPART	MENT OF AD	MINISTRATIO	DATE:		
TYPE OF INSURANCE	POLICY NUMBER	EFFECTIVE DATE	EXPIRATION DATE	LIMITS		
General Liability  a. Bodily Injury Including Personal Injury				Each Person - Premises and Operations  Each Person - Elevators  Each Person - Independent Contractor  Each Person - Products Completed  Including Operations  Each Person - Contractual  Each Occurrence -  Aggregate - Products Completed  Including Operations	\$ \$ \$ \$ \$	
b. Property Damage				Each Occurrence – Premises and Operations Each Occurrence – Elevators Each Occurrence – Independent Contractor Each Occurrence – Products Completed Including Operations Each Occurrence – Contractual Aggregate - Aggregate - Operations Protective Products and Contractual	\$ \$ \$ \$ \$	
Automobile Liability a. Bodily Injury b. Property Damage				Each Person Each Occurrence Each Accident	\$ \$ \$	
Excess Liability Umbrella					\$	
a. Workmen's Compensation b. Employer's Liability				Statutory Workmen's Compensation One Accident And Aggregate Disease	\$ \$	
Is Occurrence Basis Coverage property Damage     Is Broad Form Property Damage     Is Personal Injury Coverage inclusion.     Is coverage provided for Contract INDER AUTOMOBILE LIABILIT	Insurance shown included under Property I Coverage provided for ded?	Damage Liability this Project? indemnification IES ed automobiles?	provision) assur	ned by insured?		
n the event of cancellation, fifteen ( arty to whom this certificate is addr	•	shall be given to		SURANCE COMPANY		

DAPW 16 State Form 21238R

SIGNATURE OF AUTHORIZED REPRESENTATIVE

#### CONTRACTOR'S EMPLOYEE DRUG TESTING

## IC 4-13-18 IS ADDED TO THE INDIANA CODE AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2006]:

- Chapter 18. Drug Testing of Employees of Public Works Contractors
- Sec. 1. This chapter applies only to a public works contract awarded after June 30, 2006.
- Sec. 2. As used in this chapter, "bid" includes a quotation.
- Sec. 3. (a) As used in this chapter, "contractor" refers to a person who:
  - (1) submits a bid to do work under a public works contract; or
  - (2) does any work under a public works contract.
  - (b) The term includes a subcontractor of a contractor.
- Sec. 4. As used in this chapter, "public works contract" refers to:
  - (1) a public works contract covered by IC 4-13.6;
  - (2) a public works contract covered by IC 5-16 and entered into by a state agency; or
- (3) a state highway contract covered by IC 8-23-9; when the estimated cost of the public works project is one hundred fifty thousand dollars (\$150,000) or more.
- Sec. 5. (a) A solicitation for a public works contract must require each contractor that submits a bid for the work to submit with the bid a written plan for a program to test the contractor's employees for drugs.
- (b) A public works contract may not be awarded to a contractor whose bid does not include a written plan for an employee drug testing program that complies with this chapter.
- (c) A contractor that is subject to a collective bargaining agreement shall be treated as having an employee drug testing program that complies with this chapter if the collective bargaining agreement establishes an employee drug testing program that includes the following:
  - (1) The program provides for the random testing of the contractor's employees.
- (2) The program contains a five (5) drug panel that tests for the substances identified in section 6(a)(3) of this chapter.
- (3) The program imposes disciplinary measures on an employee who fails a drug test. The disciplinary measures must include at a minimum, all the following:
  - (A) The employee is subject to suspension or immediate termination.
- (B) The employee is not eligible for reinstatement until the employee tests negative on a five (5) drug panel test certified by a medical review officer.
- (C) The employee is subject to unscheduled sporadic testing for at least one (1) year after reinstatement.
- (D) The employee successfully completes a rehabilitation program recommended by a substance abuse professional if the employee fails more than one (1) drug test. A copy of the relevant part of the collective bargaining agreement constitutes a written plan under this section.
- Sec. 6. (a) A contractor's employee drug testing program must satisfy all of the following:
- (1) Each of the contractor's employees must be subject to a drug test at least one (1) time each year.
  - (2) Subject to subdivision (1), the contractor's employees must be tested randomly. At least two

percent (2%) of the contractor's employees must be randomly selected each month for testing.

- (3) The program must contain at least a five (5) drug panel that tests for the following:
  - (A) Amphetamines.
  - (B) Cocaine.
  - (C) Opiates (2000 ng/ml).
  - (D) PCP.
  - (E) THC.
- (4) The program must impose progressive discipline on an employee who fails a drug test. The discipline must have at least the following progression:
  - (A) After the first positive test, an employee must be:
    - (i) suspended from work for thirty (30) days;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.
  - (B) After a second positive test, an employee must be:
    - (i) suspended from work for ninety (90) days;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.
  - (C) After a third or subsequent positive test, an employee must be:
    - (i) suspended from work for one (1) year;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.

The program may require dismissal of the employee after any positive drug test or other discipline more severe than is described in this subdivision.

- (b) An employer complies with the requirement of subsection (a) to direct an employee to a program of treatment or rehabilitation if the employer does either of the following:
- (1) Advises the employee of any program of treatment or rehabilitation covered by insurance provided by the employer.
- (2) If the employer does not provide insurance that covers drug treatment or rehabilitation programs, the employer advises the employee of agencies known to the employer that provide drug treatment or rehabilitation programs.
- Sec. 7. (a) The public works contract must provide for the following:
- (1) That the contractor implement the employee drug testing program described in the contractor's plan.
  - (2) Cancellation of the contract by the agency awarding the contract if the contractor:
    - (A) fails to implement its employee drug testing program during the term of the contract;
- (B) fails to provide information regarding implementation of the contractor's employee drug testing program at the request of the agency; or
- (C) provides to the agency false information regarding the contractor's employee drug testing program.
- (b) The provisions of the public works contract relating to cancellation of the contract by the agency awarding the contract apply to cancellation of the public works contract under this section.

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#### STATE OF INDIANA

#### **GENERAL CONDITIONS**

#### ARTICLE 1 CONTRACT DOCUMENTS

#### 1.1 Definitions

#### 1.1.1 The Contract Documents

The Contract Documents consist of the Agreement, the Instructions to Bidders, the Contractor's Proposal (Bid), the Conditions of the Contract (General and Supplementary), Drawings, Specifications, and Addenda issued prior to bidding, Change Orders, any written interpretation issued as a field order by the Designer pursuant to Article 1.2, and all field orders for minor changes in the Work by the Designer pursuant to Article 12.3.

#### 1.1.2 The Contract

The Contract Documents form the Contract for construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes all prior negotiations, representations, or agreements, either written or oral.

#### 1.1.3 The Work

All labor, material, equipment, systems and services necessary to produce the result called for in the Contract Documents.

#### 1.1.4 The Project

The Project is the total construction designed by the Designer of which the Work performed under the Contract Documents may be the whole or a part.

- 1.2 Execution, Correlation, Intent and Interpretations
- 1.2.1 The Contract Documents shall be signed by the Owner and the Contractor. The signature process may be done electronically at the discretion of the Owner.
- 1.2.2 By executing the Contract the Contractor represents that he has visited the site and correlated his observations with the requirements of the Contract Documents, and has no major question pertaining thereto.
- 1.2.3 The Contract Documents are complementary, and what is called for by any one shall be as binding as if called for by all. The intention of the Documents is to include all labor, equipment, supervision and materials, for the proper execution and completion of the Work, and also to include those things that may be reasonably inferable from the Contract Documents as being necessary to produce the intended results. Words that have a well-known technical or trade meaning are used herein, in accordance with such recognized meaning.
- 1.2.4 Written interpretations necessary for the proper execution of the Work, in the form of drawings or otherwise will be issued with reasonable promptness by the Designer. Such interpretations shall be consistent with and reasonably inferable from the Contract Documents, and may be issued by field order subject to Owner's approval.
- 1.3 Copies Furnished and Ownership
- 1.3.1 The Contractor will be furnished 5 copies of drawings and specifications and any other information necessary for the execution of the Work.
- 1.3.2 All drawings, specifications, and copies thereof furnished by the Designer are his property. They are not to be used on any other Project, and, with the exception of one Contract set for each party to the Contract, are to be returned on request to the Designer at the completion of the Work.

#### ARTICLE 2 DESIGNER

#### 2.1 Definition

2.1.1. The Designer is the person or organization identified as Designer of the Project, and is referred to throughout the Contract Documents as if singular in number and masculine in gender. The terms Designer, Engineer, Architect, (and in certain projects Director, Public Works Division or his authorized representative), shall mean the Designer.

- 2.2 Administration of the Contract
- 2.2.1 The Designer will provide general administration of the Contract, including the functions hereinafter described.
- 2.2.2 Unless stated otherwise, the Designer shall be the Owner's representative during the construction phase. He shall have authority to act on behalf of the Owner only to the extent expressly provided in the Contract Documents or otherwise in writing, which will be shown to the Contractor. The Designer will advise and consult with the Owner and all of the Owner's instructions to the Contractor shall be issued through the Designer.
- 2.2.3 The Designer shall have access to the Work at all times wherever it is in storage, preparation and progress. The Contractor shall provide facilities for such access so that the Designer and Owner's Site Representative may perform their functions under the Contract Documents.
- 2.2.4 The Designer will make no less than weekly visits to the site when work is in progress to familiarize himself generally with the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. He will not be required to make exhaustive or continuous on-site inspection to check the quality or quantity of the Work. On the basis of his on-site observations as Designer, he will keep the Owner informed of the progress of the Work, and will endeavor to guard the Owner against defects and deficiencies in the Work of the Contractor.
- 2.2.5 Based on such observation and the Contractor's applications for payment, the Designer will determine the amount owed to the Contractor and will issue Certificates for Payment in such amounts.
- 2.2.6 The Designer will be, in the first instance, the interpreter of the requirements of the Contract Documents and the judge of the performance thereunder. He will promptly render such interpretations as he may deem necessary for the proper execution or progress of the Work.
- 2.2.7 All interpretations and decisions of the Designer will be consistent with the intent of the Contract Documents. He will exercise his best efforts to insure faithful performance by the Contractor.
- 2.2.8 Claims, disputes and other matters in question relating to the execution or progress of the Work or interpretation of the Contract Documents shall be referred initially to the Designer for decision and be subject to written appeal within fifteen (15) days by the Contractor. The Designer shall submit his decision promptly in writing to the Director, Public Works Division, who shall have full authority to render the final and binding decision.
- 2.2.9 The Designer will have responsibility to recommend to the Owner the rejection of work that does not conform to the Contract Documents. Whenever the Designer considers it necessary or advisable, he shall recommend to the Owner the stoppage of the Work or any portion thereof, and to recommend special examination or testing of the Work (whether or not fabricated, installed, or completed).
- 2.2.10 The Designer will review and approve or take other appropriate action upon the Contractor's submittals such as shop drawings, product data and samples, but only for conformance with the design concept of the Work and with the information given in the Contract Documents. Such action shall be taken with reasonable promptness so as to cause no delay. The Designer's approval of a specific item shall not indicate approval of all assembly of which the item is a component.
- 2.2.11 The Designer will prepare change orders in accordance with Article 12.
- 2.2.12 The Designer will conduct reviews to determine the dates of Substantial Completion and Final Completion, will receive and forward to the Owner for the Owner's review written warranties and related documents required by the Contract and assembled by the Contractor, and will issue a final Certificate for Payment upon compliance with the requirements of Article 9.7.
- 2.2.13 The Designer, together with representatives from the Contractor and the Owner will conduct a review of the Work nine (9) months after the date of substantial completion to determine any work not in compliance with the Contract Documents at that time. A list of items to be corrected or completed will be forwarded to the Contractor for corrective action prior to the expiration of the one year warranty period.
- 2.2.14 The duties, responsibilities and limitations of authority of the Designer as the Owner's representative during construction as set forth in Articles 1 through 14 of these General Conditions shall not be modified or extended without written consent of the Owner.
- 2.2.15 The Designer will not be responsible for the acts or omissions of the Contractor, Subcontractor, or any of their superintendents, supervisory staffs, agents or employees, or any other persons performing any of the Work.
- 2.2.16 In case of the termination of the employment of the Designer, the Owner shall appoint a Designer against whom the Contractor makes no reasonable objections, whose status under the Contract shall be that of Designer.

#### ARTICLE 3 OWNER

#### 3.1 Definition

- 3.1.1 The Owner is the State of Indiana, represented by the Commissioner; Department of Administration acting through the Director, Public Works Division and the Director's designated project manager.
- 3.2 Information and Service Required of the Owner
- 3.2.1 The Owner will furnish, through the Designer, surveys, describing known physical characteristics, legal limits and utility locations for the property on which the Project is to be erected, if in the Owner's possession.
- 3.2.2. Information or services under the Owner's control shall be furnished by the Owner with promptness to avoid delay in the orderly progress of the Work.
- 3.2.3 The Owner shall issue all instructions to the Contractor through the Designer unless specified elsewhere in these documents.
- 3.2.4 If the Contractor fails to correct defective work as required by Article 13 or persistently fails to carry out the Work in accordance with the Contract Documents, the Owner, by a written order may order the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of the Owner to stop the Work shall not give rise to any duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Article 6.1.
- 3.3 Owner's Site Representative
- 3.3.1 Notwithstanding the obligations of the Designer as Owner's representative during construction, the Owner may employ an on-site representative to observe the progress of the Work.
- 3.3.2 The Owner's Site Representative shall function as an observer only. He shall report his findings to the Designer for review and any required further action. The Owner's Site Representative is not authorized to make changes in the Work or to interpret the Contract Documents.
- 3.3.3 The Owner's Site Representative shall have at all times access to the Work wherever it is in storage, preparation and progress. He may attend meetings at the site and he may review and approve the Contractor payment requests.

#### ARTICLE 4 CONTRACTOR

#### 4.1 Definition

4.1.1 The Contractor is the person or organization identified as such in the Agreement. He is referred to throughout the Contract Documents as if singular in number and masculine in gender. The term Contractor means the Contractor or his authorized representative.

#### 4.2 Review of Contract Documents

- 4.2.1 The Contractor shall carefully study and compare the Contract Documents and shall at once report to the Designer and the Owner any error, inconsistency or omission he may discover. The Contractor shall perform no portion of the Work at any time without Contract Documents or, where required, approved shop drawings, product data or samples for such portion of the Work.
- 4.3 Supervision and Construction Procedures
- 4.3.1 The Contractor shall supervise and direct the Work, using his best skill and attention. He shall be solely responsible for the quality of the Work and for all construction techniques, sequences, and procedures, and for coordinating all portions of the Work.
- 4.3.2 The Contractor shall not be relieved from his obligations to perform the Work in accordance with the Contract Documents either by the activities or duties of the Designer in administration of the Contract, or by inspections, tests or approvals required or performed under Paragraph 7.9 by persons other than the Contractor.
- 4.4 Labor and Materials
- 4.4.1 Unless otherwise specified in Division 1, the Contractor shall provide and pay for all labor, material, equipment, tools, construction equipment, machinery, transportation, and other facilities and services necessary for the proper execution of the Work.

- 4.4.2 Unless otherwise specified in Division 1, the Contractor shall provide and pay for all electric current, water, heat, and telephone services and shall maintain necessary discipline to prevent waste.
- 4.4.3 If any item of work shall be the subject of a jurisdictional dispute as to the craft to be used for said work, the Contractor shall aid in such inter-craft resolution and if arbitrated, abide by the decision, holding the Owner free of involvement in the dispute, and if time is lost by the dispute, extra work days will only be considered through the provisions of Article 12.2. He will do whatever he can to eliminate any embarrassment to the Owner caused by picketing, etc.
- 4.4.4. The Contractor shall at all times enforce strict discipline and good order among his employees, and shall not employ on the Work any unfit person or any one employee unskilled in the Work assigned to him or unqualified as a tradesman in the trade involved.

#### 4.5 Warranty and Guarantee

- 4.5.1 The Contractor warrants and guarantees that all materials and equipment incorporated in the Project shall be new unless otherwise specified, and all work will be of the highest quality, free from faults and defects, and in strict conformance with the Contract Documents for a period of one year from the date of substantial completion. All work not so conforming to the Contract Documents may be considered defective. If required by the Designer, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment. The warranties and guarantees provided in this Article and elsewhere in the Contract Documents shall be in addition to and not in limitation of any other warranty or guarantee or remedy called for the Contract Documents or otherwise prescribed by law. The Contractor, together with the Designer and representatives from the Owner, shall review the Work nine (9) months after the date of substantial completion to determine any work not in compliance with the Contract Documents. The Contractor shall correct such non-complying work prior to the expiration of the one year warranty.
- 4.6 Permits, Fees and Notices
- 4.6.1 The Contract shall secure and pay for all permits, fees and licenses necessary for the execution of the Work.
- 4.6.2 The Contractor and Subcontractors must submit an "Exemption Certificate for Construction Contractors" (Form ST-105) to each supplier in order to obtain exemption from the Indiana Gross Tax (i.e., sales and use tax).
- 4.6.3 The Contractor shall give all notices and comply with all laws, ordinances, rules, regulations, and orders of any public authority bearing on the conduct of the Work. If he observes that any of the Contract Documents are at variance therewith in any respect, he shall promptly notify the Designer in writing, and any necessary changes shall be adjusted by change order. If he performs any work knowing it to be contrary to such laws, ordinances, rules and regulations, and without such notice to the Designer, he shall bear all cost arising from such non-conformance.

#### 4.7 Cash Allowances

4.7.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. These allowances cover the net cost of the materials and equipment delivered and unloaded at the site which cost shall be determined by the Owner through proper procedures for receiving quotes or bids as required by law. The Contractor's handling costs on the site, labor, installation costs, overhead, profit, and other expenses shall be included in the Contract sum and not in the allowance. The Contractor shall cause the Work required by these allowances to be performed by such persons as the Designer may direct, but he will not be required to employ persons against whom he has a reasonable objection. If the net cost above, when determined, is more than or less than the allowance, the Contract Sum will be adjusted accordingly by change order.

#### 4.8 Superintendent

- 4.8.1 The Contractor shall keep on the Project, during the entire contract time, a competent superintendent and necessary assistants, all satisfactory to the Designer and the superintendent shall not be changed, except with the consent of the Owner, unless the superintendent proves to be unsatisfactory to the Contractor and ceases to be in his employ. The superintendent shall represent the Contractor and shall have full authority to act on his behalf. All communications given the superintendent shall be as binding as if given by the Contractor. Important communications shall be confirmed in writing.
- 4.9 Responsibility for Those Performing the Work
- 4.9.1 The Contractor shall be responsible for the quality of the Work, for acts and omissions of all the Subcontractors, their superintendents, their supervisory staffs, agents, or employees and of all other persons performing any of the Work under a Contract with the Contractor.

#### 4.10 Progress Schedule

4.10.1 Unless otherwise indicated in Division 1, the Contractor, immediately after being awarded the Contract, shall prepare and submit for the Designer's approval a progress schedule for the Work in relation to the entire Project. This schedule in bar graph form, or other form approved by the Owner, shall indicate the dates for the starting and completion of the various stages of construction, and in addition, will state the contractual completion date. The contract completion date, based on the construction period stated in the notice to bidders, shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by change order. A more detailed schedule may be required elsewhere in the documents.

#### 4.11 Record Documents at the Site

4.11.1 The Contractor shall maintain for the Owner as part of the Contract one record copy of all drawings, specifications, addenda, shop drawings, change orders and other modifications at the site in good order, and marked to record all changes made during construction. These shall be available to the Designer and the Owner's Site Representative at all times while Work is in progress. All changes made during construction shall be recorded monthly and reviewed by the Designer before approval of each partial progress payment. The record documents shall be submitted to the Designer prior to the Contractor's final payment.

#### 4.12 Shop Drawings and Samples

- 4.12.1 Shop drawings are all drawings, diagrams, illustrations, schedules, brochures, and other data, which are prepared by the Contractor, or any Subcontractor, manufacturer, supplier, or distributor, and which illustrate the Work.
- 4.12.2 The Contractor shall submit all shop drawings and samples required by the Contract or by the Designer in a timely manner, allowing sufficient time for the Designer's review so as not to cause any delay in the Work or in work by any other Contractor.
- 4.12.3 At the time of such submission, the Contractor shall furnish or verify all field measurements, field construction criteria, materials, catalog numbers, and the like and shall individually check, coordinate and stamp with his approval each submission, and shall in writing call the Designer's attention to any deviations in the shop drawings or samples from the requirements of the Contract Documents.
- 4.12.4 The Designer will check and approve, with reasonable promptness so as to cause no delay, these shop drawings and samples only for conformance with the design concept of the Project, and with the information given in the Contract Documents. The Designer's approval of a separate item will not indicate approval of the assembly in which the item functions.
- 4.12.5 The Designer's approval of shop drawings or samples shall not relieve the Contractor of responsibility for any deviation from the requirements of the Contract Documents unless the Contractor has in writing called the Designer's attention to such deviation at the time of submission and the Designer has given written approval to the specific deviation, nor shall this relieve the Contractor from errors or omissions in the shop drawings or samples.
- 4.12.6 No work requiring a shop drawing or sample submission shall be commenced until the submission has been approved by the Designer. All such work shall be in accordance with approved shop drawings and samples.

#### 4.13 Use of Premises

4.13.1 The Contractor shall confine operations at the site to areas permitted by law, ordinances, permits and the Contract Documents, and shall not unreasonably encumber the premises with any materials or equipment.

#### 4.14 Cutting and Patching

4.14.1 The Contractor shall do all cutting, fitting or patching of his work that may be required to make its several parts come together properly and shall not endanger any work by cutting, excavating, or otherwise altering the Work or any part of it. Costs caused by defective or ill-timed work shall be borne by the party responsible therefore.

#### 4.15 Cleaning Up

- 4.15.1 The Contractor shall at all times keep the premises free from accumulation of waste materials or rubbish caused by his operations. At the completion of the Work, he shall remove all waste material and rubbish from and about the building as well as all his tools, scaffolding and surplus materials. Contractor shall clean all glass surfaces, lights and fixtures, ceilings, walls and shall leave the Work dusted, swept and wet mopped clean, unless more exactly specified.
- 4.15.2 In case of dispute the Owner may remove the rubbish and charge the cost to the several Contractors as the Designer shall determine to be just.

#### ARTICLE 5 SUBCONTRACTORS

#### 5.1 Definition

As used in this article "contractor tier" refers collectively to the following classes of contractors on a public works project:

- (1) "Tier 1 contractor" includes each person that has a contract with the public agency to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project A person included in this tier is also known as a "prime contractor" or a "general contractor".
- (2) "Tier 2 contractor" includes each person that has a contract with a tier 1 contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project A person included in this tier is also known as a "subcontractor".
- (3) "Tier 3 contractor" includes each person that has a contract with a tier 2 contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project. A person included in this tier is also known as a "sub-subcontractor".
- (4) "Lower tier contractor" includes each person that has a contract with a tier 3 contractor or lower tier contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project. A person included in this tier is also known as a "lower tier subcontractor".

A Subcontractor is a person or entity who has a direct Contract with the Contractor to perform any of the Work at the site. The term Subcontractor is referred to throughout the Contract Documents as if singular in number and masculine in gender and means a Subcontractor or his authorized representative. The term Subcontractor does not include any separate Contractor or his Subcontractors.

- 5.2 Award of Subcontracts and Other Contracts for Portions of the Work
- 5.2.1 Unless otherwise required by the Contract, the Contractor shall furnish to the Owner, with his bid on the prescribed form, the names of all persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each of the principal portions of the Work with an installed value of \$150,000.00 or more. The Designer will promptly reply to the Contractor in writing stating whether or not the Owner or the Designer, after due investigation, has reasonable objection to any such proposed person or entity. Failure of the Owner or Designer to reply within fourteen (14) days shall constitute notice of no reasonable objection.
- 5.2.2 The Contractor shall not subcontract with any such proposed person or entity to which the Owner or the Designer has made reasonable objection. The Contractor shall not be required to subcontract with anyone to whom he has a reasonable objection.
- 5.2.4 If the Owner or the Designer has reasonable objection to any such proposed person or entity, the Contractor shall submit a substitute to whom the Owner or the Designer has no reasonable objection.
- 5.2.5 The Contractor shall make no substitution of any Subcontractor, person or entity previously selected, if the Owner or Designer makes reasonable objection to such substitution.
- 5.2.3 The Contractor and his subcontractors shall employ only licensed plumbers and shall provide to the Owner the names and license numbers of all plumbers engaged in the Work. The Contractor shall submit this documentation with any monthly progress payment request that includes plumbing labor.
- 5.3 Subcontractual Relations
- 5.3.1 By an appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by the terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities which the Contractor, by these Documents, assumes toward the Owner. Said agreement shall preserve and protect the rights of the Owner under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor the benefit of all rights, remedies and redress against the Contractor that the Contractor, by these Documents, has against the Owner. Provisions of Article 9 for progress payments, retainage and payment for stored material shall be incorporated without modification in all Contractor-Subcontractor agreements. The Contractor shall require each Subcontractor to enter into similar agreements with his Sub-subcontractors. Prior to execution of the Contractor-Subcontractor agreement, the Contractor shall provide all Subcontractors a complete copy of all proposed Contract Documents for the Project to which the Subcontractor will be bound by this Paragraph 5.3. Each Subcontractor shall similarly make available to his Sub-Subcontractors copies of such Documents. Executed copies of all agreements shall remain on file with the Contractor and be available for review by the Owner at the Owner's discretion.

#### ARTICLE 6 SEPARATE CONTRACTS

- 6.1 Owner's Right to Let Separate Contracts
- 6.1.1 The Owner reserves the right to let other contracts in connection with other portions of the Project under these or similar General Conditions.
- 6.1.2 When separate contracts are awarded for different portions of the Project, "the Contractor" in the Contract Documents in each case shall be the Contractor who signs each separate contract with the Owner.
- 6.1.3 When separate contracts are awarded for portions of the Project, the General Construction Contractor shall be responsible for the overall coordination of all separate contracts for the Project.
- 6.2 Mutual Responsibility of Contractors
- 6.2.1 The Contractor shall afford each other Contractor reasonable opportunity for the introduction and storage of their materials and equipment and the execution of their work, and each shall properly connect and coordinate his work with all others as coordinated by the General Contractor.
- 6.2.2 If any part of the Contractor's work depends on proper execution or results upon the work of any other separate Contractor, the Contractor shall inspect and promptly report to the Designer any discrepancies or defects that shall cause his work to fail or be non-conforming. Failure of the Contractor to so inspect and report shall constitute an acceptance of the other Contractor's work as fit and proper for the reception of his work.
- 6.2.3 Should the Contractor cause damage to any separate Contractor on the Project, the General Contractor agrees, upon due notice, to settle with such other Contractor by agreement, if at all possible without involving the Owner. The Owner will be involved only after evidence is presented that sureties cannot settle the problem.
- 6.2.4 Any costs caused by defective or ill-timed work shall be borne by the party responsible. ARTICLE 7 MISCELLANEOUS PROVISIONS
- 7.1 Delinquent State Taxes (IC. 4-13-2-14.5). The Public Works Division may allow the Department of State Revenue access to the name of each person who is either:
  - (1) Bidding on a Contract to be awarded under this chapter; or
  - (2) A Contractor or Subcontractor under this chapter.

If the Public Works Division is notified by the Department of State Revenue that a bidder is on the most recent tax warrant list, a Contract may not be awarded to that bidder until the bidder provides a statement from the Department of State Revenue that the Bidder's delinquent tax liability has been satisfied. The Department of State Revenue may notify:

- (1) The Department of Administration; and
- (2) The Auditor of State;

that a Contractor or Subcontractor under this chapter is on the most recent tax warrant list, including the amount owed in delinquent taxes. The Auditor of State shall deduct from the Contractor's or Subcontractor's payment the amount owed in delinquent taxes. The Auditor of State shall remit this amount to the Department of State Revenue and pay the remaining balance to the Contractor or Subcontractor.

- 7.2 Choice of Law
- 7.2.1 The Contract shall be governed by the laws of the State of Indiana.
- 7.3 Assignment
- 7.3.1 The Contractor shall not assign the Contract or sublet it as a whole without the written consent of the Owner, nor shall the Contractor assign any monies due or to become due to him hereunder, without the previous written consent of the Owner.
- 7.4 Written Notice
- 7.4.1 Written notice shall be deemed to have been duly served if delivered in person to the individual or member of the firm or to an officer of the corporation for whom it was intended, or sent by registered or certified mail to the last business address known to him who gives the notice.

#### 7.5 Claims for Damages

7.5.1 Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the other party or any of his employees, agents or others for whose acts he is legally liable, claim shall be made in writing to such other party within seven (7) days of the first observance of such injury or damage.

#### 7.6 Performance Bond and Labor and Material Payment Bond

7.6.1 For projects advertised with an estimated base bid amount of One Hundred Fifty Thousand Dollars (\$150,000) or more, the Contractor shall furnish and pay for an approved one hundred percent (100%) combination performance and payment bond (Contractor's Bond for Construction, Public Works Division Form DAPW 15). This bond shall adhere to the requirements of IC. 4-13.6-7-6 and IC. 4-13.6-7-7 as amended and shall cover the faithful performance of the Contract and the payment of all obligations arising thereunder, including reimbursement for any stored materials paid for but returned to materialmen, with such sureties as the Owner may approve. The combination bond shall remain in effect throughout the entire construction period and in addition for a period of one year from the date of final acceptance. The Contractor shall deliver the required bonds to the Owner prior to execution of the Contract by the Owner unless authorized to the contrary in writing by the Owner. All bonds must be issued by bonding companies, which are licensed and approved by the Indiana Insurance Commission.

#### 7.7 Owner's Right to Carry Out the Work

7.7.1 If the Contractor should default or neglect to carry out the Work properly or fail to perform any provision of the Contract, the Owner may, after giving seven (7) days written notice to the Contractor, without prejudice to any other remedy it may have, make good such deficiencies. In such case, an appropriate change order shall be issued deducting the cost thereof including the cost of the Designer's additional service made necessary by such default, neglect or failure of the Contractor, from the payments then or thereafter due the Contractor, provided, however, that the Designer shall approve both such action and the amount charged to the Contractor. If such payments due to the Contractor are not sufficient to cover such amount, the Contractor shall pay the difference to the Owner.

#### 7.8 Royalties and Patents

7.8.1 The Contractor shall pay all royalties and license fees. He shall defend all suits or claims for infringement of any patent rights and shall save the Owner harmless from liability of any nature or find including costs and expenses for or on account of any patented or unpatented invention, process, article or appliance manufactured or used in the performance of this Contract, including its use by the Owner.

#### 7.9 Tests & Substitution of Materials

- 7.9.1 If the Contract Documents, laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction require any work to be inspected, tested, or approved, the Contractor will give the Designer timely notice of its readiness and of the date fixed for such inspection, testing, or approval so that the Designer may observe the same. The Contractor shall bear all cost of such inspections, tests, and approvals unless otherwise provided.
- 7.9.2 If, after the commencement of the Work, the Designer, with approval of the Owner in writing, determines that the Work requires special inspection, testing, or approval for which subparagraph 7.9.1 does not provide, he will, upon written authorization from the Owner, order such special inspection, testing or approval. If such special inspection or test reveals a failure of the Work to fulfill the requirements of the Contract Documents, laws, ordinances, rules, regulations or orders of any public authority having jurisdiction, the Contractor shall bear all costs thereof; otherwise the Owner shall bear such costs. An appropriate change order shall be issued.
- 7.9.3 Required certificates of inspection, testing or approval shall be secured by the Contractor and promptly delivered by him to the Designer.
- 7.9.4 Observations by the Designer of the inspections, tests, or approvals required by Article 7 will be promptly made, and where practicable at the source of supply at no additional cost to the Owner.
- 7.9.5 Neither the observations of the Designer in his administration of the Contract, nor inspections, tests or approvals by persons other than the Contractor shall relieve the Contractor from his obligations to perform the Work in accordance with the Contract Documents.
- 7.9.6 All building construction and work, alterations, repairs, plumbing, mechanical, and electrical installations and appliances connected therewith, shall comply with the Rules and Regulations of the Department of Fire and Building Services, State Board of Health, local ordinances, Rules for Licensure of Building Trades, and other statutory provisions pertaining to this class of work; such rules and regulations and local ordinances to be considered as a part of these specifications.

- 7.9.7 Where in these specifications, one or more certain materials, trade names, or articles of certain manufacture are mentioned, it is done for the express purpose of establishing a basis of durability and efficiency and not for the purpose of limiting competition. Approval of other acceptable products for those specified may be obtained by requesting to the Designer no later than fourteen (14) days in advance of bid date with all documentation required for the Designer to evaluate any approval. If approval is granted, the subject product will be added by addendum.
- 7.9.8 Should there be a reason for change of materials after award of the Contract, the following criteria shall apply:
  - a. Original material no longer manufactured,
  - b. Delivery not possible within time specified for job, and/or
  - c. Unavailability due to causes beyond the control of the Contractor.
- 7.9.9 After agreement by the Designer and the Owner that a change is necessary, the Contractor shall present a request for substitution to the Designer. The burden of proof of the merit of the proposed substitute is upon the proposing party. The decision of the Designer and the Owner regarding the substitution shall be final.
- 7.10 Certificate of Qualification
- 7.10.1 In accordance with IC. 4-13.6-4 as amended, all Contractors and Subcontractors performing work for the State of Indiana on projects estimated to be in excess of one hundred fifty thousand dollars (\$150,000.00), must hold a valid Certificate of Qualification issued by the Public Works Certification Board. The Instructions to Bidders define the procedure for certification and bidding.
- 7.10.2 The Contractor must perform at least fifteen (15) percent of the total Contract Sum of the Work with his own forces. The Contractor shall submit copies of his payroll records, if requested by the Owner, showing the hours, rates and total costs for all personnel on his payroll detailed to the degree to ensure compliance with this paragraph and any Wage Determination provisions.
- 7.11 Appropriation
- 7.11.1 The Contract specifically limits payments to be made in accordance with appropriations made and funds made available under laws of the State of Indiana.
- 7.12 Federal Wage Determination if required
- 7.12.1 If a Davis-Bacon wage determination is included in the Contract Documents, it shall be used as the minimum wage and benefits to be paid for the trades indicated.
- 7.12.2 Contractor shall submit a schedule of hourly wages to be paid to each employee (including those of his subcontractors) engaged in work on the site. This submittal shall be on Contractor's letterhead stationery and shall be signed by the Contractor and notarized. A copy of this submittal shall be conspicuously posted at the site.
- 7.12.3 Said rates shall in no case be less than those set out in the Davis-Bacon wage schedule a copy of which is herein bound or is on file with the Owner if it is required.
- 7.12.4 The Contractor shall provide (and require each Subcontractor to provide) weekly payroll records listing employees engaged in work on the site for the week and the hourly rates for base pay and benefits paid to each employee listed. The payroll record form shall include a statement by the Contractor/Subcontractor certifying the accuracy and completeness of the information provided. Payroll records shall be maintained by the Contractor during the course of the Work until the end of the required warranty period.
- 7.13 Out-of-State Contractors
- 7.13.1 Proof of payment by Out-of-State Contractors of Indiana Gross Income Tax, as provided in IC. 6-2.1-5-1.1 (b) and 6-2.1-5-1.1 (a) (d) as amended shall be submitted before final payment will be approved.
- 7.13.2 Out-of-State Corporations must be authorized to do business in the State, IC. Title 23 prior to submitting bids. Forms may be obtained by contacting the Secretary of State, State of Indiana, Indianapolis, Indiana.
- 7.14 Material Delivery
- 7.14.1 Shipments of material to be used by the Contractor or any Subcontractor under this Contract should be delivered to the job site only during the regular working hours of the Contractor or Subcontractor. If a delivery is made during other than the normal working hours of the Contractor or Subcontractor, his authorized agent must be on duty to receive such material. No employee of the Owner is authorized to receive any shipments designated for the Contractor or Subcontractor.

#### 7.15 Weather

- 7.15.1 The Contractor shall at all times provide protection against weather, rain, wind, storms, frost or heat, so as to maintain all work, materials, apparatus and fixtures free from injury or damage. At the end of the day's work, all new work likely to be damaged shall be covered.
- 7.15.2 During cold weather, the Contractor shall protect all work from damage. If low temperature makes it impossible to continue operations safely, in spite of cold weather precaution, the Contractor shall cease work and shall so notify the Owner and Designer.
- 7.15.3 Any work damaged by failure to provide protection above required, shall be removed and replaced with new work at the Contractor's expense.
- 7.15.4 The Contractor shall provide and maintain on the premises, where directed, watertight storage shed (or sheds) for storage of all materials, which might be damaged by exposure to weather.

#### 7.16 Fire Hazards

7.16.1 Wherever and whenever any burning, welding, cutting or soldering operation is in progress, or equipment is in use, or any work involving a fire hazard, is performed, the Contractor responsible for such operation shall have at all times acceptable fire extinguisher or protection within five (5) feet of the operation.

#### 7.17 Dismissal

7.17.1 Any foreman or workman employed by the Contractor or by any Subcontractor who, in the opinion of the Director, Public Works Division and/or the Designer, does not perform his work in a proper and skillful manner, or is disrespectful, intemperate, disorderly, intoxicated or otherwise objectionable shall at the written request of either of the above, be forthwith discharged by the Contractor or Subcontractor employing such foreman or workman and he shall not be employed again on any portion of the Work without the written consent of the Director of the Division of Public Works and the Designer. Should the Contractor fail to furnish suitable and sufficient machinery, equipment or personnel for the proper prosecution of the Work, the Owner or Designer may withhold all payments that are or may become due, or may suspend the Work until such orders are upheld.

#### ARTICLE 8 TIME

- 8.1 Definitions
- 8.1.1 Unless otherwise provided, the Contract Time is the period of time allotted in the Contract Documents for Substantial Completion of the Work as defined herein, including authorized adjustments thereto.
- 8.1.2 The date of commencement of the Work is the date established in a notice to proceed. If there is no notice to proceed, it shall be the date of the Governor's signature on the Owner-Contractor Agreement or such other date as may be established therein.
- 8.1.3 The Date of Substantial Completion of the Work, or designated portion thereof, is the date certified by the Director, Public Works Division when construction is sufficiently complete, in accordance with the Contract Documents, so the Owner may occupy or utilize the Work, or designated portion thereof, for the use for which it is intended.
- 8.1.4 The term day as used in the Contract Documents shall mean calendar day unless otherwise specifically designated.
- 8.2 Progress and Completion
- 8.2.1 All time limits stated in the Documents are of the essence of the Contract.
- 8.2.2 The Contractor shall begin the Work on the date of commencement as defined herein. He shall carry the Work forward expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.
- 8.2.3 The Owner fully expects the Contractor to employ any and all means necessary to complete the Work within the Contract Time. Conduct of the Owner's affairs, such as unforeseen site conditions or delay in processing change orders, shall <u>not</u> be viewed as justification for delaying the Project unless the Owner can be shown to have breached the Contract. Contractor must employ all reasonable means to execute the Project in a timely manner and in conformance with the Contract Documents even if the Contractor or Designer seeks legal remedy against the Owner for claim of damage.
- 8.3 Delays and Extensions of Time
- 8.3.1 If the Contractor is delayed at any time in the progress of the Work by any act or neglect of the Owner or the Designer, or by any employee of either, or by any separate Contractor employed by the Owner, or by changes ordered in the Work, or by labor disputes, fire, unusual delay in transportation, adverse weather conditions not reasonable to anticipate, unavoidable casualties, or

any causes beyond the Contractor's control, or by delay authorized by the Owner pending arbitration, or by any other cause which the Designer determines may justify the delay, then the Contract Time shall be extended by a Change Order for such reasonable time as the Designer may determine.

- 8.3.2 Claims for extension of time shall be made in writing to the Designer. In case of a continuing delay only one claim is necessary. The Contractor shall provide an estimate of the probable effect of such delay on the progress of the Work.
- 8.3.3 If no agreement is made stating the dates upon which interpretations as provided in Article 2.2 shall be furnished, then no claim for delay shall be allowed on account of failure to furnish such interpretations until fifteen days after written request is made for them, and not unless such claim is reasonable.
- 8.3.4 This Paragraph 8.3 does not exclude the recovery of damages for delay by either party under other provisions of the Contract Documents.

#### ARTICLE 9 PAYMENTS AND COMPLETION

#### 9.1 Contract Sum

9.1.1 The Contract Sum is the total amount payable by the Owner for the performance of the Work under the Contract Documents.

#### 9.2 Schedule of Values

9.2.1 Before the first application for payment, the Contractor shall submit to the Owner a schedule of various parts of the Work, including quantities if required by the Owner, aggregating the total Contract Sum, divided so as to facilitate payments to Subcontractors in accordance with Article 5.3, made out in such form as the Owner and the Contractor may agree upon, and supported by such data to substantiate its correctness as the Owner may require. Each item in the Schedule of Values shall include its proper share of overhead, profit, and other general charges. This schedule, when approved by the Owner, shall be used as a basis for the Contractor's Applications for Progress and Final Payments.

#### 9.3 Progress Payments

- 9.3.1 Completed work: The Contractor shall submit to the Designer an itemized Application for Payment, supported by such data substantiating the Contractor's right to payment as the Designer may direct. The Owner shall make payments on account of the Contract, upon issuance of Certificates of Payment certified by the Designer and the Owner's Representative, for labor and materials incorporated into the Work at the rate of ninety four (94%) percent of such value until fifty (50%) percent of the value of the Work is completed. After that fifty (50%) percent, no further retainage will be deducted. The Director, Public Works Division has the option to require that three (3%) percent of the value of the Work be retained throughout the duration of the entire Contract. The retainage schedule shall be determined prior to award of Contract. Retainage may be paid with final payment at the discretion of the Director, Public Works Division, but shall not be paid in any event until a minimum of sixty one (61) days after all work is completed.
- 9.3.2 Materials Stored: Payments may be made on account for materials or equipment not incorporated in the Work, but delivered and suitably stored at the site. With written approval of the Owner, materials may be stored at another location other than the Work site if properly identified as the property of the Owner and properly protected. Storage of material at the place of business of the vendor is not acceptable (25 IAC 2-9-2). Such payments shall be conditional upon the submission by the Contractor of one of the following: 1) receipts marked by the supplier as paid; 2) supplier's final waiver of lien listing specific materials involved; 3) invoice with copy of canceled check showing payment; or 4) such other evidence of payment as the Owner may require in lieu thereof to establish ownership of all items except those listed as miscellaneous materials below. For the aggregate of miscellaneous stored materials for which payment is requested and above proof of payment is not available, a complete list will be provided along with the affidavit of payment. Upon certification by the Owner's representative that the listed materials are suitably stored, payment can be made. Miscellaneous materials are defined as pipe, fittings, wire, conduit, etc., normally stored as stock items in Contractor's warehouse. For materials stored other than at the construction site applicable insurance and transportation to the site shall be provided by the Contractor.
- 9.3.3 As stored materials are incorporated into the Work, the value shall be removed from the total value of stored materials requested in successive payments. Proof of ownership through one of the above methods will be required for additional materials. When, in the judgment of the Owner, retainage for completed work is not sufficient in relation to excessive amounts requested for stored materials or equipment, the Owner may elect to place the retainage for such materials or equipment in escrow. This retainage shall apply as a credit toward retainage due to be held for completed work on future payments.
- 9.3.4 The Contractor warrants that title to all work, materials and equipment covered by an Application for Payment will pass to the Owner either by incorporation in the construction or upon the receipt by the Contractor of payment, whichever occurs first, free and clear of all liens, claims, security interest or encumbrances, hereinafter referred to in this Article 9 as "liens"; and that no Work, materials or equipment covered by an Application for Payment will have been acquired by the Contractor, or by any other person performing work at the site or furnishing materials and equipment for the Project, subject to an agreement under which an interest

therein or an encumbrance thereon is retained by the seller or otherwise imposed by the Contractor or such other person.

9.3.5 The Contractor shall accompany each application for payment request with a certification that he paid to all Subcontractors (fabricators) within ten (10) days of receipt of payment that pro rata amount of funds he has received from the Owner for the value of work or services (fabricated materials or equipment) performed by the Subcontractor (supplied by fabricator) contained in previous progress payments. The Contractor's inclusion of a value of subcontract work in his progress pay estimate is prima facie evidence of acceptance of work having such a value; therefore, if the Owner receives a certification from a Subcontractor that he has not been paid such amounts as were included in the Contractor's partial billing and subsequently paid to the Contractor by the Owner, then the Owner will hold all subsequent partial payment requests until satisfactory evidence is received from the Subcontractor that he has been paid such amounts presented to the Owner by the Contractor, paid to the Contractor by the Owner, and not distributed by the Contractor to the Subcontractor. The making of an incorrect certification of either partial payment or final payment may be considered by the Owner to be a breach of contract, and it may exercise all of its prerogatives set out in the Contract in addition to the remedies for falsifying an affidavit. Such an action could result in a suspension of qualification with the State Certification Board for a period of up to two (2) years.

#### 9.4 Certificates for Payment

- 9.4.1 When the Contractor has made application for payment as above, the Designer will issue a Certificate of Payment to the Owner for such amount as he determines to be properly due, or state in writing his reasons for withholding a certificate as provided in Articles 9.5.1.
- 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Designer to the Owner, based on the Designer's observations at the site as provided in Article 2.2.4 and the data comprising the Application for Payment, that the Work has progressed to the point indicated, and that, to the best of his knowledge, information and belief, the quality of work is in accordance with the Contract Documents subject to an evaluation of the Work as a functioning whole upon substantial completion, to the results of any subsequent tests called for in the Contract documents, to minor deviations correctable prior to the next certificate for payment and to any specific qualifications stated in his certificate, and that the Contractor is entitled to payment in the amount certified.
- 9.4.3 The Designer's final Certificate for Payment will constitute a further representation that the conditions precedent to the Contractor's being entitled to final payment as set forth in Article 9.7 have been fulfilled. However, by issuing a Certificate, the Designer shall not thereby be deemed to represent that he has made any examination to ascertain how or for what purpose the Contractor has used the monies paid on account of the Contract Sum.
- 9.4.4 The Owner shall make payment as soon as the fiscal procedure of the State can process same after receipt from the Designer of the Certificate for Payment. The fiscal procedure by the State can include, but not be limited to, review by the Owner's using agency, verification of the Certificate by the Owner's Site Representative, review for accuracy of form and calculation by the Owner's accountant, review by the Owner's project management and execution by the Director, Public Works Division and others.
- 9.4.5 No certificate for a progress payment or progress payment for partial or entire occupancy of the Project by the Owner shall constitute an acceptance of work not in accordance with the Contract Documents.
- 9.4.6 Pursuant to IC. 4-13.6-7-2 all Contract awards of One Million Dollars (\$1,000,000) or above, if elected by the Contractor, an escrow agent will be selected by the State with whom the retainage funds for this Contract will be deposited and held until receipt of notice from the Director, Public Works Division (Escrow Form DAPW 32A) and from all other necessary parties as specified in and in accordance with the procedures and provisions of said Act.

#### 9.5 Payments Withheld

- 9.5.1 The Designer (or Owner) will not approve an application in whole or in part, if in his opinion, he is unable to make representations to the Owner as provided in Article 9.4. The Designer (or Owner) will not approve Application for Payment or, because of subsequent inspections, may nullify the whole or any part of the Certificate for Payment previously issued to such extent as may be necessary in his opinion to protect the Owner from loss because of:
  - A. defective work not remedied,
  - B. claim filed or reasonable evidence indicating probable filing of claims,
  - C. failure of the Contractor to make payments properly to Subcontractors or for materials, equipment or labor,
  - D. reasonable doubt that the Contract can be completed for the unpaid balance.
  - E. damage to another Contractor,
  - F. reasonable indication that the Owner may be damaged by delay in receiving use of the Work as scheduled, or,
  - G. unsatisfactory prosecution of the Work by the Contractor.
- 9.5.2 When the above grounds are removed, payment shall be processed for amounts withheld.

- 9.6 Failure of Payment
- 9.6.1 If the Designer should fail to issue any Certificate for Payment, through no fault of the Contractor, or if the Owner should fail to pay the Contractor in a reasonable time considering the fiscal procedures of the State for processing same after receipt from the Designer the amount certified by the Designer, then the Contractor may, after seven (7) additional days, give written notice to the Owner and Designer, that work will stop until payment of the amount owing has been received.
- 9.7 Substantial Completion and Final Payment
- 9.7.1 When advised by the Contractor that the Work or a designated portion thereof is substantially complete, the Designer; the Director, Public Works Division, and the Contractor shall determine jointly by inspection that the Work is substantially complete. If they determine that the Work is substantially complete, the Contractor shall then prepare a Certificate of Substantial Completion with an accompanying list of incomplete items of work (punch list), and submit it to the Designer for his signature and subsequent forwarding for approval by the Director, Public Works Division. The Certificate shall fix the date of Substantial Completion and shall state the responsibilities of the Owner and the Contractor for maintenance, heat, utilities and insurance.
- 9.7.2 Upon approval of the above, and notice that the Work is ready for final acceptance, the Designer, the Contractor and Owner will promptly make final review, and when they find the Work acceptable under the Contract and the Contract fully performed, the Contractor shall promptly submit the final Certificate for Payment with all other required documents, showing that the Work has been completed in accordance with the terms and conditions of the Contract, and that the entire balance in said final certificate, is due and payable.
- 9.7.3 Neither the final payment nor any part of the retained percentage shall become due until the Contractor shall submit to the Designer releases or waivers of all liens arising out of the Contract; an affidavit that the releases and waivers include all the labor, materials, and equipment for which a lien could be filed and that all payrolls, material bills, and other indebtedness connected with the Work for which the Owner or its property might in any way be responsible have been paid or otherwise satisfied; and such other data establishing payment or satisfaction of all such obligations as the Owner may require. If any such lien or claim remains unpaid, the Contractor shall refund to the Owner all monies that the latter may be compelled to pay in discharging such lien or claim, including all costs.
- 9.7.4 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor, and the Designer so confirms, the Owner shall, upon certification by the Designer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted, or such portion as may be available from funds not already released to an escrow agent pursuant to IC 4-13.6-7. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.
- 9.7.5 The making of final payment shall constitute a waiver of all claims by the Owner except those arising from:
  - A. unsettled liens,
  - B. faulty work appearing after Substantial Completion,
  - C. failure of the Work to comply with the requirements of the Contract Documents,
  - D. terms of any special guarantees required by the Contract Documents.
- 9.7.6 If upon Substantial Completion of the Work there are any remaining uncompleted minor items, the Owner shall withhold, until those items are completed, an amount equal to two hundred percent (200%) of the value of each item as determined by the Designer or Owner.
- 9.7.7 The acceptance of final payment shall constitute a waiver of all claims by the Contractor, except those previously made in writing and still unsettled and covered by other agreed arrangements.

#### ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

- 10.1 Safety Precautions and Programs
- 10.1.1 The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work.
- 10.2 Safety of Person and Property
- 10.2.1 The Contractor shall take all necessary precautions for the safety of, and will provide all necessary protection to prevent damage, injury, or loss to:
  - A. all employees on the Project and all other persons who may be affected thereby,
  - B. all the Work and all materials and equipment to be incorporated therein, whether in storage on or off the site, and,
  - C. other property at the site or adjacent thereto, including trees, shrubs, lawns, pavements, roadways, structures and

utilities not designated for removal, relocation or replacement in the course of construction.

- 10.2.2 The Contractor shall comply with all applicable laws, ordinances, rules, regulations and orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss. He shall erect and maintain, as required by the conditions and progress of the Work, all necessary safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations, and notifying owners and users of adjacent utilities.
- 10.2.3 All damage or loss to all property specified herein caused directly or indirectly, in whole or in part, by the Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable, shall be remedied by the Contractor, except damage or loss attributable solely to faulty Contract Documents or to the acts or omissions of the Owner, or Designer or their employees, or for those whose acts either of them may be liable.
- 10.2.4 The Contractor shall designate a responsible member of his organization on the Work whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent, unless otherwise designated in writing by the Contractor to the Owner and the Designer.
- 10.2.5 When the use or storage of explosives or other hazardous materials or equipment is necessary for the prosecution of the Work, the Contractor shall carry on such activities under the supervision of properly qualified personnel.
- 10.2.6 The Contractor shall not overload, or permit any part of the Work to be loaded so as to endanger its safety.
- 10.2.7 All excavations creating a trench of five (5) or more feet in depth shall strictly adhere to the shoring and other safety requirements called for and described under Indiana OSHA Regulation 29 C.F.R. 1926, Subpart "P", for trench safety systems.
- 10.3 Emergencies
- 10.3.1 In an emergency affecting the safety of persons or property, the Contractor shall act, at his discretion, to prevent threatened damage, injury or loss. Any additional compensation or extension of time claimed by the Contractor because of emergency work shall be determined as provided for in Article 12, Changes in the Work, and he shall notify the Owner of such a decision within seven (7) days of the event giving rise to such claim.

#### ARTICLE 11 INSURANCE

- 11.1 General Requirements for Insurance
- 11.1.1 The Contractor will be required to furnish to the Owner, evidence that he has complied with all items of insurance listed herein. All insurance policies/certificates shall be on file with the Owner prior to release of the signed Contract and commencement of work.
- 11.1.2 The Contractor shall purchase and maintain, with a company or companies licensed to do business in Indiana, such insurance as will protect him from claims set forth below, arising out of or resulting from the Contractor's operations under the Contract, whether such operations be by the Contractor or by any Subcontractor or by anyone directly or indirectly employed by any of them:
  - A. claims under Workmen's Compensation Acts and other employee benefit acts;
  - B. claims for damages because of bodily injury, personal injury, occupational sickness or disease, or death of his employees;
  - C. claims for damages because of bodily injury, personal injury, sickness, disease or death of any person other than his employees;
  - D. claims for damages to tangible property, including loss of use thereof.
- 11.1.3 This insurance shall be written for not less than any limits of liability specified herein, or required by law, whichever is greater. Policies or certificates of insurance, acceptable to the Owner, shall be filed with the Owner prior to execution of the Contract. These Certificates shall contain a provision that coverages afforded under the policies will be for the life of the Work.
- 11.1.4 Policies (certificates) shall show name and complete address of the Company, expiration date or dates, and policy number or numbers. Policies shall not be canceled until at least thirty (30) days prior written notice has been given to the Owner and acknowledged by the Owner in writing.

#### 11.2 Property Insurance

- 11.2.1 The Contractor shall furnish and maintain, at the Contractor's expense, Fire, Extended Coverage, Vandalism, and Malicious Mischief Insurance (Builder's Risk), in the sum of 100% of the Contract amount. Builder's Risk insurance shall cover the structure on/in which the Work of this Contract is to be done including items of labor and material connected therewith, whether in or adjacent to the structure insured; material in place or to be used as part of the permanent construction, including surplus materials; shanties, protective fences, bridges, or temporary structures; miscellaneous materials and supplies incident to the Work; scaffolding, staging, towers, forms, and equipment, if included in the cost of the Work. This insurance need not cover any tools owned by mechanics, or any tools, equipment, scaffolding, staging, towers, and forms owned or rented by the Contractor, the capital value of which is not included in the cost of the Work.
- 11.2.3 Any loss under this Article 11.2 is to be adjusted with the Owner, and made payable to the Owner as trustee for the insured, as their interests may appear.

#### 11.3 Liability Insurance

- 11.3.1 The Contractor and their subcontractors (if any) shall secure and keep in force during the term of this Contract the following insurance coverages (if applicable) covering the Contractor for any and all claims of any nature which may in any manner arise out of or result from Contractor's performance under this Contract:
  - A. Commercial general liability, including contractual coverage, and products or completed operations coverage (if applicable), with minimum liability limits not less than \$700,000 per person and \$5,000,000 per occurrence unless additional coverage is required by the State. The State is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly under or in connection with this Contract.
  - B. Automobile liability for owned, non-owned and hired autos with minimum liability limits of \$700,000 per person and \$5,000,000 per occurrence. The State is to be named as an additional insured on a primary, non-contributory basis.
  - C. The Contractor shall provide proof of such insurance coverage by tendering to the undersigned State representative a certificate of insurance prior to the commencement of this Contract and proof of workers' compensation coverage meeting all statutory requirements of IC §22-3-2. In addition, proof of an "all states endorsement" covering claims occurring outside the State is required if any of the services provided under this Contract involve work outside of Indiana.
  - D. The Contractor's insurance coverage must meet the following additional requirements:
    - 1. The insurer must have a certificate of authority or other appropriate authorization to operate in the state in which the policy was issued.
    - 2. Any deductible or self-insured retention amount or other similar obligation under the insurance policies shall be the sole obligation of the Contractor.
    - 3. The State will be defended, indemnified and held harmless to the full extent of any coverage actually secured by the Contractor in excess of the minimum requirements set forth above. The duty to indemnify the State under this Contract shall not be limited by the insurance required in this Contract.
    - 4. The insurance required in this Contract, through a policy or endorsement(s), shall include a provision that the policy and endorsements may not be canceled or modified without thirty (30) days' prior written notice to the undersigned State agency.
    - 5. The Contractor waives and agrees to require their insurer to waive their rights of subrogation against the State of Indiana.
  - E. Failure to provide insurance as required in this Contract may be deemed a material breach of contract entitling the State to immediately terminate this Contract. The Contractor shall furnish a certificate of insurance and all endorsements to the State before the commencement of this Contract.
  - F. Boiler and Machinery Explosion Insurance shall be required when the Work includes boiler, other pressure

vessels or steam piping installation or repair.

G. After June 30, 2015, this entire Article will apply to <u>any</u> contractor that will be on the construction site pursuant to IC 5-16-13 and an acceptable certificate of insurance will be provided by each and every contractor

#### ARTICLE 12 CHANGES IN THE WORK

#### 12.1 Change Orders

- 12.1.1 The Owner, without invalidating the Contract, may order changes in the Work consisting of additions, deletions, or modifications, with the Contract Sum and the Contract Time being adjusted accordingly. All such changes in the Work shall be authorized by Change Order, and shall be executed under the applicable conditions of the Contract Documents.
- 12.1.2 A Change Order is a written order to the Contractor compiled and reviewed by the Designer, prepared by the Owner and then signed by the Owner and the Contractor. The order is issued after the execution of the Contract authorizing a change in the Work, and documenting any adjustment in the Contract Sum and/or the Contract Time. The Contract Sum may be changed only by change order.
- 12.1.3 The value of any work involved in a change in the Work shall be determined in one or more of the following ways, in order of priority listed:
  - A. by mutual acceptance of a lump sum. For all amounts over \$500, the Contractor shall provide a complete listing of quantities and unit prices of materials, hours of labor with cost per hour, and separate agreed percentages for any overhead and profit. The maximum aggregate increase for overhead and profit (including all home office and field office overhead) for any Subcontractor or for the Contractor performing his own work is fifteen (15%) percent; the maximum increase for a Contractor on work performed by a Subcontractor is five (5%) percent. If the cost of performance and payment bond(s) is shown as a separate line item in the Contractor's schedule of values for the project, then an increase will be permitted to provide for the additional cost of the bond(s). If the cost of the bond(s) is not indicated on the Contractor's schedule of values for the Project, any increase in cost for bond(s) shall be included in the Contractor's allowed overhead. For listings under \$500, list lump sum for each item, or,
  - B. by unit prices named in the Contract or subsequently agreed upon, or,
  - C. by cost plus a mutually acceptable fixed or percentage fee.
- 12.1.4 Should conditions be encountered below the surface of the ground that are:
  - A. at variance with the conditions indicated by the Contract Documents, and
  - B. different than could be expected after a reasonable viewing of the site by the bidders, and
  - C. not evident from available soil samples,

then the Contract sum may be equitably adjusted by Change Order upon claim by Contractor made within a reasonable time after the first observance of the conditions.

- 12.1.5 If the Contractor claims that a written interpretation issued pursuant to Article 1.2 or a written order for a minor change issued pursuant to Article 12.3 involves additional cost or time, the Contractor shall make such claim as provided in Article 12.2.
- 12.2 Claims for Additional Cost or Time
- 12.2.1 If the Contractor wishes to make a claim under the provisions of the Contract Documents for an increase in the Contract Sum or an extension in the Contract Time, he shall give the Designer written notice thereof within fifteen (15) days after the occurrence of the event giving rise to such claim. This notice shall be given by the Contractor and authority received in writing from the Owner before proceeding to execute the Work, except in an emergency endangering life or property. No such claim shall be valid unless so made. Any approved change in the Contract Sum or Contract Time resulting from such claim shall be incorporated in a Change Order, initiated by the Designer and executed by the Owner. If the Designer does not initiate or the Owner execute a Change Order within a reasonable time in response to the request, such lack of action shall be construed as prima facie evidence of rejection of the request. For the purpose of this section "reasonable time" is expected not to exceed 30 days after receipt by the Owner.
- 12.3 Minor Changes in the Work
- 12.3.1 The Designer shall have authority, with Owner's approval, to order minor changes in the Work not involving an increase in the Contract Sum or an extension of the Contract Time and not inconsistent with the intent of the Contract Documents. Such change may be affected by written field order, with copy transmitted to the Owner. Such minor changes need not be approved in writing by the Owner; however, the Owner may provide written approval of any substitution of significant materials or equipment.
- 12.4 Field Orders
- 12.4.1 The Designer may issue written field orders, which interpret the Contract Documents in accordance with Article 1.2.4 without change in Contract Sum or Contract Time. The Contractor shall carry out such field orders promptly. The Designer shall Page 17 of 19

transmit copies of field orders to the Owner.

#### ARTICLE 13 EXAMINATION AND CORRECTION OF WORK

#### 13.1 Examination of Work

- 13.1.1 If any portion of the Work should be covered contrary to the request of the Designer or to requirements specifically expressed in the Contract Documents, it must, if required in writing by the Designer, be uncovered for his observation and shall be replaced at the Contractor's expense.
- 13.1.2 Examination of questioned work may be ordered by the Designer with the approval of the Owner, and if so ordered the Work must be uncovered by the Contractor. If such work were found in accordance with the Contract Documents, the cost of reexamination and replacement shall, by appropriate change order, be charged to the Owner. If such work be found not in accordance with the Contract Documents, the Contractor shall pay such costs, unless it is found that the defect in the Work was caused by a separate Contractor employed as provided in Article 6 and in that event, the separate Contractor shall pay such costs.
- 13.2 Correction of Work before Substantial Completion
- 13.2.1 The Contractor shall promptly remove from the site all work rejected by the Designer as failing to conform to the Contract Documents, whether or not incorporated in the Project, and the Contractor shall promptly replace and re-execute his own work in accordance with the Contract Documents and without cost to the Owner and shall bear the cost of repair to or replacement of all work of separate Contractors destroyed or damaged by such removal or replacement.
- 13.2.2 If the Contractor does not remove such rejected work within a reasonable time, fixed by written notice from the Designer, the Owner may remove and store the material at the expense of the Contractor. If the Contractor does not agree to pay or credit the Contract with the cost of such removal within ten days thereafter, the Owner may acquire a lien upon such property and materials. If proceeds of lien foreclosure do not cover all costs, which the Owner has then borne, the difference shall be deducted from the amount to be paid to the Contractor.
- 13.3 Correction of Work after Substantial Completion
- 13.3.1 The Contractor shall correct all faults and deficiencies in the Work which appear within one year of the date of substantial completion or such longer period of time as may be prescribed by the terms of any special guarantees called for by the Contract Documents, and he shall pay for all damage to other work caused thereby. The Contractor shall remove all defective work where necessary.
- 13.3.2 If the Contractor does not correct such faulty or defective work and remove defective work where necessary, within a reasonable time fixed by the Designer in writing, the Owner may do the corrective work and remove the defective work, as described in Article 13.2 above.
- 13.3.3 All costs attributable to correcting and removing faulty or defective work shall be borne by the Contractor.
- 13.3.4 The obligations of the Contractor under this Article 13.3 shall be in addition to and not a limitation of any obligations imposed upon him by special guarantees called for by the Contract Documents or otherwise prescribed by law.

#### ARTICLE 14 TERMINATION OF THE CONTRACT

- 14.1 Termination by the Contractor
- 14.1.1 If the Work is stopped for a period of thirty days under an order of any court or other public authority through no act of fault of the Contractor or of anyone employed by the Contractor, or if the Work should be stopped for a period of thirty days by the Contractor for the Designer's failure to issue a Certificate for payment as provided in Article 9.6, or for the Owner's failure to make payment thereon as provided in said Article, then the Contractor may, upon seven days' written notice to the Owner and the Designer, terminate the Contract and recover from the Owner, in satisfaction of all claims of the Contractor, payment for all work executed, except those items involved in Designer's failure to issue Certificate, or Owner's failure to make payment.
- 14.2 Termination by the Owner
- 14.2.1 If the Contractor should be adjudged bankrupt, or if he should make a general assignment for the benefit of his creditors, or if a receiver should be appointed on account of his insolvency, or if he should persistently or repeatedly refuse or should fail, except in cases for which extension of time is provided, to supply enough properly skilled workmen or proper materials, or if he should fail to make prompt payment to Subcontractors for materials or labor, or persistently disregard laws, ordinances, rules, regulations or orders of any public authority or otherwise be guilty of a substantial violation of a provision of the Contract Documents, then the Owner, upon certification by the Designer that sufficient cause exists to justify such action, may without prejudice to any right or remedy against the Contractor or his surety and after giving the Contractor and his surety seven days written notice, terminate the employment of the Contractor and take possession of the site and of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor and finish the Work by whatever method the Owner

deems expedient. In such case the Contractor shall not be entitled to receive any further payment until the Work is completed, and an accounting made as set out below.

14.2.2 If the unpaid balance of the Contract sum exceeds the cost of finishing the Work, including compensation for the Designer's additional services such excess shall be paid to the Contractor. If such cost exceeds such unpaid balance, the Contractor shall pay the difference to the Owner. The Designer shall certify the cost incurred by the Owner as herein provided.

**END** 

## Indiana Department of Administration M/WBE Participation Policy for Construction Projects

## I. Introduction

The Indiana Department of Administration ("IDOA") in its commitment to Minority and Women participation in the state's procurement and contracting process, will require MBE and WBE participation or a best-efforts waiver as a specification in bids for construction services \$150,000 and over with subcontracting opportunities effective January 1, 2006. *See* Indiana Code 5-22-7, 5-22-7-2, 5-22-7-4.

## II. Definitions

"<u>Application for MBE and WBE Program Waiver</u>" means documents submitted by Bidder for relief from contract goal after demonstrating all reasonable good faith efforts were made by the Bidder for the purpose of fulfilling the contract goal. The Application for MBE and WBE Program Waiver may be submitted prior to the bid due date or included in the bid package response.

"Certification" means verification by the Indiana Department of Administration, Minority and Women's Business Enterprises Division ("MWBED") or an organization accepted by MWBED with respect to the authenticity of a minority or women owned business enterprise.

"Commercially useful function" Determination that an enterprise performs a commercially useful function will be made based on the following considerations:

- (1) An MBE or a WBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the MBE or WBE must also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether an MBE or a WBE is performing a commercially useful function, one must evaluate the following:
  - (A) The amount of work subcontracted.
  - (B) Industry practices.
  - (C) Whether the amount the enterprise is to be paid under the contract is commensurate with the work it is actually performing.
  - (D) The credit claimed for its performance of the work.
  - (E) Other relevant factors.
- (2) An MBE or a WBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of MBE or WBE participation. In determining whether an MBE or a WBE is such an extra participant, one must examine similar transactions, particularly those in which MBEs or WBEs do not participate.
- (3) In the case of construction contracts, if:
  - (A) an MBE or a WBE does not perform or exercise responsibility for at least the agency's requisite percent of the total cost of its contract with its own workforce; or
  - (B) the MBE or WBE subcontracts a greater portion of the work of a contract than would be expected on the basis of normal industry practice for the type of work involved;

it is presumed that the enterprise is not performing a commercially useful function.

"Letter of Commitment" means a letter obtained from the MBE and WBE's by the Bidders. The Letter of Commitment is a signed letter(s), on company letterhead, from the minority and/or women certified business. It must be produced no later than 24 hours after the bid due date and time. This letter(s) shall state and will serve as acknowledgement from the minority and/or women certified business of their level of participation in this solicitation, the dollar amount of the commitment, the scope of service or product to be provided and the anticipated dates of utilization.

"Minority and Women Business Enterprises Division (MWBED)" means the Division which acts on behalf of the state to actively promote, monitor, and enforce the MBE AND WBE program. The final authority on all matters pertaining to the maintenance and administration of the MBE AND WBE program and compliance thereto.

"Minority/Woman Business Enterprise (MBE and WBE)" means an individual, partnership, corporation, limited liability company, or joint venture of any kind that is at least fifty-one percent (51%) owned and controlled by one (1) or more persons who are United States citizens and a member(s) of a minority group. The MBE and WBE must meet the eligibility requirements of 25 IAC 5.

"<u>Participation Plan</u>" means the IDOA prescribed document that sets forth the MBE and WBE subcontractors that will perform work under the contract.

## III. Minority and Women Business Enterprise Certification

MBE and WBEs must be listed on the IDOA directory of certified firms at the time the bid is submitted to be eligible to meet the contract goals. The bidder should verify that a firm is certified before the bid is submitted.

Questions regarding Certification should be addressed to the following:

Indiana Department of Administration
Minority and Women's Business Enterprises Division
402 West Washington Street, Room W469
Indianapolis, IN 46204
(317) 232-3061
www.buyindiana.in.gov
mwbe@idoa.in.gov

## IV. Bidding Process

IDOA will review projects for viable subcontracting opportunities. All projects will be governed by this policy unless otherwise stated.

A representative from MWBED will attend most pre-bid meetings to discuss and answer questions related to the MBE and WBE participation requirement. The MWBED will be available to assist Bidders in locating MBE and WBE firms to engage in the contract.

The 2007-2008 Contract Goals for construction projects are 7% for MBE's and 5% for WBE's.

Effective January 1, 2006, the following procedures will be implemented in the acceptance and evaluation of responsive and responsible bids.

Bidders must produce a Participation Plan on the approved form listing the utilization of MBE and WBE subcontractors who will be providing a commercially useful function on the project. Letter of Commitment from MBE and WBE firms they plan to engage in the contract if successful on the bid

Per 25 IAC 5-6-2(d), all prime contractors, including MBE and WBE prime contractors, must meet the sub-contracting goals through use of businesses found in the IDOA directory of certified firms. MBE and WBE prime contractors will get no credit toward the contract goal for the use of their own workforce.

If the bidder can not achieve the Contract Goals established for the bid package, the bidder shall submit a Waiver Application on the form supplied by MWBED. Bidders may submit waiver applications to MWBED up to two business days in advance of the bid due date to obtain advance approval of the waiver, or the application may be submitted without advance approval with the bid package. Bidders who submit a Participation Plan that will achieve the Contract Goals are not required to submit a Waiver Application.

If a partial waiver is being requested, a Participation Plan listing the MBE and WBE certified firms that will be used to satisfy the portion of the goal that will be met, must be included. Partial waivers may be requested using the waiver application process discussed above. A faxed copy of the Letter of Commitment for each MBE and WBE firm that is listed in the Participation Plan must be provided by the Low Bidder to the appropriate department no later than 24 hours after the bid due date and time. The original letter(s) must be provided upon receipt.

MWBED will review Applications for MBE and WBE Program Waivers and make a determination as to the bidder's responsiveness and good faith efforts. Evidence of efforts should be included with the waiver form. Any combination of the following criteria may be utilized in determining whether good faith efforts have been made:

- A. Notice to MBE and WBEs. Whether and when the bidder provided written notice, by mail, hand delivery, facsimile or electronic transmission to all qualified MBE and WBEs that perform the type of work to be subcontracted and advising the MBE and WBEs:
  - 1. of the subject work the bidder intends to subcontract;
  - 2. that their interest in Subcontracts is being solicited;
  - 3. how to obtain information for the review and inspection of Contract plans and specifications; and
  - 4. how to bid on the subcontracting opportunities and deadlines.
- B. Economically Feasible Subcontract. Whether the bidder selected economically feasible portions of the work to be performed by an MBE and WBE, including, when appropriate, breaking Subcontracts into smaller pieces or combining elements of work into economically feasible units. The ability of the bidder to perform the work with its own forces will not excuse the bidder from making positive efforts to meet the MBE and WBE goals.

- C. Consideration of all MBE and WBE Quotations. Whether the bidder considered all quotations received from MBE and WBEs and, for those quotations not accepted, an explanation of why the MBE and WBE will not be used during the course of the Project. Receipt of a lower quotation from a non-MBE and WBE will not, in itself, excuse bidder's failure to meet the MBE and WBE goals. Price alone does not constitute an acceptable basis for rejecting MBE and WBE subcontractor bids unless the bidder can demonstrate that a reasonable price was not obtained from an MBE and WBE.
- D. MBE and WBE Barrier Assistance. Whether the bidder provided assistance to interested MBE and WBE firms: in reviewing the Contract plans and specifications or addressing other barriers to subcontracting.
- E. Advertisement. Whether the bidder advertised to search for prospective MBE and WBEs to participate in the Contract.
- F. Agency Assistance. Whether the bidder contacted any of the following agencies for the purpose of locating prospective MBE and WBEs:
  - Indiana Department of Administration
     Minority and Women's Business Enterprises Division
     402 West Washington Street, Room W469
     Indianapolis, IN 46204
     (317) 232-3061
     mwbe@idoa.in.gov
  - Indiana Business Diversity Council, Inc. 2126 North Meridian Street Indianapolis, IN 46202 (317) 921-2678 mdhouse@inbdc.org
- G. Research Participation Areas. Whether the bidder made efforts to research other possible areas of participation including supplying, shipping, engineering and any other role that may contribute to the production and delivery of the products or services needed to fulfill the Contract.
- H. Response Time. The time the bidder allowed for a meaningful response to its solicitations.
- I. Documentation of Statements from MBE and WBEs. Any documentation or statements received from MBE and WBEs who have been listed as having been contacted by the bidder.
- J. Availability of MBE and WBEs. The availability of MBE and WBEs to perform the work and the availability, or lack of availability, of MBE and WBEs in the location where the work is to be performed.
- K. Other Criteria. Any other criteria deemed appropriate by MWBED.

This list is not intended to be exclusive or exhaustive. The bidder may also submit documentation of other types of efforts that they have taken which reflect the quality, quantity and intensity of those efforts.

When evaluating Waiver Applications, MWBED reserves the right to verify that any information supplied on the Participation Plan and Wavier Application is accurate. By the submittal of a bid, the bidder acknowledges the right of MWBED to ensure compliance with the Participation Program and thereby agrees to provide, upon request, earnest, diligent and prompt cooperation in MWBED's verification process.

In cases where MWBED concludes the bidder's Participation Plan and the Waiver Application is deficient through no fault of the bidder, the bidder may be instructed to submit a modified Participation Plan within five (5) working days from the date of such notice. Failure to submit the modified Participation Plan within the specified period of time, may result in the bid being considered non-responsive and may be rejected.

In cases where MWBED concludes that the Participation Plan and Waiver Application is deficient or in cases where MWBED has determined that the bidder has not cooperated with its efforts to verify the submitted documentation, a bid may be considered non-responsive and may be rejected.

If the established Contract Goals are not achieved but the Waiver Application is granted, the bid will be considered responsive. If the established Contract Goals are not achieved and the Waiver Application is denied, a bid may be considered non-responsive and may be rejected.

Failure to provide the Participation Plan and/or a Waiver Application accounting for the total participation goal set for the project will result in the bid being considered non-responsive and the bid may be rejected.

By submission of a bid, a bidder thereby acknowledges and agrees to be bound by the regulatory process set forth in 25 IAC 5.

A bidder who knowingly or intentionally misrepresents the truth about either the status of a firm that is being proposed as an MBE and WBE or who misrepresents the level of the nature of the amount to be subcontracted to the MBE and WBE may suffer penalties pursuant to Indiana Code 5-16-6.5-5.

A Contractor who knowingly or intentionally misrepresents the truth about his/her status as an MBE and WBE or who misrepresents the level or the nature of the amount subcontracted to his/her firm may suffer penalties pursuant to Indiana Code 35-44-2-1.

## V. Compliance

Contractors shall contract with all MBE and WBE firms listed on the Participation Plan. The subcontract or purchase order shall be for an amount that is equal to, or greater than, the total dollar amount listed on the form.

Contractors shall notify MWBED immediately if any firm listed on the Participation Plan refuses to enter into a subcontract or fails to perform according to the requirements of the subcontract.

The Contractor's proposed MBE and WBE Contract Goals will become incorporated into and a requirement of the Contract. Contractors shall not substitute, replace or terminate any MBE and WBE firm without prior written authorization from MWBED and the Owner.

Contractors shall cooperate and participate in compliance reviews as determined necessary by MWBED. Contractors shall provide all necessary documentation to show proof of compliance with the requirements as requested by MWBED.

## VI. Non Compliance

A bid governed by this policy that does not meet the participation goals or does not receive an approved waiver will NOT be considered.

After the bid is awarded and if it is determined by MWBED that the Contractor is not in compliance with this Participation Program, MWBED will notify the Contractor within ten (10) days after the initial compliance review or the site visit and identify the deficiencies found and the required corrective action that should be taken to remedy the deficiencies within a specific time period.

If a Contractor is found non-compliant, the Contractor must submit, in writing, a specific commitment, in writing, to correct the deficiencies. The commitment must include the precise action to be taken and the date for completion.

If MWBED determines the Contractor has failed to comply with the provisions of this Participation Program, Contractor's Utilization Statement or 25 IAC 5, IDOA may impose any or all of the following sanctions:

- a. Withholding payment on the Contract until such time that satisfactory corrective measures are made.
- b. Adjustment to payments due or the permanent withholding of retainages of the Contract.
- c. Suspension or termination of the specific Contract in which the deficiency is known to exist. In the event this sanction is employed, the Contractor will be held liable for any consequential damages arising from the suspension or termination of the Contract, including damages caused as a result of the delay or from increased prices incurred in securing the performance of the balance of the work by other Contractors.
- d. Recommendation to the certification board to revoke the contractor's certification status with the Public Works Division of IDOA. This recommendation may result in the suspension or revocation of the contractor's ability to perform on future state contracts for a period no longer than thirty-six (36) months.
- e. Continued non-compliance may be deemed a material breach of the agreement between MWBED and Contractor, whereupon MWBED shall have all the rights and remedies available to it under the Contract or at law.
- f. Suspension, revocation, or denial of the MBE or WBE certification and eligibility to participate in the MBE or WBE program for a period of not more than thirty-six (36) months.

#### VII. Forms and Attachments

Minority Participation Plan Good Faith Efforts Worksheet

# STATE OF INDIANA'S STANDARD CONTRACT FOR PUBLIC WORKS CONSTRUCTION PROJECT (For projects estimated more than \$150,000)

## WORKS PROJECT NUMBER XXXXX PROJECT DESCRIPTION XXXXX INSTITUTION/DEPARTMENT XXXXX

**THIS PUBLIC WORKS CONSTRUCTION CONTRACT** ("Contract"), entered into by and between the Indiana Department of Administration's Public Works Division ("State") and **XXXXXXXXX** ("Contractor"), is executed pursuant to the terms and conditions set forth herein and is governed by Indiana Code 4-13.6, *et seq*. In consideration of those mutual undertakings and covenants, the parties agree as follows:

**1. Definitions.** The following definition of "Contract Documents" applies throughout this Contract for the State's Public Works Project Number **XXXXX** ("Project").

The term "Contract Documents" shall mean and include the following: this Contract and the Project Bid Package, which includes the Contractor's Application for Pre-Qualification, the Public Work's Solicitation for Quotation (DAPW 30), Bid Documentation, Pre-Contract Document, General Conditions (DAPW 26), Supplementary Conditions, Instructions to Bidders, Drawings, Specifications, and Addenda issued by the State in connection with the Project and prior to the submission of the Contractor's Proposal.

Subject to Section 40, *Order of Precedence, Incorporation by Reference*, of this Contract, Contract Documents shall also consist of the Contractor's Proposal and Response, as well as any other documentation submitted by it in response to the Project (hereinafter collectively referred to as "Contractor's Proposal").

Additionally, Contract Documents shall include any subsequent amendments, change orders and any written interpretations issued as field orders by the Designer pursuant to General Conditions, Article 1.2 (DAPW 26) and all field orders for minor changes by the Designer pursuant to General Conditions, Article 12.3 (DAPW 26). Change orders and amendments shall be executed in the manner authorized by Section 36, *Merger and Modification*, of this Contract.

When applicable, Contract Documents shall include the Performance Bond and/or the Labor and Materials Payment Bond, as required by IC 4-13.6-7-6 and IC 4-13.6-7-7, and fully described and captured in the General Conditions (DAPW 26).

The Contract Documents are specifically and collectively incorporated herein by reference.

2. Duties of Contractor. The Contractor shall furnish all labor and materials, perform all of the work, and otherwise fulfill all of its obligations in conformance with the Contract Documents. These duties are described and captured in the Contract Documents. The Contractor agrees that not less than fifteen percent (15%) of the work, measured in dollar volume, will be performed by its own forces. Any subcontractor employed for any part of this Contract awarded in excess of One Hundred Fifty Thousand Dollars (\$150,000.00) shall be qualified with the State of Indiana's Public Works Division Certification Board and shall have a valid Certificate of Qualification in the prime classification of work for this Contract.

**3.** Consideration. All payments provided herein are subject to appropriations made and funds allocated as provided by laws of the State of Indiana. The State shall pay the Contractor for performance of this Contract in current funds as follows:

BASE BID: \$XXXXXX.00

ALTERNATE(S):

TOTAL CONTRACT PRICE: \$XXXXXX.00

- **4. Term.** The work to be performed under this Contract shall commence within ten (10) calendar days of the last signatory to this Contract. The work shall be completed within <u>XXX</u> calendar days.
- **5. Conflict of Interest.** As used in this section:

"Immediate family" means the spouse, partner, housemate or the unemancipated children of an individual, as defined by 42 Indiana Administrative Code 1-3-13.

"Interested party," means:

- 1. The individual executing this Contract;
- 2. An individual who has an ownership interest of three percent (3%) or more of the Contractor, if the Contractor is not an individual; or
- 3. Any member of the immediate family of an individual specified under Subdivision 1 or 2. "State" means the Indiana Department of Administration.
- "State employee" means a state employee, a special state appointee or a state officer, as defined by IC 4-2-6-1(a)(9), (a)(18) and (a)(19), respectively.
- A. The Contractor covenants that it neither has, nor will it have, a direct or indirect financial interest by way of an interested party in any other contract connected or associated with this Contract. The Contractor further represents and warrants that no state employee, who is an interested party of the Contractor as sole proprietor, or who serves as an officer, director, trustee, partner or employee of the Contractor as a legal business entity, participated in any decision or vote of any kind in the award of this Contract. As such and by the execution of this Contract, the Contractor represents and warrants that the result of this Contract does not and will not create a conflict of interest under IC 4-2-6-9 or IC 4-2-6-10.5.
- B. The State may cancel this Contract, without recourse by the Contractor, if an interested party is a state employee and a violation of IC 4-2-6-9 or IC 4-2-6-10.5 has occurred.
- C. The State will not exercise its right of cancellation under Section B above, if the Contractor provides the State an opinion from the State Ethics Commission indicating that the existence of this Contract and the employment by the State of the interested party does not violate any statute or rule relating to ethical conduct of state employees. The State may take action, including cancellation of this Contract, consistent with an opinion of the State Ethics Commission obtained under this Section.
- D. The Contractor has an affirmative obligation under this Contract to disclose to the State when an interested party is or becomes a state employee. The obligation under this section extends only to those facts that the Contractor knows or reasonably should know.

- **6. Licensing Standards.** The Contractor and its employees and subcontractors shall comply with all applicable licensing standards, certification standards, accrediting standards and any other laws, rules or regulations governing services to be provided by the Contractor pursuant to this Contract. The State shall not be required to pay the Contractor for any services performed when the Contractor, its employees or subcontractors are not in compliance with such applicable standards, laws, rules or regulations. If licensure, certification or accreditation expires or is revoked, or if disciplinary action is taken against the applicable licensure, certification or accreditation, the Contractor shall notify the State immediately and the State, at its option, may immediately terminate this Contract.
- **7. Escrow Agreement.** Contemporaneously with the execution of this Contract, the parties may provide for the escrow of retained portions of payments to the Contractor by entering into a separate Escrow Agreement, pursuant to IC 4-13.6-7, with an escrow agent described in IC 4-13.6-7-2(b). Should the Contractor elect to escrow retainage, the Escrow Agreement will become a part of this contract as if fully contained herein.
- **8.** Contractor's Certification. The Contractor certifies that it has been pre-qualified by the State of Indiana's Public Works Division Certification Board to perform the work and furnish the services required by this Project. The Contractor further certifies that all information and documentation submitted by it in its Application for Prequalification Certification, the Contractor's Proposal and submitted in response to the Project, is true, accurate and complete as of the date of this Contract's effectiveness. The Contractor shall immediately notify the State of any material change to such information. The Contractor shall immediately notify the State if, during the course of performance of this Contract, it or any of its principals are proposed for debarment or ineligibility, or become debarred or declared ineligible, from entering into contracts with the federal government or any department, agency or political subdivision of the State.
- **9. Contractor Employee Drug Testing.** Pursuant to IC 4-13-18, the Contractor shall implement the employee drug testing program submitted as part of its Contractor's Proposal. The State may cancel this Contract if it determines that the Contractor:
  - A. Has failed to implement its employee drug testing program during the term of this Contract;
  - B. Has failed to provide information regarding implementation of the Contractor's employee drug testing program at the request of the State; or
  - C. Has provided to the State false information regarding the Contractor's employee drug testing program.
- 10. Access to Records. The Contractor and its subcontractors, if any, shall maintain all books, documents, papers, accounting records, and other evidence pertaining to all costs incurred under this Contract. They shall make such materials available at their respective offices at all reasonable times during this Contract, and for three (3) years from the date of final payment under this Contract, for inspection by the State or its authorized designees. Copies shall be furnished at no cost to the State if requested.

## 11. Assignment; Successors.

A. The Contractor binds its successors and assignees to all the terms and conditions of this Contract. The Contractor may assign its right to receive payments to such third parties as the Contractor may desire without the prior written consent of the State, provided that the Contractor gives written notice (including evidence of such assignment) to the State thirty (30) days in advance of any payment so assigned. The

assignment shall cover all unpaid amounts under this Contract and shall not be made to more than one party.

- B. The Contractor shall not assign or subcontract the whole or any part of this Contract without the State's prior written consent. Additionally, the Contractor shall provide prompt written notice to the State of any change in the Contractor's legal name or legal status so that the changes may be documented and payments to the successor entity may be made.
- 12. Assignment of Antitrust Claims. As part of the consideration for the award of this Contract, the Contractor assigns to the State all right, title and interest in and to any claims the Contractor now has, or may acquire, under state or federal antitrust laws relating to the products or services which are the subject of this Contract.
- 13. Audits. The Contractor acknowledges that it may be required to submit to an audit of funds paid through this Contract. Any such audit shall be conducted in accordance with IC § 5-11-1, et seq., and audit guidelines specified by the State.

The State considers the Contractor to be a "Contractor" under 2 C.F.R. 200.330 for purposes of this Contract. However, if it is determined that the Contractor is a "subrecipient" and if required by applicable provisions of 2 C.F.R. 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements), Contractor shall arrange for a financial and compliance audit, which complies with 2 C.F.R. 200.500 *et seq.* 

- **14. Authority to Bind Contractor**. The signatory for the Contractor represents that he/she has been duly authorized to execute this Contract on behalf of the Contractor and has obtained all necessary or applicable approvals to make this Contract fully binding upon the Contractor when his/her signature is affixed, and accepted by the State.
- **15.** Changes in Work. The Contractor shall not commence any additional work or change the scope of the work until authorized in writing by the State. The Contractor shall make no claim for additional compensation in the absence of a prior written approval and amendment executed by all signatories hereto. This Contract may only be amended, supplemented or modified by a written document executed in the same manner as this Contract.

#### 16. Compliance with Laws.

- A. The Contractor shall comply with all applicable federal, state, and local laws, rules, regulations, and ordinances, and all provisions required thereby to be included herein are hereby incorporated by reference. The enactment or modification of any applicable state or federal statute or the promulgation of rules or regulations thereunder after execution of this Contract shall be reviewed by the State and the Contractor to determine whether the provisions of this Contract require formal modification.
- B. The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State as set forth in IC § 4-2-6, et seq., IC § 4-2-7, et seq. and the regulations promulgated thereunder. If the Contractor has knowledge, or would have acquired knowledge with reasonable inquiry, that a state officer, employee, or special state appointee, as those terms are defined in IC § 4-2-6-1, has a financial interest in the Contract, the Contractor shall ensure compliance with the disclosure requirements in IC § 4-2-6-10.5 prior to the execution of this Contract. If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Inspector General's website at

http://www.in.gov/ig/. If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this Contract immediately upon notice to the Contractor. In addition, the Contractor may be subject to penalties under IC §§ 4-2-6, 4-2-7, 35-44.1-1-4, and under any other applicable laws.

- C. The Contractor certifies by entering into this Contract that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments to the State of Indiana. The Contractor agrees that any payments currently due to the State of Indiana may be withheld from payments due to the Contractor. Additionally, further work or payments may be withheld, delayed, or denied and/or this Contract suspended until the Contractor is current in its payments and has submitted proof of such payment to the State.
- D. The Contractor warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by the State, and agrees that it will immediately notify the State of any such actions. During the term of such actions, the Contractor agrees that the State may delay, withhold, or deny work under any supplement, amendment, change order or other contractual device issued pursuant to this Contract.
- E. If a valid dispute exists as to the Contractor's liability or guilt in any action initiated by the State or its agencies, and the State decides to delay, withhold, or deny work to the Contractor, the Contractor may request that it be allowed to continue, or receive work, without delay. The Contractor must submit, in writing, a request for review to the Indiana Department of Administration (IDOA) following the procedures for disputes outlined herein. A determination by IDOA shall be binding on the parties. Any payments that the State may delay, withhold, deny, or apply under this section shall not be subject to penalty or interest, except as permitted by IC § 5-17-5.
- F. The Contractor warrants that the Contractor and its subcontractors, if any, shall obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations in the performance of work activities for the State. Failure to do so may be deemed a material breach of this Contract and grounds for immediate termination and denial of further work with the State.
- G. The Contractor affirms that, if it is an entity described in IC Title 23, it is properly registered and owes no outstanding reports to the Indiana Secretary of State.
- H. As required by IC § 5-22-3-7:
  - (1) The Contractor and any principals of the Contractor certify that:
    - (A) the Contractor, except for de minimis and nonsystematic violations, has not violated the terms of:
      - (i) IC §24-4.7 [Telephone Solicitation Of Consumers];
      - (ii) IC §24-5-12 [Telephone Solicitations]; or
      - (iii) IC §24-5-14 [Regulation of Automatic Dialing Machines];

in the previous three hundred sixty-five (365) days, even if IC § 24-4.7 is preempted by federal law; and

- (B) the Contractor will not violate the terms of IC § 24-4.7 for the duration of the Contract, even if IC §24-4.7 is preempted by federal law.
- (2) The Contractor and any principals of the Contractor certify that an affiliate or principal of the Contractor and any agent acting on behalf of the Contractor or on behalf of an

affiliate or principal of the Contractor, except for de minimis and nonsystematic violations,

- (A) has not violated the terms of IC § 24-4.7 in the previous three hundred sixty-five (365) days, even if IC §24-4.7 is preempted by federal law; and
- (B) will not violate the terms of IC § 24-4.7 for the duration of the Contract, even if IC §24-4.7 is preempted by federal law.
- 17. Condition of Payment. All services provided by the Contractor under this Contract must be performed to the State's reasonable satisfaction, as determined at the discretion of the undersigned State representative and in accordance with all applicable federal, state, local laws, ordinances, rules and regulations. The State shall not be required to pay for work found to be unsatisfactory, inconsistent with this Contract or performed in violation of and federal, state or local statute, ordinance, rule or regulation.
- **18.** Confidentiality of State Information. The Contractor understands and agrees that data, materials, and information disclosed to the Contractor may contain confidential and protected information. The Contractor covenants that data, material, and information gathered, based upon or disclosed to the Contractor for the purpose of this Contract will not be disclosed to or discussed with third parties without the prior written consent of the State.

The parties acknowledge that the services to be performed by Contractor for the State under this Contract may require or allow access to data, materials, and information containing Social Security numbers maintained by the State in its computer system or other records. In addition to the covenant made above in this section and pursuant to 10 IAC 5-3-1(4), the Contractor and the State agree to comply with the provisions of IC § 4-1-10 and IC § 4-1-11. If any Social Security number(s) is/are disclosed by Contractor, Contractor agrees to pay the cost of the notice of disclosure of a breach of the security of the system in addition to any other claims and expenses for which it is liable under the terms of this contract.

#### 19. Continuity of Services.

- A. The Contractor recognizes that the service(s) to be performed under this Contract are vital to the State and must be continued without interruption and that, upon Contract expiration, a successor, either the State or another contractor, may continue them. The Contractor agrees to:
  - 1. Furnish phase-in training; and
  - 2. Exercise its best efforts and cooperation to effect an orderly and efficient transition to a successor.
- B. The Contractor shall, upon the State's written notice:
  - 1. Furnish phase-in, phase-out services for up to sixty (60) days after this Contract expires; and
  - 2. Negotiate in good faith a plan with a successor to determine the nature and extent of phase-in, phase-out services required. The plan shall specify a training program and a date for transferring responsibilities for each division of work described in the plan, and shall be subject to the State's approval. The Contractor shall provide sufficient experienced personnel during the phase-in, phase-out period to ensure that the services called for by this Contract are maintained at the required level of proficiency.
- C. The Contractor shall allow as many personnel as practicable to remain on the job to help the successor maintain the continuity and consistency of the services required by this Contract. The Contractor also shall disclose necessary personnel records and allow the successor to conduct on-site interviews with

these employees. If selected employees are agreeable to the change, the Contractor shall release them at a mutually agreeable date and negotiate transfer of their earned fringe benefits to the successor.

D. The Contractor shall be reimbursed for all reasonable phase-in, phase-out costs (i.e., costs incurred within the agreed period after contract expiration that result from phase-in, phase-out operations).

## 20. Debarment and Suspension.

- A. The Contractor certifies by entering into this Contract that neither it nor its principals nor any of its subcontractors are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Contract by any federal agency or by any department, agency or political subdivision of the State of Indiana. The term "principal" for purposes of this Contract means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Contractor.
- B. The Contractor certifies that it has verified the state and federal suspension and debarment status for all subcontractors receiving funds under this Contract and shall be solely responsible for any recoupment, penalties or costs that might arise from use of a suspended or debarred subcontractor. The Contractor shall immediately notify the State if any subcontractor becomes debarred or suspended, and shall, at the State's request, take all steps required by the State to terminate its contractual relationship with the subcontractor for work to be performed under this Contract.
- 21. **Default by State**. If the State, sixty (60) days after receipt of written notice, fails to correct or cure any material breach of this Contract, the Contractor may cancel and terminate this Contract and institute measures to collect monies due up to and including the date of termination.

#### 22. Disputes.

- A. Should any disputes arise with respect to this Contract, the Contractor and the State agree to act immediately to resolve such disputes. Time is of the essence in the resolution of disputes.
- B. The Contractor agrees that, the existence of a dispute notwithstanding, it will continue without delay to carry out all of its responsibilities under this Contract that are not affected by the dispute. Should the Contractor fail to continue to perform its responsibilities regarding all non-disputed work, without delay, any additional costs incurred by the State or the Contractor as a result of such failure to proceed shall be borne by the Contractor, and the Contractor shall make no claim against the State for such costs.
- C. If the parties are unable to resolve a contract dispute between them after good faith attempts to do so, a dissatisfied party shall submit the dispute to the Commissioner of the Indiana Department of Administration for resolution. The dissatisfied party shall give written notice to the Commissioner and the other party. The notice shall include: (1) a description of the disputed issues, (2) the efforts made to resolve the dispute, and (3) a proposed resolution. The Commissioner shall promptly issue a Notice setting out documents and materials to be submitted to the Commissioner in order to resolve the dispute; the Notice may also afford the parties the opportunity to make presentations and enter into further negotiations. Within thirty (30) business days of the conclusion of the final presentations, the Commissioner shall issue a written decision and furnish it to both parties. The Commissioner's decision shall be the final and conclusive administrative decision unless either party serves on the Commissioner and the other party, within ten (10) business days after receipt of the Commissioner's decision, a written request for reconsideration and modification of the written decision. If the Commissioner does not modify

the written decision within thirty (30) business days, either party may take such other action helpful to resolving the dispute, including submitting the dispute to an Indiana court of competent jurisdiction. If the parties accept the Commissioner's decision, it may be memorialized as a written Amendment to this Contract if appropriate.

- D. The State may withhold payments on disputed items pending resolution of the dispute. The unintentional nonpayment by the State to the Contractor of one or more invoices not in dispute in accordance with the terms of this Contract will not be cause for the Contractor to terminate this Contract, and the Contractor may bring suit to collect these amounts without following the disputes procedure contained herein.
- E. With the written approval of the Commissioner of the Indiana Department of Administration, the parties may agree to forego the process described in subdivision C. relating to submission of the dispute to the Commissioner.
- F. This paragraph shall not be construed to abrogate provisions of IC § 4-6-2-11 in situations where dispute resolution efforts lead to a compromise of claims in favor of the State as described in that statute. In particular, releases or settlement agreements involving releases of legal claims or potential legal claims of the state should be processed consistent with IC § 4-6-2-11, which requires approval of the Governor and Attorney General.
- 23. Drug-Free Workplace Certification. As required by Executive Order No. 90-5 dated April 12, 1990, issued by the Governor of Indiana, the Contractor hereby covenants and agrees to make a good faith effort to provide and maintain a drug-free workplace. The Contractor will give written notice to the State within ten (10) days after receiving actual notice that the Contractor, or an employee of the Contractor in the State of Indiana, has been convicted of a criminal drug violation occurring in the workplace. False certification or violation of this certification may result in sanctions including, but not limited to, suspension of contract payments, termination of this Contract and/or debarment of contracting opportunities with the State for up to three (3) years.

In addition to the provisions of the above paragraph, if the total amount set forth in this Contract is in excess of \$25,000.00, the Contractor certifies and agrees that it will provide a drug-free workplace by:

- A. Publishing and providing to all of its employees a statement notifying them that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace, and specifying the actions that will be taken against employees for violations of such prohibition;
- B. Establishing a drug-free awareness program to inform its employees of (1) the dangers of drug abuse in the workplace; (2) the Contractor's policy of maintaining a drug-free workplace; (3) any available drug counseling, rehabilitation and employee assistance programs; and (4) the penalties that may be imposed upon an employee for drug abuse violations occurring in the workplace;
- C. Notifying all employees in the statement required by subparagraph (A) above that as a condition of continued employment, the employee will (1) abide by the terms of the statement; and (2) notify the Contractor of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction;

- D. Notifying the State in writing within ten (10) days after receiving notice from an employee under subdivision (C)(2) above, or otherwise receiving actual notice of such conviction;
- E. Within thirty (30) days after receiving notice under subdivision (C)(2) above of a conviction, imposing the following sanctions or remedial measures on any employee who is convicted of drug abuse violations occurring in the workplace: (1) taking appropriate personnel action against the employee, up to and including termination; or (2) requiring such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement, or other appropriate agency; and
- F. Making a good faith effort to maintain a drug-free workplace through the implementation of subparagraphs (A) through (E) above.
- **24. Employment Eligibility Verification** As required by IC § 22-5-1.7, the Contractor swears or affirms under the penalties of perjury that the Contractor does not knowingly employ an unauthorized alien. The Contractor further agrees that:
- A. The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC § 22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.
- B. The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.
- C. The Contractor shall require his/her/its subcontractors, who perform work under this Contract, to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

- **25. Employment Option**. If the State determines that it would be in the State's best interest to hire an employee of the Contractor, the Contractor will release the selected employee from any non-competition agreements that may be in effect. This release will be at no cost to the State or the employee.
- **26. Force Majeure**. In the event that either party is unable to perform any of its obligations under this Contract or to enjoy any of its benefits because of natural disaster or decrees of governmental bodies not the fault of the affected party (hereinafter referred to as a "Force Majeure Event"), the party who has been so affected shall immediately give notice to the other party and shall do everything possible to resume performance. Upon receipt of such notice, all obligations under this Contract shall be immediately suspended. If the period of nonperformance exceeds thirty (30) days from the receipt of notice of the Force Majeure Event, the party whose ability to perform has not been so affected may, by giving written notice, terminate this Contract.

- **27. Funding Cancellation**. As required by Financial Management Circular 2007-1 and IC § 5-22-17-5, when the Director of the State Budget Agency makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this Contract, this Contract shall be canceled. A determination by the Director of State Budget Agency that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive.
- **28.** Governing Law. This Contract shall be governed, construed, and enforced in accordance with the laws of the State of Indiana, without regard to its conflict of laws rules. Suit, if any, must be brought in the State of Indiana.
- **29. HIPAA** Compliance. If this Contract involves services, activities or products subject to the Health Insurance Portability and Accountability Act of 1996 (HIPAA), the Contractor covenants that it will appropriately safeguard Protected Health Information (defined in 45 CFR 160.103), and agrees that it is subject to, and shall comply with, the provisions of 45 CFR 164 Subpart E regarding use and disclosure of Protected Health Information.
- **30. Indemnification**. The Contractor agrees to indemnify, defend, and hold harmless the State, its agents, officials, and employees from all claims and suits including court costs, attorney's fees, and other expenses caused by any act or omission of the Contractor and/or its subcontractors, if any, in the performance of this Contract. The State shall not provide such indemnification to the Contractor.
- **31. Independent Contractor; Workers' Compensation Insurance.** The Contractor is performing as an independent entity under this Contract. No part of this Contract shall be construed to represent the creation of an employment, agency, partnership or joint venture agreement between the parties. Neither party will assume liability for any injury (including death) to any persons, or damage to any property, arising out of the acts or omissions of the agents, employees or subcontractors of the other party. The Contractor shall provide all necessary unemployment and workers' compensation insurance for the Contractor's employees, and shall provide the State with a Certificate of Insurance evidencing such coverage prior to starting work under this Contract.
- **32.** Indiana Veteran Owned Small Business Enterprise Compliance. Award of this Contract was based, in part, on the Indiana Veteran Owned Small Business Enterprise ("IVOSB") participation plan, as detailed in the IVOSB Subcontractor Commitment Form, commonly referred to as "Attachment A-1" in the procurement documentation and incorporated by reference herein. Therefore, any changes to this information during the Contract term must be approved by IDOA's IVOSB Division ("IVOSB Division") and may require an amendment. It is the State's expectation that the Contractor will meet the subcontractor commitments during the Contract term. The following certified IVOSB subcontractor(s) will be participating in this Contract: [Add additional IVOSBs using the same format.]

IVOSB	COMPANY NAME	PHONE	EMAIL OF CONTACT PERSON	PERCENT
Briefly des	cribe the IVOSB service(	(s)/product(s) to	be provided under this Contract and in	nclude the
0.0	date(s) for utilization dur	/ 1	*	

A copy of each subcontractor agreement must be submitted to the IVOSB Division within thirty (30) days of the effective date of this Contract. The subcontractor agreements may be uploaded into Pay Audit (Indiana's subcontractor payment auditing system), emailed to IndianaVeteransPreference@idoa.IN.gov, or mailed to IDOA, 402 W. Washington Street, Room W-478, Indianapolis, IN 46204. Failure to provide a copy of any subcontractor agreement may be deemed a violation of the rules governing IVOSB procurement and may result in sanctions allowable under 25 IAC 9-5-2. Requests for changes must be submitted to IndianaVeteransPreference@idoa.IN.gov for review and approval before changing the participation plan submitted in connection with this Contract.

The Contractor shall report payments made to certified IVOSB subcontractors under this Contract on a monthly basis using Pay Audit. The Contractor shall notify subcontractors that they must confirm payments received from the Contractor in Pay Audit. The Pay Audit system can be accessed on the IDOA webpage at: <a href="www.in.gov/idoa/mwbe/payaudit.htm">www.in.gov/idoa/mwbe/payaudit.htm</a>. The Contractor may also be required to report IVOSB certified subcontractor payments directly to the IVOSB Division, as reasonably requested and in the format required by the IVOSB Division.

The Contractor's failure to comply with the provisions in this clause may be considered a material breach of the Contract.

**33. Information Technology Enterprise Architecture Requirements.** If this Contract involves information technology-related products or services, the Contractor agrees that all such products or services are compatible with any of the technology standards found at <a href="https://www.in.gov/iot/2394.htm">https://www.in.gov/iot/2394.htm</a> that are applicable, including the assistive technology standard. The State may terminate this Contract for default if the terms of this paragraph are breached.

#### 34. Insurance

- A. The Contractor and their subcontractors (if any) shall secure and keep in force during the term of this Contract the following insurance coverages (if applicable) covering the Contractor for any and all claims of any nature which may in any manner arise out of or result from Contractor's performance under this Contract:
  - 1. Commercial general liability, including contractual coverage, and products or completed operations coverage (if applicable), with minimum liability limits not less than \$700,000 per person and \$5,000,000 per occurrence unless additional coverage is required by the State. The State is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly under or in connection with this Contract.
  - 2. Automobile liability for owned, non-owned and hired autos with minimum liability limits of \$700,000 per person and \$5,000,000 per occurrence. The State is to be named as an additional insured on a primary, non-contributory basis.
  - 3. The Contractor shall secure the appropriate Surety or Fidelity Bond(s) as required by the state department served or by applicable statute.
  - 4. The Contractor and their subcontractors shall provide proof of such insurance coverage by tendering to the undersigned State representative a certificate of insurance prior to the commencement of this Contract and proof of workers' compensation coverage meeting all statutory requirements of IC §22-3-2. In addition, proof of an "all states endorsement"

covering claims occurring outside the State is required if any of the services provided under this Contract involve work outside of Indiana.

- B. The Contractor's insurance coverage must meet the following additional requirements:
  - 1. The insurer must have a certificate of authority or other appropriate authorization to operate in the state in which the policy was issued.
  - 2. Any deductible or self-insured retention amount or other similar obligation under the insurance policies shall be the sole obligation of the Contractor.
  - 3. The State will be defended, indemnified and held harmless to the full extent of any coverage actually secured by the Contractor in excess of the minimum requirements set forth above. The duty to indemnify the State under this Contract shall not be limited by the insurance required in this Contract.
  - 4. The insurance required in this Contract, through a policy or endorsement(s), shall include a provision that the policy and endorsements may not be canceled or modified without thirty (30) days' prior written notice to the undersigned State agency.
  - 5. The Contractor waives and agrees to require their insurer to waive their rights of subrogation against the State of Indiana.
- C. Failure to provide insurance as required in this Contract may be deemed a material breach of contract entitling the State to immediately terminate this Contract. The Contractor shall furnish a certificate of insurance and all endorsements to the State before the commencement of this Contract.

#### 35. Key Person(s).

- A. If both parties have designated that certain individual(s) are essential to the services offered, the parties agree that should such individual(s) leave their employment during the term of this Contract for whatever reason, the State shall have the right to terminate this Contract upon thirty (30) days' prior written notice.
- B. In the event that the Contractor is an individual, that individual shall be considered a key person and, as such, essential to this Contract. Substitution of another for the Contractor shall not be permitted without express written consent of the State.

Nothing in Sections A and B, above shall be construed to prevent the Contractor from using the services of others to perform tasks ancillary to those tasks which directly require the expertise of the key person. Examples of such ancillary tasks include secretarial, clerical, and common labor duties. The Contractor shall, at all times, remain responsible for the performance of all necessary tasks, whether performed by a key person or others.

Key person(s) to this Contract is/are:

**36.** Merger & Modification. This Contract constitutes the entire agreement between the parties. No understandings, agreements, or representations, oral or written, not specified within this Contract will be

valid provisions of this Contract. This Contract may not be modified, supplemented, or amended, except by written agreement signed by all necessary parties.

**37. Minority and Women's Business Enterprises Compliance.** Award of this Contract was based, in part, on the Minority and/or Women's Business Enterprise ("MBE" and/or "WBE") participation plan as detailed in the Minority and Women's Business Enterprises Subcontractor Commitment Form, commonly referred to as "Attachment A" in the procurement documentation and incorporated by reference herein. Therefore, any changes to this information during the Contract term must be approved by MWBE Compliance and may require an amendment. It is the State's expectation that the Contractor will meet the subcontractor commitments during the Contract term.

The following MBE/WBE Division ("Division") certified MBE and/or WBE subcontractors will be participating in this Contract: [Add additional MBEs and WBEs using the same format.]

MBE or WBE	COMPANY NAME	PHONE	EMAIL OF CONTACT PERSON	PERCENT
Dui offer dogov	iha tha MDE and/an W	PE somio a(a)/rou	advet(a) to be muchided under this Con	etua et au d
	stimated date(s) for util	· / *	oduct(s) to be provided under this Con he Contract term:	tract ana

A copy of each subcontractor agreement must be submitted to the Division within thirty (30) days of the effective date of this Contract. The subcontractor agreements may be uploaded into Pay Audit (Indiana's subcontractor payment auditing system), emailed to <a href="MWBECompliance@idoa.IN.gov">MWBECompliance@idoa.IN.gov</a>, or mailed to MWBE Compliance, 402 W. Washington Street, Indianapolis IN 46204. Failure to provide a copy of any subcontractor agreement may be deemed a violation of the rules governing MBE/WBE procurement and may result in sanctions allowable under 25 IAC 5-7-8. Requests for changes must be submitted to

MWBECompliance@idoa.IN.gov for review and approval before changing the participation plan submitted

in connection with this Contract.

The Contractor shall report payments made to Division certified subcontractors under this Contract on a monthly basis using Pay Audit. The Contractor shall notify subcontractors that they must confirm payments received from the Contractor in Pay Audit. The Pay Audit system can be accessed on the IDOA webpage at: <a href="www.in.gov/idoa/mwbe/payaudit.htm">www.in.gov/idoa/mwbe/payaudit.htm</a>. The Contractor may also be required to report Division certified subcontractor payments directly to the Division, as reasonably requested and in the format required by the Division.

The Contractor's failure to comply with the provisions in this clause may be considered a material breach of the Contract.

**38.** Nondiscrimination. Pursuant to the Indiana Civil Rights Law, specifically including IC § 22-9-1-10, and in keeping with the purposes of the federal Civil Rights Act of 1964, the Age Discrimination in Employment Act, and the Americans with Disabilities Act, the Contractor covenants that it shall not discriminate against any employee or applicant for employment relating to this Contract with respect to the hire, tenure, terms, conditions or privileges of employment or any matter directly or indirectly related to employment, because of the employee's or applicant's race, color, national origin, religion, sex, age, disability, ancestry, status as a veteran, or any other characteristic protected by federal, state, or local law

("Protected Characteristics"). Contractor certifies compliance with applicable federal laws, regulations, and executive orders prohibiting discrimination based on the Protected Characteristics in the provision of services. Breach of this paragraph may be regarded as a material breach of this Contract, but nothing in this paragraph shall be construed to imply or establish an employment relationship between the State and any applicant or employee of the Contractor or any subcontractor.

The State is a recipient of federal funds, and therefore, where applicable, Contractor and any subcontractors shall comply with requisite affirmative action requirements, including reporting, pursuant to 41 CFR Chapter 60, as amended, and Section 202 of Executive Order 11246 as amended by Executive Order 13672.

**39. Notice to Parties.** Whenever any notice, statement or other communication is required under this Contract, it will be sent by E-mail or first class U.S. mail service to the following addresses, unless otherwise specifically advised

A. Notices to the State shall be sent to: Public Works Division, Director

Indiana Department of

Administration 402 W Washington St

Room W462

Indianapolis, IN 46204

E-mail: rgrossman@idoa.IN.gov

B. Notices to the Contractor shall be sent to:

[INSERT CONTRACTOR NAME] [INSERT CONTRACTOR'S ADDRESS]

E-mail:

As required by IC § 4-13-2-14.8, payments to the Contractor shall be made via electronic funds transfer in accordance with instructions filed by the Contractor with the Indiana Auditor of State.

**40. Order of Precedence; Incorporation by Reference.** Any inconsistency or ambiguity in this Contract shall be resolved by giving precedence in the following order: (1) this Contract, (2) the Project Bid Package, (3) attachments prepared by the State; (4) Contractor's Proposal; and (5) attachments prepared by the Contractor. All of the foregoing are incorporated fully by reference. All attachments, and all documents referred to in this paragraph are hereby incorporated fully by reference.

#### 41. Ownership of Documents and Materials.

A. All documents, records, programs, applications, data, algorithms, film, tape, articles, memoranda, and other materials (the "Materials") not developed or licensed by the Contractor prior to execution of this Contract, but specifically developed under this Contract shall be considered "work for hire" and the Contractor hereby transfers and assigns any ownership claims to the State so that all Materials will be the property of the State. If ownership interest in the Materials cannot be assigned to the State, the Contractor grants the State a non-exclusive, non-cancelable, perpetual, worldwide royalty-free license to use the Materials and to use, modify, copy and create derivative works of the Materials.

B. Use of the Materials, other than related to contract performance by the Contractor, without the prior written consent of the State, is prohibited. During the performance of this Contract, the Contractor shall be responsible for any loss of or damage to the Materials developed for or supplied by the State and used to develop or assist in the services provided while the Materials are in the possession of the Contractor. Any loss or damage thereto shall be restored at the Contractor's expense. The Contractor shall provide the

State full, immediate, and unrestricted access to the Materials and to Contractor's work product during the term of this Contract.

#### 42. Payments.

- A. All payments shall be made thirty five (35) days in arrears in conformance with State fiscal policies and procedures and, as required by IC §4-13-2-14.8, the direct deposit by electronic funds transfer to the financial institution designated by the Contractor in writing unless a specific waiver has been obtained from the Indiana Auditor of State. No payments will be made in advance of receipt of the goods or services that are the subject of this Contract except as permitted by IC § 4-13-2-20.
- B. If the Contractor is being paid in advance for the maintenance of equipment, software or a service as a subscription, then pursuant to IC § 4-13-2-20(b)(14), the Contractor agrees that if it fails to fully provide or perform under this Contract, upon receipt of written notice from the State, it shall promptly refund the consideration paid, pro-rated through the date of non-performance.
- **43. Penalties/Interest/Attorney's Fees**. The State will in good faith perform its required obligations hereunder and does not agree to pay any penalties, liquidated damages, interest or attorney's fees, except as permitted by Indiana law, in part, IC § 5-17-5, IC § 34-54-8, IC § 34-13-1 and IC § 34-52-2.

Notwithstanding the provisions contained in IC § 5-17-5, any liability resulting from the State's failure to make prompt payment shall be based solely on the amount of funding originating from the State and shall not be based on funding from federal or other sources.

**44. Progress Reports**. The Contractor shall submit progress reports to the State upon request. The report shall be oral, unless the State, upon receipt of the oral report, should deem it necessary to have it in written form. The progress reports shall serve the purpose of assuring the State that work is progressing in line with the schedule, and that completion can be reasonably assured on the scheduled date.

#### 45. Public Record.

The Contractor acknowledges that the State will not treat this Contract as containing confidential information, and will post this Contract on the transparency portal as required by Executive Order 05-07 and IC § 5-14-3.5-2. Use by the public of the information contained in this Contract shall not be considered an act of the State.

- **46. Renewal Option**. This Contract may be renewed under the same terms and conditions, subject to the approval of the Commissioner of the Department of Administration and the State Budget Director in compliance with IC § 5-22-17-4. The term of the renewed contract may not be longer than the term of the original contract.
- **47. Severability**. The invalidity of any section, subsection, clause or provision of this Contract shall not affect the validity of the remaining sections, subsections, clauses or provisions of this Contract.
- **48. Substantial Performance.** This Contract shall be deemed to be substantially performed only when fully performed according to its terms and conditions and any written amendments or supplements.
- **49. Taxes**. The State is exempt from most state and local taxes and many federal taxes. The State will not be responsible for any taxes levied on the Contractor as a result of this Contract.

**50. Termination for Convenience**. This Contract may be terminated, in whole or in part, by the State, which shall include and is not limited to the Indiana Department of Administration and the State Budget Agency whenever, for any reason, the State determines that such termination is in its best interest. Termination of services shall be effected by delivery to the Contractor of a Termination Notice at least thirty (30) days prior to the termination effective date, specifying the extent to which performance of services under such termination becomes effective. The Contractor shall be compensated for services properly rendered prior to the effective date of termination. The State will not be liable for services performed after the effective date of termination. The Contractor shall be compensated for services herein provided but in no case shall total payment made to the Contractor exceed the original contract price or shall any price increase be allowed on individual line items if canceled only in part prior to the original termination date. For the purposes of this paragraph, the parties stipulate and agree that the Indiana Department of Administration shall be deemed to be a party to this agreement with authority to terminate the same for convenience when such termination is determined by the Commissioner of IDOA to be in the best interests of the State.

#### 51. Termination for Default.

- A. With the provision of thirty (30) days' notice to the Contractor, the State may terminate this Contract in whole or in part if the Contractor fails to:
  - 1. Correct or cure any breach of this Contract; the time to correct or cure the breach may be extended beyond thirty (30) days if the State determines progress is being made and the extension is agreed to by the parties;
  - 2. Deliver the supplies or perform the services within the time specified in this Contract or any extension;
  - 3. Make progress so as to endanger performance of this Contract; or
  - 4. Perform any of the other provisions of this Contract.
- B. If the State terminates this Contract in whole or in part, it may acquire, under the terms and in the manner the State considers appropriate, supplies or services similar to those terminated, and the Contractor will be liable to the State for any excess costs for those supplies or services. However, the Contractor shall continue the work not terminated.
- C. The State shall pay the contract price for completed supplies delivered and services accepted. The Contractor and the State shall agree on the amount of payment for manufacturing materials delivered and accepted and for the protection and preservation of the property. Failure to agree will be a dispute under the Disputes clause. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders.
- D. The rights and remedies of the State in this clause are in addition to any other rights and remedies provided by law or equity or under this Contract.
- **52. Travel**. No expenses for travel will be reimbursed unless specifically authorized by this Contract. Permitted expenses will be reimbursed at the rate paid by the State and in accordance with the Budget Agency's *Financial Management Circular Travel Policies and Procedures* in effect at the time the expenditure is made. Out-of-state travel requests must be reviewed by the State for availability of funds and for conformance with *Circular* guidelines.

- 53. Waiver of Rights. No right conferred on either party under this Contract shall be deemed waived, and no breach of this Contract excused, unless such waiver is in writing and signed by the party claimed to have waived such right. Neither the State's review, approval or acceptance of, nor payment for, the services required under this Contract shall be construed to operate as a waiver of any rights under this Contract or of any cause of action arising out of the performance of this Contract, and the Contractor shall be and remain liable to the State in accordance with applicable law for all damages to the State caused by the Contractor's negligent performance of any of the services furnished under this Contract.
- **54.** Work Standards. The Contractor shall execute its responsibilities by following and applying at all times the highest professional and technical guidelines and standards. If the State becomes dissatisfied with the work product of or the working relationship with those individuals assigned to work on this Contract, the State may request in writing the replacement of any or all such individuals, and the Contractor shall grant such request.

THE REMAINDER OF THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK.

#### **Non-Collusion and Acceptance**

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof. Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

#### **Agreement to Use Electronic Signatures**

I agree, and it is my intent, to sign this Contract by accessing State of Indiana Supplier Portal using the secure password assigned to me and by electronically submitting this Contract to the State of Indiana. I understand that my signing and submitting this Contract in this fashion is the legal equivalent of having placed my handwritten signature on the submitted Contract and this affirmation. I understand and agree that by electronically signing and submitting this Contract in this fashion I am affirming to the truth of the information contained herein. I understand that this Contract will not become binding on the State until it has been approved by the Department of Administration, the State Budget Agency, and the Office of the Attorney General, which approvals will be posted on the Active Contracts Database: https://hr85.gmis.in.gov/psp/pa91prd/EMPLOYEE/EMPL/h/?tab=PAPP\_GUEST

**IN WITNESS WHEREOF**, the Contractor and the State have, through their duly authorized representatives, entered into this Contract for Public Works Project Number <u>XXXXX</u>. The parties, having read and understood the foregoing terms of this Contract, do by their respective signatures dated below agree to the terms thereof.

Contractor: XXXXXXXXXX	Department of Administration Public Works Division
By:	Ву:
Printed Name:	By:
Title:	For IDOA Commissioner if less than \$1,000,000
Date:	Date:
Approved by: Department of Administration	Approved by: State Budget Agency
By: (for)	By:(for)
By:(for) Lesley A. Crane, Commissioner	Zachary Q. Jackon, Director
Date:	Date:

Approved as to Form and Legality: Form approval has been granted by the Office of the Attorney General pursuant to IC 4-13-2-14.3(e) on September 9, 2019. FA 19-36

This Instrument was prepared by: [INSERT NAME] on XX/XX/XXXX

## INDIANA DEPARTMENT OF NATURAL RESOURCES DIVISION OF Reclamation, Orphan & Abandoned Well Program

# SPECIFICATIONS FOR BID –Dubois, Warrick, and Pike Counties Blanket Plugging Project – Project No.: (ENG2312302087)

Description of project for bid: This project includes the plugging, and abandonment of oil, gas, or class II injection wells and all associated tank sites located in Dubois, Warrick, and Pike Counties, IN. This includes removal of all equipment associated with the wells and site leveling and restoration to predrilling conditions. This project does not require any long-term soil or ground water remedial actions be taken.

The work consists of plugging the wells according to the approved "Well Plugging Plans" that are required to be prepared by the contractor based on the construction of the well from what our records show using plugging rules under 312 IAC 29-33 and including requirements for plugging and abandoning wells for oil and gas purposes under IC 14-37. The Contractor shall furnish all labor, supervision, materials and equipment necessary to complete the project to include landowner notification, plugging plan creation, plugging, site restoration, and filling out well plugging reports to include all documentation required with reports. All required forms and reports are in exhibit A.

The Contact Person or Designer for this Project is Brian Royer, Manager of the Orphan and Abandoned Well Program for the Division of Reclamation. Mr. Royer can be reached at (317)417-6556 or **broyer@dnr.in.gov**. Brian Royer will also be the on-site coordinator along with the local inspector.

The above-described work should begin as soon as possible from the date the Project is awarded by the Indiana Dept. of Administration; Public Works Division and completed within two (2) years of the award date. All costs for a well should be itemized on a single bill and no work on a well should be billed until all work is completed and can be billed at one time

**WELLS TO BE PLUGGED AND ABANDONED:** A list of wells will be provided to the contractor based on priority and current condition of wells. These wells are scanned and well construction information to help create plugging plans can be located here: <a href="https://www.in.gov/dnr/oil-and-gas/permits-and-forms/permits/well-records-search/">https://www.in.gov/dnr/oil-and-gas/permits-and-forms/permits/well-records-search/</a>

#### **MODIFICATION OF WORK**

The DIVISION reserves the right to modify requirements during the course of the Contract by changing the scope of work, deliverables and time frames as well as addition or deletion of tasks to be performed or equipment to be provided and/or any other modification deemed necessary. Any changes in pricing proposed by the CONTRACTOR resulting from the proposed changes shall be subject to acceptance by the DIVISION. In the event prices are not agreeable to the DIVISION, the contract may be subject to reprocurement based upon the new specifications.

#### **TAXES**

The State of Indiana is exempt from state, federal, and local Sales taxes. The State will not be responsible or reimburse sales taxes paid by the contractor as a result of this contract, including taxes charged by subcontractors. The winning bidder will receive a "Letter of Tax Exemption" from the Department of Administration that can be used with suppliers/subcontractors so that the bidder and supplier do not have to pay sales taxes. Taxes must not be included in the bids or in the invoice submitted for payment.

#### **NOTICE OF AWARD**

The State (Department of Administration, Division of Public Works) will notify the selected Contractor by email. The Contractor will need to wait until a Purchase Order is received before starting on the project.

#### PRE-QUOTATION AND POST QUOTATION REQUIREMENTS

- A. Prior to ordering the materials and beginning the work the contractor or his representative shall meet with the Project Coordinator at the site where the wells are located to review the lay of the land where the project will be done and find out the status of all of the wells before they are moved onto by a rig
- B. Should any question arise during the preparation of the quote concerning the true meaning of any part of the plans, specifications or other documents, the Contractor shall notify Brian Royer, for interpretation. The Orphan Well Manager shall ensure that no prospective Bidder is given pertinent and/or material information that is not readily available to all prospective Bidders. The bid specifications and will serve as guidelines for this project.
- C. The Contractor shall use the enclosed bid package as the agreement for terms and conditions for this project. By submitting a bid, the Contractor is agreeing to all terms and conditions outlined in this bid agreement document.
- D. Quotations must have original handwritten signatures of authorized representatives of the bidder. The bidder shall print or type his company's name, sign his name, print his name and print his title on the quotation form. No item involved in the bid may be changed or corrected after the bid closing date For bidding purposes only, the Base Bid numbers provided with the Unit Price Sheet <u>may not</u> be changed. All bidders must bid on the same quantity, or their bid will be disqualified.
- E. Davis Bacon Wage rates will be required in any construction portions of these projects. The wage determination can be found here <a href="https://sam.gov/wage-determination/IN20220006/8">https://sam.gov/wage-determination/IN20220006/8</a>. Other information on this can be found below under the "DAVIS-BACON ACT REQUIREMENTS" section
- F. Winning bidders must notify landowners at least 15 days prior to moving in on their properties. They shall also prepare plugging plans for each well and get approval prior to moving equipment onto the well.
- G. If you are making a bid to the State of Indiana for the first time, you must register with the Indiana Secretary of State's office to do business in Indiana. This can be accomplished at their office in Indianapolis or online at "www.in.gov/sos/business/index.htm"
- H. If your bid will be over \$150,000 you should be prequalified with the Indiana Department Of Administration to complete work under Special Trade Contractor category "1799.06 Oil Well Services".

#### BID:

- A. The Base Bid shall include all work and requirements indicated by the Bidding Documents to plug 30 wells.
- B. The Contractor shall not be allowed extra compensation by reason of any matter or thing concerning which the Contractor could have fully informed himself prior to bidding. No verbal agreement, understanding or conversation with an agent or employee of the Owner, either before or after the execution of this contract, shall affect or modify the terms or obligations herein contained.
- C. There are two alternate bids included with this project.
- 1. Alternate 1 Bid includes plugging 8 additional wells.
- 2. Alternate 2 Bid includes plugging 6 additional wells.

#### **BUILDER'S RISK INSURANCE:**

A. The Builders Risk insurance requirements as specified in the General Conditions (11.2.5) are waived for this project.

#### **GENERAL CONDITIONS**

- A. Control and possession of the premises shall remain with the landowner and the Contractor shall respect the property owner's rights therein. The Contractor shall be responsible for and make good any and all damage to the Owner's property due directly or indirectly to his operations.
- B. The Contractor shall be required to conform to the rules and regulations of the Owner and the Division of Reclamation, pertaining to receiving materials, equipment, and the professional conduct of its employees while on the premises. Contractor shall use lease roads wherever possible. If there are no lease roads to the wells, the Contractor will use the same path to the wells as approved by the project designer until the project is complete. We do not have the right to tear up the surface of the landowner's property. The surface shall be leveled and blended in with the surrounding ground upon completing the plugging of the wells.
- C. The premises shall be kept in a clean and orderly condition by the Contractor. At no time shall any great accumulation of debris be allowed on the site. The Contractor shall keep all debris hauled away from the site and properly dispose of same. At the conclusion of the work, the premises shall be restored by the Contractor, to include culverts and ditches, driveways, established vegetation and removing excess mud from public roads.
- D. The Contractor agrees to indemnify, defend and hold harmless the State of Indiana, and its agents, officers and employees from all claims and suits including court costs, attorney's fees, and other expenses caused by any act or omission of the Contractor and/or subcontractors, if any.
- E. If the landowner requests additional work other than the terms outlined in this bid/contract document, he/she shall be responsible to the cost of the work; if the contractor decides to perform the work.
- F. In case of conflicts between State and Local codes and regulations, State codes and regulations shall prevail.
- G. At least one (1) week **prior to beginning work, the Contractor shall notify the Division of Reclamation**, On-site Project Coordinator and Orphan & Abandoned Well Manager, Brian Royer, of the date on which he shall begin work on the project. The address and telephone numbers are as follows:

DNR, Division of Reclamation Attn: Brian Royer Orphan Well Manager 402 W. Washington St. Room W 293 Indianapolis, IN 46204

Phone: (317)417-6556 E-mail: <u>broyer@dnr.in.gov</u>

Orphan well email: <a href="mailto:OrphanWells@dnr.in.gov">OrphanWells@dnr.in.gov</a>

Oil & Gas Inspectors: Rick Tenison @ 812-459-4879 Rtenison@dnr.IN.gov Doug Kearby @ 812-459-4862 Dkearby@dnr.IN.gov

H. Working Hours: The Contractor shall perform all plugging and abandonment activity on Monday through Friday, excluding state holidays, between the hours of 7:30 a.m. and 5:00 p.m local time., unless previous arrangements are made with the Landowner, Residence, and the On-site Coordinator (Brian Royer @ (317) 417-6556) or local inspector.

#### **COMMENCEMENT AND COMPLETION OF WORK**

- A. The Contractor shall commence work as soon as possible or no later than 60 (sixty) days after the date of the execution of the contract.
- B. All work required on this project shall be completed within 2 years of execution of this contract.

C. All land owner notifications are to be sent out at least 15 (fifteen) days prior to moving on a well to plug unless land owner approves moving on sooner.

#### **EXISTING SITE CONDITIONS – Call before you dig!!!**

The Contractor shall, prior to excavating, verify the location of all buried utilities, including buried power lines. The Contractor shall, by Indiana Law, call **1-800-382-5544** or **811** at least 2 working days prior to excavating to locate all electric, gas, oil, steam, telephone, cable, water, sewer lines and pipelines. Representatives from the owners of these facilities will identify all buried cables, pipes and wiring obstructions in the project area. This is a mandatory requirement as it is required by Indiana Statute, and a confirmation Number or email should be available upon division request.

Data on the drawings, maps, dimensions, type of construction, obstructions on or near site, location of utilities, etc. have been obtained from sources believed reliable, but accuracy of such data is not guaranteed and is furnished solely for accommodation of the Contractor.

#### **ROADWAY PROTECTION**

A. The Contactor shall, at his expense, be responsible to repair any and all damage to the property's roads and drainage structures caused by his equipment and/or personnel.

B. The ingress and egress to the well sites shall be approved by the Designer. These routes shall be chosen based on the route that would cause the least amount of damage to the property or facilities.

#### SALVAGE RIGHTS

Unless stated otherwise in these specifications of the plans, debris and materials, and old equipment shall be removed as part of this project and shall become the property of the Division of Reclamation and removed from the site by the Contractor. Equipment that has value, to include pump jacks, tanks, tubing, pumps and salvageable oil, and pursuant to IC 14-37, is the property of the Division, and the value of equipment, casing, oil, tanks, etc., **must be totaled and deducted from the total cost in the Contractor's final invoice, and becomes property of the contractor.** 

#### **HANDLING OF "WASTE"**

**Oil** – Fluids from the well bore may be stored in a temporary lined pit, surface pit, or a stock tank during the plugging process. During abandonment, the recoverable oil may be separated from the produced water and hauled off to an acceptable facility or the remaining oil be ready for pickup by a refinery. The Division of Reclamation will make arrangements for any oil transportation to a refinery.

**Water –** Produced water from downhole should not be mixed with fresh water. The total of combined fluids are to be properly disposed of by pumping it back into the formation or disposing of it in a solid waste land disposal facility approved by the Indiana Dept. of Environmental Management (IDEM).

**Tank Bottoms –** The waste at the bottoms of the storage tanks are a thick heavy black "tar". This material may be lightly spread over "lease roads that are permitted to do so". They can also be hauled to a landfill and disposed of as a special waste as long as it can pass the coffee filter test.

**Metals/casing –** Roads, Tubing, and Casing may be removed from the well, if possible, and the items that may have resale or "Scrap" value must be sold or taken to a scrap yard for disposal. The Contractor must deduct the cost of handling and removing the materials and the remainder of the value of the resale items must be deducted from the Contractor's Final Invoice on the Project.

**NORM –** If Naturally Occurring Radioactive Material is suspected of being present at the work well sites, the Contractor must notify the "State" immediately and samples will be taken. After a Quick investigation, the State will decide how to proceed.

#### **ABANDONMENT**

- A. Clear Site of all refuse & trash
- B. Drain & backfill all excavations: level surface to its original contours.
- C. Remove equipment, materials and above ground flow lines. All cut off flowlines must be capped.
- D. Remove all buildings, power lines & poles, concrete pads, contaminated soil and waste.
- E. Restore the surface as nearly as practicable to its condition before drilling.

#### **CONFORMANCE WITH PLANS AND SPECIFICATIONS**

- A. All work performed shall be in reasonably close conformance with Plans and Specifications. There may be discrepancies between official division records and actual construction of wells and decisions must be made at the time of plugging as to how to proceed. Any deviation from the Specifications must be authorized by a division representative.
- B. The Contractor shall take no advantage of any apparent error or omission in the plans and Specifications. In the event the Contractor discovers such an error or omission, he shall immediately notify the Designer or Oil & Gas Inspector. The Contractor may be asked for suggestions, advice or interpretations of how to proceed to fulfill the intent of the Plans and Specifications.
- C. The Contractor shall give the work the constant attention necessary to facilitate the progress thereof and within the time frame specified in this document. He shall cooperate with the Designer and Inspector in every way possible. If it appears that the project cannot be completed by the time allotted in this agreement, then the Contractor must file for a change order for a time extension.
- D. The final well site abandonment and reclamation shall follow the completion of well plugging operations. The Contractor shall cut off the well head and remove all casing from three (3) feet below ground elevation to the surface. Flowlines should be cut off and capped at least three feet below ground. Remove all free liquids in the pits and dispose of at an authorized waste treatment or disposal facility. Any remaining fluids may be solidified and buried three (3) feet below the surface. Backfill pits to the original contour and reseed if necessary. Remove all tanks, equipment, electric power lines and poles, pump houses, concrete pads, pipes, fittings, trash and contaminated soil.

#### **EQUIPMENT AND MATERIALS**

- A. The Contractor shall take full responsibility at any time during the progress of work, where materials and equipment used, or to be used, are sufficient or proper for securing the quality of work required and for maintaining the rate of progress. All equipment which is proposed to be used on the work shall be of sufficient size and in such mechanical condition as to meet requirements of the work and to produce a satisfactory quality of work. The State will not pay for time when equipment is not functioning properly or while performing maintenance on equipment.
- B. Mobilization includes the cost transportation of equipment, labor, materials and rig-up costs throughout the term of the contract cost shall include the movement of equipment to and from the site. Mobilization shall include but not limited to, break down of equipment, labor, loading and transportation from project site. These costs must be included in bid price.

C. The State shall not be billed for any work delays due to equipment malfunction to include labor while equipment is down.

#### Special documentation required for each well site.

On each well site there will be four forms that are required to be filled out and turned in to the Division within 30 days of completion of a well plugging. These forms are listed below and can be found in Exhibit A.

- -Operators well plugging report.
- -Approved well plugging plan (required before plugging operations begin.)
- -Contractors field check list for orphan site plugging.
- -Per well unit cost sheet and well plugging summary form.

#### PROJECT SPECIFICATIONS

The division also will rely upon the expertise of the contractor in making decisions at the site while working. Plugging Plans for these wells will have to be pre-approved and shall serve as a guideline or specifications for the plugging of the wells. They should be followed as closely as possible. If the plan is not workable once the equipment is set up, then alternate plans shall be discussed among the Contractor and the State, and another option may be necessary. The Contractors input will be very important in determining the best course of action.

#### Federal grant funding special terms and conditions

- A. Award recipients will be expected to comply with Executive Order 14005, *Ensuring the Future Is Made in All of America by All of America's Workers*, which requires awardees and subawardees of Federal financial assistance awards to maximize the use of goods, products, materials, and services from sources that will help American businesses compete in strategic industries and help America's workers thrive. Waivers may be requested through the DOI Office of Grants Management.
- B. As required by Section 70914 of the Bipartisan Infrastructure Law on or after May 14, 2022, none of the funds under a federal award that are part of Federal financial assistance for infrastructure may be obligated for a project unless all of the iron, steel, manufactured products, and construction materials used in the project are produced in the United States, unless subject to an approved waiver. The requirements of this section must be included
  - in all subawards, including all contracts and purchase orders for work or products.
- C. DOI Standard Award Terms and Conditions will be included in all Initial grants, at the time of award. These standard terms and conditions can be found at: <a href="https://www.doi.gov/sites/doi.gov/files/uploads/doi-standard-award-terms-and-conditions-effective-december-2-2019-revised-june-19-2020.pdf">https://www.doi.gov/sites/doi.gov/files/uploads/doi-standard-award-terms-and-conditions-effective-december-2-2019-revised-june-19-2020.pdf</a>

#### **DAVIS-BACON ACT REQUIREMENTS**

All laborers and mechanics employed by the applicant, subrecipients, contractors or subcontractors in the performance of construction, alteration, or repair work on an award or project in excess of \$2,000 funded directly by or assisted in whole or in part by funds made available under Initial grants shall be paid wages at rates not less than those prevailing on similar projects in the locality, as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code commonly referred to as the "Davis-Bacon Act" (DBA).

Applicants shall provide written assurance acknowledging the DBA requirements for the award or project and confirming that all laborers and mechanics performing construction, alteration, or repair work on projects in excess of \$2,000 funded directly by or assisted in whole or in part by and through funding under the award are paid or will be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with Subchapter IV of Chapter 31 of Title 40, United States Code (Davis-Bacon Act).

Recipients of funding will also be required to undergo DBA compliance training and to maintain competency in DBA compliance. The U.S. Department of Labor offers free Prevailing Wage Seminars several times a year that meet this requirement, at <a href="https://www.dol.gov/agencies/whd/government-contracts/construction/seminars/events">https://www.dol.gov/agencies/whd/government-contracts/construction/seminars/events</a>.

For additional guidance on how to comply with DBA provisions and clauses, see https://www.dol.gov/agencies/whd/government-contracts/construction and https://www.dol.gov/agencies/whd/government-contracts/protections-for-workers-in-construction.

#### **Contract provisions a related matters for Davis Bacon Act.**

#### (1) Minimum wages.

(i) All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be

compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under <u>paragraph (a)(1)(ii)</u> of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(ii)

- (A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (2) The classification is utilized in the area by the construction industry; and
  - (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to <u>paragraphs (a)(1)(ii) (B)</u> or <u>(C)</u> of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided,* That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (2) *Withholding.* The Department of Interior shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, the DNR may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

#### (3) Payrolls and basic records.

(i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship

programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii)

- (A) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Department of Interior if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the DNR. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the Department of Interior if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit them to the applicant, sponsor, or owner, as the case may be, for transmission to the DNR, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sponsoring government agency (or the applicant, sponsor, or owner).
- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
  - (1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
  - (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under <u>paragraph (a)(3)(i)</u> of this section available for inspection, copying, or transcription by authorized representatives of the DNR or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### (4) Apprentices and trainees -

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in

accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) *Trainees.* Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) *Equal employment opportunity*. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- (5) *Compliance with Copeland Act requirements.* The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- (6) **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the Department of Interior may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) *Contract termination: debarment.* A breach of the contract clauses in <u>29 CFR 5.5</u> may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in <u>29 CFR 5.12</u>.

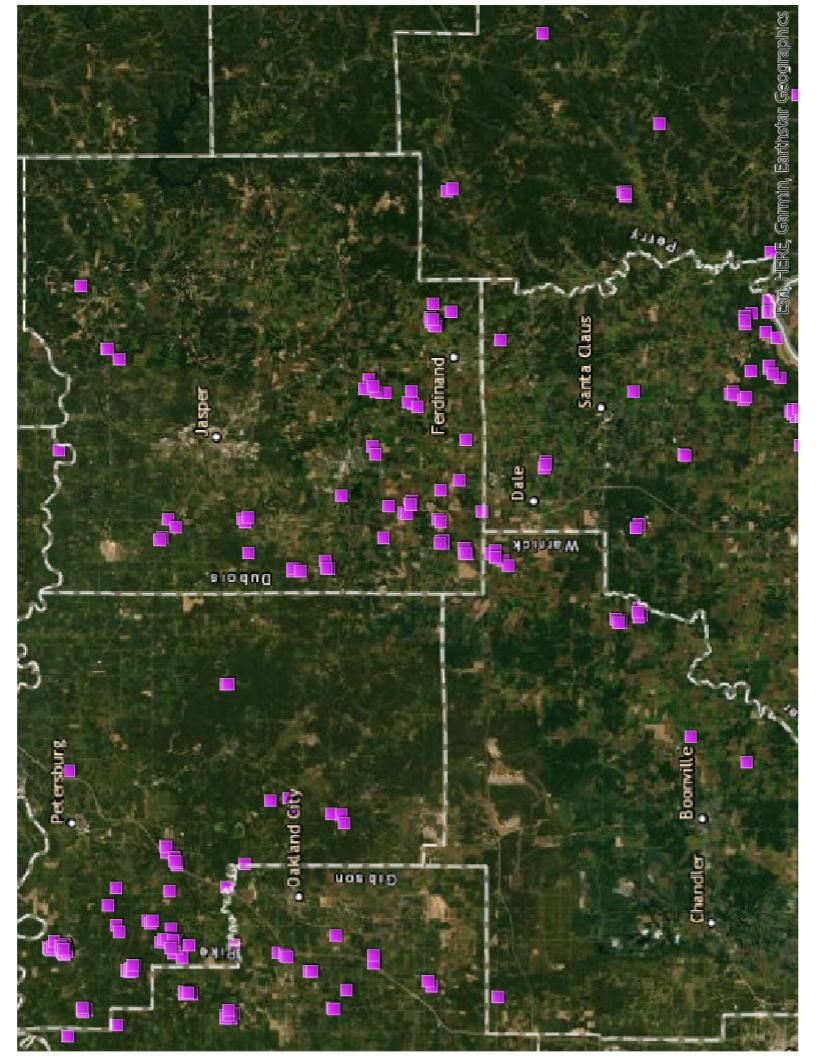
- (8) *Compliance with Davis-Bacon and Related Act requirements.* All rulings and interpretations of the Davis-Bacon and Related Acts contained in <u>29 CFR parts 1</u>, <u>3</u>, and <u>5</u> are herein incorporated by reference in this contract.
- (9) **Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

#### (10) Certification of eligibility.

- (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, <u>18</u> U.S.C. 1001.
- (b) *Contract Work Hours and Safety Standards Act.* The Agency Head shall cause or require the contracting officer to insert the following clauses set forth in <u>paragraphs (b)(1), (2), (3)</u>, and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by § 5.5(a) or § 4.6 of part 4 of this title. As used in this paragraph, the terms *laborers* and *mechanics* include watchmen and guards.
  - (1) *Overtime requirements.* No contractor or subcontractor contracting for any part of the conract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
  - (2) *Violation; liability for unpaid wages; liquidated damages.* In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the sum of \$29 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without

payment of the overtime wages required by the clause set forth in <u>paragraph (b)(1)</u> of this section.

- (3) Withholding for unpaid wages and liquidated damages. The DNR shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- (4) **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in <u>paragraph (b)(1)</u> through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in <u>paragraphs (b)(1)</u> through (4) of this section.
- (c) In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in § 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the DNR and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.



# Exhibit A



#### **WELL PLUGGING PLAN**

State Form 54872 (R4 / 3-20) Form No. P2

#### INDIANA DEPARTMENT OF NATURAL RESOURCES

Division of Oil and Gas

402 West Washington Street, Room W293

Indianapolis, IN 46204 Telephone: (317) 232-4055

Internet: http://www.in.gov/dnr/dnroil

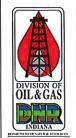
FOR STATE USE ONLY						
Date Received (month, day, year)	Initials					
Date Approved (month, day, year)	Initials					
Date Denied (month, day, year)	Initials					
Date Modified (month, day, year)	Initials					

PART I			GEN	ERAL INFO	RMATION					
TAKIT			OLIV	Telephon						
Operator:				Number:		_	E-mail:			
Lease-Well Number:	:		Well Type:				Permit Num	Carried and the second		
County:			ed plugging date: (month, day, year)			Section	Township	Range	1/4's	
County.			GL:	arj	KB:	$\dashv$				
Surface:			i		TTi	$\neg$		USDW Dept	h:	
Size	Length Hole	Cement				- 1		Well flowing	-	¬ No
5.25	<u> </u>		!		11!	- 1			. ш	
			'∠	1		- 1				
Long String:	<b>-</b> :			il	H			disposing of I		
<u>Size</u>	<u>Length</u> <u>Hole</u>	<u>Cement</u>		<u> </u>	11			g this pluggin	g? ∐Yes	□10
				!			If yes, see F	Part III below.		
Liner / Intermediate		0		!	l į		laall la aak			, his
<u>Size</u>	<u>Length Hole</u>	<u>Cement</u>		il	Ιi			ed in a comm ce area? □Y		
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Estimate top or cent	ciii (100).			! l	l į	- 1	Who was no		********	
Well Orientation	Vertical: ☐ Yes			il	Ιi	1				-
	Horizontal: Yes			<u> </u>	11		Comments:			
				!	1 !	- 1				
				!	l į	- 1				
<b>Existing Perforation</b>	ns:			il	li -					
From	То			i I		- 1				
From	To			!		- 1				
From Proposed Perforati	To ons:			! l	l į	- 1				
From	То			il	Hi					
From	То			i I	11					
From Proposed Casing to	To Pull - Amount:			<u> </u>	11					
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Proposed cement t	ypes and volumes			i	l i					_
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Cmt Type	Volume			<u> </u>						
Plug #2				!	!	- 1				
Cmt Type	Volume			i	i					
Plug #3 Cmt Type	Volume			i I	H	- 1				
Plug #4	Volunio			<u> </u>		- 1				
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				<u>i</u> ]	Li	- 1				
				<u>:</u> Z	<b>Z</b> :					
				open hole						
			TD:	Liloie	PBTD:					
PART II			10.	AFFIRM		***		A CHARLES	De de la san	one sales and in
I affirm under pena understand that th	alty of perjury that the ir is plan will not be valid u is thereafter, unless the	until approv	ed by the Div	is plan is tru ision of Oil a	e and correct nd Gas and th	hat upo	on said appr			
Signature of operator	or or authorized agent						Date signed	l (month, day,	year)	
Cement	CIBP		Packer		Spacer					

PART III	NORM D	DISPOSAL INFORMATION		
Physical Nature of the NORM waste:	Volume of the	he NORM waste:		ity level of the NORM waste: or uR/hr
Was the NORM waste generated from another facility ☐ Yes ☐ No If yes, Operator name :	?	Lease name where offsite NC waste was generated:	Producing formation of NORM waste:	
Will the NORM waste be encased in tubing?  ☐ Yes ☐ No If yes, what is the size, grade, foot, and outside diameter of the tubing?	weight per	Top and bottom depth of the tubing: Top Bottom	3	What is the diameter of the retrieval assembly?
Is the tubing free in the hole or is it secured by cemen  Free	t, a CIBP, or a cured	cement retainer as required per	r 312 IAC 29	-32-4(b)(4)?
I am the landowner of the ground where the well is be IAC 29-32-4(b)(1).	eing plugged a	nd I hereby consent to the dispo	osal of NOR	M waste in this well in accordance with 312
Printed name of Landowner		Date (month, day	, year)	
Signature of Landowner				

#### **Special Information:**

- 1. For dry holes, the owner or operator is required to give verbal or written notice of intent to plug a well to the oil and gas inspector at least twelve (12) hours before beginning the plugging of the well.
- For all existing wells, the owner or operator must give written notice of intent to plug by submitting this completed form at least
  fifteen (15) days before beginning the plugging of the well. This form must be signed by the current operator or authorized agent prior to submitting
  the form for approval. All plugging plans should be e-mailed to Kevin York at kyork@dnr.in.gov or mailed directly to the Evansville Field
  Office at: 8215 Pollack Avenue, Evansville, IN 47715.
- 3. If a well is located within an area of a commercially minable coal resource, the coal owner must be given at least fifteen (15) days to review the plan. Please submit all correspondence between the owner or operator and the coal owner with the plugging plan.
- 4. All plugging pits or portable above ground tanks must be leak-free in order to prevent pollution to the surface or groundwater per 312 IAC 29-33-11. Operators may use synthetic liners or compacted clay to line the pit in order to prevent leaking. An operator is subject to violations and civil penalties should pits or tanks leak during the plugging process.
- 5. The owner or operator may not begin the plugging of any existing well until after receipt of written approval from the Department of the plan.
- 6. The owner or operator shall give an oil and gas inspector verbal or written notice at least forty-eight (48) hours before the scheduled time to begin plugging operations of an existing well.
- 7. If an emergency or urgent condition exists with a well and delay in plugging could cause harm to the environment or threaten public health and safety, an owner or operator may begin plugging a well upon verbal approval from the division director or a designated representative of the division director.
- 8. An owner or operator must submit a completed Well Plugging Report within thirty (30) days upon completion of the plugging operations.
- 9. Should an owner or operator be unable to complete the plugging of a well according to this plan due to unforseen problems, you must receive. written or verbal authorization to continue plugging operations from the Director or Field Supervisor.
- 10. The approval of this plan, or changes to this plan during actual plugging, does not not relieve the applicant from responsibility for future remedial actions on this well as needed to prevent contamination in accordance with IC 14-37.
- 11. All top plugs must be circulated to surface regardless of the proposed amount of cement to be used as shown in this plan.
- 12. You must contact the field inspector within seventy-two (72) hours after the well is plugged, to top off the well, if necessary.
- 13. Include with this plan a copy of the most recent completion report for this well.
- 14. Keep a copy of your submitted plan for your records.



### **OPERATOR'S WELL PLUGGING REPORT**

State Form 54874 (12-11) / Form No. R11

INDIANA DEPARTMENT OF NATURAL RESOURCES
Division of Oil and Gas
402 W. Washington St., Rm. 293
Indianapolis, IN 46204
Telephone number: (317) 232-4055
FAX number: (317) 232-1550
Internet: http://www.in.gov/dnr/dnroil

FOR DIVISION US	E ONLY
Date Authorization Granted:	
Initials:	

PART I GENERAL INFORMATION												
Name of oper	ator							Telephone num ( ) -	ber	Permit Num	ıber	
Address of op	perator									Date Plugge	ed (month	, day, year)
PART II					WELL	INFO	RMATI	ON				
Name of Leas	3e				Well Num	ber		Elevation (G	i.L.)	Well Type	Э	
Township	Range	Section	Quarters	;	Footage's	Ü		Footage's	Co	unty		
PART III PLUGGING DETAILS												
				Ca	sing and 1	Tubir	ng Infor					
Hole Size   Size (OD)   Pipe Set   Pipe Pulled   Pipe Left   Primary   Perforations   Cement												
	ft. ft. ft. ft.							ft.,	ft.,	ft.		
			ft.		ft.		ft.	ft.		ft.,	ft.,	ft.
			ft.	1	ft.		ft.	ft.		ft.,	ft.,	ft.
			ft.		ft.		ft.	ft.		ft.,	ft.,	ft.
							mation					
Plug Type Plug Volume Type Weight Average Plug Bottom Plug Top							ug Top					
										ft.		ft.
										ft.		ft.
										ft.		ft.
										ft.		ft.
										ft.		ft.
										ft.		ft.
PART IV		SIGN	VATURE	OF P	ARTICIPA	NTS	and AF	FIRMATION	OF PLU	JGGING		
		rtifies und	ler penalt	y of p	perjury tha	at the	y are k	nowledgeable			formed i	n the
Signature of	Owner/Ope	rator or Aut	horized Ag	ent						Date signe	d (month,	day, year)
Name of Owi	ner/Operato	or or Authori	zed Agent									
Signature of	Signature of Contractor  Date signed (month, day, year)											
Name of Con	ıtractor									***************************************		
The unders			firms und	ler pe	enalty of p	erjui	ry that	I provided the	ceme	nt volumes	s and typ	oes listed
Signature of										Date signe	ed (month,	, day, year)
Name of Cen	nent Provid	er										

#### Special Instructions:

- 1. The person who signs as the operators authorized agent MUST be a person whose signature appears in Part V or Part VI of the Organizational Report. This report is not valid unless all signatures are present.
- 2. The operator shall submit this completed report to the division within thirty (30) days after the completion of well plugging operations. Failure to submit this report will result in enforcement action. The form should be mailed to:

Department of Natural Resources
Division of Oil & Gas
402 W. Washington St., Room 293
Indianapolis, IN 46204

- 3. Copies of cement tickets and contractor daily reports from the service company performing the work should be submitted with this affidavit.
- 4. The submission of this plugging report does not relieve the owner or operator from responsibility for future remedial actions on this well as needed to prevent contamination in accordance with IC 14-37.
- 5. Please submit a copy of a modified plugging plan if one was approved on site.

Permit # Rig hours (including Tubing and Labor) Rig operation w/Power swivel (Including	number used on well	<b>Units</b> hours	cost /unit	total per line item County \$0.00
Spudder/cable tool		hours		\$0.00 <b>Contractor</b>
Drill collars		Number used		\$0.00
Tank truck		hours		\$0.00
Bulk Truck		hours		\$0.00
Winch truck		hours		\$0.00
Crane truck		hours		\$0.00
Backhoe		hours		\$0.00
Rig mat/ mud boat		days		\$0.00
Dozer/Cat		hours		\$0.00
Cement pump truck and set up charge		Number used		\$0.00
Cement		sacks		\$0.00
lost circulation material		sacks		\$0.00
Calcium Chloride cement additive		sacks		\$0.00
Wireline service set up fee		Number used		\$0.00
Cast Iron Bridge Plug		Number used		\$0.00
Cement Bond Log		Number used		\$0.00
Perforations/ ripping		Number used		\$0.00
Extra shot for perforating		Number used		\$0.00
Roustabout work per person		hours		\$0.00
Lined pit/surface pit		Number used		\$0.00
Liquid oilfield waste disposal		<b>Bbls</b> disposed		\$0.00
Welder/Torch		hours		\$0.00
Dump Bailer run with 1 sack cement		Number used		\$0.00
land/crop damages		acres		\$0.00
admin cost/plug plan/land owner letter etc.		hours		\$0.00
Performance bond	<b>,</b>	1 \well cost		\$0.00
Extra items not on bid/ details				\$0.00
Mobilization		1 well		\$0.00
Date moved on well:	Date complete:	Total cost for well		\$0.00

Contractors field check list for orphan site plugging

•			
Permit #		Date plugged	
Apparent methane leak?	Yes or No	well type	
Apparent surface water contamination?	Yes or No	Date restoration complete	
Apparent groundwater contamination?	Yes or No		
Were all apparent leaks stopped after plugging?	Yes or No, NA if no on first 3	if no please explain:	
where was USDW call on plugging plan?	Feet		
Where was the approximate fluid level of well?	Feet		
Were there obvious holes in the casing?	Yes or No		
was well previously circulated?	Yes or No		
was there pressure on the well when opened?	Yes or No	If yes how much if known?	psi
How was well equipped when moved on?			
Are there any obvious community impacts?	Yes or No	If so, what?	
Was there H2S present during plugging?			
was oil encounered in well or just saltwater?			
Approximate acres restored on site?			
		_	

This form along with the time a materials tracking form will be turned in on every orphan well site that is plugged, with the plugging report to orphanwells@dnr.in.gov

# Exhibit B

312 IAC 29-33-1 Requirement to plug and abandon wells

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 1. An owner or operator must plug and abandon a well that:

- (1) is determined to be a dry hole;
- (2) ceases to produce oil, natural gas, or coal bed methane; or
- (3) is no longer operated for the purpose for which the well is permitted; unless the owner or operator is authorized to delay the plugging and abandonment of the well under section 3 of this rule or is granted approval to temporarily abandon the well under section 4 of this rule.

(Natural Resources Commission; 312 IAC 29-33-1; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-2 Responsibility for plugging a well

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 2. (a) An owner or operator of a well is responsible for plugging a well under <u>IC 14-37</u> and this rule.

- (b) A person who is not the owner or operator of a well who intends to plug a well, or to reenter and clean out a previously plugged well for the purpose of replugging the well, is subject to the:
  - (1) notice and plan requirements of sections 6 through 8 of this rule;
  - (2) requirements of sections 9 through 22 of this rule;
  - (3) requirement to file well plugging reports under section 23 of this rule; and
  - (4) requirements for well site cleanup and restoration under section 24(b) of this rule.

(Natural Resources Commission; 312 IAC 29-33-2; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-3 Deferring the plugging and abandoning of a well

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 3. (a) An owner or operator may defer the plugging and abandoning of a well as required under section 1 of this rule for a well that has been drilled, completed, and cased for production, if:

- (1) the well is otherwise in compliance with IC 14-37 and this article; and
- (2) the owner or operator satisfies the requirements of this section.
- (b) The owner or operator shall specify the date by which the well is intended to be plugged, which shall not be more than one (1) year from the date of providing notice under this section.
- (c) For any well that a notice of intent to defer plugging and abandonment is provided, the owner or operator shall do the following:
  - (1) Equip the well with an intact, leak-free well head or cap the well with a valve configured to monitor casing or casing-tubing annulus pressure.
  - (2) If requested by the division director, demonstrate that the well does not threaten an underground source of drinking water by:
    - (A) monitoring well head pressures and fluid levels in the well; or
    - (B) performing a mechanical integrity test on the casing.

(Natural Resources Commission; 312 IAC 29-33-3; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-4 Temporary abandonment of wells

Authority: <u>IC 14-10-2-4</u>; <u>IC 14-37-3</u>

Affected: IC 14-37

- Sec. 4. (a) An owner or operator of a well may temporarily abandon a well if the well otherwise meets the requirements of <u>IC 14-37</u> and this article and the well conforms to the requirements of this section. To temporarily abandon a well, the owner or operator must file an application for temporary abandonment on a form prescribed by the division within sixty (60) days after any of the following:
  - (1) The date on which the drilling and casing of the well is completed.
  - (2) The date on which the operation of the well is terminated.
  - (3) The expiration of the period during which the owner or operator defers abandoning the well under section 3 of this rule.
- (b) The application for temporary abandonment shall include sufficient information to demonstrate that the engineering, geologic, or economic reasons for retaining the well on temporary abandonment status outweigh the potential benefit of either:
  - (1) operating the well; or
  - (2) plugging the well.
- (c) The owner or operator shall ensure that the well has mechanical integrity or does not otherwise threaten an underground source of drinking water by doing the following:
  - (1) Installing tubing and packer or a mechanical bridge plug, cement, or other suitable plug placed within two hundred (200) feet above the perforated or open hole interval in the cemented portion of the casing, but no less than one hundred (100) feet below the base of the lowermost underground source of drinking water and either:
    - (A) remove any fluid in the well to a level at least one hundred (100) feet below the base of the lowest underground source of drinking water; or
    - (B) pressure test the casing above the packer or plug at least once every five (5) years at a pressure of at least three hundred (300) pounds per square inch gauge for a period of thirty (30) minutes with the pressure varying no more than three percent (3%) during the test period.
  - (2) Monitoring the fluid level in the well using acoustical or wireline measuring methods on an annual basis. Using this method requires the owner or operator to also comply with the following:
    - (A) Report the results of monitoring annually on a form prescribed by the division.
    - (B) If the fluid level is closer than one hundred (100) feet to the base of the lowest underground source of drinking water, the owner or operator shall notify an inspector within twenty-four (24) hours and either:
    - (i) verify at least one (1) time every two (2) years by analysis of a sample of the fluid in the well that the fluid is water that does not pose a threat to an underground source of drinking water; or
    - (ii) use one (1) of the other methods in this subsection to demonstrate mechanical integrity of the
  - (3) Notwithstanding subdivisions (1) and (2) mechanical integrity for a gas well with a minimum well head pressure of one hundred (100) pounds per square inch may be established according to the following:
    - (A) At least ten percent (10%) of the initial shut-in pressure shall be bled off, and the well shall be shut back in under the supervision of the inspector.
    - (B) Once the well returns to the maximum shut-in pressure, the pressure must be maintained at a constant level for thirty (30) minutes in the presence of an inspector.
    - (C) A pressure measuring device displaying a readout of the shut-in pressure shall be attached to the well head and shall be accessible at all times for inspection by the inspector.
- (d) Temporary abandonment of a well under this section may be granted for a period not to exceed five (5) consecutive years.
- (e) Upon the expiration of temporary abandonment status, the owner or operator shall do one (1) of the following:
  - (1) Operate the well for its permitted purpose.
  - (2) Plug and abandon the well in accordance with IC 14-37 and this rule.
  - (3) Submit a request for temporary abandonment renewal according to the requirements of subsections (b) and (c).
- (f) In considering whether to extend the period of temporary abandonment beyond the initial five (5) year period, the division director may require the owner or operator to submit additional information to

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justify the need for continued temporary abandonment and to ensure protection of the environment and validate the likelihood that a well will be returned to active status or properly plugged and abandoned as required under this article. Such additional information may include, but is not limited to, the following:

- (1) A list of all improvements or workovers that will be required to equip the well for active status.
- (2) A description of other improvements or infrastructure that will be needed to return the well to active status.
- (3) An itemized list of the costs associated with each of the improvements or workovers identified in subdivisions (1) and (2).
- (4) A detailed time schedule for completing all of the workovers or improvements identified by the owner or operator.
- (5) An estimate of the cost to plug and abandon the well by an independent plugging contractor.
- (g) An owner or operator must notify the division in writing within thirty (30) days of any change in the operational status of a well that has been granted temporary abandonment status under this section.
- (h) Operation of a well that is subject to this section removes the well from temporary abandonment status.
- (i) The authorization to inject granted under <u>312 IAC 29-28-1</u> is withdrawn upon the division's grant of temporary abandonment status for any Class II well. Injection operations shall not recommence unless the requirements of <u>312 IAC 29-28-1</u>(b) are met and the division has issued a new authorization to inject.

(Natural Resources Commission; 312 IAC 29-33-4; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-5 Specifications for cement used in the plugging of wells

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 5. (a) Cement used in the plugging of wells under this rule shall:

- (1) be Class A, C, or H, as described in the "Specification for Cements and Materials for Well Cementing", American Petroleum Institute, API Specification 10A, Twenty-Fourth Edition (December 2010); and
- (2) comply with "Standard Specification for Portland Cement", ASTM International, ASTM Standard C150/150M-11 (May 2011).
- (b) The properties and types of cement used, including cement additives, shall be determined in accordance with commonly recognized industry standards and shall provide for the following:
  - (1) A minimum weight of thirteen (13) pounds per gallon, unless the cement contains additives that improve the ability of the cement to provide necessary protection and that maintains a minimum compressive strength of five hundred (500) pounds per square inch after seventy-two (72) hours.
  - (2) Isolating the well bore from underground sources of drinking water.
  - (3) Preventing the significant loss of cement into voids or lost-circulation zones.
  - (4) Preventing gas flow or movement of any fluids in the annulus or through cemented portions of a plugged well.
  - (5) Protecting commercially minable coal resources as required under 312 IAC 29-17.

(Natural Resources Commission; 312 IAC 29-33-5; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-6 Written notice of intent to plug a well and well plugging plan

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 6. (a) Except as provided in sections 9 and 10 of this rule, the owner and operator shall give written notice to the division of intent to plug an existing well at least fifteen (15) days before commencing the plugging of the well.

- (b) The written notice required by subsection (a) shall be on a form prescribed by the division.
- (c) Unless a well plugging plan has been previously submitted to the division for approval, the notice required under subsection (b) must include a plan for plugging the well that:
  - (1) describes the specific methods that would be used;
  - (2) specifies the date on which plugging operations are scheduled to commence, if known; and
  - (3) indicates compliance with this rule, including the location of each cement plug to be placed in the well.

(Natural Resources Commission; 312 IAC 29-33-6; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-7 Notification to underground gas storage operator

Authority: IC 14-10-2-4; IC 14-37-3

Affected: <u>IC 14-37</u>

Sec. 7. At least ten (10) days before commencing the plugging of any well located within one-half (1/2) mile of an underground gas storage facility boundary, the owner or operator shall give written notice of intent to plug the well to the underground gas storage facility operator of the well. The notice shall:

- (1) include a copy of the well plugging plan;
- (2) indicate the date on which plugging is planned to commence; and
- (3) provide the opportunity for the underground storage operator to have a representative present to witness the well plugging operations.

(Natural Resources Commission; 312 IAC 29-33-7; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-8 Notification to oil and gas inspector

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 8. (a) Unless an emergency or an urgent condition exists under section 9 of this rule, in addition to the written notice and plan required under section 7 of this rule an owner or operator must provide notice to the oil and gas inspector assigned to the well of the date and time on which well plugging operations are scheduled to commence. The notice shall consist of at least one (1) of the following:
  - (1) Written notice delivered in person to the inspector.
  - (2) An e-mail message sent to the inspector, with a read-receipt request verifying the date and time the inspector read the message.
  - (3) Verbal communication provided in person or by telephone to the inspector.
  - (4) A voice mail message left on the telephone of the inspector and either:
    - (A) verbal communication in person or by telephone with the assistant director of field inspections; or
    - (B) voice mail message left on the telephone of the assistant director of field inspections.
- (b) Unless a shorter time for notification is agreed between the oil and gas inspector and the owner or operator, the owner or operator must give the notification required in subsection (a) at least:
  - (1) twelve (12) hours before the time to commence plugging operations when a well is to be plugged as a dry hole immediately following conclusion of drilling or drilling operations; or
  - (2) forty-eight (48) hours before the time scheduled to commence plugging operations for a well not described in subdivision (1).
- (c) An owner or operator must not commence plugging operations without an oil and gas inspector present, unless the owner or operator has:
  - (1) complied with the notice requirements of this section; and
  - (2) obtained division approval for a plugging plan.

(Natural Resources Commission; 312 IAC 29-33-8; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

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312 IAC 29-33-9 Procedures for plugging well when emergency or urgent condition exists

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 9. (a) Notwithstanding sections 6 through 8 of this rule, if an emergency or an urgent condition exists that requires immediate plugging of a well, an owner or operator may commence well plugging operations upon oral communication of the plan for plugging the well and receipt of oral approval from at least one (1) of the following:

- (1) The division director.
- (2) The assistant director of field inspections.
- (3) The assistant director of underground injection and technical services.
- (b) For purposes of this section, an emergency condition exists if a well is leaking or discharging oil, gas, or other fluids in quantities that are capable of:
  - (1) causing substantial harm to the environment; or
  - (2) posing an immediate threat to public health or safety.
- (c) For purposes of this section, an urgent condition exists if delay in plugging a well is likely to result in a substantial increase in the cost to plug the well due to impending weather or other conditions that are beyond the control of the owner or operator.

(Natural Resources Commission; 312 IAC 29-33-9; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-10 Order to redrill and replug a well

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 10. The division director may require an owner or operator to redrill and replug a well if the owner or operator does not comply with the requirements of sections 5 through 9 of this rule.

(Natural Resources Commission; 312 IAC 29-33-10; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-11 Management and storage of plugging wastes and plugging pits

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 11. (a) The discharge of fluids from any activity associated with plugging operations to any surface or ground waters or in a location where it is likely to cause pollution to any surface or groundwater is prohibited.
- (b) When plugging a well, the owner or operator must provide at least one (1) plugging pit or leak-free, portable above ground tank into which plugging fluid wastes are deposited.
- (c) Where excavated plugging pits are used, they shall be constructed and maintained to provide a minimum of two (2) feet of freeboard at all times.
- (d) All excavated plugging pits shall be constructed with a liner using one (1) of the following methods:
  - (1) Use of a synthetic liner meeting the requirements of 312 IAC 29-21-2(b).
  - (2) A compacted clay liner may be applied to the bottom and sides of the pit to create an impervious barrier. Construction of the compacted clay liner shall be in accordance with accepted construction and design principles designed to prevent any leakage or seepage from the pit. The clay used to construct the liner may be in situ or mixed with additional off-site materials, if the on-site clay is inadequate.
  - (3) Other materials or methods used for liner construction may be used if authorized by the division director after a demonstration is made by the owner or operator that such materials or methods will provide equivalent protection and prevent leakage or seepage of fluids from the pit.

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- (e) Prior to excavating a plugging pit on tillable land, the owner or operator shall consult with the surface owner to determine the location of any subsurface drainage tiles that may be present in the area of excavation. Excavation operations shall be conducted in a manner to avoid damage to subsurface drainage systems where practical. The owner or operator is responsible to repair or replace any subsurface drainage tiles that are damaged during pit construction or backfilling operations.
- (f) Plugging pits shall be used only for the temporary storage of plugging fluid wastes as provided in this rule and shall not be used for the disposal of other wastes that are not directly resulting from plugging operations.

(Natural Resources Commission; 312 IAC 29-33-11; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-12 Plugging fluid disposal and pit closure requirements

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 12. (a) Within thirty (30) days of the conclusion of well plugging operations, all fluids and materials in the plugging pit shall be managed according to the requirements of this section and the pits backfilled and restored as specified in subsection (d).
- (b) The plugging pit contents shall be removed to the maximum extent practical and, if not reused or recycled at another well location, the wastes shall be disposed of in one (1) or more of the following manners:
  - (1) Transported to a permitted landfill authorized to accept this type of waste.
  - (2) Treatment and discharge of the fluid at an approved national pollutant discharge elimination system (NPDES) permitted facility.
  - (3) Disposal of the fluid by injection into a Class II well.
  - (4) Treated or disposed of by other means approved by the division director after consultation with the Indiana department of environmental management.
- (c) Any synthetic liner used shall be removed to the fullest extent practicable and properly disposed of or recycled.
- (d) The closed plugging pit shall be filled with native materials sufficient to ensure that the site is stable and will allow for settling with the final contour restored as close as possible to the original land contour.

(Natural Resources Commission; 312 IAC 29-33-12; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-13 Prohibition of unauthorized materials in a well

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 13. (a) This section prohibits the placement or use of any foreign materials not expressly authorized under this article to plug a well.
- (b) Except for an unavoidable loss of drilling and logging tools, production equipment, or damaged casing obstructing a well bore, an owner or operator shall not place or allow any unauthorized material in an unplugged well to fill or bridge the hole. Before plugging operations commence, any unauthorized material shall be removed.

(Natural Resources Commission; 312 IAC 29-33-13; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-14 Plugging of a bridged well

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 14. (a) If drilling or logging tools, production equipment, damaged casing, or other obstructive material are encountered and removal of the obstruction is impracticable, the division director or an authorized representative may approve alternative plugging requirements.

- (b) In determining whether to approve alternative plugging requirements, the division shall consider the following:
  - (1) The time and cost of removing lost tools or equipment.
  - (2) The depth of the lost tools or equipment in relation to the depth of underground sources of drinking water.
  - (3) The condition of the well, including well construction and whether caving or other conditions may pose a substantial risk to further loss of tools or equipment.
  - (4) The potential for upward migration of well bore fluids into an underground source of drinking water.

(Natural Resources Commission; 312 IAC 29-33-14; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-15 Placement of cement behind uncemented casings

Authority: IC 14-37-3 Affected: IC 14-37

Sec. 15. (a) This section establishes requirements for ensuring the placement of cement behind uncemented casing strings when plugging any well.

(b) If cement is not present outside a casing at each cement plug required in this rule, an owner or operator must remove, perforate, part, or rip the casing at fifty (50) foot intervals to ensure adequate cement is placed in the annular space behind the casing. The division may require an owner or operator to run a cement bond-variable density log if sufficient information is not otherwise available to determine the location of the cement outside the casing.

(Natural Resources Commission; 312 IAC 29-33-15; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-16 Dry hole bottom plugs

Authority: <u>IC 14-10-2-4</u>; <u>IC 14-37-3</u>

Affected: IC 14-37

- Sec. 16. (a) This section establishes requirements for setting a bottom plug in a dry hole or another well in which production casing is not set and cemented.
- (b) An owner or operator shall plug any well in which production casing is not set and cemented immediately after drilling ceases.
- (c) The well shall be filled with drill cuttings or mud extending from the bottom of the well to at least fifty (50) feet below the deeper of:
  - (1) a commercially minable coal resource identified under 312 IAC 29-17; or
  - (2) the base of the lowermost underground source of drinking water.

(Natural Resources Commission; 312 IAC 29-33-16; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-17 Cased hole bottom plugs other than horizontal coal bed methane wells

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

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- Sec. 17. (a) This section establishes requirements for setting a bottom plug in a cased well other than a horizontal coal bed methane well.
- (b) If an owner or operator uses the circulation method, and except as provided in subsection (d), a cement plug must be placed across each completed interval and across each exposed interval into which injection is occurring within a one-fourth (1/4) mile radius of the well. The bottom cement plug shall be set beginning from the shallower of:
  - (1) fifty (50) feet below the deepest completed interval; or
  - (2) total depth or plugged back total depth.

The plug shall extend at least two hundred fifty (250) feet above the uppermost completed interval.

- (c) If an owner or operator uses the dump bailer method, and except as provided in subsection (d), a cast iron bridge plug must be set inside the cemented portion of the casing immediately above either:
  - (1) each completed interval with a minimum of ten (10) feet of cement placed on top of each cast iron bridge plug; or
  - (2) the lowermost completed interval and the well bore casing filled with cement to fifty (50) feet above the top of the uppermost completed interval, with the production casing or well bore annulus filled with cement to fifty (50) feet above the uppermost completed interval.
- (d) Instead of setting a bottom plug under subsection (b) or (c), an owner or operator may do the following:
  - (1) If using the circulation method, place cement from total depth to three (3) feet below ground elevation.
  - (2) For any well with two (2) or fewer completed zones and circulated casing, except a well flowing gas or fluid to the surface, use surface pumping or bullhead pumping of cement from the uppermost perforated zone to three (3) feet below the surface.
  - (3) For a horizontal well, instead of setting the cement bottom plug from total depth or plugged back total depth, either of the following methods may be used:
    - (A) The well bore shall be filled with mud up to the kick-off point, and a cement plug of not less than two hundred fifty (250) feet shall be placed above that point.
    - (B) A cast iron bridge plug shall be set inside the cemented production casing below the kick-off point as low in the well as the curve will allow, and a cement plug of not less than two hundred fifty (250) feet shall be placed on top of the bridge plug.
- (e) Regardless of the method used for placing cement, an owner or operator must place a cast iron bridge plug at the locations identified in subsection (c)(1) and (c)(2) in any well that is flowing gas or fluid to the surface.

(Natural Resources Commission; 312 IAC 29-33-17; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-18 Horizontal coal bed methane well bottom plugs

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 18. (a) This section establishes requirements for the setting of bottom plugs in horizontal coal bed methane wells.
- (b) Unless prior written consent is received under <u>IC 14-37-4-8.5(f)</u> and <u>IC 14-37-4-8.5(g)</u>, an owner or operator must plug the horizontal drain hole portions of a coal bed methane well using the type and amounts of plugging materials specified in the plan previously approved under section 5 of this rule.
- (c) The use of materials to plug horizontal drain hole portions of a coal bed methane well of a different type or the use of different amounts than specified in the plan previously approved under section 8 of this rule, may be used only if written consent is given by the coal owner and the director finds that such types or amounts of plugging materials are not likely to result in waste of the commercially minable coal resource or adversely affect the health and safety of underground miners.

- (d) This section does not require an operator to plug the horizontal drain hole portion of a coal bed methane well, if the coal owner has given written consent to the drilling of the well under <u>IC 14-37-4-8.5(f)</u> and <u>IC 14-37-4-8.5(g)</u>.
- (e) Upon conclusion of the plugging of horizontal drain hole portions of a coal bed methane well, the owner or operator must either:
  - (1) place a cement bottom plug beginning from the plugged back total depth and extending for a minimum distance of two hundred fifty (250) feet above that point; or
  - (2) use mud to fill the well bore from plugged back total depth up to the kick-off point and place the cement bottom plug at the beginning of the well curve kick-off point and extend the plug for a minimum distance of two hundred fifty (250) feet above that point.

(Natural Resources Commission; 312 IAC 29-33-18; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-19 Approved materials for filling intervals between plugs

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 19. (a) This section establishes requirements for filling intervals between plugs required under this rule.

- (b) Unless specified otherwise, the uncemented intervals between any plugs required under this rule may be filled with:
  - (1) pea gravel;
  - (2) crushed rock;
  - (3) mud or bentonite gel; or
  - (4) water.

(Natural Resources Commission; 312 IAC 29-33-19; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-20 Plugging requirements to protect commercially minable coal resource

Authority: IC 14-10-2-4; IC 14-37-3

Affected: <u>IC 14-37</u>

- Sec. 20. (a) This section establishes requirements for plugging a well for oil and gas purposes to protect a coal seam identified as a commercially minable coal resource.
- (b) Before preparing the notice and plan for well plugging under section 6 of this rule, the owner or operator must determine if a well is located within an area considered a commercially minable coal resource under 312 IAC 29-17-1 and 312 IAC 29-17-2.
- (c) If a well to be plugged is located within an area considered a commercially minable coal resource, the owner or operator must submit a copy of the proposed well plugging plan to the coal owner, lessee, or other person with the right to develop the commercially minable coal resource by underground mining methods. Proof of notification may be demonstrated by one (1) or more of the following:
  - (1) A receipt from certified mail or other courier that provides proof of delivery.
  - (2) A signed and dated written statement from each party entitled to notice that identifies the well to be plugged and acknowledges receipt of a plugging plan.
  - (3) Copies of the plugging plan containing a dated signature from each party entitled to notice, acknowledging receipt of the plan.
  - (d) A person with a coal interest shall be given at least fifteen (15) days to:
  - (1) review the plan;
  - (2) recommend any suggested revisions to the owner or operator that the person with the coal interest believes are necessary to provide increased protection of the commercially minable coal resource as provided under subsection (e); and

- (3) determine whether the person with a coal interest will pay for any additional costs which might result from the use of the additional protective measures referenced in subdivision (2).
- (e) If a person with a coal interest who intends to seek U.S. Mine Safety and Health Administration approval to conduct underground coal mining operations in close proximity to the affected oil and gas well determines additional coal seam protection measures are needed, the person may request the owner or operator to do either or both of the following:
  - (1) Set the cement plug required under this section beginning at a point deeper than fifty (50) feet below each coal seam.
  - (2) Include commonly used additives that result in expansion of the cement mixture as it cures.
- (f) An owner or operator must prepare a well plugging plan that includes the additional coal seam protection measures requested under subsection (e) if:
  - (1) the additional protection measures are consistent with commonly accepted practices for coal seam protection for similar wells plugged in the Illinois basin; and
  - (2) the person with a coal interest agrees to pay for any additional costs that might result from the use of the additional coal seam protection measures.
- (g) An owner or operator shall only be responsible for setting the coal seam plug under the requirements of subsection (h) if the person with a coal interest:
  - (1) does not respond within the fifteen (15) day period described in subsection (c); or
  - (2) provides written notification that no special plugging requirements are necessary.
- (h) Except as provided under subsections (c) through (f), an owner or operator must set a cement plug beginning from a depth of at least fifty (50) feet below each coal seam considered a commercially minable coal resource and extending to a depth of three (3) feet below ground elevation. If the top of the uppermost commercially minable coal resources is greater than two hundred (200) feet below the base of the lowermost source of underground drinking water, the top of the cement may extend to a depth of one hundred (100) feet above the uppermost commercially minable coal resource.
- (i) The requirements of this section do not apply to the plugging of a coal bed methane well if the consent of the coal owner or coal lessee is granted under  $\frac{|C|}{|C|} \frac{14-37-4-8.5}{4-8.5}$  (d)(2).

(Natural Resources Commission: 312 IAC 29-33-20; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

312 IAC 29-33-21 Top plug requirements

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 21. (a) This section establishes requirements for setting a top plug in a well.

- (b) An owner or operator must set a top plug beginning from a depth of at least fifty (50) feet below the lowermost underground source of drinking water and extending to a depth of three (3) feet below ground elevation.
- (c) Within seventy-two (72) hours after setting a top plug under subsection (b), an owner or operator must confirm the level of the top of the cement inside the casing and outside the casing. If the cement top cannot be confirmed visually, the operator shall measure the depth to the top of the cement using a wireline or other suitable distance measuring method. The owner or operator must fill the casing and well annulus to within three (3) feet of the ground elevation with cement or other plugging material as provided in subsection (d).
- (d) When the cement top has fallen no more than one hundred (100) feet below ground elevation, the well may be filled with bentonite or cement poured from the surface into the well and the well annulus. However, if there is a column of water greater than ten (10) feet on top of the cement, cement must be placed using the circulation method.

- (e) The use of bentonite chips under subsection (d) shall comply with the following:
- (1) The bentonite shall be of a grade commercially marketed for use in sealing or plugging earthen bore holes.
- (2) Unless authorized by the division, the bentonite shall have a typical particle size of not less than three-eighths (3/8) inch. Unless the bentonite chips are compressed or specially coated to retard the rate of hydration when poured through standing water, the chips shall be screened prior to placement in the well to remove fine particles.
- (3) When using bentonite chips to fill the annulus, the chips shall be poured directly into the annular space from the top of the well provided the hole diameter outside the annular space to be filled is at least one and one-half (1 1/2) inches greater than the outside diameter of the casing.
- (4) The total volume of bentonite chips used shall be calculated to completely fill the intended top plug interval when fully hydrated. Sufficient water shall be placed into the well to ensure full hydration of the bentonite.
- (5) Bentonite chips shall not be used in water containing an excess of twelve thousand (12,000) mg/L of total chlorides.
- (6) Bentonite chips shall be poured into the well at a rate that prevents bridging in the well. It is recommended the chips be poured at a rate of two (2) to three (3) minutes per fifty (50) pound bag.
- (f) To facilitate identifying the location of the plugged well in the future, if no surface casing or production casing remains in a well, the owner or operator must place a steel plate at least one-fourth (1/4) inch thick and not less than eight (8) inches in diameter at the top of the cemented well bore. The steel plate shall be encased or incorporated in the cement within one (1) foot of the top of the cement and approximately three (3) feet below ground elevation.

(Natural Resources Commission; 312 IAC 29-33-21; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-22 Use of plugging materials other than cement

Authority: IC 14-10-2-4; IC 14-37-3

Affected: <u>IC 14-37</u>

- Sec. 22. The division director may approve the use of plugging materials other than cement or bentonite chips as provided in section 20 of this rule if an owner or operator demonstrates the material:
  - (1) provides equal or greater protection to commercially minable coal resources and underground sources of drinking water; and
- (2) prevents movement of any oil, gas, coal bed methane, and other fluids from the original formations.

(Natural Resources Commission; 312 IAC 29-33-22; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-23 Filing of well plugging reports

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

- Sec. 23. (a) Within thirty (30) days after finishing well plugging operations under this rule, the owner or operator shall complete and file a plugging report with the division on a form provided by the division. The report shall:
  - (1) describe in detail the specific methods used to plug the well including the types and amounts of cement or, if used, bentonite as provided in section 20 of this rule or other materials as provided in section 21 of this rule;
  - (2) be signed by the well owner or operator and the person who performed the well plugging operations; and
  - (3) include an affidavit certifying that the well was plugged under <a href="LC 14-37-8">LC 14-37-8</a> and the requirements of this rule.
- (b) Accompanying the plugging report required by subsection (a), the owner or operator shall provide copies of the following:

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(1) Cement tickets documenting the type and amount of cement used.

- (2) Job tickets for all wireline services used during the well plugging operations.
- (3) Cement bond-variable density logs, if run, during the plugging operations.

(Natural Resources Commission: 312 IAC 29-33-23; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-24 Final well site abandonment and reclamation

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

Sec. 24. (a) Within six (6) months after a well is plugged, the owner or operator shall do the following:

- (1) Cut off and remove all casing from three (3) feet below ground elevation to the surface.
- (2) Close workover tanks or plugging pits and handle the content in accordance with the requirements of 312 IAC 29-21-3(b) through 312 IAC 29-21-3(d).
- (3) Except as otherwise provided in this subdivision, remove drilling and production equipment, rock or concrete bases, substructures, machinery, above ground flow lines, and equipment debris associated with the well. Rock or concrete bases may be buried on-site, if buried at least three (3) feet below ground surface. A landowner may assume responsibility for any equipment or structures, if the landowner submits a written release to the division on a division form that identifies any equipment or structure for which a landowner is assuming responsibility.
- (4) Restore the well site as nearly as practicable to its condition before drilling.
- (b) Within six (6) months after the last well on a lease is plugged, an owner or operator must do the following:
  - (1) Backfill and regrade all excavations.
  - (2) Clean, backfill, and regrade any pits and concrete storage structures used to store produced fluids as required under this article.
  - (3) Clean and remove all tanks, separators, and other above ground storage vessels.
  - (4) Clean and remove all above ground flow lines.
  - (5) Demolish or remove all buildings, electric power lines and poles, pump houses, or other structures used in a production operation unless written authorization is obtained from the surface owner to leave a facility in place. The written release shall be submitted to the division on a division form that identifies any equipment and structure for which a landowner is assuming responsibility.
  - (6) Remove all containment dikes and backfill and regrade the tank battery facility location.
  - (7) Remove and properly dispose of any remaining production equipment, pipes and fittings, concrete pads, equipment debris, contaminated soil, and general oilfield waste.

(Natural Resources Commission; 312 IAC 29-33-24; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### 312 IAC 29-33-25 Approval or denial of applications; notice

Authority: <u>IC 14-10-2-4</u>; <u>IC 14-37-3</u> Affected: <u>IC 4-21.5</u>; <u>IC 14-37</u>

Sec. 25. (a) The division shall issue notice of approval or denial of an application submitted under sections 3, 4, 6, 14, and 18 of this rule in accordance with 312 IAC 29-3-5.

(b) A decision to approve or deny an application is subject to IC 4-21.5.

(Natural Resources Commission; 312 IAC 29-33-25; filed Dec 1, 2017, 10:35 a.m.: 20171227-IR-312160230FRA)

#### Rule 34. Inspections and Enforcement

#### 312 IAC 29-34-1 Inspection of wells and production facilities

Authority: IC 14-10-2-4; IC 14-37-3

Affected: IC 14-37

#### Sec. 1. The division director or an authorized representative may at any reasonable time enter upon

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