# PROJECT MANUAL SPECIFICATIONS DRAWINGS

# STATE PARK OFFICE REMODELING INDIANA DUNES STATE PARK DEPARTMENT OF NATURAL RESOURCES

1600 N 25 E CHESTERTON, IN 46304



Owner:

**Indiana Department of Natural Resources Division of State Parks** 

Indiana Government Center South 402 W. Washington Street, Rm. W267 Indianapolis, IN 46204

Designed and Prepared By:

**Indiana Department of Natural Resources Division of Engineering** 

May 14, 2021

IDOA Project 300DM-64013-01

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# PROJECT ESTIMATED BY DEPARTMENT OF ADMINISTRATION, PUBLIC WORKS DIVISION TO BE BID AT ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000) AND ABOVE

# 01 GENERAL

- A. This project is estimated by the Public Works Division, Indiana Department of Administration (the Owner), as stated in the Notice to Bidders, at One Hundred Fifty Thousand Dollars (\$150,000) and above.
- B. QUALIFICATION BY THE CERTIFICATION BOARD IS REQUIRED FOR THIS PROJECT PRIOR TO BID OPENING DATE. For information and procedure contact Executive Secretary, Certification Board, Indiana Department of Administration, 402 W. Washington St., Room W467, Indianapolis, Indiana 46204 or phone (317) 232-3005.
- 02 PROJECT NUMBER, DESCRIPTION AND LOCATION is as stated in the Notice to Bidders.

### 03 TITLE AND DEFINITIONS

Said building and/or land upon which it stands is the property of the State of Indiana. All references to the title owner of said property hereinafter will be by the term "State" and all references to the person, firm, or corporation awarded the contract for the project will be by the term "Contractor". All references to Designer shall refer to the consulting person or firm employed to contract with the Public Works Division, Indiana Department of Administration to provide architectural, engineering or other consulting services for the project, or to the Public Works Division. The preparation and issuance of contracts for this project are the responsibility of the Commissioner of the Indiana Department of Administration acting with approval of the Governor.

Contract: A written agreement between two or more parties enforceable by law.

Contractor: A person who has entered into or seeks to enter into a contract with Public Works Division.

<u>Prime Contractor:</u> A person or business which is primarily responsible for providing goods and service or performing a specific service, etc. under contract. A prime contractor can also be a Minority Business Enterprise.

<u>Subcontractor</u>: A person or a business who has a direct contract with a prime contractor who is under contract to provide goods and services or perform a specific service.

<u>Joint Venture:</u> An association of two or more businesses to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills and knowledge.

<u>Manufacturer:</u> A supplier that produces goods from raw materials or substantially alters them before resale.

Minority or Women Business Enterprise (M/WBE): A business concern which is certified as at least fifty-one percent (51%) owned and controlled by a woman or women or, one or more of the individuals classified as a minority group which includes: African Americans, Hispanic Americans, Asian Americans, and other racial minorities.

<u>Supplier:</u> Any person or entity engaged to furnish goods, materials and/or equipment, but no on-site labor, is capable of furnishing such goods, materials and/or equipment either <u>directly</u> from its own stock or by ordering materials and/or equipment <u>directly</u> from a manufacturer, and is engaged to furnish such goods, materials and/or equipment <u>directly</u> to a prime contractor or one of its subcontractors.

# 04 PRE-BIDDING, BIDDING AND POST BIDDING REQUIREMENTS

- A. The Director, Public Works Division will authorize the Designer to issue bidding documents, construction documents and addenda to bidders.
- B. It is recommended that all Bidders visit the site prior to submitting bid, and become thoroughly familiar with the existing site conditions and work to be performed, as indicated in the bidding documents, construction documents and addenda. Extra compensation or extension of time will not be allowed for failure to examine the site prior to bidding.
- C. During the bidding period, should questions arise as to the meaning of any part of the bidding documents, construction documents or addenda that may affect the Bidder, the Bidder shall contact the Designer and/or Public Works Division and submit a written request for clarification. The Designer and/or Public Works Division will make such clarification only by written Addendum that will be mailed to each document holder or may be obtained at the office of the Designer and/or Public Works Division. By submitting a bid, the Bidder acknowledges procurement of all Addenda. No written request for clarification will be accepted by the Designer and/or Public Works Division later than fourteen (14) calendar days prior to the scheduled bid date.

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- D. Bid as described in <u>Contractor's Bid</u> (DAPW 13) shall include Base Bid (in figures and in words) and Alternates as specified in Section entitled Alternates. In verifying bids, word amounts shall have precedence over figure amounts.
- E. Alternate amount(s) shall be listed where indicated. Add Alternates <u>are not</u> to be included in the Base Bid Scope of Work. Deduct Alternates <u>are</u> to be included in the Base Bid Scope of Work. The bid form must be signed. Note that by signing the bid document, the Bidder is acknowledging the procurement of all addenda and is a certifying that the bid recognizes all items in all addenda.
- F. A bid by a corporation shall be in the legal name of the corporation followed by the word "by" and the signature of the president. The secretary of the corporation shall sign indicating his/her authority to sign. A <u>Certificate of Corporate Resolution</u> (DAPW 41) is required with and as a part of the bid if anyone other than the president of the corporation is signing bid documents.
- G. The Form 96A-Questionnaire and Financial Statement is no longer required to be submitted. The Director, Public Works Division reserves the right to request additional financial information or contractor experience as a basis for rejection of bid or award of contract.
- H. Each Bidder must file with his bid a Non-Collusion Statement (DAPW-121) signed by the same authorized person(s) who signed the bid.
- I. Each Bidder must file with his bid a completely filled in and executed <u>Bid Bond</u> (DAPW 15A) in accordance with IC 4-13.6-7-5. The bid bond penal sum shall be the minimum amount of five percent (5%) of the bid including all additive alternates.
- J. Each Bidder must file with his bid a completed <u>MWBE Participation Plan</u> and <u>Good Faith Effort Work Sheet</u> (DAPW 26SUP2). Refer to the Supplement to the <u>General Conditions</u> for MWBE Participation Policy (DAPW 26SUP1) for specific requirements.
- K. Each Bidder must file with his bid, the completed <u>Contractor's Affidavit of Subcontractors Employed</u> (DAPW 12) only if he proposes to perform any work with a subcontract amount of \$150,000.00 or more.
- L. Each bidder must file with his bid an Employee Drug Testing Plan (DAPW 150A) in accordance with IC 4-13-18 (P.L. 160-2006), or evidence that the contractor is subject to a collective bargaining agreement containing drug testing requirements that comply with IC 4-13-18.
- M. Each Bidder must include his Federal ID number or Social Security number on page 1 of 3 of the Bid Form (DAPW 13). <u>All required bid documents must contain original hand written signatures.</u>
- N. All documents required by statute, rule or these instructions to be included in the bid, must be submitted together in a single sealed envelope, plainly marked with the Name of Bidder, Project Identification, Project Number, Bid Time and Bid Date. Bids shall be rejected if all required documents are not in the single sealed envelope.
- O. A Bidder with proper identification may withdraw his bid at any time prior to the scheduled time for receipt of the bids; however, no bid may be withdrawn without written consent of the Director, Public Works Division for a *period of sixty (60) days after the date of the bid opening*, or unless extended in accordance with IC 4-13.6-6-4. Bids received after the designated due time for any reason, shall be rejected and returned unopened to the Bidder. The Director, Public Works Division reserves the right to reject any or all bids.
- P. Subcontractors whose work will equal or exceed One Hundred Fifty Thousand Dollars (\$150,000.00) must attain a Certificate of Qualification by the Certification Board before commencing any work on this project. Note paragraph 01. (B) above.
- Q. All Bidders (corporations) must be in good standing with the Indiana Secretary of State.

# 05 SIGNATURE AFFIDAVIT

- A. A <u>Signature Affidavit</u> (DAPW-14) containing the Bidder's authorized signature(s), properly notarized, may be submitted as a signature supplement to all other bid documents, except the bid bond, including:
  - 1. Contractor's Bid (DAPW 13)
  - 2. Non-Collusion Statement (DAPW-121)
  - 3. Contractor's Affidavit of Subs Employed (DAPW 12)
  - M/WBE Participation Plan and Good Faith Effort Work Sheet (DAPW 26 SUP 2)
- B. All documents herein before required with the bid may be unsigned if the signature affidavit is submitted, except for the BID BOND. BIDDER MUST SIGN THE BID BOND.

NOTE: SIGNING THE SIGNATURE AFFIDAVIT OR BID FORM IS ACKNOWLEDGMENT OF PROCUREMENT OF ALL ADDENDA AND CERTIFICATION BY BIDDER THAT THE BID RECOGNIZES ALL ITEMS IN ALL ADDENDA.

### **WORK BY CONTRACTOR** 06

The Contractor shall perform a minimum of 15% of the value of work (measured in dollars of the total contract price) with his own forces, and not more than 85% of the value of work is to be subcontracted.

### 07 SUBSTITUTIONS

The materials, products, systems and equipment described in the bidding documents, construction documents and addenda establish a standard or required function, dimension, appearance and quality that shall also be met by any proposed substitution. No substitution by manufacturer, or trade name of product named, or of a quality specified will be considered unless written request for approval has been submitted by the Bidder and has been received by the Designer and/or Public Works Division at least fourteen (14) calendar days prior to the date for receipt of bids. Each such request shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitute including drawings, cuts, performance and test data and any other information necessary for an evaluation. A statement setting forth any changes in other materials, equipment or work that incorporation of the substitute would require shall be included. The burden of proof of the merit of the proposed substitute is upon the proposer. The Designer and/or Public Works Division decision of approval or disapproval of the proposed substitution shall be final. Products, materials or systems not specified or approved prior to bidding, shall not be accepted for use in this project. All such substitutions accepted shall be acknowledged by addendum. See paragraph. 04 (C).

### **NONDISCRIMINATION** <u>80</u>

Pursuant to IC 22-9-1-10, the Contractor and subcontractors, if any, shall not discriminate against any employee or applicant for employment, to be employed in the performance of this contract, with respect to his hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of his race, religion, color, sex, disability, national origin, or ancestry. Breach of this covenant may be regarded as a material breach of the contract. Pursuant to IC 5-16-6-1, the contractor agrees:

- that in the hiring of employees for the performance of work under this contract or any subcontract hereunder, no contractor, Α. or subcontractor, nor any person acting on behalf of such contractor or subcontractor shall, by reason of race, religion, color, sex, disability, national origin or ancestry, discriminate against any citizen of the State of Indiana who is qualified and available to perform the work to which the employment relates; and
- В. that no contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this contract on account of race, religion, color, sex, national origin or ancestry; and
- C. that there may be deducted from the amount payable to the contractor by the State of Indiana or by any municipal corporation thereof, under this contract, a penalty of five dollars (\$5.00) for each person for each calendar day during which such person was discriminated against or intimidated in violation of the provisions of the contract; and
- D. that this contract may be canceled or terminated by the State of Indiana or by any municipal corporation thereof, and all money due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this section of the contract.

### **EMPLOYMENT ELIGIBILITY VERIFICATION** 09

The Contractor affirms under the penalties of perjury that he/she/it does not knowingly employ an unauthorized alien.

The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC 22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.

The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.

The Contractor shall require his/her/its subcontractors, who perform work under this contract, to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

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The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

The contractor shall submit, before work begins the E-Verify case verification number for each individual who is required to be verified under IC 22-5-17. An individual who is required to be verified under IC 22-5-17 whose final case result is final nonconfirmation may not be employed on the public works project.

A contractor may not pay cash to any individual employed by the contractor for work done by the individual on the public works project.

A contractor must be in compliance with the federal Fair Labor Standards Act of 1938, as amended (29 U.S.C. 201-209) and IC 22-2-2-1through IC 22-2-2-8. A contractor must be in compliance with IC 22-3-5-1 and IC 22-3-7-34. A contractor must be in compliance with IC 22-4-1 through IC 22-4-395. A contractor must be in compliance with IC 4-13-18-1 through IC 4-13-18-7.

# 10 NOTICE OF AWARD

- A. Prior to execution of the Contract, in accordance with IC 4-13.6-5-2, the Director of Public Works may require additional submittals from Bidder/s to clarify contractor's experience and plans for performing the proposed work. Submittals which may be required include a critical path construction schedule which coordinates all significant tasks sequences and durations; schedule of values, and documentation of efforts to include minority and woman owned businesses in the proposed work. The Director may require Bidder/s to provide a comprehensive list of subcontractors and suppliers within 24 hours of receipt of bids.
- B. Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Domestic Steel Affidavit</u> (DAPW-11) to Public Works Division, Indiana Department of Administration as part of the contract. The Domestic Steel Affidavit is included for Bidder's review but need not be submitted at the time of the bid opening. Definition of Steel Products:
  - "Steel products" means products rolled, formed, shaped, drawn, extruded, forged, cast, fabricated, or otherwise similarly processed, or processed by a combination of two (2) or more of such operations, from steel made in the United States by the open hearth, basic oxygen, electric furnace, Bessemer or other steel making process.
- C. Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Contractor's Bond for Construction</u> (DAPW 15) (combined performance and payment bond) to Public Works Division, Department of Administration as part of the contract. The Bond form is included for Bidder's review but need not be submitted at the time of the bid opening.
- D Prior to execution of the Contract, the successful Bidder shall furnish a completed <u>Contractor's Certificate of Insurance</u> (DAPW 16) to Public Works Division, Department of Administration as part of the contract. The Insurance form is included for Bidder's review but need not be submitted at the time of the bid opening.
- E. Prior to execution of the Contract, the State of Indiana will issue to the successful Bidder a letter stating that his bid was the lowest responsible and responsive bid and that the enclosed contract document is submitted to him for his consideration. If he finds it in accordance with the bid documents, it is to be returned to Public Works Division by certified mail or in person within ten (10) calendar days after receipt for further execution and with the caution that a contract will not exist until it is signed by all signatories required. Failure to execute the proper contract and furnish the ancillary documents shall constitute reason to surrender the bid bond.
- F. Concurrent with execution of the Contract, the successful Bidder may be required to furnish executed copies of Contractor-Subcontractor agreements as required in Article 5 of the <u>General Conditions</u>.

# 11 SUMMARY

All required bid documents must contain original hand written signatures. Complete documents to be submitted with this bid:

- A. The <u>Bid Bond</u> (DAPW-15A) must be signed by both the Bidder and Bonding Company. The Bonding Company must also attach a Power of Attorney. Bid bond information, may be on the Bonding Company's standard form.
- B. The Contractor's Bid (DAPW-13)
  - Page 1: State the amount of the bid in figures and words.
  - Page 2: State the amount of the alternate(s), indicate add, deduct or no change (READ CAREFULLY).
  - Page 3: Authorized signature of the Company. If the signature affidavit is completed and submitted with the bid, this page must be submitted but need not be signed or notarized.
- C. The <u>Signature Affidavit</u> (DAPW-14) must contain the completed authorized signatures properly notarized and submitted with the bid as a supplement.

This Signature Affidavit shall fulfill all of the signature requirements. <u>NOTE</u>: The Signature Affidavit does not apply to the Bid Bond (DAPW 15A). The Bid Bond document must be fully completed with all required signatures and submitted with the bid.

- D. The <u>Non-Collusion Statement</u> (DAPW-121) must be signed by the same authorized person(s) who signed the bid documents. If the signature affidavit is completed and submitted with bid, this form shall be submitted, but need not be signed.
- E. For corporations, if anyone other than the president of the corporation signs, a <u>Certificate of Corporate Resolution</u> (DAPW 41) giving signature authority for the signer must be included.
- F. <u>M/WBE Participation Plan</u> and <u>M/WBE Good Faith Effort Work Sheet</u> (DAPW 26SUP2) must be completed and signed by the same authorized person who signed the bid documents.
- G. The completed <u>Contractor's Affidavit of Subcontractors Employed</u> (DAPW-12) whose subcontract amount will be \$150,000.00 or more.
- H. The completed plan for Contractor's Employee Drug Testing Plan (or statement of collective bargaining agreement).
- I. One copy only of the Bid Documents is required. Bidders may remove and use the Documents included in the project specifications or use reproductions of the Documents.
- 12 INDIVIDUAL BIDS SHALL BE REJECTED BY THE DIRECTOR, PUBLIC WORKS DIVISION FOR THE FOLLOWING REASONS (IC 4-13.6-5-2; IC 4-13.6-6-1; 25 IAC 2-6-5)
- A. If the bid envelope is not sealed at the time of submission; if the envelope does not clearly identify the project number and description; if the name of the Bidder is not clearly indicated on the outside of the envelope and/or if the envelope is not date and time stamped by Public Works Division prior to the stated time for receipt of bids.
- B. If the estimated base bid cost exceeds \$150,000.00 and the bidding contractor is not certified by Public Works Certification Board to offer bids in one of the specified categories.
- C. If the bidding contractor is under suspension by the Director of Public Works or by the Public Works Certification Board.
- D. If the bidding contractor is a trust and does not identify all beneficiaries and empowered settlors of the trust.
- E. If the contractor's drug plan is not included in the bid documents pursuant to and complies with IC 4-13-18
- 13 INDIVIDUAL BIDS MAY BE REJECTED BY THE DIRECTOR, PUBLIC WORKS DIVISION FOR THE FOLLOWING REASONS (25 IAC 2-6-5)
- A. If the Contractor's Bid (DAPW 13) Non-Collusion Statement (DAPW 121) and/or Bid Bond (DAPW 15A) are not signed and notarized as required by these instructions, <u>or</u> the Signature Affidavit (DAPW 14) and the Bid Bond (DAPW 15A) are not signed and notarized as allowed as an alternative.
- B. If all required bid or alternate(s) amounts, or unit prices are not submitted with the bid when specifically called for by the specifications issued for the project.

- C. When the Bidder adds any provision reserving the right to accept or reject the award, or if the Bidder adds conditions or alternates to his bid not requested (voluntary alternates), or if there are unauthorized additions or irregularities of any kind which tend to make the proposal incomplete, indefinite or ambiguous as to its meaning or amount.
- D. When no bids received are under or within funds that can be appropriated, or within the Designer's estimate or when situations develop which make it impossible or not practical to proceed with the proposed work.
- E. If, subsequent to the opening of the bids, facts exist which would disqualify the Bidder, or that such Bidder is not deemed by the Director, Public Works Division to be responsive or responsible.
- F. If an out-of-state contractor is not registered with the Indiana Secretary of State or if any bidding contractor is not in good standing with the Secretary of State.

# CONTRACTOR'S AFFIDAVIT OF SUBS EMPLOYED

Public Works Project Nu	ımber:	Da	te:			
Project Description:						
Prime Contractor:						
Form Submitted for Bid	Contract:	or Payme	nt No.:			
The following companie	s are subcontractors	on this project for	the amount ir	ndicated:		
Subcontractor Name	Subcontract For	Subcontract Amount	Revised Amount	DAPW Certified Y/N	MBE WBE	On Site Y/N
				1		
isfamiliar with the affidavit		of the firm of _		_		•
- ammai with the amtuavit	nerewith and that thes	e entries are comple	te and true.			
STATE OF COUNTY OF	} ss:					
County and State, thisc	_	sonally appeared bef after being duly swo		•		
the foregoing affidavit are	true.					
My Commission Expires:			NO	TARY PUBL	IC - SIG	NATURE
(SEAL)			NOTA	RY PUBLIC	PRINTE	D NAME
STATE FORM						DAPW 12

STATE FORM 21243

DAPW 12 REV 7/01

# GENERAL BID FOR PUBLIC WORKS

# CONTRACTOR'S BID

For	
(Insert class of work)	
Project Number	
Project Description (Title)	
Date	
To: Department of Administration, Public Works Division Room W467 402 West Washington Street Indianapolis, Indiana 46204	
Pursuant to notices given, the undersigned proposes to furnish and install work in accordance with the construction documents prepared by:	
(Designer Name, Address, Telephone)	
for the sum of	
(State amount in words)	
\$	unt in figures)
(State amou	ant in figures)
If required add attachment for all unit prices called for in the Specifications.	
Federal I.D. Number or Social Security Number	
Contractor's Email address (Contract and Purchase Order will be sent to email address provided)	
Bidder ID Number	
(If you do not have an Indiana Department of Administration Bidder ID Number, ple http://www.in.gov/idoa/2464.htm)	ase obtain one online at:

# **ALTERNATE BIDS**

Add Alternates Are Not to be included as part of the Base Bid Scope of Work.

Deduct Alternates are items of work that Are to be included in the Base Bid Scope of Work, and deducted from the project as described herein.

The work shall be as described in Section, ALTERNATES.

Bidder shall provide a response to each alternate specified. Response must indicate the amount to be ADDED to the base bid, DEDUCTED from the base bid, or that there is NO CHANGE.

Failure to respond to all alternates may cause the bid to be rejected.

BIDDER SHALL CHECK APPLICABLE BOX for each listed alternate.

Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$
Alternate No	ADD	DEDUCT	NO CHANGE	AMOUNT \$

Ethics Compliance. The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State, as set forth in Indiana Code § 4-2-6 et seq., the regulations promulgated there under, and Executive Order 04-08, dated April 27, 2004. If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Indiana State Ethics Commission website at <<<hr/>http://www.in.gov/ethics/>>>. If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this contract immediately upon notice to the Contractor. In addition, the Contractor may be subject to penalties under Indiana Code § 4-2-6-12.

oprietorship (Company Name)
dder (Owner)
as hereunto set their hands
Company Name
Partner
Partner
n) has caused this proposal to be signed by its day of, 20
Corporation Name
By President or Other Authorized Signatory
Secretary
poration Resolution designating other authorized on file with the Certification Board of the Public

Pursuant to IC 22-9-1-10, the Contractor and subcontractors, if any, shall not discriminate against any employee or applicant for employment, to be employed in the performance of this contract, with respect to his hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to

# SIGNATURE AFFIDAVIT

PROJECT NO	:	
STATE OF	}	
COUNTY OF	} SS: }	
Before me, the	undersigned notary public, appeared (name	and being duly e of bidder)
sworn on his o	eath says that he/she is	
sworm, on ms o	(president, ger	neral partner, owner)
of		. bidder on Project No and
<u> </u>	(name of company)	, order on Project Project
Affirmed that: 1.	This bid is submitted in good faith in the amou	
2.	according to the Contract Documents (contract technical specification, drawings and addenda The statements are true contained in the Non-Contractor's Affidavit of Subs Employed, the M/WBE Good Faith Effort Work Sheet.	thereto), if his bid is accepted; and Collusion Statement, and as applicable, the
	Ву:	(Signature)
		(Printed name)
		(Printed or typed name of company)
	(must be signed by principal of o	organization)
STATE OF	} } SS:	
COUNTY OF	}	
said County an upon his oath,	personally appeared d State, this day of says that the facts alleged in the foregoing affidation of the foregoing affidatio	ed before me, a Notary Public, in and for, 20, after being duly sworm wit are true.
My Commissio	on Expires:	NOTARY PUBLIC – SIGNATURE
(SEAL)		NOTARY PUBLIC PRINTED NAME
State Form 3306	OR 1	DAPW 14

State Form 33060R1 DAPW 14
Rev. 3/08

# BID BOND

KNOW ALL MEN BY THESE PRES	NTS, that we	
	(Contractor's Name and Address)	
as Principal, hereinafter called the Pri	pal, and the	
	(Bonding Company Name)	
a corporation duly organized under th as Surety, hereinafter called the Sur Administration, State of Indiana, as O	aws of the State of	nt of
in the sum of	Dollars (\$	)
for the payment of which sum well as heirs, executors, administrators, succe	Dollars (\$truly to be made, the said Principal and the said Surety, bind ourselves ors and assigns, jointly and severally, firmly by these presents.	, oui
WHEREAS, the Principal has submitted	a bid for: (insert State Project Number, Description and Location)	
Project No.		
Project Description:		
Project Location:		
with the Obligee in accordance with a bidding or contract documents with go the prompt payment of labor and ma Principal to enter such contract and go not to exceed the penalty hereof between the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty here of the contract and go not to exceed the penalty h	Il accept the bid of the Principal and the Principal shall enter into a construction of such bid, and give such bond or bonds as may be specified in d and sufficient surety for the faithful performance of such contract an ital furnished in the prosecution thereof, or in the event of the failure of e such bond or bonds, if the Principal shall pay to the Obligee the different he amount specified in said bid and such larger amount for which another party to perform the work covered by said bid, then this oblight in in full force and effect.	n the d for f the rence h the
Signed and sealed thisday of	,20	
(Witness)	(Principal)	
	By:	
	(Title)	
	(Surety)	
Witness)	(Attorney-in-fact)	

State Form 41485 DAPW 15A Rev. 10/14

# I. MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is <a href="www.IN.gov/idoa/minority">www.IN.gov/idoa/minority</a> and contains a complete list of all the Department's certified MBE's and WBE's.

# Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must accompany the Plan. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

# MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote #	DUE DATE		
(Circle One)			
RFP / BID / QUOTE NAME	_		
(Circle One)			
RESPONDENT			
ADDRESS			
CITY/STATE/ZIP	_		
PHONE ( )	_		
The following MBE and/or WBE's listed in the MWBD directions of the	ctory will be participa	ating in the contract:	
MBE/WBE PHONE COMPANY NAME SCOPE OF PRO	DUCTS/SERVICES	UTILIZATION DATE	<b>AMOUNT</b>

# Indiana Department of Administration Public Works and State Office Building Commission GOOD FAITH EFFORTS WORKSHEET

BIDDER			BID/PROJECT NUMBER
CONTRACT GOALS	7 <b>% MBE</b>	5% WBE	
List the MANDE	4 . 1 1 1 . 4		Comment of Comments Comments of the

List the M/WBEs contacted and complete the following information for each. Copies of all communications to and from each vendor should be maintained.

Company Name and Address	MBE	WBE	Type of Contact	Date of Contact	Date Response Due	Goods Or Services Requested	Result (Include Price Quote)

Indicate **Good Faith Efforts** made to utilize MWBEs. Check and explain all that apply or should be considered. Please provide evidence of the efforts that you want to be considered. A complete description of each criteria may be found in the **Indiana Department of Administration Public Works and State Office Building Commission MWBE Participation Policy.** 

MBE and WBE Barrier	Describe
Assistance	
Advertisement	Describe
Agency Assistance	Describe
Other Criteria	Describe

# CERTIFICATE OF CORPORATE RESOLUTION

Ι,	, do hereby certify that I am the Secretary
Type Name	
of	, a corporation duly organized and s of the State of Indiana;
existing under and by virtue of the Laws	s of the State of Indiana;
corporation, duly called held and conve	neeting of the members of the Board of Directors of said ened in conformity with the Charter and By Laws of said, 20, a quorum being present and voting ally adopted, to-wit:
appears on record in the Minute Recocustodian; that the same has not been a	solution is a full, true, and complete copy as the same ord Book of said corporation of which I am the legal ltered, amended or repealed and is now in full force and
effect.	
In Witness Whereof, I have hereunto set of, 20	t my hand for said corporation thisday
	$R_{V^{\star}}$
	By:(Signature)
(must be s	signed by principal of organization)
STATE OF } STATE OF } SS: COUNTY OF }	signed by principal of organization)
personally appeared before me, a Noday of, 20 alleged in the foregoing affidavit are tru	otary Public, in and for said County and State, this, after being duly sworn upon his oath, says that the facts e.
My Commission Expires:	NOTARY PUBLIC - SIGNATURE
(SEAL)	NOTARY PUBLIC PRINTED NAME
	DAPW 41

DAPW 41 Rev. 2/13

# NON-COLLUSION STATEMENT

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof. Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

Signature		
Printed Name		
Title		
Company		

# DOMESTIC STEEL AFFIDAVIT

STATE OF	}
COUNTY OF	<pre>} SS: }</pre>
PROJECT NO:	
I hereby swear, under penalties of per following Indiana Code Definitions and	jury, that the steel products furnished for this project shall conform to the contract provisions:
	s rolled, formed, shaped, drawn, extruded, forged, cast, fabricated, or otherwise similarly processed, or wo (2) or more of such operations, from steel made in the United States by the open hearth, basic oxygener steel making process.
"United States" refers to the Unit United States.	ed States of America. The term includes all territory, continental or insular, subject to the jurisdiction of the
maintenance of public works cor contract or subcontract, only stee	r determining reasonable pricing. shall require that every contract for the construction, reconstruction, alteration, repair, improvement or tain a provision that, if any steel or foundry products are to be used or supplied in the performance of the lor foundry made in the United States shall be used or supplied in the performance of the contract or any of of the public agency determines, in writing, that the cost of steel or foundry products is deemed to be
	(Signature)
	(Printed name)
(Attest) (Vice President/Secretary/Treasurer)	(Printed or typed name of company)
STATE OF	} } SS:
COUNTY OF	}
and State, thisday of,20 foregoing affidavit are true.	personally appeared before me, a Notary Public, in and for said County 0, after being duly sworn upon his oath, says that the facts alleged in the
My Commission Expires:	NOTARY PUBLIC - SIGNATURE
(SEAL)	NOTARY PUBLIC PRINTED NAME

STATE FORM 12125R3 DAPW 11 Rev. 10/14

# CONTRACTOR'S BOND FOR CONSTRUCTION

KNOW ALL MEN BY THESE PRESENT,	that		
,		(Contractor)	
(A.11)	of	(City, State)	
(Address) as principal and		(City, State)	
(Bonding Co	mpany)		
(Address) (City, S	tate)	(Zip Code)	
as surety, are firmly bound unto the State of Indiana the payment of which, well and truly to be made, and several heirs, executors, administrators and as , 20	we bind ou	rselves, jointly and severa	lly, and our joint
THE CONDITIONS OF THE ABOVE OBI of Indiana acting by and through the Commission			
certain written contract dated ofof			
(Project Number and	Description)		
situated in			
Indiana, in accordance with the construction docu Department of Administration, which are made a pa		<u> </u>	d Commissioner,
NOW THEREFORE, if the said			
	1 0	(Contractor)	
, shall well and faithfully doplans and specifications adopted by said Commissi the time, terms and conditions specified in said corprosecution of said work, including labor, service void; otherwise to remain in full force, virtue and et 4-13.6-7-6 and IC 4-13.6-7-7.	oner, Depa ntract and in and materi	rtment of Administration, ncurred by him or any sub als furnished, then this of	and according to econtractor in the bligation shall be
IN WITNESS WHEREOF, we hereunto set	our hands a	and seals this	day
of, 20			
	By:(Co	ontractor)	(Seal)
	Ву:(Вс	onding Company)	(Seal)
	By:(At	torney-in-fact)	

# CONTRACTOR'S CERTIFICATE OF INSURANCE

OVERING (show State project nui	noor, mano and rovano.					
DDRESSEE: PUBLIC WORK	S DIVISION/DEPAR?	TMENT OF AD	MINISTRATIC	DATE:		
TYPE OF INSURANCE	POLICY NUMBER	EFFECTIVE DATE	EXPIRATION DATE	LIMITS		
General Liability a. Bodily Injury Including Personal Injury				Each Person - Premises and Operations Each Person - Elevators Each Person - Independent Contractor Each Person - Products Completed Including Operations Each Person - Contractual Each Occurrence - Aggregate - Products Completed Including Operations	\$ = \$ = \$ = \$ =	
b. Property Damage				Each Occurrence – Premises and Operations  Each Occurrence – Elevators  Each Occurrence – Independent Contractor  Each Occurrence – Products Completed Including Operations  Each Occurrence – Contractual  Aggregate -  Aggregate - Operations Protective Products and Contractual	\$ \$ \$ \$	
Automobile Liability  a. Bodily Injury b. Property Damage				Each Person Each Occurrence Each Accident	\$ \$	
Excess Liability Umbrella					\$	
a. Workmen's Compensation b. Employer's Liability				Statutory Workmen's Compensation One Accident And Aggregate Disease	\$ 	
Is Occurrence Basis Coverage pr Is Broad Form Property Damage Is Personal Injury Coverage includes Is coverage provided for Contract	Insurance shown included under Property leaded under Property leaded? Coverage provided for aded?	Damage Liability this Project? s indemnification IES	provision) assur	?		

DAPW 16 State Form 21238R Rev. 1/00

SIGNATURE OF AUTHORIZED REPRESENTATIVE

# CONTRACTOR'S EMPLOYEE DRUG TESTING

# IC 4-13-18 IS ADDED TO THE INDIANA CODE AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2006]:

- Chapter 18. Drug Testing of Employees of Public Works Contractors
- Sec. 1. This chapter applies only to a public works contract awarded after June 30, 2006.
- Sec. 2. As used in this chapter, "bid" includes a quotation.
- Sec. 3. (a) As used in this chapter, "contractor" refers to a person who:
  - (1) submits a bid to do work under a public works contract; or
  - (2) does any work under a public works contract.
  - (b) The term includes a subcontractor of a contractor.
- Sec. 4. As used in this chapter, "public works contract" refers to:
  - (1) a public works contract covered by IC 4-13.6;
  - (2) a public works contract covered by IC 5-16 and entered into by a state agency; or
- (3) a state highway contract covered by IC 8-23-9; when the estimated cost of the public works project is one hundred fifty thousand dollars (\$150,000) or more.
- Sec. 5. (a) A solicitation for a public works contract must require each contractor that submits a bid for the work to submit with the bid a written plan for a program to test the contractor's employees for drugs.
- (b) A public works contract may not be awarded to a contractor whose bid does not include a written plan for an employee drug testing program that complies with this chapter.
- (c) A contractor that is subject to a collective bargaining agreement shall be treated as having an employee drug testing program that complies with this chapter if the collective bargaining agreement establishes an employee drug testing program that includes the following:
  - (1) The program provides for the random testing of the contractor's employees.
- (2) The program contains a five (5) drug panel that tests for the substances identified in section 6(a)(3) of this chapter.
- (3) The program imposes disciplinary measures on an employee who fails a drug test. The disciplinary measures must include at a minimum, all the following:
  - (A) The employee is subject to suspension or immediate termination.
- (B) The employee is not eligible for reinstatement until the employee tests negative on a five (5) drug panel test certified by a medical review officer.
- (C) The employee is subject to unscheduled sporadic testing for at least one (1) year after reinstatement.
- (D) The employee successfully completes a rehabilitation program recommended by a substance abuse professional if the employee fails more than one (1) drug test. A copy of the relevant part of the collective bargaining agreement constitutes a written plan under this section.
- Sec. 6. (a) A contractor's employee drug testing program must satisfy all of the following:
- (1) Each of the contractor's employees must be subject to a drug test at least one (1) time each year.
  - (2) Subject to subdivision (1), the contractor's employees must be tested randomly. At least two

percent (2%) of the contractor's employees must be randomly selected each month for testing.

- (3) The program must contain at least a five (5) drug panel that tests for the following:
  - (A) Amphetamines.
  - (B) Cocaine.
  - (C) Opiates (2000 ng/ml).
  - (D) PCP.
  - (E) THC.
- (4) The program must impose progressive discipline on an employee who fails a drug test. The discipline must have at least the following progression:
  - (A) After the first positive test, an employee must be:
    - (i) suspended from work for thirty (30) days;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.
  - (B) After a second positive test, an employee must be:
    - (i) suspended from work for ninety (90) days;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.
  - (C) After a third or subsequent positive test, an employee must be:
    - (i) suspended from work for one (1) year;
    - (ii) directed to a program of treatment or rehabilitation; and
- (iii) subject to unannounced drug testing for one (1) year, beginning the day the employee returns to work.

The program may require dismissal of the employee after any positive drug test or other discipline more severe than is described in this subdivision.

- (b) An employer complies with the requirement of subsection (a) to direct an employee to a program of treatment or rehabilitation if the employer does either of the following:
- (1) Advises the employee of any program of treatment or rehabilitation covered by insurance provided by the employer.
- (2) If the employer does not provide insurance that covers drug treatment or rehabilitation programs, the employer advises the employee of agencies known to the employer that provide drug treatment or rehabilitation programs.
- Sec. 7. (a) The public works contract must provide for the following:
- (1) That the contractor implement the employee drug testing program described in the contractor's plan.
  - (2) Cancellation of the contract by the agency awarding the contract if the contractor:
    - (A) fails to implement its employee drug testing program during the term of the contract;
- (B) fails to provide information regarding implementation of the contractor's employee drug testing program at the request of the agency; or
- (C) provides to the agency false information regarding the contractor's employee drug testing program.
- (b) The provisions of the public works contract relating to cancellation of the contract by the agency awarding the contract apply to cancellation of the public works contract under this section.

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# STATE OF INDIANA

# **GENERAL CONDITIONS**

# ARTICLE 1 CONTRACT DOCUMENTS

### 1.1 Definitions

### 1.1.1 The Contract Documents

The Contract Documents consist of the Agreement, the Instructions to Bidders, the Contractor's Proposal (Bid), the Conditions of the Contract (General and Supplementary), Drawings, Specifications, and Addenda issued prior to bidding, Change Orders, any written interpretation issued as a field order by the Designer pursuant to Article 1.2, and all field orders for minor changes in the Work by the Designer pursuant to Article 12.3.

# 1.1.2 The Contract

The Contract Documents form the Contract for construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes all prior negotiations, representations, or agreements, either written or oral.

### 1.1.3 The Work

All labor, material, equipment, systems and services necessary to produce the result called for in the Contract Documents.

### 1.1.4 The Project

The Project is the total construction designed by the Designer of which the Work performed under the Contract Documents may be the whole or a part.

- 1.2 Execution, Correlation, Intent and Interpretations
- 1.2.1 The Contract Documents shall be signed by the Owner and the Contractor. The signature process may be done electronically at the discretion of the Owner.
- 1.2.2 By executing the Contract the Contractor represents that he has visited the site and correlated his observations with the requirements of the Contract Documents, and has no major question pertaining thereto.
- 1.2.3 The Contract Documents are complementary, and what is called for by any one shall be as binding as if called for by all. The intention of the Documents is to include all labor, equipment, supervision and materials, for the proper execution and completion of the Work, and also to include those things that may be reasonably inferable from the Contract Documents as being necessary to produce the intended results. Words that have a well-known technical or trade meaning are used herein, in accordance with such recognized meaning.
- 1.2.4 Written interpretations necessary for the proper execution of the Work, in the form of drawings or otherwise will be issued with reasonable promptness by the Designer. Such interpretations shall be consistent with and reasonably inferable from the Contract Documents, and may be issued by field order subject to Owner's approval.
- 1.3 Copies Furnished and Ownership
- 1.3.1 The Contractor will be furnished 5 copies of drawings and specifications and any other information necessary for the execution of the Work.
- 1.3.2 All drawings, specifications, and copies thereof furnished by the Designer are his property. They are not to be used on any other Project, and, with the exception of one Contract set for each party to the Contract, are to be returned on request to the Designer at the completion of the Work.

# ARTICLE 2 DESIGNER

### 2.1 Definition

2.1.1. The Designer is the person or organization identified as Designer of the Project, and is referred to throughout the Contract Documents as if singular in number and masculine in gender. The terms Designer, Engineer, Architect, (and in certain projects Director, Public Works Division or his authorized representative), shall mean the Designer.

- 2.2 Administration of the Contract
- 2.2.1 The Designer will provide general administration of the Contract, including the functions hereinafter described.
- 2.2.2 Unless stated otherwise, the Designer shall be the Owner's representative during the construction phase. He shall have authority to act on behalf of the Owner only to the extent expressly provided in the Contract Documents or otherwise in writing, which will be shown to the Contractor. The Designer will advise and consult with the Owner and all of the Owner's instructions to the Contractor shall be issued through the Designer.
- 2.2.3 The Designer shall have access to the Work at all times wherever it is in storage, preparation and progress. The Contractor shall provide facilities for such access so that the Designer and Owner's Site Representative may perform their functions under the Contract Documents.
- 2.2.4 The Designer will make no less than weekly visits to the site when work is in progress to familiarize himself generally with the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. He will not be required to make exhaustive or continuous on-site inspection to check the quality or quantity of the Work. On the basis of his on-site observations as Designer, he will keep the Owner informed of the progress of the Work, and will endeavor to guard the Owner against defects and deficiencies in the Work of the Contractor.
- 2.2.5 Based on such observation and the Contractor's applications for payment, the Designer will determine the amount owed to the Contractor and will issue Certificates for Payment in such amounts.
- 2.2.6 The Designer will be, in the first instance, the interpreter of the requirements of the Contract Documents and the judge of the performance thereunder. He will promptly render such interpretations as he may deem necessary for the proper execution or progress of the Work.
- 2.2.7 All interpretations and decisions of the Designer will be consistent with the intent of the Contract Documents. He will exercise his best efforts to insure faithful performance by the Contractor.
- 2.2.8 Claims, disputes and other matters in question relating to the execution or progress of the Work or interpretation of the Contract Documents shall be referred initially to the Designer for decision and be subject to written appeal within fifteen (15) days by the Contractor. The Designer shall submit his decision promptly in writing to the Director, Public Works Division, who shall have full authority to render the final and binding decision.
- 2.2.9 The Designer will have responsibility to recommend to the Owner the rejection of work that does not conform to the Contract Documents. Whenever the Designer considers it necessary or advisable, he shall recommend to the Owner the stoppage of the Work or any portion thereof, and to recommend special examination or testing of the Work (whether or not fabricated, installed, or completed).
- 2.2.10 The Designer will review and approve or take other appropriate action upon the Contractor's submittals such as shop drawings, product data and samples, but only for conformance with the design concept of the Work and with the information given in the Contract Documents. Such action shall be taken with reasonable promptness so as to cause no delay. The Designer's approval of a specific item shall not indicate approval of all assembly of which the item is a component.
- 2.2.11 The Designer will prepare change orders in accordance with Article 12.
- 2.2.12 The Designer will conduct reviews to determine the dates of Substantial Completion and Final Completion, will receive and forward to the Owner for the Owner's review written warranties and related documents required by the Contract and assembled by the Contractor, and will issue a final Certificate for Payment upon compliance with the requirements of Article 9.7.
- 2.2.13 The Designer, together with representatives from the Contractor and the Owner will conduct a review of the Work nine (9) months after the date of substantial completion to determine any work not in compliance with the Contract Documents at that time. A list of items to be corrected or completed will be forwarded to the Contractor for corrective action prior to the expiration of the one year warranty period.
- 2.2.14 The duties, responsibilities and limitations of authority of the Designer as the Owner's representative during construction as set forth in Articles 1 through 14 of these General Conditions shall not be modified or extended without written consent of the Owner.
- 2.2.15 The Designer will not be responsible for the acts or omissions of the Contractor, Subcontractor, or any of their superintendents, supervisory staffs, agents or employees, or any other persons performing any of the Work.
- 2.2.16 In case of the termination of the employment of the Designer, the Owner shall appoint a Designer against whom the Contractor makes no reasonable objections, whose status under the Contract shall be that of Designer.

# ARTICLE 3 OWNER

# 3.1 Definition

- 3.1.1 The Owner is the State of Indiana, represented by the Commissioner; Department of Administration acting through the Director, Public Works Division and the Director's designated project manager.
- 3.2 Information and Service Required of the Owner
- 3.2.1 The Owner will furnish, through the Designer, surveys, describing known physical characteristics, legal limits and utility locations for the property on which the Project is to be erected, if in the Owner's possession.
- 3.2.2. Information or services under the Owner's control shall be furnished by the Owner with promptness to avoid delay in the orderly progress of the Work.
- 3.2.3 The Owner shall issue all instructions to the Contractor through the Designer unless specified elsewhere in these documents.
- 3.2.4 If the Contractor fails to correct defective work as required by Article 13 or persistently fails to carry out the Work in accordance with the Contract Documents, the Owner, by a written order may order the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of the Owner to stop the Work shall not give rise to any duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Article 6.1.
- 3.3 Owner's Site Representative
- 3.3.1 Notwithstanding the obligations of the Designer as Owner's representative during construction, the Owner may employ an on-site representative to observe the progress of the Work.
- 3.3.2 The Owner's Site Representative shall function as an observer only. He shall report his findings to the Designer for review and any required further action. The Owner's Site Representative is not authorized to make changes in the Work or to interpret the Contract Documents.
- 3.3.3 The Owner's Site Representative shall have at all times access to the Work wherever it is in storage, preparation and progress. He may attend meetings at the site and he may review and approve the Contractor payment requests.

# ARTICLE 4 CONTRACTOR

# 4.1 Definition

4.1.1 The Contractor is the person or organization identified as such in the Agreement. He is referred to throughout the Contract Documents as if singular in number and masculine in gender. The term Contractor means the Contractor or his authorized representative.

# 4.2 Review of Contract Documents

- 4.2.1 The Contractor shall carefully study and compare the Contract Documents and shall at once report to the Designer and the Owner any error, inconsistency or omission he may discover. The Contractor shall perform no portion of the Work at any time without Contract Documents or, where required, approved shop drawings, product data or samples for such portion of the Work.
- 4.3 Supervision and Construction Procedures
- 4.3.1 The Contractor shall supervise and direct the Work, using his best skill and attention. He shall be solely responsible for the quality of the Work and for all construction techniques, sequences, and procedures, and for coordinating all portions of the Work.
- 4.3.2 The Contractor shall not be relieved from his obligations to perform the Work in accordance with the Contract Documents either by the activities or duties of the Designer in administration of the Contract, or by inspections, tests or approvals required or performed under Paragraph 7.9 by persons other than the Contractor.
- 4.4 Labor and Materials
- 4.4.1 Unless otherwise specified in Division 1, the Contractor shall provide and pay for all labor, material, equipment, tools, construction equipment, machinery, transportation, and other facilities and services necessary for the proper execution of the Work.

- 4.4.2 Unless otherwise specified in Division 1, the Contractor shall provide and pay for all electric current, water, heat, and telephone services and shall maintain necessary discipline to prevent waste.
- 4.4.3 If any item of work shall be the subject of a jurisdictional dispute as to the craft to be used for said work, the Contractor shall aid in such inter-craft resolution and if arbitrated, abide by the decision, holding the Owner free of involvement in the dispute, and if time is lost by the dispute, extra work days will only be considered through the provisions of Article 12.2. He will do whatever he can to eliminate any embarrassment to the Owner caused by picketing, etc.
- 4.4.4. The Contractor shall at all times enforce strict discipline and good order among his employees, and shall not employ on the Work any unfit person or any one employee unskilled in the Work assigned to him or unqualified as a tradesman in the trade involved.

# 4.5 Warranty and Guarantee

- 4.5.1 The Contractor warrants and guarantees that all materials and equipment incorporated in the Project shall be new unless otherwise specified, and all work will be of the highest quality, free from faults and defects, and in strict conformance with the Contract Documents for a period of one year from the date of substantial completion. All work not so conforming to the Contract Documents may be considered defective. If required by the Designer, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment. The warranties and guarantees provided in this Article and elsewhere in the Contract Documents shall be in addition to and not in limitation of any other warranty or guarantee or remedy called for the Contract Documents or otherwise prescribed by law. The Contractor, together with the Designer and representatives from the Owner, shall review the Work nine (9) months after the date of substantial completion to determine any work not in compliance with the Contract Documents. The Contractor shall correct such non-complying work prior to the expiration of the one year warranty.
- 4.6 Permits, Fees and Notices
- 4.6.1 The Contract shall secure and pay for all permits, fees and licenses necessary for the execution of the Work.
- 4.6.2 The Contractor and Subcontractors must submit an "Exemption Certificate for Construction Contractors" (Form ST-105) to each supplier in order to obtain exemption from the Indiana Gross Tax (i.e., sales and use tax).
- 4.6.3 The Contractor shall give all notices and comply with all laws, ordinances, rules, regulations, and orders of any public authority bearing on the conduct of the Work. If he observes that any of the Contract Documents are at variance therewith in any respect, he shall promptly notify the Designer in writing, and any necessary changes shall be adjusted by change order. If he performs any work knowing it to be contrary to such laws, ordinances, rules and regulations, and without such notice to the Designer, he shall bear all cost arising from such non-conformance.

# 4.7 Cash Allowances

4.7.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. These allowances cover the net cost of the materials and equipment delivered and unloaded at the site which cost shall be determined by the Owner through proper procedures for receiving quotes or bids as required by law. The Contractor's handling costs on the site, labor, installation costs, overhead, profit, and other expenses shall be included in the Contract sum and not in the allowance. The Contractor shall cause the Work required by these allowances to be performed by such persons as the Designer may direct, but he will not be required to employ persons against whom he has a reasonable objection. If the net cost above, when determined, is more than or less than the allowance, the Contract Sum will be adjusted accordingly by change order.

# 4.8 Superintendent

- 4.8.1 The Contractor shall keep on the Project, during the entire contract time, a competent superintendent and necessary assistants, all satisfactory to the Designer and the superintendent shall not be changed, except with the consent of the Owner, unless the superintendent proves to be unsatisfactory to the Contractor and ceases to be in his employ. The superintendent shall represent the Contractor and shall have full authority to act on his behalf. All communications given the superintendent shall be as binding as if given by the Contractor. Important communications shall be confirmed in writing.
- 4.9 Responsibility for Those Performing the Work
- 4.9.1 The Contractor shall be responsible for the quality of the Work, for acts and omissions of all the Subcontractors, their superintendents, their supervisory staffs, agents, or employees and of all other persons performing any of the Work under a Contract with the Contractor.

# 4.10 Progress Schedule

4.10.1 Unless otherwise indicated in Division 1, the Contractor, immediately after being awarded the Contract, shall prepare and submit for the Designer's approval a progress schedule for the Work in relation to the entire Project. This schedule in bar graph form, or other form approved by the Owner, shall indicate the dates for the starting and completion of the various stages of construction, and in addition, will state the contractual completion date. The contract completion date, based on the construction period stated in the notice to bidders, shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by change order. A more detailed schedule may be required elsewhere in the documents.

### 4.11 Record Documents at the Site

4.11.1 The Contractor shall maintain for the Owner as part of the Contract one record copy of all drawings, specifications, addenda, shop drawings, change orders and other modifications at the site in good order, and marked to record all changes made during construction. These shall be available to the Designer and the Owner's Site Representative at all times while Work is in progress. All changes made during construction shall be recorded monthly and reviewed by the Designer before approval of each partial progress payment. The record documents shall be submitted to the Designer prior to the Contractor's final payment.

# 4.12 Shop Drawings and Samples

- 4.12.1 Shop drawings are all drawings, diagrams, illustrations, schedules, brochures, and other data, which are prepared by the Contractor, or any Subcontractor, manufacturer, supplier, or distributor, and which illustrate the Work.
- 4.12.2 The Contractor shall submit all shop drawings and samples required by the Contract or by the Designer in a timely manner, allowing sufficient time for the Designer's review so as not to cause any delay in the Work or in work by any other Contractor.
- 4.12.3 At the time of such submission, the Contractor shall furnish or verify all field measurements, field construction criteria, materials, catalog numbers, and the like and shall individually check, coordinate and stamp with his approval each submission, and shall in writing call the Designer's attention to any deviations in the shop drawings or samples from the requirements of the Contract Documents.
- 4.12.4 The Designer will check and approve, with reasonable promptness so as to cause no delay, these shop drawings and samples only for conformance with the design concept of the Project, and with the information given in the Contract Documents. The Designer's approval of a separate item will not indicate approval of the assembly in which the item functions.
- 4.12.5 The Designer's approval of shop drawings or samples shall not relieve the Contractor of responsibility for any deviation from the requirements of the Contract Documents unless the Contractor has in writing called the Designer's attention to such deviation at the time of submission and the Designer has given written approval to the specific deviation, nor shall this relieve the Contractor from errors or omissions in the shop drawings or samples.
- 4.12.6 No work requiring a shop drawing or sample submission shall be commenced until the submission has been approved by the Designer. All such work shall be in accordance with approved shop drawings and samples.

# 4.13 Use of Premises

4.13.1 The Contractor shall confine operations at the site to areas permitted by law, ordinances, permits and the Contract Documents, and shall not unreasonably encumber the premises with any materials or equipment.

# 4.14 Cutting and Patching

4.14.1 The Contractor shall do all cutting, fitting or patching of his work that may be required to make its several parts come together properly and shall not endanger any work by cutting, excavating, or otherwise altering the Work or any part of it. Costs caused by defective or ill-timed work shall be borne by the party responsible therefore.

# 4.15 Cleaning Up

- 4.15.1 The Contractor shall at all times keep the premises free from accumulation of waste materials or rubbish caused by his operations. At the completion of the Work, he shall remove all waste material and rubbish from and about the building as well as all his tools, scaffolding and surplus materials. Contractor shall clean all glass surfaces, lights and fixtures, ceilings, walls and shall leave the Work dusted, swept and wet mopped clean, unless more exactly specified.
- 4.15.2 In case of dispute the Owner may remove the rubbish and charge the cost to the several Contractors as the Designer shall determine to be just.

# 5.1 Definition

As used in this article "contractor tier" refers collectively to the following classes of contractors on a public works project:

- (1) "Tier 1 contractor" includes each person that has a contract with the public agency to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project A person included in this tier is also known as a "prime contractor" or a "general contractor".
- (2) "Tier 2 contractor" includes each person that has a contract with a tier 1 contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project A person included in this tier is also known as a "subcontractor".
- (3) "Tier 3 contractor" includes each person that has a contract with a tier 2 contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project. A person included in this tier is also known as a "sub-subcontractor".
- (4) "Lower tier contractor" includes each person that has a contract with a tier 3 contractor or lower tier contractor to perform some part of the work on, supply some of the materials for, or supply a service for, a public works project. A person included in this tier is also known as a "lower tier subcontractor".

A Subcontractor is a person or entity who has a direct Contract with the Contractor to perform any of the Work at the site. The term Subcontractor is referred to throughout the Contract Documents as if singular in number and masculine in gender and means a Subcontractor or his authorized representative. The term Subcontractor does not include any separate Contractor or his Subcontractors.

- 5.2 Award of Subcontracts and Other Contracts for Portions of the Work
- 5.2.1 Unless otherwise required by the Contract, the Contractor shall furnish to the Owner, with his bid on the prescribed form, the names of all persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each of the principal portions of the Work with an installed value of \$150,000.00 or more. The Designer will promptly reply to the Contractor in writing stating whether or not the Owner or the Designer, after due investigation, has reasonable objection to any such proposed person or entity. Failure of the Owner or Designer to reply within fourteen (14) days shall constitute notice of no reasonable objection.
- 5.2.2 The Contractor shall not subcontract with any such proposed person or entity to which the Owner or the Designer has made reasonable objection. The Contractor shall not be required to subcontract with anyone to whom he has a reasonable objection.
- 5.2.4 If the Owner or the Designer has reasonable objection to any such proposed person or entity, the Contractor shall submit a substitute to whom the Owner or the Designer has no reasonable objection.
- 5.2.5 The Contractor shall make no substitution of any Subcontractor, person or entity previously selected, if the Owner or Designer makes reasonable objection to such substitution.
- 5.2.3 The Contractor and his subcontractors shall employ only licensed plumbers and shall provide to the Owner the names and license numbers of all plumbers engaged in the Work. The Contractor shall submit this documentation with any monthly progress payment request that includes plumbing labor.
- 5.3 Subcontractual Relations
- 5.3.1 By an appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by the terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities which the Contractor, by these Documents, assumes toward the Owner. Said agreement shall preserve and protect the rights of the Owner under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor the benefit of all rights, remedies and redress against the Contractor that the Contractor, by these Documents, has against the Owner. Provisions of Article 9 for progress payments, retainage and payment for stored material shall be incorporated without modification in all Contractor-Subcontractor agreements. The Contractor shall require each Subcontractor to enter into similar agreements with his Sub-subcontractors. Prior to execution of the Contractor-Subcontractor agreement, the Contractor shall provide all Subcontractors a complete copy of all proposed Contract Documents for the Project to which the Subcontractor will be bound by this Paragraph 5.3. Each Subcontractor shall similarly make available to his Sub-Subcontractors copies of such Documents. Executed copies of all agreements shall remain on file with the Contractor and be available for review by the Owner at the Owner's discretion.

# ARTICLE 6 SEPARATE CONTRACTS

- 6.1 Owner's Right to Let Separate Contracts
- 6.1.1 The Owner reserves the right to let other contracts in connection with other portions of the Project under these or similar General Conditions.
- 6.1.2 When separate contracts are awarded for different portions of the Project, "the Contractor" in the Contract Documents in each case shall be the Contractor who signs each separate contract with the Owner.
- 6.1.3 When separate contracts are awarded for portions of the Project, the General Construction Contractor shall be responsible for the overall coordination of all separate contracts for the Project.
- 6.2 Mutual Responsibility of Contractors
- 6.2.1 The Contractor shall afford each other Contractor reasonable opportunity for the introduction and storage of their materials and equipment and the execution of their work, and each shall properly connect and coordinate his work with all others as coordinated by the General Contractor.
- 6.2.2 If any part of the Contractor's work depends on proper execution or results upon the work of any other separate Contractor, the Contractor shall inspect and promptly report to the Designer any discrepancies or defects that shall cause his work to fail or be non-conforming. Failure of the Contractor to so inspect and report shall constitute an acceptance of the other Contractor's work as fit and proper for the reception of his work.
- 6.2.3 Should the Contractor cause damage to any separate Contractor on the Project, the General Contractor agrees, upon due notice, to settle with such other Contractor by agreement, if at all possible without involving the Owner. The Owner will be involved only after evidence is presented that sureties cannot settle the problem.
- 6.2.4 Any costs caused by defective or ill-timed work shall be borne by the party responsible. ARTICLE 7 MISCELLANEOUS PROVISIONS
- 7.1 Delinquent State Taxes (IC. 4-13-2-14.5). The Public Works Division may allow the Department of State Revenue access to the name of each person who is either:
  - (1) Bidding on a Contract to be awarded under this chapter; or
  - (2) A Contractor or Subcontractor under this chapter.

If the Public Works Division is notified by the Department of State Revenue that a bidder is on the most recent tax warrant list, a Contract may not be awarded to that bidder until the bidder provides a statement from the Department of State Revenue that the Bidder's delinquent tax liability has been satisfied. The Department of State Revenue may notify:

- (1) The Department of Administration; and
- (2) The Auditor of State;

that a Contractor or Subcontractor under this chapter is on the most recent tax warrant list, including the amount owed in delinquent taxes. The Auditor of State shall deduct from the Contractor's or Subcontractor's payment the amount owed in delinquent taxes. The Auditor of State shall remit this amount to the Department of State Revenue and pay the remaining balance to the Contractor or Subcontractor.

- 7.2 Choice of Law
- 7.2.1 The Contract shall be governed by the laws of the State of Indiana.
- 7.3 Assignment
- 7.3.1 The Contractor shall not assign the Contract or sublet it as a whole without the written consent of the Owner, nor shall the Contractor assign any monies due or to become due to him hereunder, without the previous written consent of the Owner.
- 7.4 Written Notice
- 7.4.1 Written notice shall be deemed to have been duly served if delivered in person to the individual or member of the firm or to an officer of the corporation for whom it was intended, or sent by registered or certified mail to the last business address known to him who gives the notice.

# 7.5 Claims for Damages

7.5.1 Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the other party or any of his employees, agents or others for whose acts he is legally liable, claim shall be made in writing to such other party within seven (7) days of the first observance of such injury or damage.

# 7.6 Performance Bond and Labor and Material Payment Bond

7.6.1 For projects advertised with an estimated base bid amount of One Hundred Fifty Thousand Dollars (\$150,000) or more, the Contractor shall furnish and pay for an approved one hundred percent (100%) combination performance and payment bond (Contractor's Bond for Construction, Public Works Division Form DAPW 15). This bond shall adhere to the requirements of IC. 4-13.6-7-6 and IC. 4-13.6-7-7 as amended and shall cover the faithful performance of the Contract and the payment of all obligations arising thereunder, including reimbursement for any stored materials paid for but returned to materialmen, with such sureties as the Owner may approve. The combination bond shall remain in effect throughout the entire construction period and in addition for a period of one year from the date of final acceptance. The Contractor shall deliver the required bonds to the Owner prior to execution of the Contract by the Owner unless authorized to the contrary in writing by the Owner. All bonds must be issued by bonding companies, which are licensed and approved by the Indiana Insurance Commission.

# 7.7 Owner's Right to Carry Out the Work

7.7.1 If the Contractor should default or neglect to carry out the Work properly or fail to perform any provision of the Contract, the Owner may, after giving seven (7) days written notice to the Contractor, without prejudice to any other remedy it may have, make good such deficiencies. In such case, an appropriate change order shall be issued deducting the cost thereof including the cost of the Designer's additional service made necessary by such default, neglect or failure of the Contractor, from the payments then or thereafter due the Contractor, provided, however, that the Designer shall approve both such action and the amount charged to the Contractor. If such payments due to the Contractor are not sufficient to cover such amount, the Contractor shall pay the difference to the Owner.

# 7.8 Royalties and Patents

7.8.1 The Contractor shall pay all royalties and license fees. He shall defend all suits or claims for infringement of any patent rights and shall save the Owner harmless from liability of any nature or find including costs and expenses for or on account of any patented or unpatented invention, process, article or appliance manufactured or used in the performance of this Contract, including its use by the Owner.

# 7.9 Tests & Substitution of Materials

- 7.9.1 If the Contract Documents, laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction require any work to be inspected, tested, or approved, the Contractor will give the Designer timely notice of its readiness and of the date fixed for such inspection, testing, or approval so that the Designer may observe the same. The Contractor shall bear all cost of such inspections, tests, and approvals unless otherwise provided.
- 7.9.2 If, after the commencement of the Work, the Designer, with approval of the Owner in writing, determines that the Work requires special inspection, testing, or approval for which subparagraph 7.9.1 does not provide, he will, upon written authorization from the Owner, order such special inspection, testing or approval. If such special inspection or test reveals a failure of the Work to fulfill the requirements of the Contract Documents, laws, ordinances, rules, regulations or orders of any public authority having jurisdiction, the Contractor shall bear all costs thereof; otherwise the Owner shall bear such costs. An appropriate change order shall be issued.
- 7.9.3 Required certificates of inspection, testing or approval shall be secured by the Contractor and promptly delivered by him to the Designer.
- 7.9.4 Observations by the Designer of the inspections, tests, or approvals required by Article 7 will be promptly made, and where practicable at the source of supply at no additional cost to the Owner.
- 7.9.5 Neither the observations of the Designer in his administration of the Contract, nor inspections, tests or approvals by persons other than the Contractor shall relieve the Contractor from his obligations to perform the Work in accordance with the Contract Documents.
- 7.9.6 All building construction and work, alterations, repairs, plumbing, mechanical, and electrical installations and appliances connected therewith, shall comply with the Rules and Regulations of the Department of Fire and Building Services, State Board of Health, local ordinances, Rules for Licensure of Building Trades, and other statutory provisions pertaining to this class of work; such rules and regulations and local ordinances to be considered as a part of these specifications.

- 7.9.7 Where in these specifications, one or more certain materials, trade names, or articles of certain manufacture are mentioned, it is done for the express purpose of establishing a basis of durability and efficiency and not for the purpose of limiting competition. Approval of other acceptable products for those specified may be obtained by requesting to the Designer no later than fourteen (14) days in advance of bid date with all documentation required for the Designer to evaluate any approval. If approval is granted, the subject product will be added by addendum.
- 7.9.8 Should there be a reason for change of materials after award of the Contract, the following criteria shall apply:
  - a. Original material no longer manufactured,
  - b. Delivery not possible within time specified for job, and/or
  - c. Unavailability due to causes beyond the control of the Contractor.
- 7.9.9 After agreement by the Designer and the Owner that a change is necessary, the Contractor shall present a request for substitution to the Designer. The burden of proof of the merit of the proposed substitute is upon the proposing party. The decision of the Designer and the Owner regarding the substitution shall be final.
- 7.10 Certificate of Qualification
- 7.10.1 In accordance with IC. 4-13.6-4 as amended, all Contractors and Subcontractors performing work for the State of Indiana on projects estimated to be in excess of one hundred fifty thousand dollars (\$150,000.00), must hold a valid Certificate of Qualification issued by the Public Works Certification Board. The Instructions to Bidders define the procedure for certification and bidding.
- 7.10.2 The Contractor must perform at least fifteen (15) percent of the total Contract Sum of the Work with his own forces. The Contractor shall submit copies of his payroll records, if requested by the Owner, showing the hours, rates and total costs for all personnel on his payroll detailed to the degree to ensure compliance with this paragraph and any Wage Determination provisions.
- 7.11 Appropriation
- 7.11.1 The Contract specifically limits payments to be made in accordance with appropriations made and funds made available under laws of the State of Indiana.
- 7.12 Federal Wage Determination if required
- 7.12.1 If a Davis-Bacon wage determination is included in the Contract Documents, it shall be used as the minimum wage and benefits to be paid for the trades indicated.
- 7.12.2 Contractor shall submit a schedule of hourly wages to be paid to each employee (including those of his subcontractors) engaged in work on the site. This submittal shall be on Contractor's letterhead stationery and shall be signed by the Contractor and notarized. A copy of this submittal shall be conspicuously posted at the site.
- 7.12.3 Said rates shall in no case be less than those set out in the Davis-Bacon wage schedule a copy of which is herein bound or is on file with the Owner if it is required.
- 7.12.4 The Contractor shall provide (and require each Subcontractor to provide) weekly payroll records listing employees engaged in work on the site for the week and the hourly rates for base pay and benefits paid to each employee listed. The payroll record form shall include a statement by the Contractor/Subcontractor certifying the accuracy and completeness of the information provided. Payroll records shall be maintained by the Contractor during the course of the Work until the end of the required warranty period.
- 7.13 Out-of-State Contractors
- 7.13.1 Proof of payment by Out-of-State Contractors of Indiana Gross Income Tax, as provided in IC. 6-2.1-5-1.1 (b) and 6-2.1-5-1.1 (a) (d) as amended shall be submitted before final payment will be approved.
- 7.13.2 Out-of-State Corporations must be authorized to do business in the State, IC. Title 23 prior to submitting bids. Forms may be obtained by contacting the Secretary of State, State of Indiana, Indianapolis, Indiana.
- 7.14 Material Delivery
- 7.14.1 Shipments of material to be used by the Contractor or any Subcontractor under this Contract should be delivered to the job site only during the regular working hours of the Contractor or Subcontractor. If a delivery is made during other than the normal working hours of the Contractor or Subcontractor, his authorized agent must be on duty to receive such material. No employee of the Owner is authorized to receive any shipments designated for the Contractor or Subcontractor.

### 7.15 Weather

- 7.15.1 The Contractor shall at all times provide protection against weather, rain, wind, storms, frost or heat, so as to maintain all work, materials, apparatus and fixtures free from injury or damage. At the end of the day's work, all new work likely to be damaged shall be covered.
- 7.15.2 During cold weather, the Contractor shall protect all work from damage. If low temperature makes it impossible to continue operations safely, in spite of cold weather precaution, the Contractor shall cease work and shall so notify the Owner and Designer.
- 7.15.3 Any work damaged by failure to provide protection above required, shall be removed and replaced with new work at the Contractor's expense.
- 7.15.4 The Contractor shall provide and maintain on the premises, where directed, watertight storage shed (or sheds) for storage of all materials, which might be damaged by exposure to weather.

### 7.16 Fire Hazards

7.16.1 Wherever and whenever any burning, welding, cutting or soldering operation is in progress, or equipment is in use, or any work involving a fire hazard, is performed, the Contractor responsible for such operation shall have at all times acceptable fire extinguisher or protection within five (5) feet of the operation.

### 7.17 Dismissal

7.17.1 Any foreman or workman employed by the Contractor or by any Subcontractor who, in the opinion of the Director, Public Works Division and/or the Designer, does not perform his work in a proper and skillful manner, or is disrespectful, intemperate, disorderly, intoxicated or otherwise objectionable shall at the written request of either of the above, be forthwith discharged by the Contractor or Subcontractor employing such foreman or workman and he shall not be employed again on any portion of the Work without the written consent of the Director of the Division of Public Works and the Designer. Should the Contractor fail to furnish suitable and sufficient machinery, equipment or personnel for the proper prosecution of the Work, the Owner or Designer may withhold all payments that are or may become due, or may suspend the Work until such orders are upheld.

### **ARTICLE 8 TIME**

- 8.1 Definitions
- 8.1.1 Unless otherwise provided, the Contract Time is the period of time allotted in the Contract Documents for Substantial Completion of the Work as defined herein, including authorized adjustments thereto.
- 8.1.2 The date of commencement of the Work is the date established in a notice to proceed. If there is no notice to proceed, it shall be the date of the Governor's signature on the Owner-Contractor Agreement or such other date as may be established therein.
- 8.1.3 The Date of Substantial Completion of the Work, or designated portion thereof, is the date certified by the Director, Public Works Division when construction is sufficiently complete, in accordance with the Contract Documents, so the Owner may occupy or utilize the Work, or designated portion thereof, for the use for which it is intended.
- 8.1.4 The term day as used in the Contract Documents shall mean calendar day unless otherwise specifically designated.
- 8.2 Progress and Completion
- 8.2.1 All time limits stated in the Documents are of the essence of the Contract.
- 8.2.2 The Contractor shall begin the Work on the date of commencement as defined herein. He shall carry the Work forward expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.
- 8.2.3 The Owner fully expects the Contractor to employ any and all means necessary to complete the Work within the Contract Time. Conduct of the Owner's affairs, such as unforeseen site conditions or delay in processing change orders, shall <u>not</u> be viewed as justification for delaying the Project unless the Owner can be shown to have breached the Contract. Contractor must employ all reasonable means to execute the Project in a timely manner and in conformance with the Contract Documents even if the Contractor or Designer seeks legal remedy against the Owner for claim of damage.
- 8.3 Delays and Extensions of Time
- 8.3.1 If the Contractor is delayed at any time in the progress of the Work by any act or neglect of the Owner or the Designer, or by any employee of either, or by any separate Contractor employed by the Owner, or by changes ordered in the Work, or by labor disputes, fire, unusual delay in transportation, adverse weather conditions not reasonable to anticipate, unavoidable casualties, or

any causes beyond the Contractor's control, or by delay authorized by the Owner pending arbitration, or by any other cause which the Designer determines may justify the delay, then the Contract Time shall be extended by a Change Order for such reasonable time as the Designer may determine.

- 8.3.2 Claims for extension of time shall be made in writing to the Designer. In case of a continuing delay only one claim is necessary. The Contractor shall provide an estimate of the probable effect of such delay on the progress of the Work.
- 8.3.3 If no agreement is made stating the dates upon which interpretations as provided in Article 2.2 shall be furnished, then no claim for delay shall be allowed on account of failure to furnish such interpretations until fifteen days after written request is made for them, and not unless such claim is reasonable.
- 8.3.4 This Paragraph 8.3 does not exclude the recovery of damages for delay by either party under other provisions of the Contract Documents.

### ARTICLE 9 PAYMENTS AND COMPLETION

### 9.1 Contract Sum

9.1.1 The Contract Sum is the total amount payable by the Owner for the performance of the Work under the Contract Documents.

### 9.2 Schedule of Values

9.2.1 Before the first application for payment, the Contractor shall submit to the Owner a schedule of various parts of the Work, including quantities if required by the Owner, aggregating the total Contract Sum, divided so as to facilitate payments to Subcontractors in accordance with Article 5.3, made out in such form as the Owner and the Contractor may agree upon, and supported by such data to substantiate its correctness as the Owner may require. Each item in the Schedule of Values shall include its proper share of overhead, profit, and other general charges. This schedule, when approved by the Owner, shall be used as a basis for the Contractor's Applications for Progress and Final Payments.

### 9.3 Progress Payments

- 9.3.1 Completed work: The Contractor shall submit to the Designer an itemized Application for Payment, supported by such data substantiating the Contractor's right to payment as the Designer may direct. The Owner shall make payments on account of the Contract, upon issuance of Certificates of Payment certified by the Designer and the Owner's Representative, for labor and materials incorporated into the Work at the rate of ninety four (94%) percent of such value until fifty (50%) percent of the value of the Work is completed. After that fifty (50%) percent, no further retainage will be deducted. The Director, Public Works Division has the option to require that three (3%) percent of the value of the Work be retained throughout the duration of the entire Contract. The retainage schedule shall be determined prior to award of Contract. Retainage may be paid with final payment at the discretion of the Director, Public Works Division, but shall not be paid in any event until a minimum of sixty one (61) days after all work is completed.
- 9.3.2 Materials Stored: Payments may be made on account for materials or equipment not incorporated in the Work, but delivered and suitably stored at the site. With written approval of the Owner, materials may be stored at another location other than the Work site if properly identified as the property of the Owner and properly protected. Storage of material at the place of business of the vendor is not acceptable (25 IAC 2-9-2). Such payments shall be conditional upon the submission by the Contractor of one of the following: 1) receipts marked by the supplier as paid; 2) supplier's final waiver of lien listing specific materials involved; 3) invoice with copy of canceled check showing payment; or 4) such other evidence of payment as the Owner may require in lieu thereof to establish ownership of all items except those listed as miscellaneous materials below. For the aggregate of miscellaneous stored materials for which payment is requested and above proof of payment is not available, a complete list will be provided along with the affidavit of payment. Upon certification by the Owner's representative that the listed materials are suitably stored, payment can be made. Miscellaneous materials are defined as pipe, fittings, wire, conduit, etc., normally stored as stock items in Contractor's warehouse. For materials stored other than at the construction site applicable insurance and transportation to the site shall be provided by the Contractor.
- 9.3.3 As stored materials are incorporated into the Work, the value shall be removed from the total value of stored materials requested in successive payments. Proof of ownership through one of the above methods will be required for additional materials. When, in the judgment of the Owner, retainage for completed work is not sufficient in relation to excessive amounts requested for stored materials or equipment, the Owner may elect to place the retainage for such materials or equipment in escrow. This retainage shall apply as a credit toward retainage due to be held for completed work on future payments.
- 9.3.4 The Contractor warrants that title to all work, materials and equipment covered by an Application for Payment will pass to the Owner either by incorporation in the construction or upon the receipt by the Contractor of payment, whichever occurs first, free and clear of all liens, claims, security interest or encumbrances, hereinafter referred to in this Article 9 as "liens"; and that no Work, materials or equipment covered by an Application for Payment will have been acquired by the Contractor, or by any other person performing work at the site or furnishing materials and equipment for the Project, subject to an agreement under which an interest

therein or an encumbrance thereon is retained by the seller or otherwise imposed by the Contractor or such other person.

9.3.5 The Contractor shall accompany each application for payment request with a certification that he paid to all Subcontractors (fabricators) within ten (10) days of receipt of payment that pro rata amount of funds he has received from the Owner for the value of work or services (fabricated materials or equipment) performed by the Subcontractor (supplied by fabricator) contained in previous progress payments. The Contractor's inclusion of a value of subcontract work in his progress pay estimate is prima facie evidence of acceptance of work having such a value; therefore, if the Owner receives a certification from a Subcontractor that he has not been paid such amounts as were included in the Contractor's partial billing and subsequently paid to the Contractor by the Owner, then the Owner will hold all subsequent partial payment requests until satisfactory evidence is received from the Subcontractor that he has been paid such amounts presented to the Owner by the Contractor, paid to the Contractor by the Owner, and not distributed by the Contractor to the Subcontractor. The making of an incorrect certification of either partial payment or final payment may be considered by the Owner to be a breach of contract, and it may exercise all of its prerogatives set out in the Contract in addition to the remedies for falsifying an affidavit. Such an action could result in a suspension of qualification with the State Certification Board for a period of up to two (2) years.

### 9.4 Certificates for Payment

- 9.4.1 When the Contractor has made application for payment as above, the Designer will issue a Certificate of Payment to the Owner for such amount as he determines to be properly due, or state in writing his reasons for withholding a certificate as provided in Articles 9.5.1.
- 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Designer to the Owner, based on the Designer's observations at the site as provided in Article 2.2.4 and the data comprising the Application for Payment, that the Work has progressed to the point indicated, and that, to the best of his knowledge, information and belief, the quality of work is in accordance with the Contract Documents subject to an evaluation of the Work as a functioning whole upon substantial completion, to the results of any subsequent tests called for in the Contract documents, to minor deviations correctable prior to the next certificate for payment and to any specific qualifications stated in his certificate, and that the Contractor is entitled to payment in the amount certified.
- 9.4.3 The Designer's final Certificate for Payment will constitute a further representation that the conditions precedent to the Contractor's being entitled to final payment as set forth in Article 9.7 have been fulfilled. However, by issuing a Certificate, the Designer shall not thereby be deemed to represent that he has made any examination to ascertain how or for what purpose the Contractor has used the monies paid on account of the Contract Sum.
- 9.4.4 The Owner shall make payment as soon as the fiscal procedure of the State can process same after receipt from the Designer of the Certificate for Payment. The fiscal procedure by the State can include, but not be limited to, review by the Owner's using agency, verification of the Certificate by the Owner's Site Representative, review for accuracy of form and calculation by the Owner's accountant, review by the Owner's project management and execution by the Director, Public Works Division and others.
- 9.4.5 No certificate for a progress payment or progress payment for partial or entire occupancy of the Project by the Owner shall constitute an acceptance of work not in accordance with the Contract Documents.
- 9.4.6 Pursuant to IC. 4-13.6-7-2 all Contract awards of One Million Dollars (\$1,000,000) or above, if elected by the Contractor, an escrow agent will be selected by the State with whom the retainage funds for this Contract will be deposited and held until receipt of notice from the Director, Public Works Division (Escrow Form DAPW 32A) and from all other necessary parties as specified in and in accordance with the procedures and provisions of said Act.

### 9.5 Payments Withheld

- 9.5.1 The Designer (or Owner) will not approve an application in whole or in part, if in his opinion, he is unable to make representations to the Owner as provided in Article 9.4. The Designer (or Owner) will not approve Application for Payment or, because of subsequent inspections, may nullify the whole or any part of the Certificate for Payment previously issued to such extent as may be necessary in his opinion to protect the Owner from loss because of:
  - A. defective work not remedied,
  - B. claim filed or reasonable evidence indicating probable filing of claims,
  - C. failure of the Contractor to make payments properly to Subcontractors or for materials, equipment or labor,
  - D. reasonable doubt that the Contract can be completed for the unpaid balance,
  - E. damage to another Contractor,
  - F. reasonable indication that the Owner may be damaged by delay in receiving use of the Work as scheduled, or,
  - G. unsatisfactory prosecution of the Work by the Contractor.
- 9.5.2 When the above grounds are removed, payment shall be processed for amounts withheld.

- 9.6 Failure of Payment
- 9.6.1 If the Designer should fail to issue any Certificate for Payment, through no fault of the Contractor, or if the Owner should fail to pay the Contractor in a reasonable time considering the fiscal procedures of the State for processing same after receipt from the Designer the amount certified by the Designer, then the Contractor may, after seven (7) additional days, give written notice to the Owner and Designer, that work will stop until payment of the amount owing has been received.
- 9.7 Substantial Completion and Final Payment
- 9.7.1 When advised by the Contractor that the Work or a designated portion thereof is substantially complete, the Designer; the Director, Public Works Division, and the Contractor shall determine jointly by inspection that the Work is substantially complete. If they determine that the Work is substantially complete, the Contractor shall then prepare a Certificate of Substantial Completion with an accompanying list of incomplete items of work (punch list), and submit it to the Designer for his signature and subsequent forwarding for approval by the Director, Public Works Division. The Certificate shall fix the date of Substantial Completion and shall state the responsibilities of the Owner and the Contractor for maintenance, heat, utilities and insurance.
- 9.7.2 Upon approval of the above, and notice that the Work is ready for final acceptance, the Designer, the Contractor and Owner will promptly make final review, and when they find the Work acceptable under the Contract and the Contract fully performed, the Contractor shall promptly submit the final Certificate for Payment with all other required documents, showing that the Work has been completed in accordance with the terms and conditions of the Contract, and that the entire balance in said final certificate, is due and payable.
- 9.7.3 Neither the final payment nor any part of the retained percentage shall become due until the Contractor shall submit to the Designer releases or waivers of all liens arising out of the Contract; an affidavit that the releases and waivers include all the labor, materials, and equipment for which a lien could be filed and that all payrolls, material bills, and other indebtedness connected with the Work for which the Owner or its property might in any way be responsible have been paid or otherwise satisfied; and such other data establishing payment or satisfaction of all such obligations as the Owner may require. If any such lien or claim remains unpaid, the Contractor shall refund to the Owner all monies that the latter may be compelled to pay in discharging such lien or claim, including all costs.
- 9.7.4 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor, and the Designer so confirms, the Owner shall, upon certification by the Designer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted, or such portion as may be available from funds not already released to an escrow agent pursuant to IC 4-13.6-7. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.
- 9.7.5 The making of final payment shall constitute a waiver of all claims by the Owner except those arising from:
  - A. unsettled liens,
  - B. faulty work appearing after Substantial Completion,
  - C. failure of the Work to comply with the requirements of the Contract Documents,
  - D. terms of any special guarantees required by the Contract Documents.
- 9.7.6 If upon Substantial Completion of the Work there are any remaining uncompleted minor items, the Owner shall withhold, until those items are completed, an amount equal to two hundred percent (200%) of the value of each item as determined by the Designer or Owner.
- 9.7.7 The acceptance of final payment shall constitute a waiver of all claims by the Contractor, except those previously made in writing and still unsettled and covered by other agreed arrangements.

### ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

- 10.1 Safety Precautions and Programs
- 10.1.1 The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work.
- 10.2 Safety of Person and Property
- 10.2.1 The Contractor shall take all necessary precautions for the safety of, and will provide all necessary protection to prevent damage, injury, or loss to:
  - A. all employees on the Project and all other persons who may be affected thereby,
  - B. all the Work and all materials and equipment to be incorporated therein, whether in storage on or off the site, and,
  - C. other property at the site or adjacent thereto, including trees, shrubs, lawns, pavements, roadways, structures and

utilities not designated for removal, relocation or replacement in the course of construction.

- 10.2.2 The Contractor shall comply with all applicable laws, ordinances, rules, regulations and orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss. He shall erect and maintain, as required by the conditions and progress of the Work, all necessary safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations, and notifying owners and users of adjacent utilities.
- 10.2.3 All damage or loss to all property specified herein caused directly or indirectly, in whole or in part, by the Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable, shall be remedied by the Contractor, except damage or loss attributable solely to faulty Contract Documents or to the acts or omissions of the Owner, or Designer or their employees, or for those whose acts either of them may be liable.
- 10.2.4 The Contractor shall designate a responsible member of his organization on the Work whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent, unless otherwise designated in writing by the Contractor to the Owner and the Designer.
- 10.2.5 When the use or storage of explosives or other hazardous materials or equipment is necessary for the prosecution of the Work, the Contractor shall carry on such activities under the supervision of properly qualified personnel.
- 10.2.6 The Contractor shall not overload, or permit any part of the Work to be loaded so as to endanger its safety.
- 10.2.7 All excavations creating a trench of five (5) or more feet in depth shall strictly adhere to the shoring and other safety requirements called for and described under Indiana OSHA Regulation 29 C.F.R. 1926, Subpart "P", for trench safety systems.
- 10.3 Emergencies
- 10.3.1 In an emergency affecting the safety of persons or property, the Contractor shall act, at his discretion, to prevent threatened damage, injury or loss. Any additional compensation or extension of time claimed by the Contractor because of emergency work shall be determined as provided for in Article 12, Changes in the Work, and he shall notify the Owner of such a decision within seven (7) days of the event giving rise to such claim.

### ARTICLE 11 INSURANCE

- 11.1 General Requirements for Insurance
- 11.1.1 The Contractor will be required to furnish to the Owner, evidence that he has complied with all items of insurance listed herein. All insurance policies/certificates shall be on file with the Owner prior to release of the signed Contract and commencement of work.
- 11.1.2 The Contractor shall purchase and maintain, with a company or companies licensed to do business in Indiana, such insurance as will protect him from claims set forth below, arising out of or resulting from the Contractor's operations under the Contract, whether such operations be by the Contractor or by any Subcontractor or by anyone directly or indirectly employed by any of them:
  - A. claims under Workmen's Compensation Acts and other employee benefit acts;
  - B. claims for damages because of bodily injury, personal injury, occupational sickness or disease, or death of his employees;
  - C. claims for damages because of bodily injury, personal injury, sickness, disease or death of any person other than his employees;
  - D. claims for damages to tangible property, including loss of use thereof.
- 11.1.3 This insurance shall be written for not less than any limits of liability specified herein, or required by law, whichever is greater. Policies or certificates of insurance, acceptable to the Owner, shall be filed with the Owner prior to execution of the Contract. These Certificates shall contain a provision that coverages afforded under the policies will be for the life of the Work.
- 11.1.4 Policies (certificates) shall show name and complete address of the Company, expiration date or dates, and policy number or numbers. Policies shall not be canceled until at least thirty (30) days prior written notice has been given to the Owner and acknowledged by the Owner in writing.

### 11.2 Property Insurance

- 11.2.1 The Contractor shall furnish and maintain, at the Contractor's expense, Fire, Extended Coverage, Vandalism, and Malicious Mischief Insurance (Builder's Risk), in the sum of 100% of the Contract amount. Builder's Risk insurance shall cover the structure on/in which the Work of this Contract is to be done including items of labor and material connected therewith, whether in or adjacent to the structure insured; material in place or to be used as part of the permanent construction, including surplus materials; shanties, protective fences, bridges, or temporary structures; miscellaneous materials and supplies incident to the Work; scaffolding, staging, towers, forms, and equipment, if included in the cost of the Work. This insurance need not cover any tools owned by mechanics, or any tools, equipment, scaffolding, staging, towers, and forms owned or rented by the Contractor, the capital value of which is not included in the cost of the Work.
- 11.2.3 Any loss under this Article 11.2 is to be adjusted with the Owner, and made payable to the Owner as trustee for the insured, as their interests may appear.

### 11.3 Liability Insurance

- 11.3.1 The Contractor and their subcontractors (if any) shall secure and keep in force during the term of this Contract the following insurance coverages (if applicable) covering the Contractor for any and all claims of any nature which may in any manner arise out of or result from Contractor's performance under this Contract:
  - A. Commercial general liability, including contractual coverage, and products or completed operations coverage (if applicable), with minimum liability limits not less than \$700,000 per person and \$5,000,000 per occurrence unless additional coverage is required by the State. The State is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly under or in connection with this Contract.
  - B. Automobile liability for owned, non-owned and hired autos with minimum liability limits of \$700,000 per person and \$5,000,000 per occurrence. The State is to be named as an additional insured on a primary, non-contributory basis.
  - C. The Contractor shall provide proof of such insurance coverage by tendering to the undersigned State representative a certificate of insurance prior to the commencement of this Contract and proof of workers' compensation coverage meeting all statutory requirements of IC §22-3-2. In addition, proof of an "all states endorsement" covering claims occurring outside the State is required if any of the services provided under this Contract involve work outside of Indiana.
  - D. The Contractor's insurance coverage must meet the following additional requirements:
    - 1. The insurer must have a certificate of authority or other appropriate authorization to operate in the state in which the policy was issued.
    - 2. Any deductible or self-insured retention amount or other similar obligation under the insurance policies shall be the sole obligation of the Contractor.
    - 3. The State will be defended, indemnified and held harmless to the full extent of any coverage actually secured by the Contractor in excess of the minimum requirements set forth above. The duty to indemnify the State under this Contract shall not be limited by the insurance required in this Contract.
    - 4. The insurance required in this Contract, through a policy or endorsement(s), shall include a provision that the policy and endorsements may not be canceled or modified without thirty (30) days' prior written notice to the undersigned State agency.
    - 5. The Contractor waives and agrees to require their insurer to waive their rights of subrogation against the State of Indiana.
  - E. Failure to provide insurance as required in this Contract may be deemed a material breach of contract entitling the State to immediately terminate this Contract. The Contractor shall furnish a certificate of insurance and all endorsements to the State before the commencement of this Contract.
  - F. Boiler and Machinery Explosion Insurance shall be required when the Work includes boiler, other pressure

vessels or steam piping installation or repair.

G. After June 30, 2015, this entire Article will apply to <u>any</u> contractor that will be on the construction site pursuant to IC 5-16-13 and an acceptable certificate of insurance will be provided by each and every contractor

### ARTICLE 12 CHANGES IN THE WORK

### 12.1 Change Orders

- 12.1.1 The Owner, without invalidating the Contract, may order changes in the Work consisting of additions, deletions, or modifications, with the Contract Sum and the Contract Time being adjusted accordingly. All such changes in the Work shall be authorized by Change Order, and shall be executed under the applicable conditions of the Contract Documents.
- 12.1.2 A Change Order is a written order to the Contractor compiled and reviewed by the Designer, prepared by the Owner and then signed by the Owner and the Contractor. The order is issued after the execution of the Contract authorizing a change in the Work, and documenting any adjustment in the Contract Sum and/or the Contract Time. The Contract Sum may be changed only by change order.
- 12.1.3 The value of any work involved in a change in the Work shall be determined in one or more of the following ways, in order of priority listed:
  - A. by mutual acceptance of a lump sum. For all amounts over \$500, the Contractor shall provide a complete listing of quantities and unit prices of materials, hours of labor with cost per hour, and separate agreed percentages for any overhead and profit. The maximum aggregate increase for overhead and profit (including all home office and field office overhead) for any Subcontractor or for the Contractor performing his own work is fifteen (15%) percent; the maximum increase for a Contractor on work performed by a Subcontractor is five (5%) percent. If the cost of performance and payment bond(s) is shown as a separate line item in the Contractor's schedule of values for the project, then an increase will be permitted to provide for the additional cost of the bond(s). If the cost of the bond(s) is not indicated on the Contractor's schedule of values for the Project, any increase in cost for bond(s) shall be included in the Contractor's allowed overhead. For listings under \$500, list lump sum for each item, or,
  - B. by unit prices named in the Contract or subsequently agreed upon, or,
  - C. by cost plus a mutually acceptable fixed or percentage fee.
- 12.1.4 Should conditions be encountered below the surface of the ground that are:
  - A. at variance with the conditions indicated by the Contract Documents, and
  - B. different than could be expected after a reasonable viewing of the site by the bidders, and
  - C. not evident from available soil samples,

then the Contract sum may be equitably adjusted by Change Order upon claim by Contractor made within a reasonable time after the first observance of the conditions.

- 12.1.5 If the Contractor claims that a written interpretation issued pursuant to Article 1.2 or a written order for a minor change issued pursuant to Article 12.3 involves additional cost or time, the Contractor shall make such claim as provided in Article 12.2.
- 12.2 Claims for Additional Cost or Time
- 12.2.1 If the Contractor wishes to make a claim under the provisions of the Contract Documents for an increase in the Contract Sum or an extension in the Contract Time, he shall give the Designer written notice thereof within fifteen (15) days after the occurrence of the event giving rise to such claim. This notice shall be given by the Contractor and authority received in writing from the Owner before proceeding to execute the Work, except in an emergency endangering life or property. No such claim shall be valid unless so made. Any approved change in the Contract Sum or Contract Time resulting from such claim shall be incorporated in a Change Order, initiated by the Designer and executed by the Owner. If the Designer does not initiate or the Owner execute a Change Order within a reasonable time in response to the request, such lack of action shall be construed as prima facie evidence of rejection of the request. For the purpose of this section "reasonable time" is expected not to exceed 30 days after receipt by the Owner.
- 12.3 Minor Changes in the Work
- 12.3.1 The Designer shall have authority, with Owner's approval, to order minor changes in the Work not involving an increase in the Contract Sum or an extension of the Contract Time and not inconsistent with the intent of the Contract Documents. Such change may be affected by written field order, with copy transmitted to the Owner. Such minor changes need not be approved in writing by the Owner; however, the Owner may provide written approval of any substitution of significant materials or equipment.
- 12.4 Field Orders
- 12.4.1 The Designer may issue written field orders, which interpret the Contract Documents in accordance with Article 1.2.4 without change in Contract Sum or Contract Time. The Contractor shall carry out such field orders promptly. The Designer shall Page 17 of 19

transmit copies of field orders to the Owner.

### ARTICLE 13 EXAMINATION AND CORRECTION OF WORK

### 13.1 Examination of Work

- 13.1.1 If any portion of the Work should be covered contrary to the request of the Designer or to requirements specifically expressed in the Contract Documents, it must, if required in writing by the Designer, be uncovered for his observation and shall be replaced at the Contractor's expense.
- 13.1.2 Examination of questioned work may be ordered by the Designer with the approval of the Owner, and if so ordered the Work must be uncovered by the Contractor. If such work were found in accordance with the Contract Documents, the cost of reexamination and replacement shall, by appropriate change order, be charged to the Owner. If such work be found not in accordance with the Contract Documents, the Contractor shall pay such costs, unless it is found that the defect in the Work was caused by a separate Contractor employed as provided in Article 6 and in that event, the separate Contractor shall pay such costs.
- 13.2 Correction of Work before Substantial Completion
- 13.2.1 The Contractor shall promptly remove from the site all work rejected by the Designer as failing to conform to the Contract Documents, whether or not incorporated in the Project, and the Contractor shall promptly replace and re-execute his own work in accordance with the Contract Documents and without cost to the Owner and shall bear the cost of repair to or replacement of all work of separate Contractors destroyed or damaged by such removal or replacement.
- 13.2.2 If the Contractor does not remove such rejected work within a reasonable time, fixed by written notice from the Designer, the Owner may remove and store the material at the expense of the Contractor. If the Contractor does not agree to pay or credit the Contract with the cost of such removal within ten days thereafter, the Owner may acquire a lien upon such property and materials. If proceeds of lien foreclosure do not cover all costs, which the Owner has then borne, the difference shall be deducted from the amount to be paid to the Contractor.
- 13.3 Correction of Work after Substantial Completion
- 13.3.1 The Contractor shall correct all faults and deficiencies in the Work which appear within one year of the date of substantial completion or such longer period of time as may be prescribed by the terms of any special guarantees called for by the Contract Documents, and he shall pay for all damage to other work caused thereby. The Contractor shall remove all defective work where necessary.
- 13.3.2 If the Contractor does not correct such faulty or defective work and remove defective work where necessary, within a reasonable time fixed by the Designer in writing, the Owner may do the corrective work and remove the defective work, as described in Article 13.2 above.
- 13.3.3 All costs attributable to correcting and removing faulty or defective work shall be borne by the Contractor.
- 13.3.4 The obligations of the Contractor under this Article 13.3 shall be in addition to and not a limitation of any obligations imposed upon him by special guarantees called for by the Contract Documents or otherwise prescribed by law.

### ARTICLE 14 TERMINATION OF THE CONTRACT

- 14.1 Termination by the Contractor
- 14.1.1 If the Work is stopped for a period of thirty days under an order of any court or other public authority through no act of fault of the Contractor or of anyone employed by the Contractor, or if the Work should be stopped for a period of thirty days by the Contractor for the Designer's failure to issue a Certificate for payment as provided in Article 9.6, or for the Owner's failure to make payment thereon as provided in said Article, then the Contractor may, upon seven days' written notice to the Owner and the Designer, terminate the Contract and recover from the Owner, in satisfaction of all claims of the Contractor, payment for all work executed, except those items involved in Designer's failure to issue Certificate, or Owner's failure to make payment.
- 14.2 Termination by the Owner
- 14.2.1 If the Contractor should be adjudged bankrupt, or if he should make a general assignment for the benefit of his creditors, or if a receiver should be appointed on account of his insolvency, or if he should persistently or repeatedly refuse or should fail, except in cases for which extension of time is provided, to supply enough properly skilled workmen or proper materials, or if he should fail to make prompt payment to Subcontractors for materials or labor, or persistently disregard laws, ordinances, rules, regulations or orders of any public authority or otherwise be guilty of a substantial violation of a provision of the Contract Documents, then the Owner, upon certification by the Designer that sufficient cause exists to justify such action, may without prejudice to any right or remedy against the Contractor or his surety and after giving the Contractor and his surety seven days written notice, terminate the employment of the Contractor and take possession of the site and of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor and finish the Work by whatever method the Owner

deems expedient. In such case the Contractor shall not be entitled to receive any further payment until the Work is completed, and an accounting made as set out below.

14.2.2 If the unpaid balance of the Contract sum exceeds the cost of finishing the Work, including compensation for the Designer's additional services such excess shall be paid to the Contractor. If such cost exceeds such unpaid balance, the Contractor shall pay the difference to the Owner. The Designer shall certify the cost incurred by the Owner as herein provided.

**END** 

# Indiana Department of Administration M/WBE Participation Policy for Construction Projects

### I. Introduction

The Indiana Department of Administration ("IDOA") in its commitment to Minority and Women participation in the state's procurement and contracting process, will require MBE and WBE participation or a best-efforts waiver as a specification in bids for construction services \$150,000 and over with subcontracting opportunities effective January 1, 2006. *See* Indiana Code 5-22-7, 5-22-7-2, 5-22-7-4.

### II. Definitions

"<u>Application for MBE and WBE Program Waiver</u>" means documents submitted by Bidder for relief from contract goal after demonstrating all reasonable good faith efforts were made by the Bidder for the purpose of fulfilling the contract goal. The Application for MBE and WBE Program Waiver may be submitted prior to the bid due date or included in the bid package response.

"Certification" means verification by the Indiana Department of Administration, Minority and Women's Business Enterprises Division ("MWBED") or an organization accepted by MWBED with respect to the authenticity of a minority or women owned business enterprise.

"Commercially useful function" Determination that an enterprise performs a commercially useful function will be made based on the following considerations:

- (1) An MBE or a WBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the MBE or WBE must also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether an MBE or a WBE is performing a commercially useful function, one must evaluate the following:
  - (A) The amount of work subcontracted.
  - (B) Industry practices.
  - (C) Whether the amount the enterprise is to be paid under the contract is commensurate with the work it is actually performing.
  - (D) The credit claimed for its performance of the work.
  - (E) Other relevant factors.
- (2) An MBE or a WBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of MBE or WBE participation. In determining whether an MBE or a WBE is such an extra participant, one must examine similar transactions, particularly those in which MBEs or WBEs do not participate.
- (3) In the case of construction contracts, if:
  - (A) an MBE or a WBE does not perform or exercise responsibility for at least the agency's requisite percent of the total cost of its contract with its own workforce; or
  - (B) the MBE or WBE subcontracts a greater portion of the work of a contract than would be expected on the basis of normal industry practice for the type of work involved;

it is presumed that the enterprise is not performing a commercially useful function.

"Letter of Commitment" means a letter obtained from the MBE and WBE's by the Bidders. The Letter of Commitment is a signed letter(s), on company letterhead, from the minority and/or women certified business. It must be produced no later than 24 hours after the bid due date and time. This letter(s) shall state and will serve as acknowledgement from the minority and/or women certified business of their level of participation in this solicitation, the dollar amount of the commitment, the scope of service or product to be provided and the anticipated dates of utilization.

"Minority and Women Business Enterprises Division (MWBED)" means the Division which acts on behalf of the state to actively promote, monitor, and enforce the MBE AND WBE program. The final authority on all matters pertaining to the maintenance and administration of the MBE AND WBE program and compliance thereto.

"Minority/Woman Business Enterprise (MBE and WBE)" means an individual, partnership, corporation, limited liability company, or joint venture of any kind that is at least fifty-one percent (51%) owned and controlled by one (1) or more persons who are United States citizens and a member(s) of a minority group. The MBE and WBE must meet the eligibility requirements of 25 IAC 5.

"<u>Participation Plan</u>" means the IDOA prescribed document that sets forth the MBE and WBE subcontractors that will perform work under the contract.

# III. Minority and Women Business Enterprise Certification

MBE and WBEs must be listed on the IDOA directory of certified firms at the time the bid is submitted to be eligible to meet the contract goals. The bidder should verify that a firm is certified before the bid is submitted.

Questions regarding Certification should be addressed to the following:

Indiana Department of Administration
Minority and Women's Business Enterprises Division
402 West Washington Street, Room W469
Indianapolis, IN 46204
(317) 232-3061
www.buyindiana.in.gov
mwbe@idoa.in.gov

# IV. Bidding Process

IDOA will review projects for viable subcontracting opportunities. All projects will be governed by this policy unless otherwise stated.

A representative from MWBED will attend most pre-bid meetings to discuss and answer questions related to the MBE and WBE participation requirement. The MWBED will be available to assist Bidders in locating MBE and WBE firms to engage in the contract.

The 2007-2008 Contract Goals for construction projects are 7% for MBE's and 5% for WBE's.

Effective January 1, 2006, the following procedures will be implemented in the acceptance and evaluation of responsive and responsible bids.

Bidders must produce a Participation Plan on the approved form listing the utilization of MBE and WBE subcontractors who will be providing a commercially useful function on the project. Letter of Commitment from MBE and WBE firms they plan to engage in the contract if successful on the bid

Per 25 IAC 5-6-2(d), all prime contractors, including MBE and WBE prime contractors, must meet the sub-contracting goals through use of businesses found in the IDOA directory of certified firms. MBE and WBE prime contractors will get no credit toward the contract goal for the use of their own workforce.

If the bidder can not achieve the Contract Goals established for the bid package, the bidder shall submit a Waiver Application on the form supplied by MWBED. Bidders may submit waiver applications to MWBED up to two business days in advance of the bid due date to obtain advance approval of the waiver, or the application may be submitted without advance approval with the bid package. Bidders who submit a Participation Plan that will achieve the Contract Goals are not required to submit a Waiver Application.

If a partial waiver is being requested, a Participation Plan listing the MBE and WBE certified firms that will be used to satisfy the portion of the goal that will be met, must be included. Partial waivers may be requested using the waiver application process discussed above. A faxed copy of the Letter of Commitment for each MBE and WBE firm that is listed in the Participation Plan must be provided by the Low Bidder to the appropriate department no later than 24 hours after the bid due date and time. The original letter(s) must be provided upon receipt.

MWBED will review Applications for MBE and WBE Program Waivers and make a determination as to the bidder's responsiveness and good faith efforts. Evidence of efforts should be included with the waiver form. Any combination of the following criteria may be utilized in determining whether good faith efforts have been made:

- A. Notice to MBE and WBEs. Whether and when the bidder provided written notice, by mail, hand delivery, facsimile or electronic transmission to all qualified MBE and WBEs that perform the type of work to be subcontracted and advising the MBE and WBEs:
  - 1. of the subject work the bidder intends to subcontract;
  - 2. that their interest in Subcontracts is being solicited;
  - 3. how to obtain information for the review and inspection of Contract plans and specifications; and
  - 4. how to bid on the subcontracting opportunities and deadlines.
- B. Economically Feasible Subcontract. Whether the bidder selected economically feasible portions of the work to be performed by an MBE and WBE, including, when appropriate, breaking Subcontracts into smaller pieces or combining elements of work into economically feasible units. The ability of the bidder to perform the work with its own forces will not excuse the bidder from making positive efforts to meet the MBE and WBE goals.

- C. Consideration of all MBE and WBE Quotations. Whether the bidder considered all quotations received from MBE and WBEs and, for those quotations not accepted, an explanation of why the MBE and WBE will not be used during the course of the Project. Receipt of a lower quotation from a non-MBE and WBE will not, in itself, excuse bidder's failure to meet the MBE and WBE goals. Price alone does not constitute an acceptable basis for rejecting MBE and WBE subcontractor bids unless the bidder can demonstrate that a reasonable price was not obtained from an MBE and WBE.
- D. MBE and WBE Barrier Assistance. Whether the bidder provided assistance to interested MBE and WBE firms: in reviewing the Contract plans and specifications or addressing other barriers to subcontracting.
- E. Advertisement. Whether the bidder advertised to search for prospective MBE and WBEs to participate in the Contract.
- F. Agency Assistance. Whether the bidder contacted any of the following agencies for the purpose of locating prospective MBE and WBEs:
  - Indiana Department of Administration
     Minority and Women's Business Enterprises Division
     402 West Washington Street, Room W469
     Indianapolis, IN 46204
     (317) 232-3061
     mwbe@idoa.in.gov
  - Indiana Business Diversity Council, Inc. 2126 North Meridian Street Indianapolis, IN 46202 (317) 921-2678 mdhouse@inbdc.org
- G. Research Participation Areas. Whether the bidder made efforts to research other possible areas of participation including supplying, shipping, engineering and any other role that may contribute to the production and delivery of the products or services needed to fulfill the Contract.
- H. Response Time. The time the bidder allowed for a meaningful response to its solicitations.
- I. Documentation of Statements from MBE and WBEs. Any documentation or statements received from MBE and WBEs who have been listed as having been contacted by the bidder.
- J. Availability of MBE and WBEs. The availability of MBE and WBEs to perform the work and the availability, or lack of availability, of MBE and WBEs in the location where the work is to be performed.
- K. Other Criteria. Any other criteria deemed appropriate by MWBED.

This list is not intended to be exclusive or exhaustive. The bidder may also submit documentation of other types of efforts that they have taken which reflect the quality, quantity and intensity of those efforts.

When evaluating Waiver Applications, MWBED reserves the right to verify that any information supplied on the Participation Plan and Wavier Application is accurate. By the submittal of a bid, the bidder acknowledges the right of MWBED to ensure compliance with the Participation Program and thereby agrees to provide, upon request, earnest, diligent and prompt cooperation in MWBED's verification process.

In cases where MWBED concludes the bidder's Participation Plan and the Waiver Application is deficient through no fault of the bidder, the bidder may be instructed to submit a modified Participation Plan within five (5) working days from the date of such notice. Failure to submit the modified Participation Plan within the specified period of time, may result in the bid being considered non-responsive and may be rejected.

In cases where MWBED concludes that the Participation Plan and Waiver Application is deficient or in cases where MWBED has determined that the bidder has not cooperated with its efforts to verify the submitted documentation, a bid may be considered non-responsive and may be rejected.

If the established Contract Goals are not achieved but the Waiver Application is granted, the bid will be considered responsive. If the established Contract Goals are not achieved and the Waiver Application is denied, a bid may be considered non-responsive and may be rejected.

Failure to provide the Participation Plan and/or a Waiver Application accounting for the total participation goal set for the project will result in the bid being considered non-responsive and the bid may be rejected.

By submission of a bid, a bidder thereby acknowledges and agrees to be bound by the regulatory process set forth in 25 IAC 5.

A bidder who knowingly or intentionally misrepresents the truth about either the status of a firm that is being proposed as an MBE and WBE or who misrepresents the level of the nature of the amount to be subcontracted to the MBE and WBE may suffer penalties pursuant to Indiana Code 5-16-6.5-5.

A Contractor who knowingly or intentionally misrepresents the truth about his/her status as an MBE and WBE or who misrepresents the level or the nature of the amount subcontracted to his/her firm may suffer penalties pursuant to Indiana Code 35-44-2-1.

# V. Compliance

Contractors shall contract with all MBE and WBE firms listed on the Participation Plan. The subcontract or purchase order shall be for an amount that is equal to, or greater than, the total dollar amount listed on the form.

Contractors shall notify MWBED immediately if any firm listed on the Participation Plan refuses to enter into a subcontract or fails to perform according to the requirements of the subcontract.

The Contractor's proposed MBE and WBE Contract Goals will become incorporated into and a requirement of the Contract. Contractors shall not substitute, replace or terminate any MBE and WBE firm without prior written authorization from MWBED and the Owner.

Contractors shall cooperate and participate in compliance reviews as determined necessary by MWBED. Contractors shall provide all necessary documentation to show proof of compliance with the requirements as requested by MWBED.

## VI. Non Compliance

A bid governed by this policy that does not meet the participation goals or does not receive an approved waiver will NOT be considered.

After the bid is awarded and if it is determined by MWBED that the Contractor is not in compliance with this Participation Program, MWBED will notify the Contractor within ten (10) days after the initial compliance review or the site visit and identify the deficiencies found and the required corrective action that should be taken to remedy the deficiencies within a specific time period.

If a Contractor is found non-compliant, the Contractor must submit, in writing, a specific commitment, in writing, to correct the deficiencies. The commitment must include the precise action to be taken and the date for completion.

If MWBED determines the Contractor has failed to comply with the provisions of this Participation Program, Contractor's Utilization Statement or 25 IAC 5, IDOA may impose any or all of the following sanctions:

- a. Withholding payment on the Contract until such time that satisfactory corrective measures are made.
- b. Adjustment to payments due or the permanent withholding of retainages of the Contract.
- c. Suspension or termination of the specific Contract in which the deficiency is known to exist. In the event this sanction is employed, the Contractor will be held liable for any consequential damages arising from the suspension or termination of the Contract, including damages caused as a result of the delay or from increased prices incurred in securing the performance of the balance of the work by other Contractors.
- d. Recommendation to the certification board to revoke the contractor's certification status with the Public Works Division of IDOA. This recommendation may result in the suspension or revocation of the contractor's ability to perform on future state contracts for a period no longer than thirty-six (36) months.
- e. Continued non-compliance may be deemed a material breach of the agreement between MWBED and Contractor, whereupon MWBED shall have all the rights and remedies available to it under the Contract or at law.
- f. Suspension, revocation, or denial of the MBE or WBE certification and eligibility to participate in the MBE or WBE program for a period of not more than thirty-six (36) months.

### VII. Forms and Attachments

Minority Participation Plan Good Faith Efforts Worksheet

# STATE OF INDIANA'S STANDARD CONTRACT FOR PUBLIC WORKS CONSTRUCTION PROJECT

(for projects estimated more than \$150,000) WORKS PROJECTNUMBER XXXXX [INSERT] PROJECT DESCRIPTION [INSERT] INSTITUTION/DEPARTMENT

**THIS IS A PUBLIC WORKS CONSTRUCTION CONTRACT** ("Contract"), entered into by and between the Indiana Department of Administration's Public Works Division ("State") and **XXXXXXXXX** ("Contractor"), executed pursuant to the terms and conditions set forth herein and is governed by Indiana Code 4-13.6, *et seq*.

**1. Definitions.** The following definition applies throughout this Contract:

For purposes of the State's Public Works Project Number XXXXX ("Project"), the term "Contract Documents" shall mean and include the following: this Contract and the Project Bid Package, which includes the Contractor's Application for Pre-Qualification, the Public Work's Solicitation for Quotation (DAPW 30), Bid Documentation, Pre-Contract Document, General Conditions (DAPW 26), Supplementary Conditions, Instructions to Bidders, Drawings, Specifications, and Addenda issued by the State in connection with the Project and prior to the submission of the Contractor's Proposal.

Subject to Section 39, *Order of Precedence, Incorporation by Reference*, of this Contract, Contract Documents shall also consist of the Contractor's Proposal and Response, as well as any other documentation submitted by it in response to the Project (hereinafter collectively referred to as "Contractor's Proposal").

Additionally, Contract Documents shall include any subsequent amendments, change orders and any written interpretations issued as field orders by the Designer pursuant to General Conditions, Article 1.2 (DAPW 26) and all field orders for minor changes by the Designer pursuant to General Conditions, Article 12.3 (DAPW 26). Change orders and amendments shall be executed in the manner authorized by Section 35, *Merger and Modification*, of this Contract.

When applicable, Contract Documents shall include the Performance Bond and/or the Labor and Materials Payment Bond, as required by IC 4-13.6-7-6 and IC 4-13.6-7-7, and fully described and captured in the General Conditions (DAPW 26).

The Contract Documents are specifically and collectively incorporated herein by reference.

- **2. Duties of Contractor.** The Contractor shall furnish all labor and materials, perform all of the work, and otherwise fulfill all of its obligations in conformance with the Contract Documents. These duties are described and captured in the Contract Documents. The Contractor agrees that not less than fifthteen percent (15%) of the work, measured in dollar volume, will be performed by its own forces. Any subcontractor employed for any part of this Contract awarded in excess of One Hundred Fifty Thousand Dollars (\$150,000.00) shall be qualified with the State of Indiana's Public Works Division Certification Board and shall have a valid Certificate of Qualification in the prime classification of work for this Contract.
- **3.** Consideration. All payments provided herein are subject to appropriations made and funds allocated as provided by laws of the State of Indiana. The State shall pay the Contractor for performance of this Contract in current funds as follows:

BASE BID: **\$XXXXXX.00** 

ALTERNATE(S):

TOTAL CONTRACT PRICE: \$XXXXXX.00

**4. Term.** The work to be performed under this Contract shall commence within ten (10) days of the last signatory to this Contract. The work shall be completed within **XXX** calendar days.

### **5. Conflict of Interest.** As used in this section:

"Immediate family" means the spouse, partner, housemate or the unemancipated children of an individual, as defined by 42 Indiana Administrative Code 1-3-13. "Interested party," means:

- 1. The individual executing this Contract;
- 2. An individual who has an ownership interest of three percent (3%) or more of the Contractor, if the Contractor is not an individual; or
- 3. Any member of the immediate family of an individual specified under Subdivision 1 or 2. "State" means the Indiana Department of Administration.
- "State employee" means a state employee, a special state appointee or a state officer, as defined by IC 4-2-6-1(a)(9), (a)(18) and (a)(19), respectively.
- A. The Contractor covenants that it neither has, nor will it have, a direct or indirect financial interest by way of an interested party in any other contract connected or associated with this Contract. The Contractor further represents and warrants that no state employee, who is an interested party of the Contractor as sole proprietor, or who serves as an officer, director, trustee, partner or employee of the Contractor as a legal business entity, participated in any decision or vote of any kind in the award of this Contract. As such and by the execution of this Contract, the Contractor represents and warrants that the result of this Contract does not and will not create a conflict of interest under IC 4-2-6-9 or IC 4-2-6-10.5.
- B. The State may cancel this Contract, without recourse by the Contractor, if an interested party is a state employee and a violation of IC 4-2-6-9 or IC 4-2-6-10.5 has occurred.
- C. The State will not exercise its right of cancellation under Section B above, if the Contractor provides the State an opinion from the State Ethics Commission indicating that the existence of this Contract and the employment by the State of the interested party does not violate any statute or rule relating to ethical conduct of state employees. The State may take action, including cancellation of this Contract, consistent with an opinion of the State Ethics Commission obtained under this Section.
- D. The Contractor has an affirmative obligation under this Contract to disclose to the State when an interested party is or becomes a state employee. The obligation under this section extends only to those facts that the Contractor knows or reasonably should know.
- **6. Licensing Standards.** The Contractor and its employees and subcontractors shall comply with all applicable licensing standards, certification standards, accrediting standards and any other laws, rules or regulations governing services to be provided by the Contractor pursuant to this Contract. The State shall not be required to pay the Contractor for any services performed when the Contractor, its employees or

subcontractors are not in compliance with such applicable standards, laws, rules or regulations. If licensure, certification or accreditation expires or is revoked, or if disciplinary action is taken against the applicable licensure, certification or accreditation, the Contractor shall notify the State immediately and the State, at its option, may immediately terminate this Contract.

- **7. Escrow Agreement.** Contemporaneously with the execution of this Contract, the parties may provide for the escrow of retained portions of payments to the Contractor by entering into a separate Escrow Agreement, pursuant to IC 4-13.6-7, with an escrow agent described in IC 4-13.6-7-2(b). Should the Contractor elect to escrow retainage, the Escrow Agreement will become a part of this contract as if fully contained herein.
- **8.** Contractor's Certification. The Contractor certifies that it has been pre-qualified by the State of Indiana's Public Works Division Certification Board to perform the work and furnish the services required by this Project. The Contractor further certifies that all information and documentation submitted by it in its Application for Prequalification Certification, the Contractor's Proposal and submitted in response to the Project, is true, accurate and complete as of the date of this Contract's effectiveness. The Contractor shall immediately notify the State of any material change to such information. The Contractor shall immediately notify the State if, during the course of performance of this Contract, it or any of its principals are proposed for debarment or ineligibility, or become debarred or declared ineligible, from entering into contracts with the federal government or any department, agency or political subdivision of the State.
- **9. Contractor Employee Drug Testing.** Pursuant to IC 4-13-18, the Contractor shall implement the employee drug testing program submitted as part of its Contractor's Proposal. The State may cancel this Contract if it determines that the Contractor:
  - A. Has failed to implement its employee drug testing program during the term of this Contract;
  - B. Has failed to provide information regarding implementation of the Contractor's employee drug testing program at the request of the State; or
  - C. Has provided to the State false information regarding the Contractor's employee drug testing program.
- **10.** Access to Records. The Contractor and its subcontractors, if any, shall maintain all books, documents, papers, accounting records, and other evidence pertaining to all costs incurred under this Contract. They shall make such materials available at their respective offices at all reasonable times during this Contract, and for three (3) years from the date of final payment under this Contract, for inspection by the State or its authorized designees. Copies shall be furnished at no cost to the State if requested.
- 11. Assignment; Successors. The Contractor binds its successors and assignees to all the terms and conditions of this Contract. The Contractor shall not assign or subcontract the whole or any part of this Contract without the State's prior written consent. The Contractor may assign its right to receive payments to such third parties as the Contractor may desire without the prior written consent of the State, provided that the Contractor gives written notice (including evidence of such assignment) to the State thirty (30) days in advance of any payment so assigned. The assignment shall cover all unpaid amounts under this Contract and shall not be made to more than one party.
- **12. Assignment of Antitrust Claims.** As part of the consideration for the award of this Contract, the Contractor assigns to the State all right, title and interest in and to any claims the Contractor now has, or

may acquire, under state or federal antitrust laws relating to the products or services which are the subject of this Contract.

**13. Audits**. The Contractor acknowledges that it may be required to submit to an audit of funds paid through this Contract. Any such audit shall be conducted in accordance with IC §5-11-1, *et seq.*, and audit guidelines specified by the State.

The State considers the Contractor to be a "vendor" for purposes of this Contract. However, if required by applicable provisions of the Office of Management and Budget Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations), following the expiration of this Contract the Contractor shall arrange for a financial and compliance audit of funds provided by the State pursuant to this Contract. Such audit is to be conducted by an independent public or certified public accountant (or as applicable, the Indiana State Board of Accounts), and performed in accordance with Indiana State Board of Accounts publication entitled "Uniform Compliance Guidelines for Examination of Entities Receiving Financial Assistance from Governmental Sources," and applicable provisions of the Office of Management and Budget Circulars A-133 (Audits of States, Local Governments, and Non-Profit Organizations). The Contractor is responsible for ensuring that the audit and any management letters are completed and forwarded to the State in accordance with the terms of this Contract. Audits conducted pursuant to this paragraph must be submitted no later than nine (9) months following the close of the Contractor's fiscal year. The Contractor agrees to provide the Indiana State Board of Accounts and the State an original of all financial and compliance audits. The audit shall be an audit of the actual entity, or distinct portion thereof that is the Contractor, and not of a parent, member, or subsidiary corporation of the Contractor, except to the extent such an expanded audit may be determined by the Indiana State Board of Accounts or the State to be in the best interests of the State. The audit shall include a statement from the Auditor that the Auditor has reviewed this Contract and that the Contractor is not out of compliance with the financial aspects of this Contract.

If Federal Funds are involved in this Contract, the State also considers the Contractor to be a "Contractor" under 2 C.F.R. 200.330 for purposes of this Contract. However, if required by applicable provisions of 2 C.F.R. 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements), Contractor shall arrange for a financial and compliance audit, which complies with 2 C.F.R. 200.500 *et seq*.

- **14. Authority to Bind Contractor**. The signatory for the Contractor represents that he/she has been duly authorized to execute this Contract on behalf of the Contractor and has obtained all necessary or applicable approvals to make this Contract fully binding upon the Contractor when his/her signature is affixed, and accepted by the State.
- **15.** Changes in Work. The Contractor shall not commence any additional work or change the scope of the work until authorized in writing by the State. The Contractor shall make no claim for additional compensation in the absence of a prior written approval and amendment executed by all signatories hereto. This Contract may only be amended, supplemented or modified by a written document executed in the same manner as this Contract.

### 16. Compliance with Laws.

A. The Contractor shall comply with all applicable federal, state, and local laws, rules, regulations, and ordinances, and all provisions required thereby to be included herein are hereby incorporated by reference. The enactment or modification of any applicable state or federal statute or the promulgation of rules or regulations thereunder after execution of

- this Contract shall be reviewed by the State and the Contractor to determine whether the provisions of this Contract require formal modification.
- B. The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State as set forth in IC §4-2-6, et seq., IC §4-2-7, et seq., the regulations promulgated thereunder, and Executive Order 04-08, dated April 27, 2004. If the Contractor has knowledge, or would have acquired knowledge with reasonable inquiry, that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor shall ensure compliance with the disclosure requirements in IC 4-2-6-10.5 prior to the execution of this contract. If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Inspector General's website at http://www.in.gov/ig/. If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this Contract immediately upon notice to the Contractor. In addition, the Contractor may be subject to penalties under IC §§4-2-6, 4-2-7, 35-44-1-3, and under any other applicable laws.
- C. The Contractor certifies by entering into this Contract that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments to the State of Indiana. The Contractor agrees that any payments currently due to the State of Indiana may be withheld from payments due to the Contractor. Additionally, further work or payments may be withheld, delayed, or denied and/or this Contract suspended until the Contractor is current in its payments and has submitted proof of such payment to the State.
- D. The Contractor warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by the State, and agrees that it will immediately notify the State of any such actions. During the term of such actions, the Contractor agrees that the State may delay, withhold, or deny work under any supplement, amendment, change order or other contractual device issued pursuant to this Contract.
- E. If a valid dispute exists as to the Contractor's liability or guilt in any action initiated by the State or its agencies, and the State decides to delay, withhold, or deny work to the Contractor, the Contractor may request that it be allowed to continue, or receive work, without delay. The Contractor must submit, in writing, a request for review to the Indiana Department of Administration (IDOA) following the procedures for disputes outlined herein. A determination by IDOA shall be binding on the parties. Any payments that the State may delay, withhold, deny, or apply under this section shall not be subject to penalty or interest, except as permitted by IC §5-17-5.
- F. The Contractor warrants that the Contractor and its subcontractors, if any, shall obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations in the performance of work activities for the State. Failure to do so may be deemed a material breach of this Contract and grounds for immediate termination and denial of further work with the State.
- G. The Contractor affirms that, if it is an entity described in IC Title 23, it is properly registered and owes no outstanding reports to the Indiana Secretary of State.

- H. As required by IC §5-22-3-7:
  - (1) The Contractor and any principals of the Contractor certify that:
    - (A) The Contractor, except for de minimis and nonsystematic violations, has not violated the terms of:
      - (i) IC §24-4.7 [Telephone Solicitation Of Consumers];
      - (ii) IC §24-5-12 [Telephone Solicitations]; or
      - (iii) IC §24-5-14 [Regulation of Automatic Dialing Machines];

in the previous three hundred sixty-five (365) days, even if IC §24-4.7 is preempted by federal law; and

- (B) The Contractor will not violate the terms of IC §24-4.7 for the duration of the Contract, even if IC §24-4.7 is preempted by federal law.
- (2) The Contractor and any principals of the Contractor certify that an affiliate or principal of the Contractor and any agent acting on behalf of the Contractor or on behalf of an affiliate or principal of the Contractor, except for de minimis and nonsystematic violations,
  - (A) Has not violated the terms of IC §24-4.7 in the previous three hundred sixty-five (365) days, even if IC §24-4.7 is preempted by federal law; and
  - (B) Will not violate the terms of IC §24-4.7 for the duration of the Contract, even if IC §24-4.7 is preempted by federal law.
- **17. Condition of Payment**. All services provided by the Contractor under this Contract must be performed to the State's reasonable satisfaction, as determined at the discretion of the undersigned State representative and in accordance with all applicable federal, state, local laws, ordinances, rules and regulations. The State shall not be required to pay for work found to be unsatisfactory, inconsistent with this Contract or performed in violation of and federal, state or local statute, ordinance, rule or regulation.
- **18.** Confidentiality of State Information. The Contractor understands and agrees that data, materials, and information disclosed to the Contractor may contain confidential and protected information. The Contractor covenants that data, material, and information gathered, based upon or disclosed to the Contractor for the purpose of this Contract will not be disclosed to or discussed with third parties without the prior written consent of the State.

The parties acknowledge that the services to be performed by Contractor for the State under this Contract may require or allow access to data, materials, and information containing Social Security numbers maintained by the State in its computer system or other records. In addition to the covenant made above in this section and pursuant to 10 IAC 5-3-1(4), the Contractor and the State agree to comply with the provisions of IC §4-1-10 and IC §4-1-11. If any Social Security number(s) is/are disclosed by Contractor, Contractor agrees to pay the cost of the notice of disclosure of a breach of the security of the system in addition to any other claims and expenses for which it is liable under the terms of this Contract.

### 19. Continuity of Services.

- A. The Contractor recognizes that the service(s) to be performed under this Contract are vital to the State and must be continued without interruption and that, upon Contract expiration, a successor, either the State or another contractor, may continue them. The Contractor agrees to:
  - 1. Furnish phase-in training; and
  - 2. Exercise its best efforts and cooperation to effect an orderly and efficient transition to a successor.
- B. The Contractor shall, upon the State's written notice:
  - 1. Furnish phase-in, phase-out services for up to sixty (60) days after this Contract expires; and
  - Negotiate in good faith a plan with a successor to determine the nature and extent of phase-in, phase-out services required. The plan shall specify a training program and a date for transferring responsibilities for each division of work described in the plan, and shall be subject to the State's approval. The Contractor shall provide sufficient experienced personnel during the phase-in, phase-out period to ensure that the services called for by this Contract are maintained at the required level of proficiency.
- C. The Contractor shall allow as many personnel as practicable to remain on the job to help the successor maintain the continuity and consistency of the services required by this Contract. The Contractor also shall disclose necessary personnel records and allow the successor to conduct on-site interviews with these employees. If selected employees are agreeable to the change, the Contractor shall release them at a mutually agreeable date and negotiate transfer of their earned fringe benefits to the successor.
- D. The Contractor shall be reimbursed for all reasonable phase-in, phase-out costs (i.e., costs incurred within the agreed period after contract expiration that result from phase-in, phase-out operations).

### 20. Debarment and Suspension.

- A. The Contractor certifies by entering into this Contract that neither it nor its principals nor any of its subcontractors are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Contract by any federal agency or by any department, agency or political subdivision of the State of Indiana. The term "principal" for purposes of this Contract means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Contractor.
- B. The Contractor certifies that it has verified the state and federal suspension and debarment status for all subcontractors receiving funds under this Contract and shall be solely responsible for any recoupment, penalties or costs that might arise from use of a suspended or debarred subcontractor. The Contractor shall immediately notify the State if any subcontractor becomes debarred or suspended, and shall, at the State's request,

take all steps required by the State to terminate its contractual relationship with the subcontractor for work to be performed under this Contract.

21. **Default by State**. If the State, sixty (60) days after receipt of written notice, fails to correct or cure any material breach of this Contract, the Contractor may cancel and terminate this Contract and institute measures to collect monies due up to and including the date of termination.

### 22. Disputes.

- A. Should any disputes arise with respect to this Contract, the Contractor and the State agree to act immediately to resolve such disputes. Time is of the essence in the resolution of disputes.
- B. The Contractor agrees that, the existence of a dispute notwithstanding, it will continue without delay to carry out all of its responsibilities under this Contract that are not affected by the dispute. Should the Contractor fail to continue to perform its responsibilities regarding all non-disputed work, without delay, any additional costs incurred by the State or the Contractor as a result of such failure to proceed shall be borne by the Contractor, and the Contractor shall make no claim against the State for such costs.
- C. If the parties are unable to resolve a contract dispute between them after good faith attempts to do so, a dissatisfied party shall submit the dispute to the Commissioner of the Indiana Department of Administration for resolution. The dissatisfied party shall give written notice to the Commissioner and the other party. The notice shall include (1) a description of the disputed issues, (2) the efforts made to resolve the dispute, and (3) a proposed resolution. The Commissioner shall promptly issue a Notice setting out documents and materials to be submitted to the Commissioner in order to resolve the dispute; the Notice may also afford the parties the opportunity to make presentations and enter into further negotiations. Within 30 business days of the conclusion of the final presentations, the Commissioner shall issue a written decision and furnish it to both parties. The Commissioner's decision shall be the final and conclusive administrative decision unless either party serves on the Commissioner and the other party, within ten business days after receipt of the Commissioner's decision, a written request for reconsideration and modification of the written decision. If the Commissioner does not modify the written decision within 30 business days, either party may take such other action helpful to resolving the dispute, including submitting the dispute to an Indiana court of competent jurisdiction. If the parties accept the Commissioner's decision, it may be memorialized as a written Amendment to this Contract if appropriate.
- D. The State may withhold payments on disputed items pending resolution of the dispute. The unintentional nonpayment by the State to the Contractor of one or more invoices not in dispute in accordance with the terms of this Contract will not be cause for the Contractor to terminate this Contract, and the Contractor may bring suit to collect these amounts without following the disputes procedure contained herein.
- E. With the written approval of the Commissioner of the Indiana Department of Administration, the parties may agree to forego the process described in subdivision C. relating to submission of the dispute to the Commissioner. This paragraph shall not be construed to abrogate provisions of Ind. Code 4-6-2-11 in situations where dispute

- resolution efforts lead to a compromise of claims in favor of the State as described in that statute. In particular, releases or settlement agreements involving releases of legal claims or potential legal claims of the state should be processed consistent with Ind. Code 4-6-2-11, which requires approval of the Governor and Attorney General.
- F. This paragraph shall not be construed to abrogate provisions of Ind. Code 4-6-2-11 in situations where dispute resolution efforts lead to a compromise of claims in favor of the State as described in that statute. In particular, releases or settlement agreements involving releases of legal claims or potential legal claims of the state should be processed consistent with Ind. Code 4-6-2-11, which requires approval of the Governor and Attorney General.
- 23. Drug-Free Workplace Certification. As required by Executive Order No. 90-5 dated April 12, 1990, issued by the Governor of Indiana, the Contractor hereby covenants and agrees to make a good faith effort to provide and maintain a drug-free workplace. The Contractor will give written notice to the State within ten (10) days after receiving actual notice that the Contractor, or an employee of the Contractor in the State of Indiana, has been convicted of a criminal drug violation occurring in the workplace. False certification or violation of this certification may result in sanctions including, but not limited to, suspension of contract payments, termination of this Contract and/or debarment of contracting opportunities with the State for up to three (3) years.

In addition to the provisions of the above paragraph, if the total amount set forth in this Contract is in excess of \$25,000.00, the Contractor certifies and agrees that it will provide a drug-free workplace by:

- A. Publishing and providing to all of its employees a statement notifying them that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor's workplace, and specifying the actions that will be taken against employees for violations of such prohibition;
- B. Establishing a drug-free awareness program to inform its employees of (1) the dangers of drug abuse in the workplace; (2) the Contractor's policy of maintaining a drug-free workplace; (3) any available drug counseling, rehabilitation and employee assistance programs; and (4) the penalties that may be imposed upon an employee for drug abuse violations occurring in the workplace;
- C. Notifying all employees in the statement required by subparagraph (A) above that as a condition of continued employment, the employee will (1) abide by the terms of the statement; and (2) notify the Contractor of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction;
- D. Notifying the State in writing within ten (10) days after receiving notice from an employee under subdivision (C)(2) above, or otherwise receiving actual notice of such conviction;
- E. Within thirty (30) days after receiving notice under subdivision (C)(2) above of a conviction, imposing the following sanctions or remedial measures on any employee who is convicted of drug abuse violations occurring in the workplace: (1) taking appropriate personnel action against the employee, up to and including termination; or (2) requiring such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement, or other appropriate agency; and

- F. Making a good faith effort to maintain a drug-free workplace through the implementation of subparagraphs (A) through (E) above.
- **24. Employment Eligibility Verification.** As required by IC §22-5-1.7, the Contractor swears or affirms under the penalties of perjury that:
  - A. The Contractor does not knowingly employ an unauthorized alien.
  - B. The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC §22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.
  - C. The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.
  - D. The Contractor shall require his/her/its subcontractors who perform work under this Contract to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

- **25. Employment Option**. If the State determines that it would be in the State's best interest to hire an employee of the Contractor, the Contractor will release the selected employee from any non-competition agreements that may be in effect. This release will be at no cost to the State or the employee.
- **26. Force Majeure**. In the event that either party is unable to perform any of its obligations under this Contract or to enjoy any of its benefits because of natural disaster or decrees of governmental bodies not the fault of the affected party (hereinafter referred to as a "Force Majeure Event"), the party who has been so affected shall immediately give notice to the other party and shall do everything possible to resume performance. Upon receipt of such notice, all obligations under this Contract shall be immediately suspended. If the period of nonperformance exceeds thirty (30) days from the receipt of notice of the Force Majeure Event, the party whose ability to perform has not been so affected may, by giving written notice, terminate this Contract.
- **27. Funding Cancellation**. When the Director of the State Budget Agency makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this Contract, this Contract shall be canceled. A determination by the Director of State Budget Agency that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive.
- **28.** Governing Law. This Contract shall be governed, construed, and enforced in accordance with the laws of the State of Indiana, without regard to its conflict of laws rules. Suit, if any, must be brought in the State of Indiana.

- **29. HIPAA Compliance.** If this Contract involves services, activities or products subject to the Health Insurance Portability and Accountability Act of 1996 (HIPAA), the Contractor covenants that it will appropriately safeguard Protected Health Information (defined in 45 CFR 160.103), and agrees that it is subject to, and shall comply with, the provisions of 45 CFR 164 Subpart E regarding use and disclosure of Protected Health Information.
- **30. Indemnification**. The Contractor agrees to indemnify, defend, and hold harmless the State, its agents, officials, and employees from all claims and suits including court costs, attorney's fees, and other expenses caused by any act or omission of the Contractor and/or its subcontractors, if any, in the performance of this Contract. The State shall not provide such indemnification to the Contractor.
- **31. Independent Contractor; Workers' Compensation Insurance.** The Contractor is performing as an independent entity under this Contract. No part of this Contract shall be construed to represent the creation of an employment, agency, partnership or joint venture agreement between the parties. Neither party will assume liability for any injury (including death) to any persons, or damage to any property, arising out of the acts or omissions of the agents, employees or subcontractors of the other party. The Contractor shall provide all necessary unemployment and workers' compensation insurance for the Contractor's employees, and shall provide the State with a Certificate of Insurance evidencing such coverage prior to starting work under this Contract.
- **32. Information Technology Enterprise Architecture Requirements.** If the Contractor provides any information technology related products or services to the State, the Contractor shall comply with all IOT standards, policies and guidelines, which are online at http://iot.in.gov/architecture/. The Contractor specifically agrees that all hardware, software and services provided to or purchased by the State shall be compatible with the principles and goals contained in the electronic and information technology accessibility standards adopted under Section 508 of the Federal Rehabilitation Act of 1973 (29 U.S.C. 794d) and IC §4-13.1-3. Any deviation from these architecture requirements must be approved in writing by IOT in advance. The State may terminate this Contract for default if the Contractor fails to cure a breach of this provision within a reasonable time.

### 33. Insurance

- A. The Contractor and their subcontractors (if any) shall secure and keep in force during the term of this Contract the following insurance coverages (if applicable) covering the Contractor for any and all claims of any nature which may in any manner arise out of or result from Contractor's performance under this Contract:
  - 1. Commercial general liability, including contractual coverage, and products or completed operations coverage (if applicable), with minimum liability limits not less than \$700,000 per person and \$5,000,000 per occurrence unless additional coverage is required by the State. The State is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly under or in connection with this Contract.
  - 2. Automobile liability for owned, non-owned and hired autos with minimum liability limits of \$700,000 per person and \$5,000,000 per occurrence. The State is to be named as an additional insured on a primary, non-contributory basis.

- 3. The Contractor shall secure the appropriate Surety or Fidelity Bond(s) as required by the state department served or by applicable statute.
- 4. The Contractor and their subcontractors shall provide proof of such insurance coverage by tendering to the undersigned State representative a certificate of insurance prior to the commencement of this Contract and proof of workers' compensation coverage meeting all statutory requirements of IC §22-3-2. In addition, proof of an "all states endorsement" covering claims occurring outside the State is required if any of the services provided under this Contract involve work outside of Indiana.
- B. The Contractor's insurance coverage must meet the following additional requirements:
  - 1. The insurer must have a certificate of authority or other appropriate authorization to operate in the state in which the policy was issued.
  - 2. Any deductible or self-insured retention amount or other similar obligation under the insurance policies shall be the sole obligation of the Contractor.
  - 3. The State will be defended, indemnified and held harmless to the full extent of any coverage actually secured by the Contractor in excess of the minimum requirements set forth above. The duty to indemnify the State under this Contract shall not be limited by the insurance required in this Contract.
  - 4. The insurance required in this Contract, through a policy or endorsement(s), shall include a provision that the policy and endorsements may not be canceled or modified without thirty (30) days' prior written notice to the undersigned State agency.
  - 5. The Contractor waives and agrees to require their insurer to waive their rights of subrogation against the State of Indiana.
- C. Failure to provide insurance as required in this Contract may be deemed a material breach of contract entitling the State to immediately terminate this Contract. The Contractor shall furnish a certificate of insurance and all endorsements to the State before the commencement of this Contract.

### 34. Key Person(s).

- A. If both parties have designated that certain individual(s) are essential to the services offered, the parties agree that should such individual(s) leave their employment during the term of this Contract for whatever reason, the State shall have the right to terminate this Contract upon thirty (30) days' prior written notice.
- B. In the event that the Contractor is an individual, that individual shall be considered a key person and, as such, essential to this Contract. Substitution of another for the Contractor shall not be permitted without express written consent of the State.

Nothing in Sections A and B, above shall be construed to prevent the Contractor from using the services of others to perform tasks ancillary to those tasks which directly require the expertise of the key person.

Examples of such ancillary tasks include secretarial, clerical, and common labor duties. The Contractor shall, at all times, remain responsible for the performance of all necessary tasks, whether performed by a key person or others.

Key person(s) to this Contract is/are:

- **35. Merger & Modification**. This Contract constitutes the entire agreement between the parties. No understandings, agreements, or representations, oral or written, not specified within this Contract will be valid provisions of this Contract. This Contract may not be modified, supplemented, or amended, except by written agreement signed by all necessary parties.
- **36. Minority and Women's Business Enterprises Compliance.** Award of this Contract was based, in part, on the Minority and/or Women's Business Enterprise ("MBE" and/or "WBE") participation plan. The following certified MBE or WBE subcontractors will be participating in this Contract:

MBE/WBE PHONE COMPANY NAME SCOPE OF PRODUCTS and/or SERVICES UTILIZATION DATE PERCENT

Terms for participation are as provided in the Contractor's Proposal to the State's request for participation, which are described and captured in the Contract Documents.

A copy of each subcontractor agreement must be submitted to IDOA's MBE/WBE Division within thirty (30) days of the effective date of this Contract. Failure to provide a copy of any subcontractor agreement will be deemed a violation of the rules governing MBE/WBE procurement, and may result in sanctions allowable under 25 IAC 5-7-8. Failure to provide any subcontractor agreement may also be considered a material breach of this Contract. The Contractor must obtain approval from IDOA's MBE/WBE Division before changing the participation plan submitted in connection with this Contract.

The Contractor shall report payments made to MBE/WBE Division subcontractors under this Contract on a monthly basis. Monthly reports shall be made using the online audit tool, commonly referred to as "Pay Audit." MBE/WBE Division subcontractor payments shall also be reported to the Division as reasonably requested and in a format to be determined by Division.

37. Nondiscrimination. Pursuant to the Indiana Civil Rights Law, specifically including IC §22-9-1-10, and in keeping with the purposes of the federal Civil Rights Act of 1964, the Age Discrimination in Employment Act, and the Americans with Disabilities Act, the Contractor covenants that it shall not discriminate against any employee or applicant for employment relating to this Contract with respect to the hire, tenure, terms, conditions or privileges of employment or any matter directly or indirectly related to employment, because of the employee's or applicant's race, color, national origin, religion, sex, age, disability, ancestry, status as a veteran, or any other characteristic protected by federal, state, or local law ("Protected Characteristics"). Contractor certifies compliance with applicable federal laws, regulations, and executive orders prohibiting discrimination based on the Protected Characteristics in the provision of services. Breach of this paragraph may be regarded as a material breach of this Contract, but nothing in this paragraph shall be construed to imply or establish an employment relationship between the State and any applicant or employee of the Contractor or any subcontractor.

The State is a recipient of federal funds, and therefore, where applicable, Contractor and any subcontractors shall comply with requisite affirmative action requirements, including reporting, pursuant to 41 CFR Chapter 60, as amended, and Section 202 of Executive Order 11246 as amended by Executive Order 13672.

**38. Notice to Parties.** Whenever any notice, statement or other communication is required under this Contract, it shall be sent to the following addresses, unless otherwise specifically advised.

A. Notices to the State shall be sent to: Public Works Divisions, Director

Indiana Department of Administration 402 W Washington St Room W467

Indianapolis, IN 46204

B. Notices to the Contractor shall be sent to: [INSERT CONTRACTOR NAME]

[INSERT CONTRACTOR

ADDRESS]

C. As required by IC 4-13-2-14.8, payments to the Contractor shall be made via electronic funds transfer in accordance with instructions filed by the Contractor with the Indiana Auditor of State.

**39.** Order of Precedence; Incorporation by Reference. Any inconsistency or ambiguity in this Contract shall be resolved by giving precedence in the following order: (1) this Contract, (2) the Project Bid Package, (3) attachments prepared by the State; (4) Contractor's Proposal; and (5) attachments prepared by the Contractor. All of the foregoing are incorporated fully by reference. All attachments, and all documents referred to in this paragraph are hereby incorporated fully by reference.

### 40. Ownership of Documents and Materials.

A. All documents, records, programs, applications, data, algorithms, film, tape, articles, memoranda, and other materials (the "Materials") not developed or licensed by the Contractor prior to execution of this Contract, but specifically developed under this Contract shall be considered "work for hire" and the Contractor hereby transfers and assigns any ownership claims to the State so that all Materials will be the property of the State. If ownership interest in the Materials cannot be assigned to the State, the Contractor grants the State a non-exclusive, non-cancelable, perpetual, worldwide royalty-free license to use the Materials and to use, modify, copy and create derivative works of the Materials.

B. Use of the Materials, other than related to contract performance by the Contractor, without the prior written consent of the State, is prohibited. During the performance of this Contract, the Contractor shall be responsible for any loss of or damage to the Materials developed for or supplied by the State and used to develop or assist in the services provided while the Materials are in the possession of the Contractor. Any loss or damage thereto shall be restored at the Contractor's expense. The Contractor shall provide the State full, immediate, and unrestricted access to the Materials and to Contractor's work product during the term of this Contract.

### 41. Payments.

A. All payments shall be made 35 days in arrears in conformance with State fiscal policies and procedures and, as required by IC §4-13-2-14.8, the direct deposit by electronic funds transfer to the financial institution designated by the Contractor in writing unless a specific waiver has been obtained from the Indiana Auditor of State. No payments will be made in advance of receipt of the goods or services that are the subject of this Contract except as permitted by IC §4-13-2-20.

- B. The State Budget Agency and the Contractor acknowledge that Contractor is being paid in advance for the maintenance of equipment and / or software. Pursuant to IC §4-13-2-20(b)(14), Contractor agrees that if it fails to perform the maintenance required under this Contract, upon receipt of written notice from the State, it shall promptly refund the consideration paid, pro-rated through the date of non-performance.
- **42. Penalties/Interest/Attorney's Fees**. The State will in good faith perform its required obligations hereunder and does not agree to pay any penalties, liquidated damages, interest or attorney's fees, except as permitted by Indiana law, in part, IC §5-17-5, IC §34-54-8, IC §34-13-1 and IC § 34-52-2-3.

Notwithstanding the provisions contained in IC §5-17-5, any liability resulting from the State's failure to make prompt payment shall be based solely on the amount of funding originating from the State and shall not be based on funding from federal or other sources.

- **43. Progress Reports**. The Contractor shall submit progress reports to the State upon request. The report shall be oral, unless the State, upon receipt of the oral report, should deem it necessary to have it in written form. The progress reports shall serve the purpose of assuring the State that work is progressing in line with the schedule, and that completion can be reasonably assured on the scheduled date.
- **44. Public Record.** The Contractor acknowledges that the State will not treat this Contract as containing confidential information, and will post this Contract on its website as required by Executive Order 05-07. Use by the public of the information contained in this Contract shall not be considered an act of the State.
- **45. Renewal Option**. This Contract may be renewed under the same terms and conditions, subject to the approval of the Commissioner of the Department of Administration and the State Budget Director in compliance with IC §5-22-17-4. The term of the renewed contract may not be longer than the term of the original contract.
- **46. Severability**. The invalidity of any section, subsection, clause or provision of this Contract shall not affect the validity of the remaining sections, subsections, clauses or provisions of this Contract.
- **47. Substantial Performance.** This Contract shall be deemed to be substantially performed only when fully performed according to its terms and conditions and any written amendments or supplements.
- **48. Taxes**. The State is exempt from most state and local taxes and many federal taxes. The State will not be responsible for any taxes levied on the Contractor as a result of this Contract.
- **49. Termination for Convenience**. This Contract may be terminated, in whole or in part, by the State, which shall include and is not limited to the Indiana Department of Administration and the State Budget Agency whenever, for any reason, the State determines that such termination is in its best interest. Termination of services shall be effected by delivery to the Contractor of a Termination Notice at least thirty (30) days prior to the termination effective date, specifying the extent to which performance of services under such termination becomes effective. The Contractor shall be compensated for services properly rendered prior to the effective date of termination. The State will not be liable for services performed after the effective date of termination. The Contractor shall be compensated for services herein provided but in no case shall total payment made to the Contractor exceed the original contract price or shall any price increase be allowed on individual line items if canceled only in part prior to the original termination date. For the purposes of this paragraph, the parties stipulate and agree that the Indiana Department of Administration shall be deemed to be a party to this agreement with authority to terminate

the same for convenience when such termination is determined by the Commissioner of IDOA to be in the best interests of the State.

### 50. Termination for Default.

- A. With the provision of thirty (30) days notice to the Contractor, the State may terminate this Contract in whole or in part if the Contractor fails to:
  - 1. Correct or cure any breach of this Contract; the time to correct or cure the breach may be extended beyond thirty (30) days if the State determines progress is being made and the extension is agreed to by the parties;
  - 2. Deliver the supplies or perform the services within the time specified in this Contract or any extension;
  - 3. Make progress so as to endanger performance of this Contract; or
  - 4. Perform any of the other provisions of this Contract.
- B. If the State terminates this Contract in whole or in part, it may acquire, under the terms and in the manner the State considers appropriate, supplies or services similar to those terminated, and the Contractor will be liable to the State for any excess costs for those supplies or services. However, the Contractor shall continue the work not terminated.
- C. The State shall pay the contract price for completed supplies delivered and services accepted. The Contractor and the State shall agree on the amount of payment for manufacturing materials delivered and accepted and for the protection and preservation of the property. Failure to agree will be a dispute under the Disputes clause. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders.
- D. The rights and remedies of the State in this clause are in addition to any other rights and remedies provided by law or equity or under this Contract.
- **51. Travel**. No expenses for travel will be reimbursed unless specifically permitted under the scope of services or consideration provisions. Expenditures made by the Contractor for travel will be reimbursed at the current rate paid by the State and in accordance with the State Travel Policies and Procedures as specified in the current Financial Management Circular. Out-of-state travel requests must be reviewed by the State for availability of funds and for appropriateness per Circular guidelines.
- **52. Indiana Veteran's Business Enterprise Compliance**. Award of this Contract was based, in part, on the Indiana Veteran's Business Enterprise ("IVBE") participation plan. The following IVBE subcontractors will be participating in this Contract:

VBE PHONE COMPANY NAME SCOPE OF PRODUCTS and/or SERVICES UTILIZATION DATE PERCENT

N/A

A copy of each subcontractor agreement must be submitted to IDOA within thirty (30) days of the effective date of this Contract. Failure to provide any subcontractor agreement may also be considered a material breach of this Contract. The Contractor must obtain approval from IDOA's MBE/WBE Division before changing the participation plan submitted in connection with this Contract.

The Contractor shall report payments made to IVBE subcontractors under this Contract on a monthly basis. Monthly reports shall be made using the online audit tool, commonly referred to as "Pay Audit." IVBE subcontractor payments shall also be reported to IDOA as reasonably requested and in a format to be determined by IDOA.

- **53.** Waiver of Rights. No right conferred on either party under this Contract shall be deemed waived, and no breach of this Contract excused, unless such waiver is in writing and signed by the party claimed to have waived such right. Neither the State's review, approval or acceptance of, nor payment for, the services required under this Contract shall be construed to operate as a waiver of any rights under this Contract or of any cause of action arising out of the performance of this Contract, and the Contractor shall be and remain liable to the State in accordance with applicable law for all damages to the State caused by the Contractor's negligent performance of any of the services furnished under this Contract.
- **54.** Work Standards. The Contractor shall execute its responsibilities by following and applying at all times the highest professional and technical guidelines and standards. If the State becomes dissatisfied with the work product of or the working relationship with those individuals assigned to work on this Contract, the State may request in writing the replacement of any or all such individuals, and the Contractor shall grant such reques

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### **Non-Collusion and Acceptance**

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof. Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

**IN WITNESS WHEREOF**, the Contractor and the State have, through their duly authorized representatives, entered into this Contract for Public Works Project Number **XXXXX**. The parties, having read and understood the foregoing terms of this Contract, do by their respective signatures dated below agree to the terms thereof.

XXXXXXXXX	Department of Administration
[Contractor]	Public Works Division
By:	By:
Printed Name:	Director, DAPW
Title:	For IDOA Commissioner if less than \$1,000,000
Date:	Date:
Approved by:	Approved by:
Department of Administration	State Budget Agency
By:	By:
Jessica Robertson, Commissioner	Brian E. Bailey, Director
Date:	Date:
Approved as to Form and Legality: Form approval has been granted by the Office of the Attorney General pursuant to IC 4-13-2-14.3(e) on August 15, 2016. FA 16-28	
This Instrument was prepared by: [INSERT NAM	<u><b>1E</b></u> ] on <u><b>XX/XX/XXXX</b></u>
Legal counsel: (initials)	

### SECTION 010100 – GENERAL REQUIREMENTS

### PART I – GENERAL

### 1.01 PROJECT REQUIREMENTS

- A. The Contractor shall perform all work required to complete the project in accordance with the Contract Documents, to meet the design intent of the Contract Documents, and to minimize potential change orders.
- B. The Contractor shall make a good faith effort to attend the pre-bid meeting. If a need exists to see the site on another date, bidder shall contact Property Manager to schedule a meeting on site.

### 1.02 DESCRIPTION

- A. The project generally consists of renovations to the existing Indiana Dunes State Park Office Building to provide public restrooms and staff office space.
- B. BASE BID The work of the Project described in the specifications and drawings generally includes installation of new water service and sanitary sewer lines, building shell work for new aluminum entrance and storefront and operable window work at select locations around the perimeter of the building, and interior remodeling on the first floor and within the attic.
  - 1. The sequence and timing of work related to relocation of park wide fiber optic service housed on the first floor of the office shall be coordinated with the Property Manager, C-CAT, and as follows:
    - a. Prior to demolition, the contractor shall construct the new attic IT closet (Conditioned Communications Equipment Room 202). The Contractor shall provide reliable, temporary, clean power to IT equipment throughout construction and shall provide/maintain temporary cooling in the IT closet during construction such that space temperature is kept at/below 85 degrees F at all times.
  - 2. Tentative Site Access and Project Schedule:

a.	Week of 09/06/2021	User Group Moveout
b.	Week of 09/06/2021	Begin & Complete IT Fiber Optic and related work in Attic
c.	Week of 09/13/2021	Begin & Complete Asbestos Abatement
d.	Week of 09/13/2021	Site available to begin utility installation
e.	Week of 09/20/2021	Building available for remodeling
f.	04/01/2022	Complete Building Remodeling to allow user group to begin
		move in and office setup
g.	05/01/2022	Complete Exterior ADA Sitework Improvements

### C. ALTERNATES – There are no Alternates.

- D. EXISTING ENVIRONMENTAL CONDITIONS ASSESSMENTS Reference the attached reports prepared by Environmental Assurance Co, Inc. detailing results of asbestos and paint inspections.
  - 1. Exhibit A: Results of Asbestos Inspection.
  - 2. Exhibit B: Results of Lead Based Paint Testing.

- a. Lead based paint shall be properly removed and disposed of by the Contractor.
- E. WORK BY OTHERS The work of the Project described in specifications and drawings requires coordination with the Owner's vendor as follows:
  - 1 Asbestos abatement.

a. Vendor: Environmental Assurance Co, Inc.

Contact: Mike Kirkman, mkirkman@eaciusa.com

Phone: 317-636-8500

- 2. Relocation of fiber optic services from the first floor to the attic. This is a critical infrastructure system that feeds the entire State Park.
  - a. QPA Vendor: C-CAT

Address: 1726 West 15th Street, Indianapolis, IN 46202

Contact: Charlie Whitlow, Sales Engineer

Email: cwhitlow@c-cat.com

Phone: Office: 317-568-2899 x102; Cell: 317-696-7574

- F. FUTURE WORK The work of the Project described in the specifications and drawings generally requires coordination with future modifications to the site to accommodate ADA parking and access to the building entrances.
- 1.03 BID
  - A. The Base Bid shall include all work and requirements indicated by the Bidding Documents.
  - B. The Contractor shall not be allowed extra compensation by reason of any matter or thing concerning which the contractor could have fully informed himself/herself prior to bidding. No verbal agreement, understanding or conversation with an agent or employee of the Owner, either before or after the execution of this contract, shall affect or modify the terms or obligations herein contained.

### 1.04 SITE ACCESS PRIOR TO BIDDING:

A. Bidders may obtain access to the construction site, for on-site inspection prior to bidding as indicated in the "Notice to Bidders."

Contact Personnel are:

1. Indiana Dunes State Park

Contact: Mickey Rea, North Region / Property Manager

Telephone: 219-926-1952 Email: MRea1@dnr.IN.gov

2. Indiana Dunes State Park

Contact: Jake McEvoy, Assistant Property Manager

Telephone: 219-926-1952

Email: JaMcevoy@dnr.IN.gov

Address: 1600 N 25 East

Chesterton, IN 46304

### 1.05 USE OF CONTRACT DOCUMENTS:

- A. Contractor shall examine all Specifications and Drawings for the Work, including those that may pertain to Work Contractor does not normally perform with its own forces.
- B. Contractor shall use all of the Project Drawings and Specifications:
  - 1. For a complete understanding of the Project.
  - 2. To determine the type of construction and systems required.
  - 3. For coordination with other contractors.
  - 4. To determine what other work may be involved in various parts or phases.
  - 5. To anticipate and notify others when work by others will be required.
  - 6. And all other relevant matters related to the project.
- C. Contractor is also bound by all requirements of the Contract Documents which are applicable to, pertain to, or affect its work, as may be shown or inferred by the entire set of Project Drawings and Specifications.

### 1.06 COMMENCEMENT AND COMPLETION OF WORK

- A. The Contractor shall commence work within ten (10) days after the date of execution of the Contract.
- B. Work required by the Contract Documents shall be completed by April 1, 2022.
- C. This project, or portion thereof, will not be ready for substantial completion review until test and performance evaluations are completed, all items are installed, proper paint is dry, and area is clear of construction rubbish and debris.
- D. Before Final Payment of the Contract Price, the Contractor shall submit to the Owner, on the Contracting Firm's Letterhead, the following statement signed, dated, and witnessed:
  - 1. "I hereby certify that to the best of my knowledge no asbestos-containing material was used as a building material during this project."

### 1.07 BUILDER'S RISK INSURANCE:

A. The Contractor shall, during the term of this contract and as required in Article 11.2.1 of the General Conditions, maintain a Builder's Risk Policy in the amount of <u>100</u>% of the contract amount.

### 1.08 PAYMENT BOND:

A. Contractor <u>shall provide a payment bond</u> in an amount equal to one hundred percent (100%) of the total contract price. Payment bond shall meet the requirements of Section 7.6 of the General Conditions.

### 1.09 SUBMISSION OF POST-BID INFORMATION:

- A. Submit the following information within ten (10) days of receipt of Notice to Proceed.
  - 1. Designation of the work to be performed by the Contractor with his own forces.
  - 2. A list of Subcontractors.
  - 3. A list of manufacturers and suppliers.
  - 4. A Progress schedule for the work in relation to the entire Project.

5. A Schedule of Values. This schedule, when approved by the Owner shall be used as a basis for the Contractor's Applications for Progress and Final Payments.

#### 1.10 MEASUREMENT AND PAYMENT - LUMP SUM

A. Payment for Lump Sum projects will be based on the accepted schedule of values for the project. No separate measurement for payment will be performed for Lump Sum Work. All Work described in the Specifications and/or shown on the Drawings shall be included in the Lump Sum Bid.

#### 1.11 CODES AND STANDARDS:

- A. All work shall meet or exceed all current codes and standards, all current rules and regulations and all applicable requirements of Federal, State and Local Authorities having jurisdiction, including the latest OSHA and Americans with Disabilities Act of 1990 amended to date.
- B. Meet and comply with the applicable portions of the latest editions of the following standards and codes:
  - 1. Indiana Construction Rules and Regulations.
  - 2. Indiana Building Code
  - 3. Indiana State Construction Industry Safety Code.
  - 4. Indiana Electric Code.
  - 5. Indiana Plumbing Code.

#### C. Standards:

- 1. All Materials: Manufactured and tested in accordance with latest editions of UL, ANSI and respective Association Standards. UL labeling shall be provided where specified for specific items.
- 2. Owner's Requirements or Regulations, pertaining to safety, fire, conduct, parking, sanitary conditions, smoking, etc., shall be strictly adhered to by Contractors and their employees and Subcontractors on the job.

#### 1.12 WORKING HOURS:

- A. Contractor shall perform all construction activity on Monday thru Friday, excluding state holidays, between the hours of 7:00 a.m. and 5:00 p.m., unless previous arrangements are made with the Owner.
- B. All work performed at other times shall be only by approval from the Owner, confirmed in writing, and shall not constitute a change in the contract amount.

#### 1.13 PROGRESS MEETINGS

A. Progress meetings will be held throughout progress of the Work at intervals agreed to by Owner and Contractor.

#### 1.14 EXISTING SITE CONDITIONS:

- A. Data on the drawings pertaining to present conditions, dimensions, type of construction, obstructions on or near site, location of utilities, etc. have been obtained from sources believed reliable, but accuracy of such data is not guaranteed and is furnished solely for accommodation of the Contractor.
- B. The Contractor shall, prior to excavating, verify the location of all buried utilities, public and private including buried power lines and fiber optic cables.

#### 1.15 CONSTRUCTION AND STORAGE AREA:

- A. The Contractor shall confine the construction operations and storage of materials within the project construction work limits.
- B. Soil disturbance outside of the construction limits is prohibited.
- C. Except for permanent site improvements provided under the Contract, Contractor shall restore property disturbed during the Work to the conditions which previously existed.

#### D. Parking and Deliveries:

- 1. Contractor is responsible for control of traffic by vehicles and persons within the limits of its operations.
- 2. Parking for employees, subcontractors, and agents of Contractor shall be in areas subject to approval of Owner.
- 3. Access to the site for delivery of construction material of equipment shall be subject to approval of Owner.
- E. The Contractor shall be responsible for the protection of all facilities during the entire period of service. Any damages to the existing facilities, roads, lawns, driveways, or other State owned property caused by the contractor shall be repaired by the Contractor at his/her expense and in a manner and schedule approved by the Owner.
- F. The Contractor shall power wash any mechanical equipment or vehicle to be used on the job site to remove all mud and debris prior to unloading on the site. This is necessary to prevent contamination by invasive species seeds that may be attached to the equipment.

#### 1.16 ROADWAY-SITE PROTECTION:

- A. The Contractor shall, at his expense, be responsible to repair any and all damage to the State property's roads and drainage structures caused by his equipment and/or personnel from project site. Areas to be repaired shall be done by using similar material and be approved by the Engineer.
- B. The ingress and egress to the project site shall be approved by the Designer.

#### 1.17 SUBSTITUTIONS:

- A. Request for substitutions shall be made in accordance with the requirements of paragraph 07 of the Instructions to Bidders.
- B. Substitutions shall be made in accordance with the requirements of Article 7 of the General Conditions.

#### 1.18 ARCHEOLOGICAL AND HISTORIC ARTIFACTS:

- A. If any objects are uncovered during construction which could possibly be of archeological or historic importance, this shall immediately be reported to the Owner. Work at that spot shall not proceed further until the Owner has evaluated the object and the area where it was found and approved continuation of the work.
- B. If any construction time is lost due to such objects being found, an equal number of calendar days will be added to the project completion time.

#### 1.19 SALVAGE RIGHTS:

A. Unless stated otherwise in the specifications or on the plans, all equipment and materials removed as part of this project and not indicated for re-use on the project shall become the property of the Contractor and removed from the site.

#### 1.20 CONFINED SPACE ENTRY:

- A. Written permit is required prior to entry into areas meeting the OSHA definition of a "permit required confined space". Areas meeting this definition and which are known or presumed to require access for this project are as follows:
- B. Non-listing of a confined space requiring access does not relieve the Contractor of responsibility for obtaining a permit if required by OSHA Regulations.

#### 1.21 TEMPORARY TOILET FACILITIES:

A. Provide temporary toilet facilities for contractor use for duration of the work. Obtain approval from Property Manager for location of portable units.

END OF SECTION



www.eaciusa.com • 317-636-8500

Indianapolis: 440 Hancock Street, Indianapolis, IN 46222 • Ph: 1-800-933-EACI (3224) • Fax: 317-636-2164 • Email: eaclindy@aol.com

EXHIBIT A

April 23, 2021

Mr. Mickey Rea, CPM Indiana Dept. of Natural Resources Indiana Dunes State Park 1600 N 25 East Chesterton, Indiana

Re: Results of Asbestos Inspection,

Indiana Dunes State Park Office Building

Dear Mr. Rea,

On March 10<sup>th</sup>, 2021 Environmental Assurance Company Inc. (EACI) performed an asbestos inspection on the Indiana Dunes State Park Office Building, located at 1600 N 25E, Chesterton, Indiana. During this investigation, samples were collected from suspected asbestos containing materials (ACMs). Sampling included Category I and II non-friable ACMs, which can remain in place during the planned demolition activities according to the NESHAP regulations.

The investigation was performed by IDEM accredited Asbestos Building Inspector Michael R Kirkman, Asbestos Inspector #19A007189, expiration 04/08/2022. The samples were analyzed via EPA 600/R-93/116 Method using Polarized Light Microscopy by EMSL Analytical Laboratory in Indianapolis. IN.

#### Results

Twelve samples were taken from 7 suspected materials, which resulted in sixteen layered samples. Of the 16 samples, there were 8 samples that were shown to have asbestos containing materials in them. The manager's office (approx: 200sf), the living/dining room (approx: 400sf) and the back office (approx: 150) have asbestos containing floor tile and mastic under carpet. The bathroom has asbestos containing linoleum in it,(approx: 20sf). Please see the attached sample analysis.



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#### Conclusions and Recommendations

This investigation was performed to meet the requirements of the National Emission Standards for Hazardous Pollutants (NESHAP) which requires that "all friable asbestos containing material shall be removed from a facility prior to demolition/renovation activities". The Indiana Department of Environmental Management (IDEM) also regulates asbestos demolition, renovation, and waste storage operations for projects conducted in the state of Indiana. Prior to demolition activities, any amount of ACM must be notified to the appropriate federal, state, and local agencies. Notification must be made 10 days prior to demolition. Note that even facilities with no ACMs must have notifications made prior to demolition.

Based on the results of this investigation, the asbestos containing material is non-friable and in its current state is a non-regulated material. That being said, it is our opinion that this material could become friable during renovations and should be removed by a licensed asbestos contractor.

The results, findings, conclusions, and recommendations expressed in this report are based only on the conditions that were observed during EACI's inspection of the site on the day of our investigation.

The laboratory result sheets and sampling information for this asbestos investigation are following this report. We trust this information is responsive to your needs. If you have any questions or comments regarding this matter, please do not hesitate to call.

Sincerely,

Mike Kirkman

Sr. Project Manager

cc: file 220IN-183



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Attachments: Lab Analysis, License, Photos

asbestos abatement · mold remediation · lead abatement · water damage restoration · insulation · selective demolition



#### EMSL Analytical, Inc.

6340 CastlePlace Dr. Indianapolis, IN 46250 Tel/Fax: (317) 803-2997 / (317) 803-3047

http://www.EMSL.com / indianapolislab@emsl.com

Attention: Mike Kirkman

**Environmental Assurance Company** 

440 South Hancock Street Indianapolis, IN 46222

EMSL Order: 162104663 Customer ID: ENVA60

Customer PO: Project ID:

Phone: (317) 409-8073

Fax: (317) 636-2164

Received Date: 03/11/2021 11:40 AM

Analysis Date: 03/12/2021 Collected Date: 03/10/2021

Project: 22IN-94 INDIANA DUNES STATE PARK

#### Test Report: Asbestos Analysis of Bulk Materials via EPA 600/R-93/116 Method using Polarized Light Microscopy

			Non-Asbes		Asbestos
Sample	Description	Appearance	% Fibrous	% Non-Fibrous	% Type
2	RESTROOM FLOOR - LINOLEUM BROWN	Brown/Tan Fibrous		80% Non-fibrous (Other)	20% Chrysotile
62104663-0001		Heterogeneous	HA: 1		
-Floor Tile	DINING ROOM NEAR STAIRS -	Tan Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
62104663-0002	FLOOR TILE & MASTIC YELLOW	Homogeneous			
			HA: 2		20. 20. 10.
3-Mastic	DINING ROOM NEAR STAIRS -	Black Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
162104663-0002A	FLOOR TILE & MASTIC YELLOW	Homogeneous			
	and the state of t		HA: 2	Average a successive and a	THE WATER OF THE
4-Floor Tile	DINING ROOM NEAR CLOSET	Tan Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
162104663-0003	ALCOVE - FLOOR TILE & MASTIC	Homogeneous			
	YELLOW				
	7.65.000 V		HA: 2	Control of the Contro	100 0 m 010 1 m
4-Mastic	DINING ROOM NEAR CLOSET	Black Non-Fibrous		100% Non-fibrous (Other)	None Detected
62104663-0003A	ALCOVE - FLOOR TILE & MASTIC	Homogeneous			
	YELLOW		HA: 2		
5	ATTIC - CEILING	Gray/White	70% Cellulose	15% Perlite	None Detected
162104663-0004	TILE WHITE	Fibrous Homogeneous	10% Min. Wool	5% Non-fibrous (Other)	
1110		17 12 11177	HA: 3		
6	ATTIC - CEILING TILE WHITE	Gray/White Fibrous	70% Cellulose 10% Min. Wool	15% Perlite 5% Non-fibrous (Other)	None Detected
162104663-0005	TILE WHITE	Homogeneous	10 /6 IVIII I. VVOOI	570 (10) (10) (10)	
20000		The second second	HA: 3		02 W0 11 2 W0 12 A
7	CEILING BY STAIRS - FIBER BOARD -	Brown/White Fibrous	98% Cellulose	2% Non-fibrous (Other)	None Detected
162104663-0006	CEILING TILE	Homogeneous			
	BROWN		HA: 4		
8	CEILING BY STAIRS	Brown/White	98% Cellulose	2% Non-fibrous (Other)	None Detected
	- CEILING TILE	Fibrous			
162104663-0007	BROWN	Homogeneous	HA: 4		
9	LOBBY - LINOLEUM	Beige	20% Cellulose	80% Non-fibrous (Other)	None Detected
162104663-0008	YELLOW	Fibrous Homogeneous			
102104003-0008		Tomogeneous	HA: 5		
10-Floor Tile	FRONT OFFICE -	Tan/Yellow		98% Non-fibrous (Other)	2% Chrysotile
162104663-0009	FLOOR TILE & MASTIC YELLOW	Non-Fibrous Homogeneous			



EMSL Order: 162104663 Customer ID: ENVA60 Customer PO:

Project ID:

#### Test Report: Asbestos Analysis of Bulk Materials via EPA 600/R-93/116 Method using Polarized Light Microscopy

			Non-Asbe	stos	Asbestos
Sample	Description	Appearance	% Fibrous	% Non-Fibrous	% Type
			HA: 2		
10-Mastic	FRONT OFFICE - FLOOR TILE &	Black Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
162104663-0009A	MASTIC YELLOW	Homogeneous	HA: 2		
11	LOBBY FRONT WALL - DRYWALL	Brown/White Fibrous	20% Cellulose	70% Gypsum 10% Non-fibrous (Other)	None Detected
162104663-0010		Heterogeneous	HA: 6		
12	LOBBY SOUTH WALL - DRYWALL	Tan/White Fibrous	20% Cellulose	70% Gypsum 10% Non-fibrous (Other)	None Detected
162104663-0011		Heterogeneous	HA: 6		
13-Floor Tile	BACK OFFICE - FLOOR TILE &	Tan Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
162104663-0012	MASTIC YELLOW	Homogeneous	HA: 7		
13-Mastic	BACK OFFICE - FLOOR TILE &	Black Non-Fibrous		98% Non-fibrous (Other)	2% Chrysotile
162104663-0012A	MASTIC YELLOW	Homogeneous	HA: 7		

Analyst(s)	
Jadda Moffett (16)	

Tuband H. Harding

Richard Harding, Laboratory Manager or Other Approved Signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method fimitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted. The above analyses were performed in general compliance with Appendix E to Subpart E of 40 CFR (previously EPA 600/M4-82-020 "Interim Method") but augmented with procedures outlined in the 1993 ("final") version of the method. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST or any agency of the federal government. Non-friable organically bound materials present a problem matrix and therefore EMSL recommends gravimetric reduction prior to analysis. Unless requested by the client, building materials manufactured with multiple layers (i.e. linoleum, wallboard, etc.) are reported as a single sample. Estimation of uncertainty is available on request.

Samples analyzed by EMSL Analytical, Inc. Indianapolis, IN NVLAP Lab Code 200188-0, AZ0939, CA 2575, CO AL-15132, TX 300262

OrderID: 162104663



#### Asbestos Bulk Building Material Chain of Custody

EMSL Order Number (Jab, use gnly):

EMSL Analytical, Inc. 6340 Castleplace Dr.

Page 1 of

Indianapolis, IN 46250 Phone (317) 803-2997 Fax (317) 803-3047

Street: 440 South Hancock Street   City: Indianapolis   State or Province: Zip/Postal Code: 46222   Country: US   Telephone #: 317-636-8500   Fax #: 317-636-2   Report To (Name): Mike Kirkman   Please Provide Results via:   Fax   Emall   Email Address:   admin@eaciusa.com   Purchase Order Number:   EmSL Project ID:   121N-94 Indiana Dunes State Park   EmSL Project ID (internal use only):   State or Province Collected: IN   CT only   Commercial/Taxable   Residential/Tax   EMSL-Bill to:   Same   Different - If bill to is different note instructions in comment. Third party billing requires written authorization to Turnaround Time (TAT) Options Please Check   32 Hour   32 Hour   32 Hour   34 Hour   712 Hour   96 Hour   1 Week   32 Hour TAT available for select tests only; samples must be submitted by 11:30am. Please call whead for large projects and/or turnaround times 6 hours or Passes.   PLM - Butk (reporting limit)   TEM = PA NOB - EPA 600/R-93/116 Section 2.5.5.1   PLM EPA NOB (<1%)   TEM EPA NOB - EPA 600/R-93/116 Section 2.5.5.1   PLM EPA NOB (<1%)   TEM PA NOB - EPA 600/R-93/116 Section 2.5.5.2   NIOSH 9002 (<1%)   TEM Qualitative via Filtration Prep Technique   NY ELAP Method 198.1 - friable - NY   TEM Qualitative via Filtration Prep Technique   NY ELAP Method 198.6 NOB- non-friable - NY   TEM Qualitative via Filtration Prep Technique   NY ELAP Method 198.6 NOB- non-friable - NY   Other tests (please specify)   NY ELAP Method 198.6 NOB- non-friable - NY   Other tests (please specify)   Sampler's Name:   MJK   M	2164		
Report To (Name): Mike Kirkman  Please Provide Results via:	c Exempt from third party		
email Address: admin@eaciusa.com  Client Project ID: 221N-94 Indiana Dunes State Park  EMSL Project ID (Internal use only):  State or Province Collected: IN  EMSL-Bill to: Same   Different - If bill to is different note instructions in comment. Third party billing requires written authorization in Turnaround Time (TAT) Options Please Check  3 Hour 32 Hour 32 Hour 32 Hour 48 Hour 72 Hour 36 Hour 32 Hour 32 Hour 74T available for select tests only, samples must be submitted by 11:30am. Please cell ahead for large projects and/or turnaround times 6 hours or less.  PLM - Bulk (reporting limit)  PLM EPA 600/R-93/116 (<1%)  PLM EPA NOB (<1%)  PLM EPA NOB (<1%)  PLM EPA NOB (<1%)  Point Count 400 (<0.25%) 1000 (<0.1%)  Point Count w/Gravimetric 400 (<0.25%) 1000 (<0.1%)  TEM 902 (<1%)  NY ELAP Method 198.1- friable - NY  NY ELAP Method 198.5 NOB- non-friable - NY  NY ELAP Method 198.6 NOB- non-friable - NY  NY ELAP Method 198.8- Vermiculite Surfacing Material  OSHA ID-191 Modified  EMSL Standard Addition Method  Positive Stop - Clearly Identify Homogenous Areas (HA)  Date Sampled: 3-10 - Z1  Sampler's Name: MKK Kirkuran  Sampler's Signature: 4444  Sampler's Signature: 4444  EMSL Travala Internal use only:  TEM Qualitative: 4444  Sampler's Signature:	from third party		
Client Project ID: 221N-94 Indiana Dunes State Park  State or Province Collected: IN  EMSL-Bill to: Same / Different - If bill to is different note instructions in comment. Third party billing requires written authorization in Turnaround Time (TAT) Options Please Check  3 Hour 3 Hour 32 Hour 32 Hour 48 Hour 72 Hour 96 Hour 11 Week  32 Hour 17 available for select fests only, samples must be submitted by 11:30am. Please call ahead for large projects and/or turneround times 6 hours or less.  PLM Bulk (reporting limit)  PLM EPA 600/R-93/116 (<1%)  PLM EPA NOB (<1%)  PLM EPA NOB (<1%)  Point Count 400 (<0.25%) 1000 (<0.1%)  Chatfield Protocol (semi-quantitative)  Point Count W/Gravimetric 400 (<0.25%) 1000 (<0.1%)  TEM Qualitative via Filtration Prep Technique  NY ELAP Method 198.1- friable - NY  NY ELAP Method 198.6 NOB- non-friable - NY  NY ELAP Method 198.8- Vermiculite Surfacing Material  OSHA ID-191 Modified  EMSL Standard Addition Method  Date Sampler's Signature:  Sampler's Signature:  With Mark Authuran  EMSL Project ID (internal use only):  CT only Commercial/Taxable Creation / CT only Commercial/Taxable (Residential/Taxe authorization in comment. Third party billing requires written authorization in comment in turnary beautifulation in comment. Third party billing	from third party		
State or Province Collected: IN CT only Commercial/Taxable Residential/Tax  EMSL-Bill to: Same Different - If bill to is different note instructions in comment. Third party billing requires written authorization of Turnaround Time (TAT) Options Please Check  3 Hour 6 Hour 24 Hour 32 Hour 32 Hour 73 Hour 74 Hour 75 Ho	from third party		
EMSL-Bill to: Same   Different - If bill to is different note instructions in comment. Third party billing requires written authorization in Turnaround Time (TAT) Options Please Check  3 Hour   6 Hour   32 Hour   34 Hour   72 Hour   96 Hour   1 Week    *32 Hour TAT available for select tests only, samples must be submitted by 11:30am. Please cell alread for large projects end/or turnaround times 6 hours or less.  PLM - Butk (reporting limit)   TEM - Bulk    PLM EPA 600/R-93/116 (<1%)   TEM EPA NOB - EPA 600/R-93/116 Section 2.5.5.1    PLM EPA NOB (<1%)   NY ELAP Method 198.4 non-friable - NY    Point Count   400 (<0.25%)   1000 (<0.1%)   TEM % by Mass - EPA 600/R-93/116 Section 2.5.5.2    NIOSH 9002 (<1%)   TEM Qualitative via Filtration Prep Technique    NY ELAP Method 198.1- friable - NY   TEM Qualitative via Drop Mount Prep Technique    NY ELAP Method 198.8- Vermiculite Surfacing Material   OSHA ID-191 Modified    EMSL Standard Addition Method    Positive Stop - Clearly Identify Homogenous Areas (HA)   Date Sampled:   3-10-21    Sampler's Name:   MIKK   Kirkhara   Sampler's Signature:   Mikk   M	from third party		
Turnaround Time (TAT) Options Please Check  3 Hour 6 Hour 22 Hour 32 Hour 48 Hour 72 Hour 96 Hour 14 Week  *32 Hour TAT available for select tests only, samples must be submitted by 11:30am. Please cell ahead for large projects end/or tumaround times 6 hours or less.  PLM - Bulk (reporting limit)  PLM EPA 600/R-93/116 (<1%)  PLM EPA NOB (<1%)  PLM EPA NOB (<1%)  PLM EPA NOB (<1%)  Point Count 400 (<0.25%) 1000 (<0.1%)  Point Count w/Gravimetric 400 (<0.25%) 1000 (<0.1%)  NY ELAP Method 198.4 non-friable - NY  Point Count w/Gravimetric 400 (<0.25%) 1000 (<0.1%)  TEM 9002 (<1%)  NIOSH 9002 (<1%)  NY ELAP Method 198.1- friable - NY  NY ELAP Method 198.6 NOB- non-friable - NY  NY ELAP Method 198.6 NOB- non-friable - NY  NY ELAP Method 198.8- Vermiculite Surfacing Material  OSHA ID-191 Modified  EMSL Standard Addition Method  Positive Stop - Clearly Identify Homogenous Areas (HA)  Sampler's Signature:  **TEM Qualitative: 12 Hour 15 Hour 16 Hour 16 Hour 17 H			
*32 Hour TAT available for select tests only, samples must be submitted by 11:30am.  **Please call shead for large projects end/or tumeround times 6 hours or less.**  **PLM - Bulk (reporting limit)**  **TEM - Bulk**    PLM EPA 600/R-93/116 (<1%)	☐ 2 Week		
PLM - Bulk (reporting limit)    PLM - Bulk (reporting limit)   TEM - Bulk     PLM EPA 600/R-93/116 (<1%)   TEM EPA NOB - EPA 600/R-93/116 Section 2.5.5.1     PLM EPA NOB (<1%)   NY ELAP Method 198.4 non-friable - NY     Point Count   400 (<0.25%)   1000 (<0.1%)   TEM % by Mass - EPA 600/R-93/116 Section 2.5.5.2     NIOSH 9002 (<1%)   TEM Qualitative via Filtration Prep Technique     NY ELAP Method 198.1- friable - NY   TEM Qualitative via Drop Mount Prep Technique     NY ELAP Method 198.6 NOB- non-friable - NY   Other tests (please specify)     NY ELAP Method 198.8- Vermiculite Surfacing Material     OSHA ID-191 Modified   Positive Stop - Clearly Identify Homogenous Areas (HA)   Date Sampled:   3 - 10 - 21     Sampler's Name:   MIKK   Kirkhara   Sampler's Signature:   Wilk   Mikh			
□ PLM EPA 600/R-93/116 (<1%) □ PLM EPA NOB (<1%) □ NY ELAP Method 198.4 non-friable - NY  Point Count □ 400 (<0.25%) □ 1000 (<0.1%) □ Chatfield Protocol (semi-quantitative)  Point Count w/Gravimetric □ 400 (<0.25%) □ 1000 (<0.1%) □ TEM % by Mass - EPÂ 600/R-93/116 Section 2.5.5.2 □ NIOSH 9002 (<1%) □ NY ELAP Method 198.1- friable - NY □ NY ELAP Method 198.6 NOB- non-friable - NY □ NY ELAP Method 198.8- Vermiculite Surfacing Material □ OSHA ID-191 Modified □ EMSL Standard Addition Method □ Positive Stop - Clearly Identify Homogenous Areas (HA)  Sampler's Name: M/KK Kirkuran  □ Sampler's Signature: With M/K/ □ NY ELAP Method 198.8- Vermiculite Surfacing Material □ Sampler's Signature: With M/K/ □ Sampler's Signature: With M/K/ □ Sampler's Signature: With M/K/ □ NY ELAP Method 198.8- Vermiculite Surfacing Material □ Sampler's Signature: With M/K/ □ NY ELAP Method 198.8- Vermiculite Surfacing Material □ Sampler's Signature: With M/K/ □ NY ELAP Method 198.8- Vermiculite Surfacing Material □ OSHA ID-191 Modified □ EMSL Standard Addition Method □ Positive Stop - Clearly Identify Homogenous Areas (HA) □ Sampler's Signature: With M/K/ □ NY ELAP Method 198.4 non-friable - NY □ TEM Qualitative via Protocol (semi-quantitative) □ TEM 9 Method 198.4 non-friable - NY □ TEM Qualitative via Protocol (semi-quantitative) □ TEM 9 Method 198.4 non-friable - NY □ TEM 9 Method 198.4 non			
□ PLM EPA NOB (<1%)			
Point Count   400 (<0.25%)   1000 (<0.1%)   Chatfield Protocol (semi-quantitative)  Point Count w/Gravimetric   400 (<0.25%)   1000 (<0.1%)   TEM % by Mass – EPÅ 600/R-93/116 Section 2.5.5.2    NIOSH 9002 (<1%)   TEM Qualitative via Filtration Prep Technique   NY ELAP Method 198.1- friable - NY   TEM Qualitative via Drop Mount Prep Technique   NY ELAP Method 198.6 NOB- non-friable - NY   Other tests (please specify)    NY ELAP Method 198.8- Vermiculite Surfacing Material   OSHA ID-191 Modified   Date Sampled: 3-/0-Z/    Positive Stop - Clearly Identify Homogenous Areas (HA)   Date Sampled: 3-/0-Z/    Sampler's Name: MIKK Livkuran   Sampler's Signature: With Miles			
Point Count w/Gravimetric  400 (<0.25%) 1000 (<0.1%) TEM % by Mass – EPA 600/R-93/116 Section 2.5.5.2    NIOSH 9002 (<1%) TEM Qualitative via Filtration Prep Technique   NY ELAP Method 198.1- friable - NY	the state of the same		
□ NIOSH 9002 (<1%)			
NY ELAP Method 198.1- friable - NY			
□ NY ELAP Method 198.6 NOB- non-friable - NY       Other tests (please specify)         □ NY ELAP Method 198.8- Vermiculite Surfacing Material       □ OSHA ID-191 Modified       □         □ EMSL Standard Addition Method       □         □ Positive Stop - Clearly Identify Homogenous Areas (HA)       Date Sampled: 3-/0-Z/         Sampler's Name:       MIKE Kirkuran         Sampler's Signature:       With Method			
□ OSHA ID-191 Modified □ EMSL Standard Addition Method □ Positive Stop - Clearly Identify Homogenous Areas (HA)  Date Sampled: 3-10-21  Sampler's Name: MIKE Kirkuran  Sampler's Signature: With MI			
□ EMSL Standard Addition Method □ Positive Stop - Clearly Identify Homogenous Areas (HA)  Sampler's Name: MIKE Kirkuran  Sampler's Signature: With Mile			
□ Positive Stop - Clearly Identify Homogenous Areas (HA)  Date Sampled: 3-10-21  Sampler's Name: MIKE Kirkuran  Sampler's Signature: With Hell	_ □		
Sampler's Name: MIKE Kirkuran Sampler's Signature: With Tell			
The American Control of the Control			
Sample # HA # Sample Location Material Description	on		
2 1 Restroom floor linoleum - brown			
3 2 Dining room near stairs floor tile and mastic -	yellow		
4 2 Dining room near closet alcove floor tile and mastic -	yellow		
5 3 Attic ceiling tile - white			
6 3 Attic ceiling tile - white			
7 4 ceiling by stairs - fiber board ceiling tile - brown			
Client Sample # (s): / - /2 Total # of Samples: /2			
	2		
Received by (Lab): Stew Date: 3/11/2   Time: /	D-ZOAM		
Comments/Special Instructions: BillTo: Environmental Assurance Company, 440 South Hancock Street, Indianapolis, IN, 46222, US	0.70AM		

Controlled Document - COC-01 Asbestos Bulk - R4 - 09/10/2019

EMSL Analytical, Inc.'s (DBA: LA Testing) Laboratory Terms and Conditions are incorporated into this chain of custody by reference in their entirety. Submission of samples to EMSL Analytical Inc. constitutes acceptance and acknowledgment of all terms and conditions.

OrderID: 162104663



#### Asbestos Bulk Building Material Chain of Custody

EMSL Order Number (lab use only):

EMSI	. Analytical, Inc.	
6340	Castleplace Dr.	

Indianapolis, IN 46250 Phone (317) 803-2997 Fax (317) 803-3047

Additional pages of the Chain of Custody are only necessary if needed for additional sample information

Sample #	HA#	Sample Location	Material Description
8	4	ceiling by stairs	ceiling tile - brown
9	5	lobby	linoleum - yellow
10	2	front office	floor tile and mastic - yellow
11	6	lobby front wall	drywall
12	6	lobby south wall	drwall
13	7	back office	floor tile and mastic - yellow
-			
	-		
	_		

\*Comments/Special Instructions:

BillTo: Environmental Assurance Company, 440 South Hancock Street, Indianapolis, IN, 46222, US Attention: Admin Phone: 317-636-8500 Email: admin@eaciusa.com Purchase Order:

Page Z of Z pages

Controlled Document - COC-01 Asbestos Bulk - R4 - 09/10/2019

EMSL Analytical, Inc.'s (DBA: LA Testing) Laboratory Terms and Conditions are incorporated into this chain of custody by reference in their entirety. Submission of samples to EMSL Analytical Inc. constitutes acceptance and acknowledgment of all terms and conditions.

# Environmental Management Institute at Ivy Tech Community College

9301 E 59<sup>th</sup> St. Indianapolis IN 46216 (317) 248-4848 | Indianapolis-EMI@ivytech.edu IvyTech.edu/EMI

This confirms that

## Michael Kirkman

Completed the required refresher training for Asbestos Accreditation under TSCA Title II in

### **Asbestos Building Inspector**

on February 12, 2021 and passed the exam with a score of 70 or higher on February 12, 2021

Joan B. Ketterman, Director

Certificate: - A1221-157 Expires: February 12, 2022



Approved by: Illinois Department of Public Health

Indiana Department of Environmental Management



Indiana Department of Environmental Management 100 N. Senate Avenue Mail Code 61-52 IGCN 1003 Indianapolis, IN 46204-2251

March 12, 2021

000009

Michael R. Kirkman Environmental Assurance Co Inc 440 Hancock St Indianapolis IN 46222



Re: Asbestos Inspector # 19A007189

Based upon the review-of your-license application, the Office of Air Quality has determined that you have fulfilled the requirements of 326 IAC 18 and are eligible for licensing in the following discipline:

#### Asbestos Inspector

Your Asbestos Inspector license is attached below. The license is waterproof and tear resistant. Please sign your license and do not laminate or alter your license in anyway. Your license must be available for review at all times while implementing an asbestos project. This license may be revoked, pursuant to 326 IAC 18-1-7, if you:

- (1) Violate any requirements of these rules (326 IAC 18), 326 IAC 14-10, or any requirement of the Asbestos-Containing Materials in Schools Rule or any other federal, state, or local regulation pertaining to asbestos in buildings or to asbestos projects.
- (2) Falsify information on your application for licensing.
- (3) Fail to meet any qualifications specified in 326-IAC 18-1-4.
- (4) Conduct asbestos project, or related asbestos handling activity, in a manner which is hazardous to the public health.

Your license is valid effective 04/08/2021, and will expire on 04/08/2022, as indicated on your card. We suggest that you attend the required training and submit an application for license renewal early to insure your license does not lapse. NOTE: 326 IAC 18-1-4(h) and 326 IAC 18-1-6(e) require that any individual who has an eighteen (18) month lapse between any two training courses of the same discipline to attend an initial training course for the discipline in which they are seeking a license. In order to avoid re-taking the initial training course you must have attended a refresher in the discipline you are seeking a license within eighteen (18) months from the date of issuance of your last training course certificate.

Office of Air Quality, Asbestos Licensing Section (317) 233-3861



Indiana Dept. of Environmental Management

Michael R. Kirkman

Asbestos Inspector License #: 19A007189

Effective: 04/08/2021

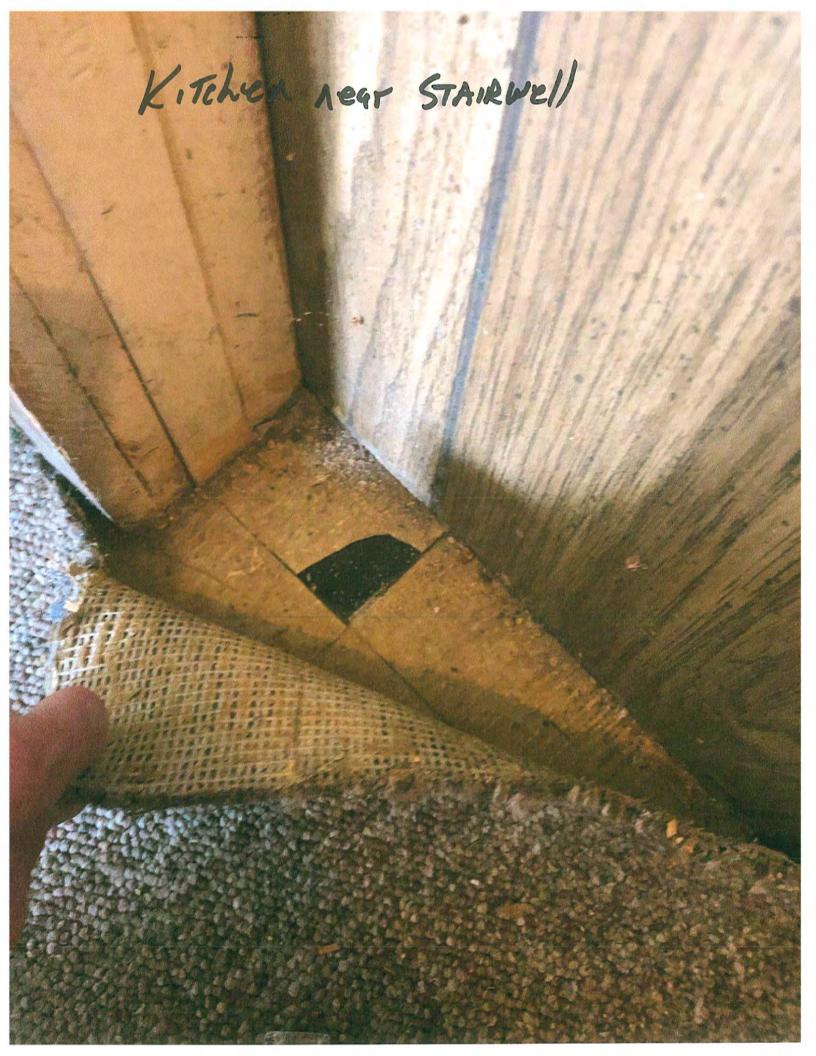
Expiration: 04/08/2022 Gender: M

Birth Date: 03/17/1966 Height: 5-06

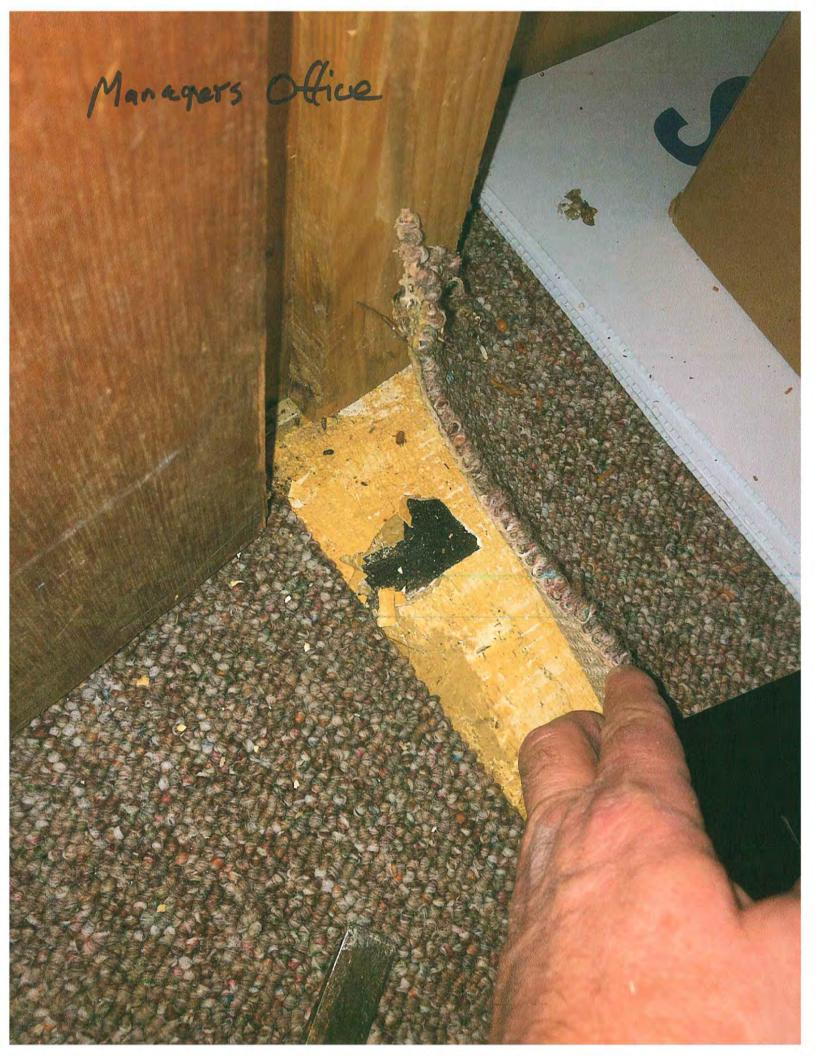
Weight: 199

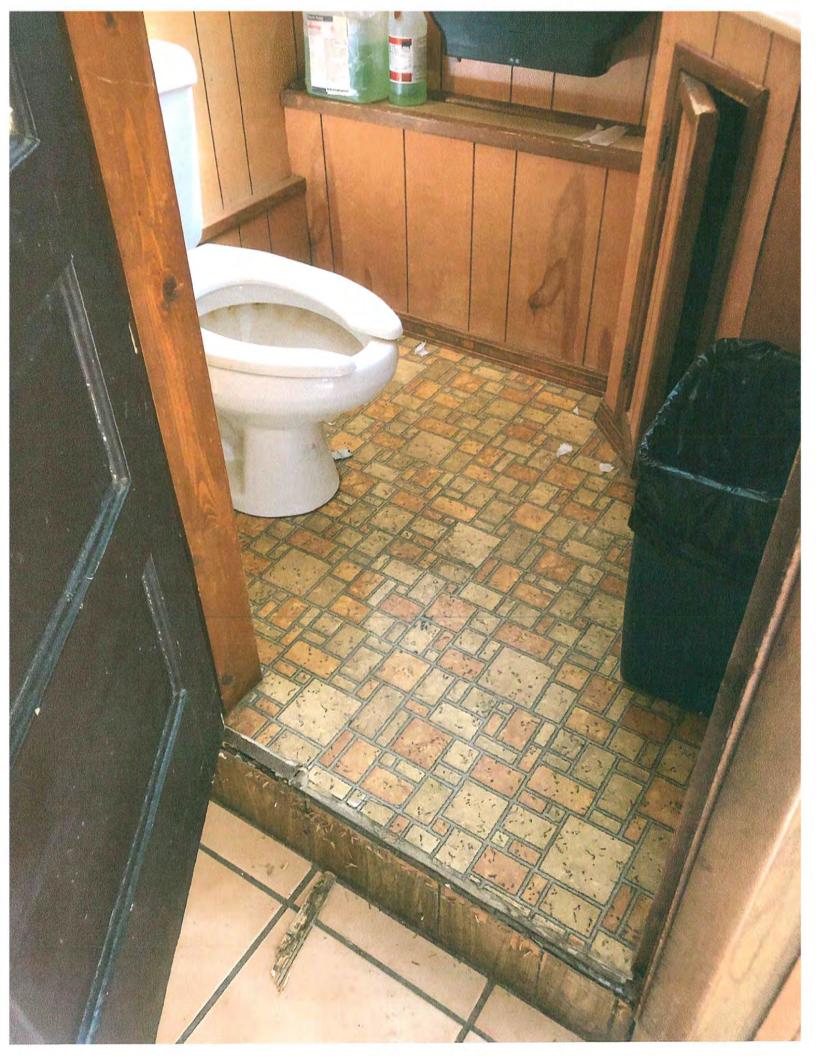
Eye Color: Brown Hair Color: Grey













www.eaciusa.com • 317-636-8500

Indianapolis: 440 Hancock Street, Indianapolis, IN 46222 • Ph: 1-800-933-EACI (3224) • Fax: 317-636-2164 • Email: eaciindy@aol.com

EXHIBIT B

April 23, 2021

Mr. Mickey Rea, CPM
Indiana Dept. of Natural Resources
Indiana Dunes State Park
1600 N 25 East
Chesterton, Indiana

Re: Results of Lead Based Paint Testing, Indiana Dunes State Park Office Building

Dear Mr. Rea,

On March 10<sup>th</sup>, 2021 Environmental Assurance Company Inc. (EACI) sampled paint on the Indiana Dunes State Park Office Building, located at 1600 N 25E, Chesterton, Indiana. During this investigation, samples were collected from paint inside and outside the building. The sampling was performed by Michael R Kirkman.

#### Results and Conclusions

Five samples of paint chips were taken to the EMSL Analytical laboratory in Indianapolis. Of the 5 samples, there were 2 samples that were shown to be above the EPA limits to be considered Lead Paint (1st floor back window and first floor west window). EPA rules apply to child occupied facilities or target housing (built in 1978 or prior). OSHA interpretation: says that if any amount of lead in paint is dangerous and that safe work practices should be used. Please see OHSA Standard 1926.62. Please see the attached sample analysis.

Sincerely

Mike Kirkman

**EACI** 



#### EMSL Analytical, Inc.

**6340 CastlePlace Dr., Indianapolis, IN 46250** Phone/Fax: (317) 803-2997 / (317) 803-3047

http://www.EMSL.com

indianapolislab@emsl.com

EMSL Order: CustomerID: 162104659 ENVA60

CustomerPO: ProjectID:

Attn: Mike Kirkman

Environmental Assurance Company 440 South Hancock Street Indianapolis, IN 46222 Phone:

(317) 636-8500

Fax: Received: (317) 636-2164 3/11/2021 11:40 AM

Collected:

3/10/2021

Project: 221IN-94

#### Test Report: Lead in Paint Chips by Flame AAS (SW 846 3050B/7000B)\*

Client SampleDescription	Collected Analyzed	Weight	RDL	Lead Concentration
1 162104659-0001	3/10/2021 3/11/2021 Site: 2nd Story Front Window	0.2511 g	0.0080 % wt	0.044 % wt
14 162104659-0002	3/10/2021 3/11/2021 Site: Corridor from lobby to back office	0.257 g	0.0080 % wt	<0.0080 % wt
15 162104659-0003	3/10/2021 3/11/2021 Site: 1st Floor Back Window	0.2509 g	0.20 % wt	9.3 % wt
16 162104659-0004	3/10/2021 3/11/2021 Site: 1st Floor East Window	0.252 g	0.0080 % wt	<0.0080 % wt
17 162104659-0005	3/10/2021 3/11/2021 Site: 1st Floor West Window	0.2591 g	0.20 % wt	2.2 % wt

Allison Ford, Chemistry Lab Manager or other approved signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted.

Analysis following Lead in Paint by EMSL SOP/Determination of Environmental Lead by FLAA. Reporting limit is 0.008% wt based on the minimum sample weight per our SOP. "<" (less than) result signifies the analyte was not detected at or above the reporting limit. Measurement of uncertainty is available upon request. Definitions of modifications are available upon request. Samples analyzed by EMSL Analytical, Inc. Indianapolis, IN AIHA-LAP, LLC-ELLAP 157245, OH E10040

OrderID: 162104659



## Lead (Pb) Chain of Custody EMSL Order ID (Lab Use Only):

EMSL Analytical, Inc. 6340 Castleplace Dr.

Indianapolis, IN 46250 PHONE (317) 803-2997 FAX (317) 803-3047

				-	FASOL DI	HAD TO CO	- C	Different	
Company : Environmental Assurance Company				EMSL-Bill to: Same I Different  If Bill to is Different note instructions in Comments**					
Street: 440 South Hancock Street			Third Party Billing requires written authorization from third party						
	City: Indianapolis State/Province: IN			Zip/Postal Code: 46222 Country: US					
Report To (Na	me): Mike Kirkma	ın		Telephon	e#: 317-636-8	500			
	Email Address: admin@eaciusa.com			Fax #: 317-636-2164 Purchase Order:					
Project Name/	Number: 2211N-94			Please P	rovide Results:	Fax	<b>₹</b> Em	ail	
	nples Taken: IN			CT Samp	les: 🗌 Comme	rcial/Taxat	ole 🔲	Residential/Tax	Exempt
		Tı	rnaround Time (TA	T) Option	s* - Please Ch	eck			
☐ 3 Hour	☐ 6 Hour	■ 24				6 Hour		Week	2 Week
		complete	d in accordance with EMS	L's Terms a					
	Matrix		Method		Instrum		Rep	orting Limit	Check
Chips 🗸 % by	wt. mg/cm² 🗹 ppn	n (mg/kg)	SW846-7000E	}	Flame Atomic A	bsorption	0.01%		
Air			NIOSH 7082		Flame Atomic A			ug/filter	<u> </u>
			NIOSH 7105 NIOSH 7300M/NIOS		Graphite Furn			03 µg/filter 5 µg/filter	
Wipe*	ASTM		SW846-7000E		Flame Atomic A			o pg/mer o pg/wipe	
	non ASTM								
"if no box checked assumed	d, non-ASTM Wipe		SW846-6010B o	rC	ICP-OE		-	0 µg/wipe	
TCLP			SW846-1311/7000B/S		Flame Atomic A			mg/L (ppm)	
		-	SW846-1311/SW846-6		ICP-OES			mg/L (ppm)	
SPLP			SW846-1312/7000B/S SW846-1312/SW846-6			0.4 mg/L (ppm) 0.1 mg/L (ppm)			
<u></u>	SW846-1312/SW846-6 22 CCR App. II, 700				40 mg/kg (ppm)				
		22 CCR App. II, SW846-6010B or C		ICP-OES		2 mg/kg (ppm)			
ATI A		22 CCR App. II, 7000B/7420		Flame Atomic Absorption		0.4 mg/L (ppm)			
STLC			22 CCR App. II, SW846-6	010B or C	ICP-OE	S	THE OWNER OF TAXABLE PARTY.	mg/L (ppm)	
Soil			SW846-7000E	3	Flame Atomic A	bsorption		ng/kg (ppm)	
			SW846-6010B o	rC	ICP-OE		Period Personal Personal	g/kg (ppm)	
Wastewater	Unpreserved		SM3111B/SW846-7	7000B	Flame Atomic A			mg/L (ppm)	
	ith HNO <sub>3</sub> pH < 2	Ħ	EPA 200.9 EPA 200.7		Graphite Furr			3 mg/L (ppm) 3 mg/L (ppm)	
			EPA 200.7		ICP-MS		PERSONAL PROPERTY.	1 mg/L (ppm)	
	ter Unpreserved		EPA 200.9		Graphite Furn			3 mg/L (ppm)	
Preserved wi	ith HNO₃pH < 2		EPA 200.5		ICP-OE			3 mg/L (ppm)	
TSP/SPM File	tor.		40 CFR Part 5		ICP-OE	S		2 µg/filter	
	(er		40 CFR Part 5	0	Graphite Furr	ace AA	3.	6 µg/filter	
Other:			<u> </u>		<u> </u>				
Name of San	npler: MIK	EK	irkman	Signa	ture of Sampi		Me	75/	
Sample #		Locati	on	Volume/Area		Date/Time Sampled			
1	2nd story from	front window		chip		3-10-21 - 12pm			
14	14 Corridor from lobby to back office			chip 3-10-21 -1pm			1pm		
Client Sample #s 1 - 5 Total # of Samples: 5									
Relinquished (Client): EXCT Date: 3-11-21 Time: 10				IDAM					
Received (Lat	»: <u>A</u>	W	Date:	15/1	1/21	Time:		1140	0
Comments: BillTo. Environment	al Assurance Company, 440	South Hanc	ock Street, Indianapolis, IN, 48222,	us					
Attention: Admin Phone: 317-836-8500 Email: admin@eaciusa com Purchase Order									

OrderID: 162104659



LEAD (Pb) CHAIN OF CUSTODY
EMSL ORDER ID (Lab Use Only):
102104659

EMSL Analytical, Inc. 6340 Castleplace Dr.

Indianapolis, IN 46250

PHONE: (317) 803-2997 FAX: (317) 803-3047

Additional Pages of the Chain of Custody are only necessary if needed for additional sample information

Sample #	Location	Volume/Area	Date/Time Sampled
15	1st floor back window	chip	3-10-21 1:30pm
16	1st floor west window	chip	3-10-21 1:35pm
17	1st floor east window	chip	3-10-21 1:40pm
·· • • • • • • • • • • • • • • • • • •			
Ommente/C	pecial Instructions:		
To: Environmental	Assurance Company, 440 South Hancock Street, Indianapolis, IN, 48222 no 317-636-8500 Email: admin@eaciusa com Purchase Order:	, us	

	~	~
_	4.	4
Page _	0f	pages

#### SECTION 010200 - ALLOWANCES

#### PART 1 - GENERAL:

#### 1.01 REMEDIATION ALLOWANCE

- A. Contractor shall include an allowance of <u>\$5,000.00</u> in the Base Bid for remediation of unforeseen constraints. This amount shall be included as a separate item in the Schedule of Values adding up to the total bid price.
- B. Such constraints may include but are not necessarily limited to unforeseen subsurface conditions particular to this construction site; improperly recorded or unrecorded physical properties and conditions at the site; obstruction of or delays to reasonable work sequences by the Property, or the Owner; uncommon adverse weather or site conditions; and conflict within or omissions from the Contract Documents.
- C. All remediation work shall be proposed to and authorized by the Director of Public Works Division prior to execution, jointly documented by Contractor and Designer, and recorded in Contractor's As-built and Designer's Project Record Documents.
- D. If any portion of the allowance is not used during the project, that portion will revert to the owner and will not be included in the contractor's final payment.

END OF SECTION

#### **SECTION 031000**

#### CONCRETE FORM WORK

#### PART 1 - GENERAL:

#### 1.01 RELATED WORK SPECIFIED ELSEWHERE:

- A. Filling, Excavating, Backfilling and Compacting: Section 310000
- B. Concrete Reinforcement: Section 032000.
- C. Cast-In-Place Concrete: Section 033000.

#### 1.02 QUALITY ASSURANCE:

- A. Reference Standards:
  - 1. The American Concrete Institute Building Code; ACI.
- B. Allowable Tolerances for Wood and Metal FORM WORK:
  - 1. Vertical and Horizontal: not more than 3/8 inch in 10 feet.

#### PART 2 - PRODUCTS

#### 2.01 FORMS FOR CONCEALED CONCRETE SURFACES:

- A. Standard wood or metal forms meeting requirements of ACI- 318.
- B. Bank forms provided soil is firm and will hold a true shape.

#### 2.02 FORMS FOR EXPOSED SURFACES:

A. 5/8 inch or 3/4 inch plywood meeting requirements of National Bureau Standards, Product Standard PS 1, Article 3.6.4, Class II.

#### 2.03 FORM OIL:

- A. Shall be non-staining.
- B. Shall not impede curing of concrete.
- C. Shall not cause softening of concrete.
- D. Shall be compatible with color tinting admixtures when colored concrete is required.

#### PART 3 - EXECUTION

#### 3.01 INSTALLATION:

#### A. General:

- 1. Forms: Sizes shown on Drawing and braced or tied to maintain shape and position.
- 2. Joints: tight to prevent leakage of mortar.
- 3. Form Construction and Assembly: Prevent concrete damage when removed.
- 4. Abutting Edges: Plywood forms shall be attached to a single framing member with 6d box nails 8 inches o.c. Steel forms shall butt tightly together and securely anchored to prevent spreading.

#### B. Bank Forms:

- 1. Increase dimensions shown on Drawings 2 inches both ways.
- 2. Hand excavated last 6 inches all dimensions.
- 3. Final trimming shall be performed same day concrete is placed.
- 4. If bank forms fail to hold a true shape, wood or metal forms shall be used to maintain proper size of members.

#### 3.02 REMOVAL:

- A. Remove forms in accordance with ACI-318.
- B. Notify Designer before removing forms.

#### 3.03 PROTECTION:

A. Protect surfaces and corners from damage or abrasion.

END OF SECTION

#### **SECTION 032000**

#### CONCRETE REINFORCEMENT

#### PART 1 - GENERAL

#### 1.01 WORK INCLUDED:

A. Steel reinforcement for cast-in-place concrete.

#### 1.02 RELATED WORK SPECIFIED ELSEWHERE:

- A. Concrete Form work: Section 031000.
- B. Cast-in-Place Concrete: Section 033000.
- C. Filling, Excavating, Backfilling and Compacting: Section 315000.

#### 1.03 REFERENCE STANDARDS:

- A. ACI American Concrete Institute.
  - 1. ACI 301 Structural Concrete for Building.
  - 2. ACI 318 Building code requirements for reinforced concrete.
  - 3. ACI 347 Recommended practice for concrete Form work.
- B. ASTM American Society for Testing and Materials.
- C. INDOH Indiana Department of Highways Standard Specifications.
- D. All codes and specifications referenced herein shall refer to the current codes and specification, including amendments, revisions, and addenda, in effect at the time of bid submittal.

#### 1.04 ALLOWABLE TOLERANCES:

A. All reinforcing steel shall be placed with-in 3/8 inch of the locations shown on the drawings.

#### 1.05 PRODUCT DELIVERY, STORAGE AND HANDLING:

- A. All reinforcing steel shall be delivered in bundles, crates, assemblies, or rolls separated by shape, size and length of reinforcing steel.
- B. All bundles, shapes, assemblies and rolls shall be clearly marked indicating size, shape, and length of reinforcing steel.
- C. All steel shall be stored in crates, on wood blocking, or on concrete blocking to prevent contamination from dirt, mud, ponded water, grease and oils.

#### 1.06 SUBMITTALS:

A. Submit fabrication and installation shop drawings for approval at least 14 days before reinforcing installation. PART 2 - PRODUCTS

#### 2.01 MATERIALS:

#### A. Deformed Reinforcement:

- 1. ASTM A-615 Grade 60: Billet steel bars
- 2. ASTM A-616 Grade 60: Rail steel bars
- 3. ASTM A-617 Grade 60: Axle steel bars

#### B. Welded Wire Fabric:

- 1. ASTM A-185 Grade 60: Welded steel wire fabric.
- 2. 6x6 W1.4xW1.4, sheets only.

#### 2.02 FABRICATION:

- A. ACI 318 for cleaning and bending of reinforcement.
- B. Splice length shall be in accordance with ACI 318 unless otherwise indicated on the drawings.

#### **PART 3 - EXECUTION**

#### 3.01 INSTALLATION:

#### A. Steel Bar Reinforcement:

- 1. Place in positions and spacing indicated on the plans
- 2. Provide splices in locations shown on the plans.
- 3. Securely fasten and support bars to prevent displacement before, during, and after concrete placement.

#### B. Wire Fabric:

- 1. Place in middle cross-sectional third of concrete slabs.
- 2. Place in longest practical lengths.
- 3. Splices:
  - a. Provide for one full mesh width plus 2 inches.
  - b. Offset splices.
  - c. Tie splices to prevent displacement.
  - d. Selvage edge splices one full mesh.
- 4. Provide steel or concrete supports to prevent displacement before, during, and after concrete placement.

#### 3.02 CLEANING:

A. Clean all reinforcement of dirt, mud, ice, oil, grease, loose rust and other deleterious material that will prevent proper bonding of concrete to steel.

#### **END OF SECTION**

#### **SECTION 033000**

#### CAST-IN-PLACE CONCRETE

#### PART 1 - GENERAL

#### 1.01 WORK INCLUDED:

- A. All cast-in-place concrete.
  - 1. Interior cast-in-place concrete
  - 2. Exterior cast-in-place concrete (not used)
  - 3. Exterior high strength cast-in-place concrete for sills under storefront and entrance door.

#### 1.02 RELATED WORK SPECIFIED ELSEWHERE:

- A. Concrete Form Work: Section 031000.
- B. Concrete Reinforcement: Section 032000.

#### 1.03 REFERENCE STANDARDS:

- A. ACI American Concrete Institute:
  - 1. ACI 301 Structural concrete for building.
  - 2. ACI 305 Hot weather concreting.
  - 3. ACI 306 Cold weather concreting.
  - 4. ACI 318 Building code requirements for reinforced concrete.
- B. ASTM -American Society for Testing and Materials.
- C. INDOT Indiana Department of Transportation Standard Specifications.
- D. All codes and specifications referenced herein shall refer to the current codes and specification, including amendments, revisions, and addenda, in effect at the time of bid submittal.

#### 1.04 SUBMITTALS:

- A. Concrete Delivery Tickets
  - 1. THE CONTRACTOR SHALL SAVE ALL CONCRETE DELIVERY TICKETS AND DELIVER SUCH TICKETS TO THE DESIGNER OR HIS REPRESENTATIVE WHEN REQUESTED. FAILURE TO PROVIDE DELIVERY TICKETS AS SPECIFIED BELOW SHALL BE CAUSE FOR REJECTION OF CONCRETE WORK.
  - 2. Concrete delivery tickets for each batch of delivered concrete shall include the following information in accordance with ASTM C-94. All quantities shall be the total quantity batched in the delivery vehicle.
    - a. Quantity of cement.
    - b. Quantity of fine aggregate.
    - c. Quantity of coarse aggregate.
    - d. Quantity of each admixture.
    - e. Initials of producers representative.
    - f. Sufficient information to determine the total quantity of free water.
- B. Concrete mix designs shall be submitted to the designer at least 15 days prior to planned use. Submittals shall include the following information:
  - 1. Source of cement
  - 2. Source of aggregates
  - 3. Brand of each admixture
- C. For concrete expected to be placed between May 1 and September 30, concrete mix designs shall be submitted for additional hot weather concreting. These shall outline any special provisions for controlling concrete temperature, aggregate moisture content, and set time. This shall be submitted 15 days prior to planned use for approval by the Designer.

- D. Submit concrete compression test results to Designer. Tests shall be as specified in Part 3 Execution.
- 1.05 ALLOWABLE TOLERANCES: MAXIMUM FLOOR SLOPE SHALL NOT EXCEED 2%.
  - A. Plus or minus 1/4 inch in 10 feet for all finishes and walls.
  - B. Plus or minus 1/4 inch maximum deviation from required elevations except as noted below:
    - 1. All transitions between adjacent slabs, pavements, and entries shall be smooth as to prevent tripping hazards.
    - 2. Slabs that are to positively drain such as pitched floors, walks, and pavements shall not contain low spots which pond water.

#### 1.06 PRODUCT DELIVERY AND STORAGE:

- A. Deliver all concrete in ready mix vehicles in accordance to ASTM C-94.
- B. Handle concrete rapidly from mixer to form.
- C. Use buckets, chutes, troughs, conveyors, and pipes to handle concrete without segregation. Concrete shall not be permitted to drop more than 12 inches.

#### 1.07 CONTRACTOR'S OPTIONS (not used)

A. 6 inch thick sidewalks and exterior slabs constructed on prepared subgrade may be substituted for 4 inch thick sidewalks and exterior slabs constructed on 4 inches of granular fill.

#### PART 2 - PRODUCTS

#### 2.01 MATERIALS:

- A. Portland Cement: ASTM C-150, Type I or Type III.
- B. Air-entraining Admixture: ASTM C-260.
- C. Fine Aggregate
  - 1. ASTM C-33 or INDOT 903.02 Class A.
  - 2. Natural sand composed of clean, sound, hard, durable particles.
  - 3. Graded per INDOT 903.01(g) size #23.

#### D. Coarse Aggregate:

- 1. ASTM C-33 or INDOT 903.02 Class A.
- 2. Crushed limestone, crushed dolomite, crushed gravel, or uncrushed gravel.
- 3. 1 inch maximum aggregate size graded per INDOT 903.02 (e) size #8.
- 4. 1/2 inch maximum aggregate size graded per INDOT 903.02 (e) size #5.

#### E. Admixtures:

1. ASTM C-494 or ASTM C-1017.

- 2. All brands of admixtures shall be submitted for approval by the designer.
- F. Mixing Water: Clean, potable water free of oils, acids, vegetable matter, alkalies, and other harmful impurities.

#### G. Expansion Joint Filler:

- 1. Foam or sponge fillers:
  - a. Pre-molded, resilient, compressible, non-extruding and non-staining.
  - b. Polyethylene, polyurethane, neoprene, or polyvinyl chloride.
  - c. Closed-cell construction with 25% compressibility at 15 psi distributed pressure.
  - d. Compatible with joint sealant.

#### 2. Cane Fiber Filler:

- a. Pre-molded board product.
- b. Asphalt impregnated.
- c. ASTM D-1751.
- d. Non-extruding.

#### 3. Cork Filler:

- a. ASTM D-1752.
- b. Manufactured from granulated cork particles bonded in resin.
- c. Non-extruding.
- d. Compatible with joint sealant.

#### H. Joint Sealant:

- 1. One part, neutral cure, low modules silicone.
- 2. ASTM C-920 type S, NS, Class 25.
- 3. Compatible with concrete and limestone substrates without primer.
- 4. Complies with Federal Specification TT-S-00227E, Type 1, Class A, + 50%, -50% joint movement, minimum 15 shore A hardness.
- 5. Color shall be gray-limestone tint.

#### I. Concrete Curing Compound:

- 1. Interior Concrete: ASTM C-309 Type 1, clear or translucent.
- 2. Exterior Concrete: ASTM C-309 Type 1, clear or translucent.

#### J. Concrete Hardener and Dustproofer:

- 1. Shall be magnesium/zinc fluor silicate or sodium silicate liquid hardener which will chemically react with calcium carbonate and free lime in the concrete.
- 2. Acceptable Products are as Follows:
  - a. Lapidolith by Sonneborn
  - b. Sciolith by Chem Masters
  - c. Permalith by L.M. Scofield Company
  - d. Other equal brands

#### K. Vapor Barrier:

1. 10 mil (0.01 inch) polyethylene sheeting.

2. All punctures and holes shall be covered by additional sheets with at least 12" overlap on all edges. Tape all seams.

#### L. Fibers:

- 1. 100% virgin polypropylene, polyester or nylon fibers.
- 2. Collated and fibrillated fiber bundles.
- 3. 3/4" inch in length.
- 4. Polyester fibers shall be coated to not react with cement.

#### 2.02 INTERIOR CONCRETE MIX:

- A. Concrete shall be batched and delivered in accordance with ASTM C-94 and ACI-318 chapter 4 except as more detailed herein.
- B. Concrete shall be proportioned by a water/cement ratio method based upon requirements for a plastic and workable mix. Maximum water to cement ratio by weight shall equal **0.50** based upon saturated, surface dry aggregates.
- C. Minimum compressive strength at 28 days: 3000 psi.
- D. Permissible slumps as follows:
  - 1. 3 inches to 6 inches where water reducing or super- plasticizing admixtures are used.
- E. Minimum cement contents are as follows:
  - 1. 1-inch maximum aggregate size: 475 pounds of cement per cubic yard.
  - 2. 1/2- inch maximum aggregate: 425 pounds of cement per cubic yard.
- F. Air Entrainment: None.
- G. Concrete mix shall contain 12 pounds of polypropylene fibers, 1 pound of polyester fibers, or 1 pound of nylon fibers per cubic yard of concrete.

#### 2.03 EXTERIOR CONCRETE MIX FOR SILLS:

- A. Concrete shall be batched and deliver in accordance with ASTM C-94 and ACI-318 chapter 4.
- B. Concrete shall be proportioned by a water/cement ratio method based upon requirements for a plastic and workable mix. Maximum water to cement ratio by weight shall equal 0.48 based upon saturated, surface dry aggregates.
- C. Minimum compressive strength at 28 days: 6500 psi.
- D. Permissible slumps are as follows:
  - 1. 4-inches to 7-inches where water reducing or super- plasticizing admixtures are used.
  - 2. 1-inch to 3-inches where water reducing or super- plasticizing admixtures are NOT used.

- E. Minimum cement contents are as follow:
  - 1. 1/2- inch maximum aggregate size: 520 pounds of cement per cubic yard.
- F. Air Entrainment: 5% to 7% by volume.
- G. Concrete mix shall contain 12 pounds of polypropylene fibers, 1 pound of polyester fibers, or 1 pound of nylon fibers per cubic yard of concrete.

#### 2.04 EXTERIOR CONCRETE MIX:

- A. Concrete shall be batched and delivered in accordance with ASTM C-94 and ACI-318 chapter 4 except as more detailed herein.
- B. Concrete shall be proportioned by a water/cement ratio method based upon requirements for a plastic and workable mix. Maximum water to cement ratio by weight shall equal 0.40 based upon saturated, surface dry aggregates.
- C. Minimum compressive strength at 28 days: 4000 psi.
- D. Permissible slumps are as follows:
  - 1. 4 inches to 7 inches where water reducing or super- plasticizing admixtures are used.
  - 2. 1 inch to 2 inches where water reducing or super- plasticizing admixture are <u>NOT</u> used.
- E. Minimum cement contents are as follows:
  - 1. 1 inch maximum aggregate size: 475 pounds of cement per cubic yard.
  - 2. 1/2 inch maximum aggregate size: 425 pounds of cement per cubic yard.
- F. Air Entrainment: 5% to 7% by volume.
- G. Concrete mix shall contain 12 pounds of polypropylene fibers, 1 pound of polyester fibers, or 1 pound of nylon fibers per cubic yard of concrete.

#### **PART 3 - EXECUTION**

#### 3.01 PREPARATION:

- A. Notify Designer at least 48 hours before scheduled concrete placement.
- B. Tamp all trench bottoms and slab subbases until firm. Compaction shall be as shown on the drawings or otherwise shown in these specifications.
- C. Inspect trench bottoms, slab subbases, and forms for proper expansion joints, and proper placement of embedded items.
- D. Do not place concrete until bearing surfaces under wall or column footings have been inspected and approved by the Designer or his representative.
- E. Remove all ice, debris, and deleterious material from forms.

- F. Remove all oils, grease, ice, debris, and other deleterious matter from reinforcement.
- G. Remove all free water from the area of concrete placement unless tremie is used. The use of a tremie shall be approved in writing by the Designer prior to concrete placement.

#### 3.02 CONCRETE PLACEMENT:

- A. Moisten all forms, including earth, until surfaces are moist, but not wet. Remove all free water.
- B. Conveying equipment, including scheduled truck deliveries, shall be capable of providing a supply of concrete at the site of placement without separation of ingredients and without interruptions sufficient to permit the loss of plasticity between successive placement.
- C. Concrete shall be deposited as nearly as practicable to its final position to avoid segregation due to rehandling or flowing.
- D. Concreting shall be carried on at such a rate that at all times the concrete is plastic and flows readily into the forms and around the reinforcement.
- E. No water shall be added to the concrete at the job site. Concrete which can not be placed and finished shall be removed from the project site at no cost to the Owner.
- F. After concreting is started, it shall be carried on as a continuous operation until placing of a panel or section as defined by its boundaries or predetermined joints is completed.
- G. All concrete shall be thoroughly consolidated by suitable means, such as spading, rodding and vibrating, during placement. Concrete shall be thoroughly worked around reinforcement, around embedded fixtures, and into corners of forms. Concrete shall not be over consolidated as to cause segregation or bleeding.
- H. When placing concrete on sloped surfaces, placement shall begin at the lower end of the structure and proceed uphill with each successive placement thoroughly consolidated with the previous placement.

#### 3.03 JOINTING:

- A. Concrete contraction joints shall be installed in all slabs pavements, and walks.
- B. Concrete contraction joints shall be constructed to the following depths:
  - 1. 4 inch thick slabs: 1 inch depth.
  - 2. 6 inch thick slabs: 2 inch depth.
  - 3. 8 inch thick slabs: 2 inch depth.
  - 4. Curbs: 2 depth.
- C. Concrete contracting joints may be formed by tooling, sawing, insertion and removal of joint formers, or insertion of stay-in-place crack inducers.
  - 1. Tooled joints shall be formed with clean tools having a maximum 1/16 inch radius at the joint bottom.
  - 2. Sawn joints shall be 1/8 inch wide.
  - 3. Insertion devices shall be a maximum of 1/8" wide with a pointed bottom.
  - 4. Crack inducers shall be constructed of polyvinyl chloride with a maximum width of 1/8 inch.
- D. Expansion joints shall be installed at all locations indicated on the drawings and at all columns, piers, posts,

manholes and walls. The maximum spacing of expansion joints in concrete floors and slabs shall not exceed the maximum allowed in the Indiana Building Code and the American Concrete Institute Standards.

- 1. All Expansion Joints in Concrete Walks and Connections of Concrete Walks and Entrance Slabs shall have a minimum of 3 evenly spaced 5/8" diameter by 8 inch long dowels through the joint unless otherwise indicated. One end of the dowel shall be sleeved or wrapped to prevent the concrete from bonding to the dowel.
- E. Expansion joint widths shall be as indicated on the drawings with a minimum width of 2 inch.
- F. Expansion joints shall be sealed to prevent the intrusion of dirt and moisture. Sealant shall be compatible with joint filler, and all surfaces shall be primed according to manufacturer's recommendations.
- G. All construction joints shall be installed as expansion joints

#### 3.04 CURING:

- A. Start curing immediately after finishing and as soon as concrete is sufficiently stiff to not be damaged by curing covers.
- B. Cure concrete for a minimum of seven days.
- C. Protect concrete from heavy rain, mechanical injury, injurious action of sun, and injurious action of wind.
- D. Do not use dry sand or cement to take up excess of free water.
- E. All concrete on this project shall be cured by either the wet method or with curing compounds.

#### F. Wet Curing:

- 1. Cover exposed concrete surfaces with clean, moist burlap sheets, with clean, moist blankets, or with clean plastic sheeting.
- 2. Support edges of burlap, blankets, or plastic sheets to keep from blowing for the entire curing period.
- 3. Keep burlap or blankets continuously moist with the use of foggers, sprayers, misters, or sprinklers.

#### G. Membrane Curing:

1. Apply curing compound with pressure spraying equipment in sufficient thickness as recommended by the manufacturer to form an effective water seal.

#### 3.05 HOT WEATHER CONCRETING:

- A. Hot weather concreting provisions shall be in effect whenever the air temperature is above 80° or above 75° F. and rising, or whenever the concrete temperature is above 80° F.
- B. Concrete mixes for hot weather concreting shall include set retarding admixtures, water reducing and set retarding admixtures, or high range water reducing and set retarding admixtures.
- C. In addition to the information required in 1.04 (A), delivery tickets shall include the following information:
  - 1. Time of batching.
  - 2. Time of arrival at the job site.
  - 3. Time of last concrete placement.

- 4. Temperature at time of first delivery.
- 5. Moisture contents of both coarse and fine aggregates.
- D. No concrete shall be accepted with a temperature over 99° F. at delivery to the job site.
- E. Concrete shall be placed in accordance to ACI-305.
- F. Protect concrete from rapid moisture evaporation before and after finishing by providing wind breaks, by covering with polyethylene sheeting, or by water misting.

#### 3.06 COLD WEATHER CONCRETING:

- A. Cold weather concreting provisions shall be in effect whenever the temperature is below 40° F. or below 50° F. and falling.
- B. Concrete shall be placed in accordance with ACI-306.
- C. Methods of heating materials and protecting concrete shall be approved by the Designer prior to placing concrete.
- D. The use of salts, chemicals or foreign materials mixed with the concrete to prevent freezing is prohibited.
- E. The Contractor shall provide blankets, insulation and protective coverings to insure concrete temperatures do not fall below 70° F. for 3 days after placing, or do not fall below 50° F. for 5 days after placing.

#### 3.07 FIELD QUALITY CONTROL TESTS:

- A. Contractor shall have copies of ASTM C-31, ASTM C-39, and ASTM C-145 at the job site. Personnel shall be familiar with sampling and testing requirements of these standards.
- B. Concrete Compression Tests:
  - 1. Make test cylinders and test in accordance with ASTM C-31 and ASTM C-39.
  - 2. Testing shall be done by an independent laboratory approved by the Designer. Cylinders shall be delivered to the laboratory within 24 hours of sampling.
  - 3. Provide one set of three cylinders for each 27 cubic yards of concrete placed in one day. Provide a minimum of two sets of three cylinders for each day of concrete placement.
  - 4. One cylinder of each set shall be broken at 7 days, one cylinder broken at 28 days, and one cylinder reserved.
  - 5. Contractor shall be responsible for payment of such tests and shall furnish necessary equipment.

#### C. Concrete Slump Tests:

- 1. Make tests at the time and place of concrete placement and in accordance with ASTM C-143.
- 2. Make test periodically and as often in the opinion of the Designer or his representative when a change in consistency of the concrete is noted.
- 3. During hot weather, each batch of concrete shall be tested.
- 4. The Contractor shall provide slump cone, rods, and other necessary equipment to perform the test.

#### D. Temperature Tests:

1. The temperature of concrete for each slump test shall be required.

#### 3.08 FINISHING:

- A. All concrete floor surfaces shall receive Concrete Hardener and Dust Proofing. Application shall be in accordance with manufacturer's printed instructions.
- B. Interior slabs shall be finished with a wood float finish.
- C. Exterior walks and slabs shall be finished with a light broom finish, or a wood float finish.
- D. Brooming shall remove all tooling marks left by edgers or jointers.
- E. All exposed edges shall be tooled to a 3 inch radius.
- F. Curbs and exposed wall surfaces shall have all fine and irregular projections removed. Form tie holes and other cavities shall be saturated with water and filled with a mortar of fine aggregate, cement, and water.
- G. Ramps (slopes greater than 1:20 or 5% gradient) shall be tinned with 0.09 inch to 0.013 inch wide grooves 0.12 to 0.19 inches deep at approximate 3/4 inch centers. Grooves shall be transverse to the direction of the ramp.
- H. All areas which have a drain shall have the concrete surfaces sloped as indicated on the drawings, but not less than 1/8" per foot and not more than 1:50
- I. All areas with drains shall have positive drainage with out ponding. All areas which have ponding shall be removed and replaced with new concrete.

END OF SECTION

#### SECTION 061000 - CARPENTRY

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Rough Carpentry
  - a. Wood blocking, cants, and nailers
  - b. Wood furring and grounds.
- 2. Finished Carpentry
  - a. Red Oak Baseboard; 3/4" x 3-1/4" stained to match wood doors

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product.
  - 1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements

#### **PART 2 - PRODUCTS**

#### 2.1 WOOD PRODUCTS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, provide lumber that complies with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Provide lumber graded by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
  - 1. Factory mark each piece of lumber with grade stamp of grading agency.
  - 2. Where nominal sizes are indicated, provide actual sizes required by DOC PS 20 for moisture content specified. Where actual sizes are indicated, they are minimum dressed sizes for dry lumber.
  - 3. Provide dressed lumber, S4S, unless otherwise indicated.
- B. Maximum Moisture Content of Lumber: 13 percent for 2-inch nominal thickness or less, 19 percent for more than 2-inch nominal thickness unless otherwise indicated.

#### 2.2 WOOD-PRESERVATIVE-TREATED LUMBER

A. Preservative Treatment by Pressure Process: AWPA U1; Use Category UC2 for interior construction not in contact with the ground, Use Category UC3b for exterior construction not in contact with the ground, and Use Category UC4a for items in contact with the ground.

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- 1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium. Do not use inorganic boron (SBX) for sill plates.
- B. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Do not use material that is warped or that does not comply with requirements for untreated material.
- C. Application: Treat items indicated on Drawings, and the following:
  - 1. Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
  - 2. Wood sills, sleepers, blocking, furring, and similar concealed members in contact with masonry or concrete.

# 2.3 INTERIOR TRIM

- A. Lumber Trim for Baseboard Transparent Finish (Stained)
  - 1. Species: red oak
  - 2. Grade: finish
  - 3. Maximum Moisture Content 13%.
  - 4. Finger Jointing not allowed.
  - 5. Dimensions: baseboard 3/4"x 3-1/2".

#### 2.3 MISCELLANEOUS LUMBER

- B. General: Provide miscellaneous lumber indicated and lumber for support or attachment of other construction:
- C. For items of dimension lumber size, provide No. 2 grade lumber of any species.

# 2.4 FASTENERS

- A. General: Provide fasteners of size and type indicated that comply with requirements specified in this article for material and manufacture.
  - 1. Where rough carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners of Type 304 stainless steel.
- B. Power-Driven Fasteners: NES NER-272.
- C. Bolts: Steel bolts complying with ASTM A 307, Grade A; with ASTM A 563 hex nuts and, where indicated, flat washers.

## 2.5 METAL FRAMING ANCHORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Red Head Trubolt, see drawings for sizes.

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- 2. Cleveland Steel Specialty Co.
- 3. Simpson Strong-Tie Co., Inc.
- 4. USP Structural Connectors.
- B. Allowable Design Loads: Provide products with allowable design loads, as published by manufacturer, that meet or exceed those of products of manufacturers listed. Manufacturer's published values shall be determined from empirical data or by rational engineering analysis and demonstrated by comprehensive testing performed by a qualified independent testing agency.
- C. Hot-Dip, Heavy-Galvanized Steel Sheet: ASTM A 653/A 653M; structural steel (SS), high-strength low-alloy steel Type A (HSLAS Type A), or high-strength low-alloy steel Type B (HSLAS Type B); G185 (Z550) coating designation; and not less than 0.036 inch (0.9 mm) thick.
  - 1. Use for wood-preservative-treated lumber and where indicated.

# 2.6 MISCELLANEOUS MATERIALS

- A. Sill-Sealer Gaskets: Glass-fiber-resilient insulation, fabricated in strip form, for use as a sill sealer; 1-inch nominal thickness, compressible to 1/32 inch; selected from manufacturer's standard widths to suit width of sill members indicated.
- B. Sill-Sealer Gaskets: Closed-cell neoprene foam, 1/4 inch thick, selected from manufacturer's standard widths to suit width of sill members indicated.
- C. Flexible Flashing: Composite, self-adhesive, flashing product consisting of a pliable, butyl rubber or rubberized-asphalt compound, bonded to a high-density polyethylene film, aluminum foil, or spunbonded polyolefin to produce an overall thickness of not less than 0.025 inch.

#### PART 3 - EXECUTION

# 3.1 INSTALLATION, GENERAL

- A. Install Trim/Base Board with minimum numbers of joints as is practical, using full-length pieces from maximum lengths of lumber available.
  - 1. Do not use pieces less than 24 inches long, except where necessary.
  - 2. Stagger joints in adjacent and related standing and running trim.
  - 3. Miter at returns, miter at outside corners, and cope at inside corners to produce tight-fitting joints with full-surface contact throughout length of joint.
  - 4. Use scarf joints for end-to-end joints.
  - 5. Plane backs of casings to provide uniform thickness across joints where necessary for alignment.
  - 6. Install trim after gypsum-board joint finishing operation are completed.
  - 7. Install without splitting; drill pilot holes before fastening where necessary to prevent splitting.
  - 8. Fasten to prevent movement or warping.
  - 9. Countersink fastener heads on exposed carpentry work and fill holes.

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- B. Set rough carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit rough carpentry to other construction; scribe and cope as needed for accurate fit.
- C. Metal Framing Anchors: Install metal framing anchors to comply with manufacturer's written instructions.
- D. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.
- E. Securely attach rough carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
  - 1. NES NER-272 for power-driven fasteners.
  - 2. Table 2304.9.1, "Fastening Schedule," in ICC's International Building Code.

## 3.2 PROTECTION

A. Protect rough carpentry from weather. If, despite protection, rough carpentry becomes wet apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.

END OF SECTION 061000

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#### SECTION 072100 - THERMAL INSULATION

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Extruded polystyrene foam-plastic board insulation.
- 2. Fiberglass Blanket Insulation, Unfaced Location, interior walls only.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For the following:
  - 1. Extruded polystyrene foam-plastic board insulation.
  - 2. Fiberglass Blanket Insulation.

# PART 2 - PRODUCTS

#### 2.1 EXTRUDED POLYSTYRENE FOAM-PLASTIC BOARD INSULATION

- A. Extruded Polystyrene Board Insulation, Type IV (under interior slab): ASTM C578, Type IV, 25-psi minimum compressive strength; unfaced.
  - 1. Manufacturers: The Dow Chemical Company, Owens Corning, Dupont.
  - 2. Flame-Spread Index: Not more than 25 when tested in accordance with ASTM E84.
  - 3. Smoke-Developed Index: Not more than 450 when tested in accordance with ASTM E84.
  - 4. Fire Propagation Characteristics: Passes NFPA 285 testing as part of an approved assembly.

# 2.2 FIBERGLASS BLANKET INSULATION (Installed at interior walls ONLY)

- A. Fiberglass Blanket Insulation, Unfaced; ASTM C665, Type I; passing ASTM E136 for combustion characteristics.
  - 1. Flame-Spread Index: Not more than 25 when tested in accordance with ASTM E84.
  - 2. Smoke-Developed Index: Not more than 50 when tested in accordance with ASTM E84.

# 2.3 ACCESSORIES

B. Insulation for Miscellaneous Voids:

- 1. Glass-Fiber Insulation: ASTM C764, Type II, loose fill; with maximum flame-spread and smoke-developed indexes of 5, per ASTM E84.
- 2. Spray Polyurethane Foam Insulation: ASTM C1029, Type II, closed cell, with maximum flame-spread and smoke-developed indexes of 75 and 450, respectively, per ASTM E84.
- 3. Polyurethane Pour-In-Place Insulation: Closed cell, with maximum flame-spread and smoke-developed indexes of 75 and 450, respectively, per ASTM E84, specifically formulated for pour-in-place applications.
- C. Adhesive for Bonding Insulation: Product compatible with insulation and air and water barrier materials, and with demonstrated capability to bond insulation securely to substrates without damaging insulation and substrates.

#### **PART 3 - EXECUTION**

# 3.1 INSTALLATION, GENERAL

- A. Comply with insulation manufacturer's written instructions applicable to products and applications.
- B. Install insulation that is undamaged, dry, and unsoiled and that has not been left exposed to ice, rain, or snow at any time.

# 3.2 INSTALLATION OF SLAB INSULATION

- A. On vertical slab edge and foundation surfaces, set insulation units using manufacturer's recommended adhesive according to manufacturer's written instructions.
- B. On horizontal surfaces, loosely lay insulation units according to manufacturer's written instructions. Stagger end joints and tightly abut insulation units.

END OF SECTION 072100

#### SECTION 072119 - FOAMED-IN-PLACE INSULATION

# PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Closed-cell spray polyurethane foam insulation.
- 2. Accessories.

#### B. Related Requirements:

1. Section 072100 "Thermal Insulation" for foam-plastic board insulation (XPS) under slab.

#### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

#### 1.3 INFORMATIONAL SUBMITTALS

A. Test and Evaluation Reports: Research report for spray-applied polyurethane foam-plastic insulation from ICC-ES showing compliance with specifications.

# 1.4 QUALITY ASSURANCE

A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.

#### PART 2 - PRODUCTS

# 2.1 CLOSED-CELL SPRAY POLYURETHANE FOAM INSULATION

- A. Provide product by one of the following: CertainTeed, Icynene, or Johns Manville.
- B. Closed-Cell Spray Polyurethane Foam: ASTM C1029, Type II.
- C. Minimum density (ASTM D1622): 2 lb/cu. ft.
- D. Minimum aged R-value (ASTM C518): at 1-inch thickness 6 to 6.5.
- E. Surface-Burning Characteristics (ASTM E84): Flame-Spread Index 25 or less, Smoke-Developed Index: 450 or less.

F. Water Vapor Transmission (ASTM E96): Class II, perm rating greater than 0.5 and less than or equal to 1.0 at 2-inches applied thickness.

# 2.2 ACCESSORIES

- A. Primer: Material recommended by insulation manufacturer where required for adhesion of insulation to substrates.
- B. Thermal Barrier: Type as recommended by manufacturer if required to suit project conditions.

#### **PART 3 - EXECUTION**

#### 3.1 PREPARATION

- A. Verify that substrates are clean, dry, and free of substances that are harmful to insulation.
- B. Priming: Prime substrates where recommended by insulation manufacturer. Apply primer to comply with insulation manufacturer's written instructions.

#### 3.2 INSTALLATION

- A. Comply with insulation manufacturer's written instructions applicable to products and applications.
- B. Spray insulation at the exterior brick walls to not exceed 2" maximum thickness. Fill voids to not exceed 2" thickness.
- C. Apply in multiple passes as recommended by manufacturer. Do not spray into rising foam.
- D. Apply barrier coatings in accordance with manufacturer's written instructions and to comply with specified requirements.

# 3.3 FIELD QUALITY CONTROL

A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect spray foam insulation installation, including accessories. Report results in writing.

# 3.4 PROTECTION

A. Protect installed insulation from damage due to harmful weather exposures, physical abuse, and other causes.

# END OF SECTION 072119

#### SECTION 081113 - HOLLOW METAL DOORS AND FRAMES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. Section includes:
  - 1. Interior standard steel doors and frames.
- B. Related Requirements:
  - 1. Section 087000 "Finishing Hardware"

#### 1.3 DEFINITIONS

A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or ANSI/SDI A250.8.

#### 1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware, electrified door hardware, and access control and security systems.

# 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, core descriptions, fire-resistance ratings, temperature-rise ratings, and finishes.
- B. Shop Drawings: Include the following:
  - 1. Elevations of each door type.
  - 2. Details of doors, including vertical- and horizontal-edge details and metal thicknesses.
  - 3. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
  - 4. Locations of reinforcement and preparations for hardware.

- 5. Details of each different wall opening condition.
- 6. Details of electrical raceway and preparation for electrified hardware, access control systems, and security systems.
- 7. Details of anchorages, joints, field splices, and connections.
- 8. Details of accessories.
- 9. Details of moldings, removable stops, and glazing.
- C. Product Schedule: For hollow-metal doors and frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

#### 1.6 INFORMATIONAL SUBMITTALS

A. Product Test Reports: For each type of thermally rated door assemblies for tests performed by a qualified testing agency indicating compliance with performance requirements.

# 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal doors and frames palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.
  - 1. Provide additional protection to prevent damage to factory-finished units.
- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal doors and frames vertically under cover at Project site with head up. Place on minimum 4-inch high wood blocking. Provide minimum 1/4-inch space between each stacked door to permit air circulation.

# PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ceco Door; ASSA ABLOY.
  - 2. Curries Company; ASSA ABLOY.
  - 3. Steelcraft; an Allegion brand.

## 2.2 PERFORMANCE REQUIREMENTS

A. Thermally Rated Door Assemblies: Provide door assemblies with U-factor of not more than 0.38 deg Btu/F x h x sq. ft. when tested according to ASTM C518.

# 2.3 INTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 2; ANSI/SDI A250.4, Level B.
  - 1. Doors:
    - a. Type: As indicated in the Door and Frame Schedule.
    - b. Thickness: 1-3/4 inches
    - c. Face: steel sheet, minimum thickness of 0.042 inch
    - d. Edge Construction: Model 1, Full Flush
    - e. Edge Bevel: Provide manufacturer's standard beveled or square edges
    - f. Core: Manufacturer's standard
  - 2. Frames:
    - a. Materials: Steel sheet, minimum thickness of 0.053 inch
    - b. Construction: Knocked down
  - 3. Exposed Finish: Prime

#### 2.4 EXTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Extra-Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 3; ANSI/SDI A250.4, Level A.
  - 1. Doors:
    - a. Type: As indicated in the Door and Frame Schedule.
    - b. Thickness: 1-3/4 inches
    - c. Face: Metallic-coated steel sheet, minimum thickness of 0.053 inch, with minimum A60 coating.
    - d. Edge Construction: Model 2, Seamless
    - e. Edge Bevel: Provide manufacturer's standard beveled or square edges
    - f. Top Edge Closures: Close top edges of doors with flush closures of same material as face sheets. Seal joints against water penetration.
    - g. Bottom Edges: Close bottom edges of doors where required for attachment of weather stripping with end closures or channels of same material as face sheets. Provide weep-hole openings in bottoms of exterior doors to permit moisture to escape.
    - h. Core: Manufacturer's standard
  - 2. Frames:

- a. Materials: Metallic-coated steel sheet, minimum thickness of 0.053 inch, with minimum A60 coating.
- b. Construction: Full profile welded
- 3. Exposed Finish: Prime

# 2.5 FRAME ANCHORS

#### A. Jamb Anchors:

- 1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.
- 2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each 24 inches of frame height above 7 feet.
- 3. Postinstalled Expansion Anchor: Minimum 3/8-inch diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.
- B. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor.
- C. Floor Anchors for Concrete Slabs with Underlayment: Adjustable-type anchors with extension clips, allowing not less than 2-inch height adjustment. Terminate bottom of frames at top of underlayment.
- D. Material: ASTM A879/A879M, Commercial Steel (CS), 04Z coating designation; mill phosphatized.
  - For anchors built into exterior walls, steel sheet complying with ASTM A1008/A1008M or ASTM A1011/A1011M; hot-dip galvanized according to ASTM A153/A153M, Class B.

## 2.6 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A1008/A1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A1011/A1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Metallic-Coated Steel Sheet: ASTM A653/A653M, Commercial Steel (CS), Type B.
- D. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A153/A153M.
- E. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hollow-metal frames of type indicated.
- F. Mineral-Fiber Insulation: ASTM C665, Type I (blankets without membrane facing); consisting of fibers manufactured from slag or rock wool; with maximum flame-spread and smoke-

developed indexes of 25 and 50, respectively; passing ASTM E136 for combustion characteristics.

G. Glazing: Comply with requirements in Section 088000 "Glazing."

## 2.7 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
  - 1. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  - 2. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
    - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal doors and frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping according to ANSI/SDI A250.6, the Door Hardware Schedule, and templates.
  - 1. Reinforce doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.
  - 2. Comply with BHMA A156.115 for preparing hollow-metal doors and frames for hardware.
- C. Glazed Lites: Provide stops and moldings around glazed lites where indicated. Form corners of stops and moldings with mitered hairline joints.
  - 1. Provide stops and moldings flush with face of door, and with beveled stops unless otherwise indicated.
  - 2. Multiple Glazed Lites: Provide fixed and removable stops and moldings so that each glazed lite is capable of being removed independently.
  - 3. Provide fixed frame moldings on outside of exterior and on secure side of interior doors and frames. Provide loose stops and moldings on inside of hollow-metal doors and frames
  - 4. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.
  - 5. Provide stops for installation with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

## 2.8 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  - 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with ANSI/SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

#### PART 3 - EXECUTION

# 3.1 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.

#### 3.2 INSTALLATION

- A. Install hollow-metal doors and frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions.
- B. Hollow-Metal Frames: Comply with ANSI/SDI A250.11
  - 1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.
    - a. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.
    - b. Install frames with removable stops located on secure side of opening.
  - 2. Fire-Rated Openings: Install frames according to NFPA 80.
  - 3. Floor Anchors: Secure with postinstalled expansion anchors.
  - 4. In-Place Concrete or Masonry Construction: Secure frames in place with postinstalled expansion anchors.
  - 5. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
    - a. Squareness: Plus or minus 1/16 inch, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
    - b. Alignment: Plus or minus 1/16 inch, measured at jambs on a horizontal line parallel to plane of wall.
    - c. Twist: Plus or minus 1/16 inch, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
    - d. Plumbness: Plus or minus 1/16 inch, measured at jambs at floor.
- C. Hollow-Metal Doors: Fit and adjust hollow-metal doors accurately in frames, within clearances specified below.
  - 1. Non-Fire-Rated Steel Doors: Comply with ANSI/SDI A250.8
- D. Glazing: Comply with installation requirements in hollow-metal manufacturer's written instructions.

# 3.3 FIELD QUALITY CONTROL

- A. Repair or remove and replace installations where inspections indicate that they do not comply with specified requirements.
- B. Reinspect repaired or replaced installations to determine if replaced or repaired door assembly installations comply with specified requirements.

# 3.4 REPAIR

A. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.

END OF SECTION 081113

#### SECTION 081416 - FLUSH WOOD DOORS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Section, apply to this Section.

## 1.2 SUMMARY

#### A. Section Includes:

- 1. Interior, solid core Five-ply flush wood veneer-faced doors for transparent finish.
- 2. Factory finishing flush wood doors.

# 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of door. Include details of core and edge construction. Include face type and characteristics, and factory-finishing specifications.
- B. Shop Drawings: Indicate location, size, and hand of each door; elevation of each type of door; construction details not covered in Product Data; and the following:
  - 1. Dimensions and locations of blocking.
  - 2. Dimension and locations of mortises and holes for hardware, lite and louver cutouts, and glazing thicknesses.
  - 3. Clearances and undercuts.
  - 4. Requirements for veneer matching.
  - 5. Doors to be factory finished and finish requirements.
- C. Samples for Initial Selection: For factory-finished doors.
- D. Samples for Verification:
  - 1. Factory finishes applied to actual door face materials, approximately 8 by 10 inches, for each material and finish. For each wood species and transparent finish, provide set of three Samples showing typical range of color and grain to be expected in finished Work.
  - 2. Corner sections of doors, approximately 8 by 10 inches with door faces and edges representing actual material to be used.
  - 3. Frames for light opening, 6 inches long and finish required.

#### 1.4 INFORMATIONAL SUBMITTALS

A. Sample Warranty: For special warranty.

B. Quality Standard Compliance Certificates: AWI Quality Certification Program certificates.

# 1.5 QUALITY ASSURANCE

A. Manufacturer Qualifications: A qualified manufacturer that is a certified participant in AWI's Quality Certification Program.

#### 1.6 WARRANTY

- A. A Special Warranty: Manufacturer agrees to repair or replace doors that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but is not limited to, the following: warping (bow, cup, or twist) more than 1/4 inch in a 42-by-84-inch section; telegraphing of core construction in face veneers exceeding 0.01 inch in a 3-inch span.
  - 2. Warranty shall also include installation and finishing that may be required due to repair or replacement of defective doors.
  - 3. Warranty period for Solid-Core Interior Doors: Five years from data of Substantial Completion.

#### **PART 2 - PRODUCTS**

# 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Algoma Hardwood, Inc.
  - 2. Chappell Door Inc.
  - 3. Eggers Industries.
  - 4. Oshkosh Door Company.

# 2.2 FLUSH WOOD DOORS, GENERAL

- A. Quality Standard: In addition to requirements specified, comply with AWI's, AWMAC's, and WI's "Architectural Woodwork Standards."
- B. Particleboard-Core Doors:
  - 1. Particleboard: ANSI A208.1, Grade LD-2.
  - 2. Blocking: Provide wood blocking in particleboard-core doors as needed to eliminate through-bolting hardware.
    - a. 5-inch top-rail blocking, in doors indicated to have closers.
  - 3. Provide doors with glued-wood-stave or structural-composite-lumber cores instead of particleboard cores for doors indicated to received exit devices.

# 2.3 SOLID-CORE, FIVE-PLY FLUSH WOOD VENEER-FACED DOORS FOR TRANSPARENT FINISH

#### A. Interior Doors:

- 1. Performance Grade:
  - a. ANSI/WDMA I.S. 1A Heavy Duty unless otherwise indicated below.
  - b. ANSI/WDMA I.S. 1A Extra Heavy Duty: public toilets (doors 102, 104) and public space (door 105A).
  - c. ANSI/WDMA I.S. 1A Standard Duty: Closets (doors 108, 117, 119, 202)
- 2. Grade: Premium (Grade A faces).
- 3. Faces: Single-ply wood veneer not less than 1/50 inch thick.
- 4. Species: Red oak.
- 5. Cut: Plain sliced.
- 6. Match between Veneer Leaves: Book match.
- 7. Assembly of Veneer Leaves on Door Faces: Running match.
- 8. Pair and Set Match: Provide for doors hung in same opening.
- 9. Exposed Vertical and Top Edges: Same species as faces edge Type A.
- 10. Core: Particleboard.
- 11. Construction: Five plies. Stiles and rails are bonded to core, then entire unit is abrasive planed before veneering. Faces are bonded to core using a hot press.

## 2.4 FABRICATION

- A. Factory fit doors to suit frame-opening sizes indicated. Comply with clearance requirements of referenced quality standard for fitting unless otherwise indicated.
- B. Factory machine doors for hardware that is not surface applied. Locate hardware to comply with DHI-WDHS-3. Comply with final hardware schedules, door frame Shop Drawings, ANSI/BHMA-156.115-W, and hardware templates.
  - 1. Coordinate with hardware mortises in metal frames, to verify dimensions and alignment before factory machining.
  - 2. For doors scheduled to receive electrified locksets, provide factory-installed raceway and wiring to accommodate specified hardware.
- C. Openings: Factory cut and trim openings through doors.
  - 1. Light Openings: Trim openings with moldings of material and profile indicated.
  - 2. Glazing: Factory install glazing in doors indicated to be factory finished.
  - 3. Louvers: Factory install louvers in prepared openings.

# 2.5 FACTORY FINISHING

- A. Comply with referenced quality standard for factory finishing.
  - 1. Complete fabrication, including fitting doors for openings and machining for hardware that is not surface applied, before finishing.
  - 2. Finish faces, all four edges, edges of cutouts, and mortises.

- 3. Stains and fillers may be omitted on bottom edges, edges of cutouts, and mortises.
- B. Factory finish doors.
- C. Transparent Finish:
  - 1. Grade: **Custom**.
  - 2. Finish: AWI's, AWMAC's, and WI's Architectural Woodwork Standards System-5, Varnish, Conversion.
  - 3. Staining: As selected by Architect from manufacturer's full range.
  - 4. Effect: Semifilled finish, produced by applying an additional finish coat to partially fill the wood pores.
  - 5. Sheen: Satin.

# PART 3 - EXECUTION

#### 3.1 EXAMINATION

A. Examine doors with Installer present, before hanging door. Reject doors with defects. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 INSTALLATION

- B. Hardware: For installation, see Section 087100 "Door Hardware."
- C. Install doors to comply with manufacturer's written instructions and referenced quality standard.
- D. Job-Fitted Doors: Align and fit doors in frames with uniform clearances and bevels as indicated below; do not trim stiles and rails in excess of limits set by manufacturer Machine doors for hardware. Seal edges of doors, edges of cutouts, and mortises after fitting and machining.
  - 1. Clearances: Provide 1/8 inch at heads, jambs, and between pairs of doors. Provide 1/8 inch from bottom of door to top of decorative floor finish or covering unless otherwise indicated.
  - 2. Bevel non-fire-rated doors 1/8 inch in 2 inches at lock and hinge edges.
- E. Factory-Finished Doors: Restore finish before installation if fitting or machining is required at Project site.

# 3.2 ADJUSTING

- A. Operation: Rehang or replace doors that do not swing or operate freely.
- B. Finished Doors: Replace doors that are damaged or that do not comply with requirements. Doors may be repaired or refinished if Work complies with requirements and shows no evidence of repair or refinishing.

END OF SECTION 081416

#### SECTION 084113 - ALUMINUM-FRAMED ENTRANCES AND STOREFRONTS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Aluminum-framed storefront systems.
  - 2. Aluminum-framed entrance door systems.

#### 1.2 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For aluminum-framed entrances and storefronts. Include plans, elevations, sections, full-size details, and attachments to other work.
  - 1. Show connection to and continuity with adjacent thermal, weather, air, and vapor barriers.
  - 2. Include point-to-point wiring diagrams.
- C. Samples: For exposed finish required.
- D. Entrance Door Hardware Schedule: Prepared by or under supervision of supplier, detailing fabrication and assembly of entrance door hardware, as well as procedures and diagrams.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Energy Performance Certificates: NFRC-certified energy performance values from manufacturer.
- B. Product test reports.

# 1.5 QUALITY ASSURANCE

A. Do not change intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If changes are proposed, submit comprehensive explanatory data to Architect for review.

# 1.6 WARRANTY

- A. Special Warranty: **Installer** agrees to repair or replace components of aluminum-framed entrances and storefronts that do not comply with requirements or that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: **Five** years from date of Substantial Completion.
- B. Special Finish Warranty, Anodized Finishes: Standard form in which manufacturer agrees to repair finishes or replace aluminum that shows evidence of deterioration of anodized finishes within specified warranty period.
  - 1. Warranty Period: **10** years from date of Substantial Completion.

# PART 2 - PRODUCTS

#### 2.1 STOREFRONT SYSTEMS

- A. Basis-of-Design Product: Design for aluminum-frame system is based on **Trifab<sup>TM</sup> VersaGlaze<sup>TM</sup> 451T (Thermal) Framing System** manufactured by Kawneer North America. Or comparable product by one of the following:
  - 1. EFCO Corporation
  - 2. Tubelite Inc
  - 3. United States Aluminum
- B. Storefront Framing System: Manufacturer's extruded- or formed-aluminum framing members of thickness required and reinforced as required to support imposed loads.
  - 1. Exterior Framing Construction: **Thermally broken**. Kawneer IsoLock<sup>TM</sup> Thermal Break with a 1/4" separation consisting of a two-part chemically curing, high-density polyurethane, which is mechanically and adhesively joined to aluminum storefront sections. Thermal Break shall be designed in accordance with AAMA TIR-A8 and tested in accordance with AAMA 505.
  - 2. System Dimensions: 2" x 4-1/2"
  - 3. Fabrication Method: Center Set Shear Block Assembly Outside Glazed.
  - 4. Brackets and Reinforcements: Manufacturer's standard high-strength aluminum with nonstaining, nonferrous shims for aligning system components.
  - 5. Fasteners and Accessories: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding fasteners and accessories compatible with adjacent materials. Where exposes shall be stainless steel.
  - 6. Perimeter Anchors: When steel anchors are used, provide insulation between steel material and aluminum material to prevent galvanic action.
  - 7. Packing, Shipping, Handling and Unloading: Deliver materials in manufacturer's original, unopened, undamaged containers with identification labels intact.

- 8. Aluminum: Alloy and temper recommended by manufacturer for type of use and finish indicated.
- 9. Steel Reinforcement: As required by manufacturer.

# C. Glazing Systems:

- 1. 1-inch thickness, SOLARBAN 60 (2) SOLARGRAY + Clear VLT=35%, Winter Nightime U=0.29, SHGC=0.25.
- 2. Glass location: center
- 3. Glazing System: Retained mechanically with gaskets on four sides.
- 4. Glazing Gaskets: Manufacturer's standard compression types: replaceable, extruded EPDM rubber.
- 5. Spacers and Setting Blocks: Manufacturer's standard elastomeric type.
- 6. Bond-Breaker Tape: Manufacturer's standard TFE-fluorocarbon or polyethylene material to which sealants will not develop adhesion.
- 7. Weatherseal Sealant: ASTM C 920 for Type S, Grade NS, Class 25, Uses NT, G, A, and O; single-component neutral-curing formulation that is compatible with other system components with which it comes in contact; recommended by weatherseal-sealant and aluminum-framed-system manufacturers for this use.
  - a. Color: Gray
- D. Aluminum Finishes: Architectural Class I Clear Anodic Coating (Color #14 Clear), 0.018 mm or thicker; AA-M10C21A41 / AA-M45C22A41, AAMA 611.

# 2.2 ENTRANCE DOOR SYSTEMS

- A. Basis-of Design Product: Design of exterior doors is based on **500T INSULPOUR**<sup>TM</sup> with 1-inch glazing infill manufactured by Kawneer North America, or equal.
- B. Entrance Doors <u>single-source package</u> of door, fully integrated door frame and integrated hardware: Storefronts Manufacturer's standard glazed entrance doors for manual-swing or automatic operation, with integrated hardware.
  - 1. Door Construction: 2-1/4-inch overall thickness, with minimum 0.125- thick, extruded-aluminum tubular rail and stile members. Mechanically fasten corners with reinforcing brackets that are deeply penetrated and fillet welded or that incorporate concealed tie rods.
    - a. Thermal Construction: High-performance plastic connectors separate aluminum members exposed to the exterior from members exposed to the interior.
  - 2. Door Design: Wide stile; 5-inch nominal width. Bottom rail 10-inches.
  - 3. Glazing Stops and Gaskets: Square snap-on, extruded-aluminum stops and preformed gaskets.

#### 2.3 ENTRANCE DOOR HARDWARE

A. Entrance Door Hardware: Hardware is specified in **Section 087000 "Door Hardware."** 

# 2.4 MATERIALS

- A. Sheet and Plate: ASTM B209.
- B. Extruded Bars, Rods, Profiles, and Tubes: ASTM B221.
- C. Structural Profiles: ASTM B308/B308M.
- D. Steel Reinforcement:
  - 1. Structural Shapes, Plates, and Bars: ASTM A36/A36M.
  - 2. Cold-Rolled Sheet and Strip: ASTM A1008/A1008M.
  - 3. Hot-Rolled Sheet and Strip: ASTM A1011/A1011M.
- E. Steel Reinforcement Primer: Manufacturer's standard zinc-rich, corrosion-resistant primer complying with SSPC-PS Guide No. 12.00; applied immediately after surface preparation and pretreatment. Select surface preparation methods in accordance with recommendations in SSPC-SP COM and prepare surfaces in accordance with applicable SSPC standard.

#### 2.5 FABRICATION

- A. Form or extrude aluminum shapes before finishing.
- B. Weld in concealed locations to greatest extent possible to minimize distortion or discoloration of finish. Remove weld spatter and welding oxides from exposed surfaces by descaling or grinding.
- C. Fabricate components that, when assembled, have the following characteristics:
  - 1. Profiles that are sharp, straight, and free of defects or deformations.
  - 2. Accurately fitted joints with ends coped or mitered.
  - 3. Physical and thermal isolation of glazing from framing members.
  - 4. Accommodations for thermal and mechanical movements of glazing and framing to maintain required glazing edge clearances.
  - 5. Provisions for field replacement of glazing from **exterior**.
  - 6. Fasteners, anchors, and connection devices that are concealed from view to greatest extent possible.
- D. Mechanically Glazed Framing Members: Fabricate for flush glazing without projecting stops.
- E. Entrance Door Frames: Reinforce as required to support loads imposed by door operation and for installing entrance door hardware.
- F. Entrance Doors: Reinforce doors as required for installing entrance door hardware.
- G. Entrance Door Hardware Installation: Factory install entrance door hardware to the greatest extent possible. Cut, drill, and tap for factory-installed entrance door hardware before applying finishes.

# 2.6 ALUMINUM FINISHES

A. Clear Anodic Finish: AAMA 611, AA-M12C22A41, Class I, 0.018 mm or thicker.

#### **PART 3 - EXECUTION**

# 3.1 INSTALLATION, GENERAL

- A. Comply with manufacturer's written instructions.
- B. Do not install damaged components.
- C. Fit joints to produce hairline joints free of burrs and distortion.
- D. Rigidly secure nonmovement joints.
- E. Install anchors with separators and isolators to prevent metal corrosion and electrolytic deterioration and to prevent impeding movement of moving joints.
- F. Seal perimeter and other joints watertight unless otherwise indicated.

#### G. Metal Protection:

- 1. Where aluminum is in contact with dissimilar metals, protect against galvanic action by painting contact surfaces with materials recommended by manufacturer for this purpose or by installing nonconductive spacers.
- 2. Where aluminum is in contact with concrete or masonry, protect against corrosion by painting contact surfaces with bituminous paint.
- H. Set continuous sill members and flashing in full sealant bed, as recommended by Storefront Manufacturer, to produce weathertight installation.
- I. Install joint filler behind sealant as recommended by sealant manufacturer.
- J. Install components plumb and true in alignment with established lines and grades.
- K. Install glazing as specified.
- L. Install entrance doors to produce smooth operation and tight fit at contact points.
- M. Exterior doors: Install to produce weathertight enclosure and tight fit at weather stripping.
- N. Field-Installed Entrance Door Hardware: Install surface-mounted entrance door hardware in accordance with entrance door hardware manufacturers' written instructions using concealed fasteners to greatest extent possible.

#### END OF SECTION 084113

#### **SECTION 08 71 00**

# FINISHING HARDWARE

#### PART 1 - GENERAL

#### 1.01 DESCRIPTION:

#### A. Hardware Requirements:

- 1. Provide locks and other devices called for in the schedule.
  - 2. The schedule is not intended to be entirely inclusive but is furnished to assist Contractor in determining the extent and quality of hardware required. Provide all related items and accessories as required for a fully functional system.
  - 3. Hardware items not specifically mentioned shall be supplied in equal quality and type indicated in the schedule.

# 1.02 SUBMITTALS:

- A. Submit complete schedule showing factory numbers and sizes for approval.
- B. Furnish catalog cuts, drawings and other descriptive hardware data as required.
- C. Submit evidence of purchase of permanent Stanley Security Solutions Best Lock Corporation or Marshall Best Security Corporation cores for all locksets, cylinders, and padlocks.

# 1.03 QUALITY ASSURANCE:

- A. Applicable Federal Specifications:
  - 1. Hardware types listed shall meet the requirements of the applicable provisions of the following Federal Specifications:

a. Hinges FF-H-00116d
b. Locks and Door Trim FF-H-00106c/gen.

c. Door closer FF-H-121d

d. Door stops and bumpersFF-H-111a

## 1.04 PRODUCT DELIVERY, STORAGE AND HANDLING:

A. Deliver hardware individually packed and labeled with item number corresponding to approved schedule.

# 1.05 JOB CONDITIONS:

A. Furnish correct hardware to fit the door and frame conditions, with special attention to threshold heights.

#### 1.06 SUBSTITUTIONS:

- A. Trade names and catalog numbers of a particular manufacturer are given as a means of describing type, materials, strength, design, quality, weight, mechanical construction and operation of hardware items and requirements to which such hardware shall conform.
- B. Hardware of other manufacturers may be substituted upon written approval of the Designer with the following exceptions:
  - 1. All keyed locks shall have cores manufactured by **Stanley Security Solutions Best Lock Corporation**, **Indianapolis**, **Indiana**, **or Marshall Best Security Corporation**.

# 1.07 SPECIAL KEYING REQUIREMENTS:

- A. Construction and permanent cores and temporary and permanent keys for all locks.
  - 1. Construction cores will remain the property of the Stanley Security Solution Best Lock Corporation, (or Marshall Best Security Corporation) and will be exchanged by the Owner for permanent cores master-keyed to the Owners system.
  - 2. Control key and operating keys for construction shall not be part of Owner's permanent master-key system. Control key and one (1) operating key shall be furnished to Owner prior to occupancy.
  - 3. Contractor shall, at no additional charge, furnish credit to the Owner direct from Best Lock Corporation factory or sales representative the following items:
  - a. One (1) permanent core keyed lock.
  - b. Two (2) keys per permanent core.
  - 4. <u>All keyed locks</u> shall be furnished with construction cores. <u>All exterior locks</u> shall be furnished with **brass** construction cores.

# 1.08 GUARANTEE:

- A. Guarantee in writing that hardware furnished is free from defects in material and workmanship. Guarantee shall be for a period of one year from date of final acceptance.
- B. Agree to repair or replace defective hardware during the guarantee period at no additional cost to Owner.

#### PART 2 - PRODUCTS

# 2.01 FABRICATION:

## A. General:

- 1. All hardware shall be of best manufacture in quality, finish and design free from defects.
- 2. Finish for hardware: U.S. 26D unless otherwise indicated.

- B. Locksets: as specified.
  - 1. Back set: 2 3/4 inches.
- C. Door closer: comply with manufacturer's recommendations for individual door size and location.
  - 1. Closer Bodies: cast iron, with malleable iron arms.
  - 2. Provide parallel arms, corner brackets and drop plates as required.
  - 3. Where wall conditions permit, all door closer shall swing 180 degrees.
    - 4. Accessible Entrance Closer shall meet American's with Disabilities Act of 1990 amended to date.

# D. Butts-Hinges:

- 1. Full mortise type, 4 ½ inches by 4 ½ inches, unless otherwise indicated.
- 2. Supply butts of sufficient width to swing 180 degrees or to nearest wall.
- 3. All exterior doors, which swing out, shall have non-removable hinge pins.
- E. Stops and Holders: dome type, cast bronze, with rubber cushions.
- F. Anchors for thresholds and door stops: lead machine screw anchors. Rawl plugs are not acceptable.

#### **PART 3 - EXECUTION**

#### 3.01 INSPECTION:

- A. Examine the drawings, projections of trim and rebates to permit door to free swing 180 degrees or to the closest adjacent wall.
- B. Check thickness of doors and verify sizes of all hardware, for proper fit and performance.

## 3.02 INSTALLATION:

- A. All hardware shall be installed by mechanics skilled in the application of institutional grade hardware.
- B. All instruction sheets and installation details, which are packed with the hardware, shall be read and understood before an attempt is made to install the hardware.
- C. Install finishing hardware without marring adjacent work. After fitting, remove until painting is completed, then reinstall.

# D. Thresholds:

- 1. Bedded in synthetic rubber sealant.
- 2. Fasten with lead machine screw anchors.
- 3. Shall not be pre-drilled.
  - 4. Accessible Entrance Thresholds shall meet American's with Disabilities Act of 1990 amended to date.
- E. After installation, all templates, instruction sheets, and installation details, shall be placed in a file folder to be turned over to Owner when building is accepted.

#### 3.03 ADJUSTMENT:

- A. Examine hardware at work completion.
- B. Test, oil, grease, ease, and adjust hardware for perfect operation.

# 3.04 HARDWARE CATALOGS:

A. Hardware listed in schedule is taken from the catalogs of the following manufacturers:

1. Butts Hager
2. Continuous Hinges Roton
3. Looks and Door Trims

3. Locks and Door Trims Stanley Best (S. Best), Schlage

4. Door closers Hager 5. Stop Bumpers Hager 6. Flush Bolts Hager 7. Door Pulls / Push Plates Kawneer 8. Thresholds Hager 9. Door Bottoms & Seals Hager 10.Holders/Stops Hager **IVES** 11.Roller Latches 12.Drip Guard **NGP** 

#### 3.05 HARDWARE SCHEDULE:

## SET #01 - Entrance

Doors: 101, 111

Continuous Hinge	780-112HD 87" ROUND BACK COVER	CLR	HA
Mortise Cylinder Housing	3902 SFIC 1 3/8"	US26D	HA
Rim Cylinder Housing	3901 SFIC	US26D	HA
Rim Panic Exit Device	4501	US26D	HA
Cores (1 exterior, 1 CD)	1C-7A2	626	BE
Door Pull	CO-12	Match Door	KA
Drop Plate	5110	ALM	HA
Closer	5100 5955-HDCS 5113 DLY	ALM	HA
Threshold	520S N 36"	MIL	HA
Rain Drip Guard	16A	MIL	NGP
	Continuous Hinge Mortise Cylinder Housing Rim Cylinder Housing Rim Panic Exit Device Cores (1 exterior, 1 CD) Door Pull Drop Plate Closer Threshold Rain Drip Guard	Mortise Cylinder Housing Rim Cylinder Housing Rim Panic Exit Device Cores (1 exterior, 1 CD) Door Pull Crop Plate Closer Threshold Closer Closer Cores (1 exterior, 1 CD) Closer	Mortise Cylinder Housing         3902 SFIC 1 3/8"         US26D           Rim Cylinder Housing         3901 SFIC         US26D           Rim Panic Exit Device         4501         US26D           Cores (1 exterior, 1 CD)         1C-7A2         626           Door Pull         CO-12         Match Door           Drop Plate         5110         ALM           Closer         5100 5955-HDCS 5113 DLY         ALM           Threshold         520S N 36"         MIL

#### NOTES:

- 1. Weatherstrip and sill sweep by door/frame manufacturer.
- 2. Provide cylinder dogging on exit devices.
- 3. Provide hardware as required for clear anodized aluminum insulated entrance (500 wide style Insulpour) and storefront (Trifab VG 451T) system.
- 4. Work shall be ADA compliant.
- 5. Closers shall have parallel arms.

# SET #02 - Public Unisex RR

Doors: 102, 104

3	Hinges	BB1279 4 1/2 X 4 1/2	US26D	HA
1	Privacy Set	L9040 06N L283-722 L583-363 L283-712	626	SC
1	Emergency Key			SC
1	Protection Plate	190S 10" x 34" CSK	US32D	HA
1	Protection Plate	190S 4" x 34" CSK	US32D	HA
1	Wall Stop	232W	US32D	HA
3	Door Silencers	307D	GREY	HA
1	Door Foot Pull	Similar to Grabfoot or equal with instructional graphic	:	

# SET #03 - Public Unisex RR

Doors: 109

3 Hinges	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Privacy Set	9K3OL15K STD	626	BE
1 Wall Stop	232W	US32D	HA
3 Door Silencers	307D	GREY	HA

# SET #04 - Service Sink

Doors: 103

3 Hinges	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Lockset	9K3-7D15K STD	626	BE
1 OH Door Stop & Hold Open	7017-SZ1	US32D	HA
1 Protection Plate	190S 10" x 28" CSK	US32D	HA
1 Protection Plate	190S 4" x 28" CSK	US32D	HA
3 Door Silencers	307D	GREY	HA

# NOTES:

1. Provide door and frame reinforcement for door stop per manufacturer's recommendations.

# SET #05 - Vistor Info /Retail/ Office

Doors: 105A, 105B

3 Hinges	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Lockset	9K3-7B15K STD	626	BE
1 Closer	5100 5955 HDCS for 105A	ALM	HA
1 Closer	5100 for 105B	ALM	HA
1 Protection Plate	190S 10" x 34"	US32D	HA
1 Wall Stop	232W	US32D	HA
3 Door Silencers	307D	GREY	HA

# NOTES:

1. Closers shall have parallel arms.

# SET #06 - Storage

Doors: 106B, 108

4 Hinges	BB1279 4 1/2 X 4 1/2	US26D	HA
2 Hinges	BB1279 4 1/2 x 4 1/2 NRP	US26D	HA
2 Best Dummy Lever	9K3-01DT-15K	626	BE
2 Roller Latches	RL32	US26D	<b>IVES</b>
2 Hinge Pin Stops	301D	US26D	HA
2 Door Silencers	307D	GREY	HA

NOTE: Use NRP hinges with hinge pin stops

# SET #07 - Storage

Doors: 117, 119

3 Hinge	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Lockset	9K3-7D15K STD	626	BE
1 Wall Stop	232W	US32D	HA
3 Door Silencer	307D	GREY	HA

# SET #08 - Office

Doors: 112, 115, 116, 118

3 Hinge	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Lockset	9K3-7B15K STD	626	BE
1 Wall Stop	232W	US32D	HA
3 Door Silencer	307D	GREY	HA
1 OH Door Stop	7016-SZ1	US32D	HA

#### NOTES:

1. Provide overhead door stop at door 118 only. Adjust to prevent door and hardware contact with storefront system.

# SET #09 - Communication Equipment Room

Doors: 202

3 Hinge	BB1279 4 1/2 X 4 1/2	US26D	HA
1 Lockset	9K3-7D15K STD	626	BE
1 Closer	5100	ALM	HA
1 Wall Stop	232W	US32D	HA
3 Door Silencers	307D	GREY	HA

# NOTES:

1. Closer shall have parallel arm.

END OF SECTION

# SECTION 092216 - NON-STRUCTURAL METAL FRAMING

# PART 1 - GENERAL

# 1.1 SUMMARY

- A. Section Includes:
  - 1. Non-load-bearing steel framing systems for interior gypsum board assemblies.

## 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

#### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. ClarkDietrich
  - 2. Dietrich Industries, Inc.
  - 3. Marino Ware; Division of Ware Ind.

# 2.2 FRAMING SYSTEMS

- A. Components; General: As follows:
  - 1. Comply with ASTM C 754 for conditions indicated.
  - 2. Steel Sheet Components: Complying with ASTM C 645 requirements for metal and with ASTM A 653/A 653M, G40 (Z120), hot-dip galvanized zinc coating.
- B. Slip-Steel Studs and Runners: ASTM C 645.
  - 1. Single Long- Minimum Base-Metal Thickness: Gage 22 (0.027 inch / 0.7 mm).
  - 2. Depth: As indicated on Drawings.
- C. Deep-Leg Deflection Track: ASTM C 645 top runner with 2-inch (50.8-mm) deep flanges.
- D. Hat-Shaped, Rigid Furring Channels: ASTM C 645.
  - 1. Minimum Base-Metal Thickness: 0.018 inch (0.45 mm).
  - 2. Depth: 7/8 inch (22.2 mm).

E. Fasteners for Metal Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.

#### **PART 3 - EXECUTION**

# 3.1 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C 754.
- B. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- C. Install bracing at terminations in assemblies.
- D. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

# 3.2 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
- B. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.
- C. Install tracks (runners) at floors and overhead supports. Extend framing full height to structural supports. Continue framing around ducts penetrating partitions above ceiling.
  - 1. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install runner track section (for cripple studs) at head and secure to jamb studs.
    - a. Install two studs at each jamb unless otherwise indicated.
    - b. Install cripple studs at head adjacent to each jamb stud, with a minimum 1/2-inch clearance from jamb stud to allow for installation of control joint in finished assembly.
- D. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

END OF SECTION 092216

#### SECTION 092900 - GYPSUM BOARD

# PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Interior gypsum board.
  - 2. Tile backing panels (wet walls).

#### 1.2 SUBMITTALS

- A. Product Data: For each type of product.
- B. Product Certificates; Include statement indicating location of material manufacturer and point of extraction. Manufacturer of materials and extraction of materials shall be located in continental USA.

#### PART 2 - PRODUCTS

# 2.1 GYPSUM BOARD, GENERAL

A. Regional Materials: Gypsum panel products shall be manufactured in United States. Materials used for production of Gypsum panel and all related materials shall be extracted, harvested, or recovered in United States.

# 2.2 INTERIOR GYPSUM BOARD

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
  - 1. American Gypsum.
  - 2. National Gypsum Company.
  - 3. USG Corporation.
- B. Gypsum Board, Type X: ASTM C 1396/C 1396M.
  - 1. Thickness: 5/8 inch.
  - 2. Long Edges: Tapered.
- C. Moisture- and Mold-Resistant Gypsum Board: ASTM C 1396/C 1396M. With moisture- and mold-resistant core and paper surfaces.
  - 1. Core: 5/8- inch Type X.

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- 2. Long Edges: Tapered.
- 3. Mold Resistance: ASTM D 3273, score of 10.

#### 2.3. TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 and ASTM C1288 or ASTM C1325, with manufacturer's standard edges.
  - 1. Durock, as manufactured by USG, or equal.
  - 2. Thickness 5/8 inch
  - 3. Mold Resistance: ASTM D3273, score of 10 rated according to ASTM D3274.

# 2.4. TRIM ACCESSORIES

- A. Interior Trim: ASTM C 1047.
  - 1. Material: Plastic.
  - 2. Shapes:
    - a. Cornerbead
    - b. Bullnose bead
    - c. LC-Bead: J-shaped; exposed long flange receives joint compound.
    - d. L-Bead: L-shaped; exposed long flange receives joint compound.
    - e. U-Bead: J-shaped; exposed short flange receives joint compound.
    - f. Expansion (control) joint.

# 2.5 JOINT TREATMENT MATERIALS

- B. General: Comply with ASTM C 475/C 475M.
- C. Joint Tape:
  - 1. Interior Gypsum Board: Paper.
- D. Joint Compound for Interior Gypsum Board: For each coat use formulation that is compatible with other compounds applied on previous or for successive coats.

#### 2.6. AUXILIARY MATERIALS

- E. Laminating Adhesive: Adhesive or joint compound recommended for directly adhering gypsum panels to continuous substrate.
- F. Steel Drill Screws: ASTM C 1002, unless otherwise indicated.
- G. Acoustical Joint Sealant: ASTM C 834. Product effectively reduces airborne sound transmission through perimeter joints and openings as demonstrated by testing according to ASTM E 90.

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#### PART 3 - EXECUTION

# 3.1 APPLYING AND FINISHING PANELS

- A. Comply with ASTM C 840.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments, except floors. Provide 1/4- to 1/2-inch- wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- D. Install trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
  - 1. Control Joints: Install control joints according to ASTM C 840 and in specific locations approved by Architect for visual effect.
- E. Prefill open joints, rounded or beveled edges, and damaged surface areas.
- F. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- G. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C 840:
  - 1. Level 1: Concealed areas, and where indicated.
  - 2. Level 4: Surfaces that will be exposed to view, except public spaces as listed below.
  - 3. Level 5: Public spaces: Entry 101, Visitor/Information 105, Hallway 111, Conference/Office 105.
- H. Protect adjacent surfaces from drywall compound and texture finishes and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- I. Remove and replace panels that are wet, moisture damaged, and mold damaged.
- J. Primer and its application to surfaces are specified in Section 099123 "Interior Painting".

END OF SECTION 092900

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#### SECTION 093000 - TILING

# PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Related Section include following:
  - 1. Division 3 Section "Cast-in-Place Concrete"
  - 2. Division 9 Section "Gypsum Board"

# 1.2 SUMMARY

- A. Section Includes:
  - 1. Tile for wall.
  - 2. Porcelain tile **for floor.**
  - 3. Waterproof membrane.
  - 4. Crack isolation membrane.
  - 5. Metal edge strips.

# 1.3 DEFINITIONS

- A. General: Definitions in the ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.
- B. Nominal Size: Actual tile size plus joint width indicated.
- C. Face Size: Actual tile size, excluding spacer lugs.

## 1.4 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples:
  - 1. Each type and composition of tile and grout, for each color and finish required.

# 1.2 QUALITY ASSURANCE

A. Source Limitation for tile: Obtain all tile of the same type and color from one source or producer.

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B. Mockups: Build mockups to verify selections made under sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution. Approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.3 EXTRA MATERIALS

- A. Furnish extra materials that match and are from same production runs as products installed and that are packaged with protective covering and identified with labels describing contents.
  - 1. Tile: Furnish one unopened box of full-size units for each type, composition, color, pattern, and size indicated.

#### PART 2 - PRODUCTS

#### 2.1 PRODUCTS GENERAL

- A. ANSI Ceramic Tile Standard: Provide Standard grade tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
- B. FloorScore Compliance: Tile for floors shall comply with requirements of FloorScore Standard.

#### C. TILE PRODUCTS FOR WALL

- 1. Basis-of-Design Product: Subject to compliance with requirements, provide **Crossville**, **Inc.**, Retro Active 2.0 Porcelain Stone RET04 (Empress White PO) installed with 3/16" grout joint, or comparable product.
- 2. Nominal Size: 12 inches by 24 inches.
- 3. Thickness: 5/16 inch.
- 4. Scratch Hardness: 7 MOH's Scale.
- 5. Bond Strength: >200 psi ASTM C482
- 6. Breaking Strength: >350 lbf. ASTM C648
- 7. Tile Color and Pattern: One color 33% offset pattern. Color Empress White
- 8. Grout Color: As selected by Architect from manufacturer's full range.

## D. TILE PRODUCTS FOR FLOOR

- 1. Basis-of-Design Product: Subject to compliance with requirements, provide **Crossville**, **Inc; Portugal** Porcelain Stone PTG03 (Venho Verde Cool Mid UPS, or comparable product.
- 2. Nominal Size: 24 inches by 48 inches.
- 3. Thickness: 10.5 mm
- 4. Scratch Hardness: 7 MOH's Scale.
- 5. Breaking Strength: >500 lbf ASTM C648

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- 6. Bond Strength: >200 psi ASTM C648
- 7. Water Absorption: <0.20% ASTM C373
- 8. Finish: UPS
- 9. Tile Color and Pattern: <u>Color Venho Verde stack bond.</u> The tiles shall run length West-East direction, (longer dimension parallel to storefront.
- 10. Grout Color: As selected by Architect from manufacturer's full range. Thickness 3mm.
- 11. Units for Trim Base Restrooms only install on 3 walls: Provide shapes as follows, selected from manufacturer's standard shapes:
  - a. Cove Base: 6 inches by 12 inches
  - b. Metal edge strips for wall corners.

### 2.2 WATERPROOF MEMBRANE

A. General: Manufacturer's standard product, that complies with ANSI A118.10 and is recommended by the manufacturer for the application indicated.

### 2.3 CRACK ISOLATION MEMBRANE

A. General: Manufacturer's standard product that complies with ANSI A118.12 for standard performance and is recommended by the manufacturer for the application indicated.

### 2.4 SETTING MATERIALS

- A. Manufacturer's standard product that complies with ANSI A118.4 for standard performance and is recommended by the manufacturer for the application indicated.
- B. Latex-Portland Cement Mortar (Thin Set): ANSI A118.4.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Bonsal American; an Oldcastle company.
    - b. Summitville Tiles, Inc.
    - c. TEC; a subsidiary of H. B. Fuller Company.
  - 2. For wall applications, provide nonsagging mortar.

### 2.5 GROUT MATERIALS

- A. Standard Cement Grout: ANSI A118.6.
- B. Manufacturer's standard product that is recommended by the manufacturer for the application indicated.

## 2.6 ELASTOMERIC SEALANTS

A. General: Provide sealants, primers, backer rods, and other sealant accessories that comply with the following requirements and with the applicable requirements in Division 07 Section "Joint Sealants."

B. One-Part, Mildew-Resistant Silicone Sealant: ASTM C 920; Type S; Grade NS; Class 25; Uses NT, G, A, and, as applicable to nonporous joint substrates indicated, O; formulated with fungicide, intended for sealing interior ceramic tile joints and other nonporous substrates that are subject to in-service exposures of high humidity and extreme temperatures.

### 2.7 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.
- B. Metal Edge Strips: Angle or L-shape, stainless steel, ASTM A 666, 300 Series exposed-edge material.
- C. Metal Edge Strip: 'OUADEC' stainless steel, manufactured by Schluter for outside corners.
- D. Metal Edge Strip: 'Deco-SG' stainless steel, manufactured by Schluter.
- E. Grout Sealer: Manufacturer's standard silicone product for sealing grout joints and that does not change color or appearance of grout.
  - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Bonsal American, an Oldcastle company; Grout Sealer.
    - b. Summitville Tiles, Inc.; SL-15, Invisible Seal Penetrating Grout and Tile Sealer.
    - c. TEC, a subsidiary of H. B. Fuller Company; Grout Sealer.
- F. Floor Sealer: Colorless, stain- and slip-resistant sealer, not affecting color or physical properties of stone surfaces as recommended by stone tile producers for application indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Bostik, Inc.
    - b. Hillyard, Inc.
    - c. HMK Stone Care System.
    - d. Summitville Tiles, Inc.

#### PART 3 - EXECUTION

## 3.1 EXAMINATION

A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of installed tile.

1. Verify that substrates for setting tile are firm, dry, clean, free of coatings that are incompatible with tile-setting materials including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.

#### 3.2 INSTALLATION

- A. Comply with TCA's "Handbook for Ceramic Tile Installation" for TCA installation methods specified in tile installation schedules. Comply with parts of the ANSI A108 Series "Specifications for Installation of Ceramic Tile" that are referenced in TCA installation methods, specified in tile installation schedules, and apply to types of setting and grouting materials used.
- B. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.
- C. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
- D. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize the use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
- E. Joint Widths: As indicated and as per manufacturer recommendation.
- F. Expansion Joints: Provide expansion joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated. Form joints during installation of setting materials, mortar beds, and tile. Do not saw-cut joints after installing tiles.
  - 1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them
  - 2. Prepare joints and apply sealants to comply with manufacturer's recommendations.
- G. Install cementitious backer units and treat joints according to ANSI A108.11 and manufacturer's written instructions for type of application indicated. Use latex-portland cement mortar for bonding material unless otherwise directed in manufacturer's written instructions.
- H. Install waterproofing to comply with ANSI A108.13 and manufacturer's written instructions to produce waterproof membrane of uniform thickness and bonded securely to substrate.
- I. Install crack isolation membrane to comply with ANSI A108.17 and manufacturer's written instructions to produce membrane of uniform thickness and bonded securely to substrate.

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END OF SECTION 093000

### SECTION 096813 - TILE CARPETING

# PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Modular carpet tile.

# 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For each exposed product and for each color and texture required.

## 1.3 WARRANTY

- A. Special Warranty for Carpet Tiles: Manufacturer agrees to repair or replace components of carpet tile installation that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: 2 years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 CARPET TILE

- A. Mohawk Group Sixth Sense II Collection, A Premonition II.
- B. Color: As selected by Architect from manufacturer's full range.
- C. Construction: Tufted.
- D. Minimum Sq. Yd: No Minimum
- E. Surface Texture: Textured Patterned Loop
- F. Gauge: 1/12 (47.00 rows per 10 cm).
- G. Density: 6,947.
- H. Weight Density: 152,834.
- I. Stitches per Inch: 11.9 (46.85 per 10 cm).

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- J. Finished Pile Thickness: .114" (2.90 mm)
- K. Total Thickness: .261" (2.90 mm).
- L. Dye Method: Solution Dyed/Yarn Dyed.
- M. Backing Material: EcoFlex NXT.
- N. Fiber Type: Duracolor Premium Nylon.
- O. Fiber Technology: Duracolor by Mohawk Group's Stain Resistant System. Passes GSA requirements for permanent stain resistant carpet.
- P. Size: 24 by 24 inches.
- Q. Installation Method: Monolithic.
- R. GSA Stain Release Rating: Pass
- S. Soil Release Technology: Sentry Soil Protection.
- T. Stain Release Technology: Permanent, Built into Fiber.
- U. Foot Traffic Recommendation TARR: Severe
- V. Performance Characteristics:
  - 1. Static: AATCC-134 Under 3.5KV
  - 2. Flammability: ASTM E 648 Class 1 (Glue Down)
  - 3. Smoke Density: ASTM E 662 Less than 450

## 2.2 INSTALLATION ACCESSORIES

- A. Provide additional box of carpet tiles for future maintenance.
- B. Adhesives: Water-resistant, mildew-resistant, nonstaining, pressure-sensitive type to suit products and subfloor conditions indicated, that comply with flammability requirements for installed carpet tile, and are recommended by carpet tile manufacturer for releasable installation.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Concrete Slabs:
  - a. Relative Humidity Test: Using in situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
  - b. Perform additional moisture tests recommended in writing by adhesive and carpet tile manufacturers. Proceed with installation only after substrates pass testing.

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## 3.2 PREPARATION

- A. General: Comply with the Carpet and Rug Institute's CRI 104 and with carpet tile manufacturer's written installation instructions for preparing substrates indicated to receive carpet tile.
- B. Use trowelable leveling and patching compounds, according to manufacturer's written instructions, to fill cracks, holes, depressions, and protrusions in substrates. Fill or level cracks, holes and depressions 1/8 inch (3 mm) wide or wider, and protrusions more than 1/32 inch (0.8 mm) unless more stringent requirements are required by manufacturer's written instructions.
- C. Concrete Substrates: Remove coatings, including curing compounds, and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, without using solvents. Use mechanical methods recommended in writing by adhesive and carpet tile manufacturers.

### 3.3 INSTALLATION

- A. General: Comply with the Carpet and Rug Institute's CRI 104, Section 10, "Carpet Tile," and with carpet tile manufacturer's written installation instructions.
- B. Installation Method: As recommended in writing by carpet tile manufacturer.
- C. Maintain pile-direction patterns.
- D. Cut and fit carpet tile to butt tightly to vertical surfaces, permanent fixtures, and built-in furniture including cabinets, pipes, outlets, edgings, thresholds, and nosings. Bind or seal cut edges as recommended by carpet tile manufacturer.
- E. Extend carpet tile into toe spaces, door reveals, closets, open-bottomed obstructions, removable flanges, alcoves, and similar openings.
- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on carpet tile as marked on subfloor. Use nonpermanent, nonstaining marking device.
- G. Install pattern parallel to walls and borders.
- H. Protect carpet tile against damage from construction operations and placement of equipment and fixtures during the remainder of construction period. Use protection methods indicated or recommended in writing by carpet tile manufacturer.

END OF SECTION 096813

TILE CARPETING 096813 - 3

#### SECTION 097200 – FIBERGLASS REINFORCED PANELS

### PART 1 - GENERAL

## 1.1 SUMMARY

- A. Section Includes: Prefinished fiberglass reinforced plastic sheets factory adhered to unfinished untreated plywood backer and related PVC trims.
- B. Location: Service Sink Room 103 walls.

## 1.2 RELATED SECTIONS

A. Section 092900 - Gypsum wallboard.

### 1.3 REFERENCES

- A. American Society for Testing and Materials: Standard Specifications (ASTM)
  - 1. ASTM D 256 Izod Impact Strengths (ft #/in)
  - 2. ASTM D 570 Water Absorption (%)
  - 3. ASTM D 638 Tensile Strengths (psi) & Tensile Modulus (psi)
  - 4. ASTM D 790 Flexural Strengths (psi) & Flexural Modulus (psi)
  - 5. ASTM D 2583- Barcol Hardness
  - 6. ASTM D 5319 Standard Specification for Glass-Fiber Reinforced Polyester Wall and Ceiling Panels.
  - 7. ASTM E 84 Standard Test Method for Surface Burning Characteristics of Building Materials.

## 1.4 SUBMITTALS

- A. Product Data: Submit sufficient manufacturer's data to indicate compliance with these specifications, including:
  - 1. Preparation instructions and recommendations.
  - 2. Storage and handling requirements and recommendations.
  - 3. Installation methods.
- B. Samples for Verification: Submit appropriate section of panel for each finish selected indicating the color, texture, and pattern required.
  - 1. Submit complete with specified applied finish.
  - 2. For selected patterns show complete pattern repeat.
  - 3. Exposed Molding and Trim: Provide samples of each type, finish, and color.
  - C. Manufacturers Material Safety Data Sheets (MSDS) for adhesives, sealants and other pertinent materials prior to their delivery to the site.

## 1.5 QUALITY ASSURANCE

- A. Conform to building code requirements for interior finish for smoke and flame spread requirements as tested in accordance with:
  - 1. ASTM E 84 (Method of test for surface burning characteristics of building Materials)
    - a. Wall Required Rating Class A.
- B. Sanitary Standards: System components and finishes to comply with:
  - 1. United States Department of Agriculture (USDA) requirements for food preparation facilities, incidental contact.
  - 2. Food and Drug Administration (FDA) 1999 Food Code 6-101.11.

## 1.6 DELIVERY, STORAGE AND HANDLING

- A. Deliver materials factory packaged on strong pallets.
- B. Store panels and trim lying flat, under cover and protected from the elements. Allow panels to acclimate to room temperature (range of 60 to 75°F) for 48 hours prior to installation.

#### 1.7 PROJECT CONDITIONS

- A. Environmental Limitations: Building are to be fully enclosed prior to installation with sufficient heat (70°) and ventilation consistent with good working conditions for finish work
- B. During installation and for not less than 48 hours before, maintain an ambient temperature and relative humidity within limits required by type of adhesive used and recommendation of adhesive manufacturer.
  - 1. Provide ventilation to disperse fumes during application of adhesive as recommended by the adhesive manufacturer.

# 1.8 WARRANTY

A. Furnish one year guarantee against defects in material and workmanship.

### PART 2 - PRODUCTS

### 2.1 ACCEPTABLE MANUFACTURERS

A. Vittetoe, Inc.; 2112 Keokuk-Washington Rd., Keota, IA 52248. Ph:800-848-8386.

Fax: 641-636-3764. Email: info@vittetoe.com Web: http://www.vittetoe.com

1. Product: Glass Board

B. Marlite; 1 Marlite Drive, Dover, OH 44622. Ph: 800-377-1221. Fax (330) 343-4668 Email: info@marlite.com Web: www.marlite.com.

1. Product: Standard FRP

C. Equal product as approved by Owner and Architect / Designer.

### 2.2 PANELS

- A. Fiberglass reinforced thermosetting polyester resin panel sheets complying with ASTM D 5319.
  - 1. Coating: Manufacturer's standard.
  - 2. Dimensions:
    - a. Thickness: 0.090" (2.29mm) nominal
    - b. Width: 4'-0" (1.22m) nominal
    - c. Length: 8'-0" (2.4m) nominal
  - 3. Tolerance:
    - a. Length and Width:  $\pm -1/8$ " (3.175mm)
    - b. Square: Not to exceed 1/8" for 8 foot (2.4m) panels or 5/32" (3.96mm) for 10 foot (2.4m) panels
- B. Properties: Resistant to rot, corrosion, staining, denting, peeling, and splintering.
  - 1. Flexural Strength 1.0 x 10<sup>4</sup> psi per ASTM D 790. (7.0 kilogram-force/square millimeter)
  - 2. Flexural Modulus 3.1 x 10<sup>5</sup> psi per ASTM D 790. (217.9 kilogram-force/square millimeter)
  - 3. Tensile Strength 7.0 x 10<sup>3</sup> psi per ASTM D 638. (4.9 kilogram-force/square millimeter)
  - 4. Tensile Modulus 1.6 x 10<sup>5</sup> psi per ASTM D 638. (112.5 kilogram-force/square millimeter)
  - 5. Water Absorption 0.72% per ASTM D 570.
  - 6. Barcol Hardness (scratch resistance) of 35 55 as per ASTM D 2583.
  - 7. Izod Impact Strength of 72 ft. lbs./in ASTM D 256
- C. Back Surface: Smooth. Imperfections which do not affect functional properties are not cause for rejection.
- D. Front Finish: In accordance with preapproved sample.
- E. Color and Surface:
  - 1. Vittetoe: White Glass Board
  - 2. Marlite: Standard FRP P100
- F. Substrate: 3/8" Plywood.
- G. Fire Rating: Class A.

### 2.3 MOLDINGS

- A. PVC Trim: Provide manufacturer's standard trims in lengths as required to complete the work
  - 1. Inside Corners
  - 2. Outside Corners (at eyewash area)
  - 3. Divisions
  - 4. Edges

### 2.4 ACCESSORIES

 Provide accessories as required and recommended by the manufacturer to complete the work

### PART 3 - EXECUTION

## 3.1 PREPARATION

- A. Examine backup surfaces to determine that corners are plumb and straight, surfaces are smooth, uniform, clean and free from foreign matter, nails countersunk, joints and cracks filled flush and smooth with the adjoining surface.
- B. Repair defects prior to installation.

### 3.2 INSTALLATION

- A. Comply with manufacturer's recommended procedures and installation sequence.
- B. Cut sheets to meet supports allowing 1/8" (3 mm) clearance for every 8 foot (2.4m) of panel.
  - 1. Cut and drill with carbide tipped saw blades and drill bits.
  - 2. Pre-drill fastener holes with high speed drill bit.
    - a. Space fasteners as recommended by manufacturer.
- C. Apply panel moldings to all panel edges using silicone sealant providing for required clearances.
  - 1. All moldings must provide for a minimum 1/8" (3mm) of panel expansion at joints and edges, to insure proper installation.
  - 2. Apply sealant to all moldings, channels and joints between the system and different materials to assure watertight installation.

# 3.3 CLEANING

- A. Remove excess sealant from panels and moldings and final clean all surfaces.
- B. Refer to manufacturer's specific cleaning recommendations. Do not use abrasive cleaners.

# END OF SECTION 09720

### **SECTION 099123 - INTERIOR PAINTING**

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. Section includes:
  - 1. Primers
  - 2. Water-based finish coatings

## 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: For each type of topcoat product indicated.
- C. Samples for Verification: For each type of paint system and in each color and gloss of topcoat indicated.
  - 1. Submit Samples on rigid backing, 8 inches square.
  - 2. Step coats on Samples to show each coat required for system.
  - 3. Label each coat of each Sample, for location and application area.

## 1.4 QUALITY ASSURANCE

A. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify preliminary selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.

## 1.5 DELIVERY, STORAGE, AND HANDLING

A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.

### 1.6 PROJECT CONDITIONS

A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.

B. Do not apply paints when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

## 1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that are from same production run (batch mix) as materials applied and that are packaged for storage and identified with labels describing contents.
  - 1. Quantity: Furnish an additional 5 percent, but not less than 1 gal. of each material and color applied.

### PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Basis-of-Design Products: Sherwin-Williams Company (The).
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Benjamin Moore & Co.
  - 2. PPG Architectural Finishes, Inc.

# 2.2 PAINT, GENERAL

- A. Material Compatibility:
  - 1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
- B. Colors: As selected by Architect from manufacturer's full range.

### 2.3 PRIMERS/SEALERS

- A. Interior Latex Primer/Sealer:
  - 1. Sherwin-Williams, ProMar 200 Zero VOC Latex Primer

## 2.4 METAL PRIMERS

- A. Interior:
  - 1. Pro Industrial Pro-Cryl Universal Acrylic Primer

## 2.5 WOOD PRIMERS

- A. Interior Latex-Based Wood Primer:
  - 1. Sherwin-Williams, Pro-Mar 200 Zero VOC Latex Primer.

#### 2.6 PAINTS

- A. Interior Latex Paint:
  - 1. Sherwin-Williams, ProMar 200 Zero VOC Interior Flat: Ceilings
  - 2. Sherwin-Williams, ProMar 200 Zero VOC Interior Eg-Shel: Walls
  - 3. Sherwin Williams, ProMar 200 Zero VOC Latex Semi-Gloss: Non-traffic Wood.
- B. Interior (Semi-gloss): Walls in Restrooms
  - 1. Sherwin-Williams, Pro Industrial Pre-Catalyzed Waterbased Epoxy Semi-gloss
- C. Metal
  - 1. Sherwin-Williams, Pro Industrial Acrylic Semi-Gloss

#### PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of work.
- B. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers. Begin coating application only after unsatisfactory conditions have been corrected and surface is dry.

## 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates indicated.
- B. Remove plates, machined surfaces, and similar items already in place that are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting. After completing painting operations, reinstall items that were removed.
  - 1. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.

- C. Clean substrates of substances that could impair bond of paints, including dirt, oil, grease, and incompatible paints and encapsulants.
- D. Gypsum Board Substrates: Do not begin paint application until finishing compound is dry and sanded smooth.

## 3.3 APPLICATION

A. Apply paints according to manufacturer's written instructions.

## 3.4 CLEANING AND PROTECTION

A. After completing paint application, clean spattered surfaces. Do not scratch or damage adjacent finished surfaces. Correct damage to work of other trades by cleaning, replacing, and refinishing. At completion of construction, touch up and restore defaced painted surfaces.

## 3.5 INTERIOR PAINTING SCHEDULE

- A. Gypsum Board Substrates Ceilings:
  - 1. Prime Coat: Sherwin-Williams, ProMar 200 Zero VOC Latex Primer.
  - 2. Intermediate Coat: Sherwin-Williams, ProMar 200 Zero VOC Interior Flat.
  - 3. Topcoat: Sherwin-Williams, ProMar 200 Zero VOC Interior Flat
- B. Gypsum Board Substrate Walls (except restrooms):
  - 1. Prime Coat: Sherwin -Williams, ProMar 200 Zero VOC Latex Primer.
  - 2. Intermediate Coat: Sherwin Williams, ProMar 200 Zero VOC Latex Eg-Shel.
  - 3. Topcoat: Sherwin Williams, ProMar 200 Zero VOC Latex Eg-Shel.
- C. Gypsum Board Substrate Walls restrooms:
  - 1. Prime Coat: Sherwin Williams, ProMar 200 Zero VOC Latex Primer.
  - 2. Intermediate Coat: S-W, Pro Industrial Pre-Catalyzed Waterbased Epoxy Semi-gloss.
  - 3. Topcoat: Pro Industrial Pre-Catalyzed Waterbased Epoxy Semi-gloss
- D. Wood Substrate, Non-traffic Surfaces:
  - 1. Prime Coat: Sherwin Williams, ProMar 200 Zero VOC Latex Primer.
  - 2. Intermediate Coat: Sherwin Williams, ProMar 200 Zero VOC Latex Semi-Gloss.
  - 3. Topcoat: Sherwin Williams, ProMar 200 Zero VOC Latex Semi-Gloss.
- E. Metal Substrates:
  - 1. Prime Coat: Sherwin Williams, Pro Industrial Pro-Cryl Universal Acrylic Primer.
  - 2. Intermediate Coat: Sherwin-Williams, Pro Industrial Acrylic Semi Gloss.
  - 3. Topcoat: Sherwin-Williams, Pro Industrial Acrylic Semi-Gloss.

END OF SECTION 099123

#### SECTION 104250 - SIGNAGE

### PART 1 - GENERAL

### 1.1 SUMMARY

### A. Section Includes:

1. All signage shall meet the requirements of the Americans with Disabilities Act, Accessibility Guidelines for Buildings and Facilities amended to date.

### 1.2 SUBMITTALS

- A. Submit manufacturer's descriptive literature and specifications of materials.
- B. Submit shop drawings listing sign styles, lettering and locations, and overall dimensions of each sign.
- C. Color Chart: Manufacturer's standard color chart.

### **PART 2 - PRODUCTS**

# 2.1 APPROVED SIGNS:

- A. ASI Sign Systems, Inc.
  - 1. Sign Face: Polyamide Resign.
  - 2. Carrier: 0.040" thick aluminum or 0.011" thick polyester (sign type determines material used).
  - 3. Fasteners: Tamper proof screws.
- B. Best Sign Systems:
  - 1. Melamine plastic laminate, 1/8" thick.
  - 2. Fasteners: Tamper proof screws.

## 2.2 SIGN FABRICATIONS:

- A. Tactile characters/symbols/pictograms shall be raised 1/32 inch from the sign faceplate. Signs shall be one-piece construction; add-on and/or engraved characters are not acceptable.
- B. All text shall be accompanied by Grade 2 Braille placed directly below last line of letters or numbers.
- C. All letters, numbers, and symbols shall contrast with their background either light colors on dark background or dark colors on light background. Contrast level shall be 81%. All exposed surfaces shall have a non-glare finish.

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- D. Lettering style shall be standard bold condensed upper case, or other sans serif or simple serif typeface.
- E. Sizes of letters, numbers and symbols shall be as follows:
  - 1. Room names shall be 5/8" high.
  - 2. Lettering for room usage and directional identification shall be 5/8" high.
  - 3. Symbols shall be 4 inches high.
  - 4. Letters, numbers and symbols shall be centered on sign.

### 2.3 SIGN SIZE:

A. Signs shall be sized according to copy.

## 2.4 ACCESSORIES:

A. Mounting Hardware: Manufacturer's standard holes and screws.

## PART 3 - EXECUTION

### 3.1 INSTALLATION:

A. Mount signage on latch side of door with bottom of pictogram at 58-inches above finish floor (AFF), maintaining braille characters between 48" minimum and 60" maximum.

## 3.2 SIGN SCHEDULE:

	<b>LOCATION</b>	SIGN COPY	SYMBOLS
A.	Main Entrance	ENTRANCE	
B.	Room 102	UNISEX RESTROOM	Men & Women pictogram
C.	Room 103	JANITOR	
D.	Room 104	UNISEX RESTROOM	Men & Women pictogram
E.	Room 105	VISITOR INFORMATION	
F.	Room 106	OFFICES	
G.	Room 109	UNISEX RESTROOM	Men & Women pictogram
H.	Room 118	CONFERENCE ROOM	

## END OF SECTION 104250

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### **SECTION 104416**

### FIRE EXTINGUISHERS

### PART 1 - GENERAL

- 1.01 SCOPE:
  - A. Fire Extinguishers
- 1.02 STANDARDS:
  - A. Fire extinguishers shall satisfy OSHA ordinary hazard requirements, extinguisher shall be rated 2-A, 10-B:C.
  - B. NFPA Compliance: Fabricate and label fire extinguishers to comply with NFPA 10, "Portable Fire Extinguishers"

#### PART 2 - PRODUCTS

## 2.02 APPROVED FIRE EXTINGUISHER:

- A. Fire extinguishers shall be Amerex B500/500T or approved equal.
- B. Provide wall mounted strap and mounting brackets as required.

## PART 3 - EXECUTION

- 3.01 INSTALLATION:
  - A. Install fire extinguishers on mounting brackets according to manufacturer's recommendation.
- 3.02 SCHEDULE:
  - A. Install fire extinguishers in locations indicated on Drawings but not less than one at each exterior door, next to bottom of stairs and in the attic.

# END OF SECTION

### SECTION 122113 - HORIZONTAL LOUVER BLINDS

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

A. Section Includes: Horizontal louver blinds with **aluminum** slats install **only** at storefront windows located at South elevation.

### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For horizontal louver blinds, include fabrication and installation details.
- C. Samples for Initial Selection: For each type and color of horizontal louver blind. Include Samples of accessories involving color selection.
- D. Samples for Verification: For each type and color of horizontal louver blind indicated.
  - 1. Horizontal Louver Blind: Full-size unit, not less than 16 inches wide by 24 inches long.
- E. Product Schedule: For horizontal louver blinds. Use same designations indicated on Drawing (3/A-7)

### 1.4 DELIVERY, STORAGE, AND HANDLING

A. Deliver horizontal louver blinds in factory packages, marked with manufacturer, product name, and location of installation using same designations indicated on Drawings.

### 1.5 FIELD CONDITIONS

- A. Environmental Limitations: Do not install horizontal louver blinds until construction and wetwork and finish work in spaces, including painting, is complete and dry and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
- B. Field Measurements: Where horizontal louver blinds are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication and indicate measurements on Shop Drawings. Allow clearances for operating hardware of operable glazed units through entire operating range. Notify Architect of installation conditions that vary from

Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.

### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Source Limitations: Obtain horizontal louver blinds from single source from single manufacturer.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. CACO, Inc., Window Fashion
  - 2. Levolor
  - 3. Springs Window Fashions

# 2.2 HORIZONTAL LOUVER BLINDS, ALUMINUM SLATS

- A. Slats: Aluminum; alloy and temper recommended by producer for type of use and finish indicated; with crowned profile and radius corners.
  - 1. Width: 1 inch.
  - 2. Thickness: Not less than 0.008 inch.
  - 3. Spacing: Manufacturer's standard.
  - 4. Finish: baked polyester finish.
  - 5. Features:
    - a. Lift-Cord Rout Holes: Minimum size required for lift cord and located near back (outside) edge of slat to maximize slat overlap and minimize light gaps between slats.
- B. Headrail: Formed steel or extruded aluminum; long edges returned or rolled. Headrails fully enclose operating mechanisms on three sides.
  - 1. Capacity: Two blinds per headrail.
  - 2. Ends: Capped or plugged with the same material.
  - 3. Manual Lift Mechanism:
    - a. Lift-Cord Lock: Variable; stops lift cord at user-selected position within blind full operating range.
    - b. Operator: Extension of lift cord(s) through lift-cord lock mechanism to form cord pull.
  - 4. Manual Tilt Mechanism: Enclosed worm-gear mechanism and linkage rod that adjusts ladders.
    - a. Tilt: Two-directions, positive stop or lockout at an angle of 60 degrees from horizontal, both directions.
    - b. Operator: clear-plastic wand.

- c. Over-Rotation Protection: Manufacturer's detachable operator or slip clutch to prevent over rotation of gear.
- 5. Manual Lift-Operator and Tilt-Operator Lengths: Manufacturer's standard.
- 6. Manual Lift-Operator and Tilt-Operator Locations: Manufacturer's standard.
- C. Bottom Rail: Formed-steel or extruded-aluminum tube that secures and protects ends of ladders and lift cords and has metal-capped ends.
  - 1. Type: Manufacturer's standard.
- D. Lift Cords: Manufacturer's standard braided cord.
- E. Ladders: Evenly spaced across headrail at spacing that prevents long-term slat sag.
  - 1. Type: Reinforced vinyl tape.
- F. Mounting Brackets: With spacers and shims required for blind placement and alignment indicated.
  - 1. Type: Overhead.
  - 2. Intermediate Support: Provide intermediate support brackets to produce support spacing recommended by blind manufacturer for weight and size of blind.
- G. Hold-Down Brackets and Hooks or Pins: Manufacturer's standard.
- H. Colors, Textures, Patterns, and Gloss:
  - 1. Slats: As selected by Architect from manufacturer's full range.
  - 2. Components: Provide rails, cords, ladders, and materials exposed to view matching or coordinating with slat color unless otherwise indicated.

# 2.3 HORIZONTAL LOUVER BLIND FABRICATION

- A. Product Safety Standard: Fabricate horizontal louver blinds to comply with WCMA A 100.1 including requirements for corded, flexible, looped devices; lead content of components; and warning labels.
- B. Unit Sizes: Fabricate units in sizes to fill window and other openings as follows, measured at 74 deg F:
  - 1. Outside of Jamb Installation: Width and length as indicated, with terminations between blinds of end-to-end installations at centerlines of mullion.
- C. Concealed Components: Noncorrodible or corrosion-resistant-coated materials.
  - 1. Lift-and-Tilt Mechanisms: With permanently lubricated moving parts.
- D. Mounting and Intermediate Brackets: Designed for removal and reinstallation of blind without damaging blind and adjacent surfaces, for supporting blind components, and for bracket positions and blind placement indicated.

E. Installation Fasteners: No fewer than two fasteners per bracket, fabricated from metal noncorrosive to brackets and adjoining construction; type designed for securing to supporting substrate; and supporting blinds and accessories under conditions of normal use.

#### F. Color-Coated Finish:

1. Metal: For components exposed to view, apply manufacturer's standard baked finish complying with manufacturer's written instructions for surface preparation including pretreatment, application, baking, and minimum dry film thickness.

## PART 3 - EXECUTION

# 3.1 EXAMINATION

A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances, operational clearances, and other conditions affecting performance of the Work. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Install horizontal louver blinds level and plumb, aligned and centered on openings, and aligned with adjacent units according to manufacturer's written instructions.
  - 1. Locate so exterior slat edges are not closer than 2-1/4 inches from interior faces of glass and not closer than 1/2 inch from interior faces of glazing frames through full operating ranges of blinds.
  - 2. Install mounting and intermediate brackets to prevent deflection of headrails.
  - 3. Install with clearances that prevent interference with adjacent blinds and adjacent construction.

## 3.3 ADJUSTING

A. Adjust horizontal louver blinds to operate free of binding or malfunction through full operating ranges.

### 3.4 CLEANING AND PROTECTION

A. Clean horizontal louver blind surfaces after installation according to manufacturer's written instructions. Provide final protection and maintain conditions in a manner acceptable to manufacturer and Installer that ensures that blinds are without damage or deterioration at time of Substantial Completion. Replace damaged horizontal louver blinds that are damaged.

# END OF SECTION 122113

### SECTION 123623 - PLASTIC-LAMINATE-CLAD COUNTERTOPS

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Plastic-laminate-clad countertops with backsplash.

### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For plastic-laminate-clad countertops.
- C. Samples: Plastic laminates in each type, color, pattern, and surface finish required.

## 1.3 QUALITY ASSURANCE

A. Fabricator Qualifications: Shop that employs skilled workers who custom fabricate products similar to those required for this Project and whose products have a record of successful inservice performance.

### PART 2 - PRODUCTS

### 2.1 PLASTIC-LAMINATE-CLAD COUNTERTOPS

- A. Quality Standard: Unless otherwise indicated, comply with the "Architectural Woodwork Standards" for grades of plastic-laminate-clad countertops indicated for construction, finishes, installation, and other requirements.
- B. Manufacturer: CUSTOMCRAFT® High Resolution Laminate manufactured by Midwest Manufacturing. Or comparable product by one of the following:
  - 1. Wilsonart LLC
  - 2. Formaica Corporation
- C. Size: Reference Drawings and coordinate with cabinets as required.
- D. Colors, Patterns, and Finishes: Ferro Grafite
  - 1. Or as selected by Architect from manufacturer's full range.
- E. Edge Profile: Bevel

- F. Edge Finishes: Same as laminate cladding on horizontal surfaces. End profiled and capped to match front edge, when full end is exposed.
- G. Core Material: MDF made with exterior glue, or exterior grade plywood.
- H. Core Thickness: 3/4 inch.
  - 1. Build up countertop thickness to 1-1/2 inches at front, back, and ends with additional layers of core material laminated to top.
- I. Paper Backing: Provide paper backing on underside of countertop substrate.

### 2.2 MISCELLANEOUS MATERIALS

A. Adhesive for Bonding Plastic Laminate: As selected by fabricator to comply with requirements.

### 2.3 FABRICATION

- A. Fabricate countertops to dimensions, profiles, and details indicated. Provide front and end overhang of 1 inch over base cabinets.
- B. Complete fabrication, including assembly, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Grade: Install countertops to comply with same grade as item to be installed.
- B. Assemble countertops and complete fabrication at Project site to the extent that it was not completed in the shop.
  - 1. Provide cutouts for appliances, plumbing fixtures, electrical work, and similar items. Locate openings accurately, and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.
  - 2. Seal edges of cutouts by saturating with varnish.
- C. Field Jointing: Where possible, make in the same manner as shop jointing, using dowels, splines, adhesives, and fasteners recommended by manufacturer. Prepare edges to be joined in shop so Project-site processing of top and edge surfaces is not required.
  - 1. Secure field joints in countertops with concealed clamping devices located within 6 inches of front and back edges and at intervals not exceeding 24 inches. Tighten in accordance with manufacturer's written instructions to exert a constant, heavy-clamping pressure at joints.

- D. Scribe and cut countertops to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
- E. Countertop Installation: Anchor securely by screwing through corner blocks of base cabinets or other supports into underside of countertop.
  - 1. Install countertops level and true in line. Use concealed shims as required to maintain not more than a 1/8-inch-in-96-inches variation from a straight, level plane.
  - 2. Secure backsplashes to tops with concealed metal brackets at 16 inches o.c. and to walls with adhesive.
  - 3. Seal joints between countertop and backsplash, if any, and joints where countertop and backsplash abut walls with mildew-resistant silicone sealant or another permanently elastic sealing compound recommended by countertop material manufacturer.
- F. Protection: Provide Kraft paper or other suitable covering over countertop surfaces, taped to underside of countertop at a minimum of 48 inches o.c. Remove protection at Substantial Completion.

END OF SECTION 123623

### SECTION 123661 - SOLID SURFACING COUNTERTOPS

### PART 1 - GENERAL

### 1.1 SUMMARY

### A. Section Includes:

- 1. Solid surface material for windows sills.
- 2. Solid surface material for horizontal access panel behind toilet in room 102.

### 1.2 ACTION SUBMITTALS

- A. Product Data: For sill material.
- B. Shop Drawings: For sills. Show materials, finishes, edge.
- C. Samples: For each type of material exposed to view.

### PART 2 - PRODUCTS

## 2.1 SOLID SURFACE MATERIALS

- A. Solid Surface Material: Homogeneous-filled plastic resin complying with ICPA SS-1.
  - 1. DuPont<sup>TM</sup> Corian® solid surfaces, color Linen.
  - 2. Or equal product.
- B. Particleboard: ANSI A208.1, Grade M-2-Exterior Glue.

## 2.2 FABRICATION

- A. Fabricate sills according to solid surface material manufacturer's written instructions.
- B. Fabricate access panel in room 102 to solid surface material manufacturer's written instructions.
- C. Sill Configuration: 1/2" thick, solid surface material with front edge 1-1/4" thick built up with same material. Top of front edge shall have bullnose.
- D. Horizontal Access Panel Configuration (Room 102): 1/2" thick, solid surface material with front edge 1-1/4" thick built up with same material. Top of front edge shall have bullnose.
- E. Joints: Fabricate sills and access panel without joints.

# 2.3 INSTALLATION MATERIALS

- A. Adhesive: Product recommended by solid surface material manufacturer.
- B. Sealant: Product recommended by solid surface material manufacturer.

# PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Fasten horizontal access panel by screwing through corner blocks of base units into underside of panel. Predrill holes for screws as recommended by manufacturer.
- B. Secure sills to subtops with adhesive according to solid surface material manufacturer's written instructions.
- C. Apply sealant to gaps at walls.

END OF SECTION 123661

### SECTION 220517 - SLEEVES AND SLEEVE SEALS FOR PLUMBING AND HVAC PIPING

## PART 1 - GENERAL

### 1.1 SUMMARY

# A. Section Includes:

- 1. Sleeves.
- 2. Sleeve-seal systems.
- 3. Grout.
- 4. Silicone sealants.

### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

## PART 2 - PRODUCTS

### 2.1 SLEEVES

- A. Cast-Iron Pipe Sleeves: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends and integral water stop collar.
- B. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, anticorrosion coated, with plain ends and integral welded water stop collar.

### 2.2 SLEEVE-SEAL SYSTEMS

## A. Description:

- 1. Modular sealing-element unit, designed for field assembly, for filling annular space between piping and sleeve.
- 2. Designed to form a hydrostatic seal of 20 psig minimum.
- 3. Sealing Elements: EPDM-rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
- 4. Pressure Plates: Carbon steel.
- 5. Connecting Bolts and Nuts: Carbon steel of length required to secure pressure plates to sealing elements.

## 2.3 GROUT

- A. Description: Non-shrink for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

### 2.4 SILICONE SEALANTS

- A. Silicone, S, NS, 25, NT: Single-component, non-sag, plus 25 percent and minus 25 percent movement capability, non-traffic-use, neutral-curing silicone joint sealant, ASTM C920, Type S, Grade NS, Class 25, Use NT.
- B. Silicone, S, P, 25, T, NT: Single-component, pourable, plus 25 percent and minus 25 percent movement capability, traffic- and non-traffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade P, Class 25, Uses T and NT. Grade P Pourable (self-leveling) formulation is for opening in floors and other horizontal surfaces that are not fire rated.

#### PART 3 - EXECUTION

## 3.1 SLEEVE INSTALLATION

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
  - 1. Cut sleeves to length for mounting flush with both surfaces.
    - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
  - 2. Using grout or, seal the space outside of sleeves in slabs and walls without sleeve-seal system.
- D. Install sleeves for pipes passing through interior partitions.
  - 1. Cut sleeves to length for mounting flush with both surfaces.
  - 2. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.

- 3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint.
- E. Fire-Resistance-Rated Penetrations, Horizontal Assembly Penetrations, and Smoke Barrier Penetrations: Maintain indicated fire or smoke rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with fire- and smoke-stop materials.

### 3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at service piping entries into building.
- B. Select type, size, and number of sealing elements required for piping material and size and for sleeve ID or hole size. Position piping in center of sleeve. Center piping in penetration, assemble sleeve-seal system components, and install in annular space between piping and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make a watertight seal.

## 3.3 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Leak Test: After allowing for a full cure, test sleeves and sleeve seals for leaks. Repair leaks and retest until no leaks exist.
- B. Sleeves and sleeve seals will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports.

### 3.4 SLEEVE AND SLEEVE-SEAL SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
  - 1. Exterior Concrete Walls above Grade:
    - a. Cast-iron pipe sleeves.
  - 2. Exterior Concrete Walls below Grade:
    - a. Piping Smaller than NPS 6 Cast-iron pipe sleeves with sleeve-seal system.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
    - b. Piping NPS 6 and Larger; Cast-iron pipe sleeves with sleeve-seal system.
      - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.

- 3. Concrete Slabs-on-Grade:
  - a. Cast-iron pipe sleeves with sleeve-seal system.
    - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
- 4. Concrete Slabs above Grade:
  - a. Steel pipe sleeves.
- 5. Interior Partitions:
  - a. Steel pipe sleeves.

END OF SECTION

### SECTION 220518 - ESCUTCHEONS FOR PLUMBING PIPING

## PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Escutcheons.
  - 2. Floor plates.

## 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

## PART 2 - PRODUCTS

### 2.1 ESCUTCHEONS

- A. One-Piece, Steel Type: With polished, chrome-plated finish and setscrew fastener.
- B. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped steel with polished, chrome-plated finish and spring-clip fasteners.
- C. One-Piece, Stamped-Steel Type: With polished, chrome-plated finish and spring-clip fasteners.
- D. Split-Plate, Stamped-Steel Type: With polished, chrome-plated finish; concealed hinge; and spring-clip fasteners.

### 2.2 FLOOR PLATES

A. Split Floor Plates: Cast brass with concealed hinge.

### PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Install escutcheons for piping penetrations of walls, ceilings, and finished floors.
- B. Install escutcheons with ID to closely fit around pipe, tube, and insulation of insulated piping and with OD that completely covers opening.
  - 1. Escutcheons for New Piping and Relocated Existing Piping:

- a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep pattern.
- b. Chrome-Plated Piping: One-piece steel with polished, chrome-plated finish.
- c. Insulated Piping: One-piece steel with polished finish.
- d. Insulated Piping: One-piece stamped steel or split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
- e. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece steel with polished, chrome-plated finish.
- f. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece stamped steel or split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
- g. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece steel with polished, chrome-plated finish.
- h. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece stamped steel or split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
- C. Install floor plates for piping penetrations of equipment-room floors.
- D. Install floor plates with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.
  - 1. New Piping: Split floor plate.
  - 2. Existing Piping: Split floor plate.

# 3.2 FIELD QUALITY CONTROL

A. Using new materials, replace broken and damaged escutcheons and floor plates.

**END OF SECTION 220518** 

#### SECTION 220523.12 - BALL VALVES FOR PLUMBING PIPING

## PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Brass ball valves.
  - 2. Bronze ball valves.

## 1.2 ACTION SUBMITTALS

A. Shop drawings.

### PART 2 - PRODUCTS

## 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. NSF Compliance: NSF 61 and NSF 372 for valve materials for potable-water service.
- C. Bronze valves shall be made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are not permitted.
- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.
- F. Valve Actuator Types:
  - 1. Handlever: For quarter-turn valves smaller than NPS 4.
- G. Valves in Insulated Piping:
  - 1. Include 2-inch stem extensions.
  - 2. Extended operating handles of nonthermal-conductive material and protective sleeves that allow operation of valves without breaking vapor seals or disturbing insulation.
  - 3. Memory stops that are fully adjustable after insulation is applied.

## 2.2 BRASS BALL VALVES

A. Brass Ball Valves, Two-Piece with Full Port and Brass Trim, Press Ends:

## 1. Description:

- a. Standard: MSS SP-110 or MSS SP-145.
- b. CWP Rating: Minimum 200 psig.
- c. Body Design: Two piece.
- d. Body Material: Forged brass.
- e. Ends: Press.
- f. Press Ends Connection Rating: Minimum 200 psig.
- g. Seats: PTFE or RPTFE.
- h. Stem: Brass.
- i. Ball: Chrome-plated brass.
- j. Port: Full.
- k. O-Ring: Buna-N or EPDM.

### 2.3 BRONZE BALL VALVES

- A. Bronze Ball Valves, Two-Piece with Full Port, and Bronze or Brass Trim, Press Ends:
  - 1. Description:
    - a. Standard: MSS SP-110 or MSS-145.
    - b. CWP Rating: Minimum 200 psig.
    - c. Body Design: Two piece.
    - d. Body Material: Bronze.
    - e. Ends: Press.
    - f. Press Ends Connections Rating: Minimum 200 psig.
    - g. Seats: PTFE or RTPFE.
    - h. Stem: Bronze or brass.
    - i. Ball: Chrome-plated brass.
    - i. Port: Full.
    - k. O-Ring Seal: EPDM or Buna-N.

## PART 3 - EXECUTION

## 3.1 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.

# 3.2 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

A. If valves with specified CWP ratings are unavailable, the same types of valve with higher CWP ratings may be substituted.

# 3.3 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

- A. Pipe NPS 2 and Smaller:
  - 1. Brass ball valves, two-piece with full port and brass trim.
  - 2. Bronze ball valves, two-piece with full port and bronze or brass trim.

**END OF SECTION** 

220523.12

#### SECTION 220523.14 - CHECK VALVES FOR PLUMBING PIPING

## PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Bronze swing check valves.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of valve.
  - 1. Certification that products comply with NSF 61.

#### PART 2 - PRODUCTS

## 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B1.20.1 for threads for threaded end valves.
- C. Drinking Water System Components Health Effects and Drinking Water System Components Lead Content Compliance: NSF 61 and NSF 372.
- D. Bronze valves shall be made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are not permitted.
- E. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- F. Valve Sizes: Same as upstream piping unless otherwise indicated.
- G. Valve Bypass and Drain Connections: MSS SP-45.

## 2.2 BRONZE SWING CHECK VALVES

A. Bronze Swing Check Valves with Bronze Disc, Class 125:

# 1. Description:

- a. Standard: MSS SP-80, Type 3.
- b. CWP Rating: 200 psig.
- c. Body Design: Horizontal flow.
- d. Body Material: ASTM B62, bronze.
- e. Disc: Bronze.

#### PART 3 - EXECUTION

## 3.1 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.
- E. Install swing check valves for proper direction of flow in horizontal position with hinge pin level.

## 3.2 ADJUSTING

A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

#### 3.3 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

- A. Pipe NPS 2 (DN 50) and Smaller:
  - 1. Bronze swing check valves bronze disc, Class 125.

**END OF SECTION** 

220523.14

#### SECTION 220523.15 - GATE VALVES FOR PLUMBING PIPING

## PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Bronze gate valves.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of valve.
  - 1. Certification that products comply with NSF 61 and NSF 372.

#### PART 2 - PRODUCTS

## 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. NSF Compliance: NSF 61 and NSF 372 for valve materials for potable-water service.
- C. Bronze valves shall be made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are not permitted.
- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.
- F. RS Valves in Insulated Piping: With 2-inch stem extensions.
- G. Valve Bypass and Drain Connections: MSS SP-45.

# 2.2 BRONZE GATE VALVES

- A. Bronze Gate Valves, NRS, Class 125:
  - 1. Description:
    - a. Standard: MSS SP-80, Type 1.

#### Indiana Dunes State Park Office Remodel

- b. CWP Rating: 200 psig.
- c. Body Material: Bronze with integral seat and screw-in bonnet.
- d. Stem: Bronze.
- e. Disc: Solid wedge; bronze.
- f. Packing: Asbestos free.
- g. Handwheel: Malleable iron, bronze, or aluminum.

#### **PART 3 - EXECUTION**

## 3.1 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.

## 3.2 ADJUSTING

A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

## 3.3 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. Use gate valves for shutoff service only.
- B. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.

#### 3.4 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

- A. Pipe NPS 2 and Smaller:
  - 1. Bronze gate valves, NRS, Class 125 with threaded ends.

END OF SECTION

220523.15

## SECTION 220529 - HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

## PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Metal pipe hangers and supports.
- 2. Fastener systems.
- 3. Pipe-positioning systems.
- 4. Equipment supports.

#### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

#### 1.3 INFORMATIONAL SUBMITTALS

A. Welding certificates.

## 1.4 QUALITY ASSURANCE

- A. Structural-Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M.
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code, Section IX---Latest version adopted by the State of Indiana.

### PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Hangers and supports for plumbing piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
  - 1. Design supports for multiple pipes, including pipe stands, capable of supporting combined weight of supported systems, system contents, and test water.
  - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

## 2.2 METAL PIPE HANGERS AND SUPPORTS

- A. Carbon-Steel Pipe Hangers and Supports:
  - 1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
  - 2. Galvanized Metallic Coatings: Pre-galvanized, hot-dip galvanized, or electro-galvanized.
  - 3. Nonmetallic Coatings: Plastic coated or epoxy powder coated.
  - 4. Padded Hangers: Hanger with fiberglass or other pipe insulation pad or cushion to support bearing surface of piping.
  - 5. Hanger Rods: Continuous-thread rod, nuts, and washer made of carbon steel.

### 2.3 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened Portland cement concrete, with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
- B. Mechanical-Expansion Anchors: Insert-wedge-type anchors, for use in hardened Portland cement concrete, with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
  - 1. Indoor Applications: Zinc-coated steel.
  - 2. Outdoor Applications: Stainless steel.

## 2.4 PIPE-POSITIONING SYSTEMS

A. Description: IAPMO PS 42 positioning system composed of metal brackets, clips, and straps for positioning piping in pipe spaces; for plumbing fixtures in commercial applications.

### 2.5 EQUIPMENT SUPPORTS

A. Description: Welded, shop- or field-fabricated equipment support made from structural-carbon-steel shapes.

## 2.6 MATERIALS

- A. Carbon Steel: ASTM A1011/A1011M.
- B. Structural Steel: ASTM A36/A36M carbon-steel plates, shapes, and bars; black and galvanized.
- C. Grout: ASTM C1107/C1107M, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
  - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
  - 2. Design Mix: 5000-psi, 28-day compressive strength.

#### PART 3 - EXECUTION

## 3.1 APPLICATION

- A. Strength of Support Assemblies: Where not indicated, select sizes of components, so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- B. Thermal Hanger-Shield Installation: Install in pipe hanger or shield for insulated piping.
- C. Fastener System Installation:
  - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete, after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
  - 2. Install mechanical-expansion anchors in concrete, after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- D. Pipe-Positioning-System Installation: Install support devices to make rigid supply and waste piping connections to each plumbing fixture.
- E. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- F. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- G. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- H. Install lateral bracing with pipe hangers and supports to prevent swaying.
- I. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- J. Load Distribution: Install hangers and supports, so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- K. Pipe Slopes: Install hangers and supports to provide code-required pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.

#### 3.2 EQUIPMENT SUPPORTS

A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.

- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

#### 3.3 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

#### 3.4 PAINTING

- A. Touchup: Clean field welds and abraded, shop-painted areas. Paint exposed areas immediately after erecting hangers and supports. Use same materials as those used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas, and apply galvanizing-repair paint to comply with ASTM A780/A780M.

#### 3.5 HANGER AND SUPPORT SCHEDULE

- A. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finishes.
- B. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- C. Use carbon-steel pipe hangers and supports and attachments for general service applications.
- D. Use thermal hanger-shield inserts for insulated piping and tubing.
- E. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
  - 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- F. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Steel Turnbuckles (MSS Type 13): For adjustment of up to 6 inches for heavy loads.
  - 2. Steel Clevises (MSS Type 14): For 120 to 450 deg. F piping installations.
  - 3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11 split pipe rings.

- 4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
- 5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg. F piping installations.
- G. Use powder-actuated fasteners or mechanical-expansion anchors instead of building attachments where required in concrete construction.
- H. Use pipe-positioning systems in pipe spaces behind plumbing fixtures to support supply and waste piping for plumbing fixtures.

END OF SECTION

220529-5

#### SECTION 220719 - PLUMBING PIPING INSULATION

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes insulating the following plumbing piping services:
  - 1. Domestic cold and hot-water piping.
  - 2. Supplies and drains for handicap-accessible lavatories and sinks.

## 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

## 1.3 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E84 by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
  - 1. Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
- B. Comply with the following applicable standards and other requirements specified for miscellaneous components:
  - 1. Supply and Drain Protective Shielding Guards: ICC A117.1.

#### **PART 2 - PRODUCTS**

## 2.1 INSULATION MATERIALS

- A. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- B. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- C. Cellular Glass: Inorganic, incombustible, foamed or cellulated glass with annealed, rigid, hermetically sealed cells. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
  - 1. Special-Shaped Insulation: ASTM C552, Type III.
  - 2. Preformed Pipe Insulation without Jacket: Comply with ASTM C552, Type II, Class 1.

- 3. Preformed Pipe Insulation with Factory-Applied ASJ: Comply with ASTM C552, Type II, Class 2.
- 4. Factory fabricate shapes according to ASTM C450 and ASTM C585.
- D. Flexible Elastomeric Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C534, Type I for tubular materials.
- E. Mineral-Fiber, Preformed Pipe Insulation:
  - 1. Type I, 850 Deg F Materials: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C547, Type I, Grade A, with factory-applied ASJ.

#### 2.2 INSULATING CEMENTS

A. Mineral-Fiber, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C449.

## 2.3 ADHESIVES

A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated, unless otherwise indicated.

#### 2.4 MASTICS AND COATINGS

- A. Materials shall be compatible with insulation materials, jackets, and substrates.
- B. Vapor-Retarder Mastic: Water based; suitable for indoor use on below-ambient services.
  - 1. Water-Vapor Permeance: Comply with ASTM C755, Section 7.2.2, Table 2, for insulation type and service conditions.
  - 2. Service Temperature Range: Minus 20 to plus 180 deg F.
- C. Breather Mastic: Water based; suitable for indoor and outdoor use on above-ambient services.
  - 1. Water-Vapor Permeance: ASTM E96, greater than 1.0 perm at manufacturer's recommended dry film thickness.
  - 2. Service Temperature Range: Minus 20 to plus 180 deg F.
  - 3. Color: White.

#### 2.5 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C1136, Type I.

## 2.6 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.
  - 1. Width: 3 inches.
  - 2. Thickness: 11.5 mils.
  - 3. Adhesion: 90 ounces force/inch in width.
  - 4. Elongation: 2 percent.
  - 5. Tensile Strength: 40 lbf/inch in width.
  - 6. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

## 2.7 SECUREMENTS

- A. Aluminum Bands: ASTM B209 Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 1/2 inch wide.
- B. Staples: Outward-clinching insulation staples, nominal 3/4-inch- wide, stainless steel or Monel.

#### 2.8 PROTECTIVE SHIELDING GUARDS

- A. Protective Shielding Pipe Covers:
  - 1. Description: Manufactured plastic wraps for covering plumbing fixture and trap and drain piping. Comply with Americans with Disabilities Act (ADA) requirements.
- B. Protective Shielding Piping Enclosures:
  - 1. Description: Manufactured plastic enclosure for covering plumbing fixture hot- and cold-water supplies and trap and drain piping. Comply with ADA requirements.

## **PART 3 - EXECUTION**

### 3.1 PREPARATION

- A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.
- B. Where applied to exposed piping at plumbing fixtures: Install fiberglass insulation with neat/appropriate plastic covering—white color.
- C. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless-steel surfaces, use demineralized water.

# 3.2 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and thicknesses required for each item of pipe system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during application and finishing.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.
- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
  - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- L. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches o.c.

- a. For below-ambient services, apply vapor-barrier mastic over staples.
- 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
- 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.
- M. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

#### 3.3 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
  - 4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Underground Exterior Wall Penetrations: Terminate insulation flush with sleeve seal. Seal terminations with flashing sealant.
- C. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.
  - 1. Seal penetrations with flashing sealant.
  - 2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
  - 3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
  - 4. Seal jacket to wall flashing with flashing sealant.
- D. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

- E. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.
- F. Insulation Installation at Floor Penetrations:
  - 1. Pipe: Install insulation continuously through floor penetrations.

#### 3.4 GENERAL PIPE INSULATION INSTALLATION

- A. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.
- B. Insulation Installation on Fittings, Valves, Strainers, Flanges, and Unions:
  - 1. Install insulation over fittings, valves, strainers, flanges, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
  - 2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.
  - 3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
  - 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
  - 5. Insulate strainers using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
  - 6. Insulate flanges and unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.
  - 7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
  - 8. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.

- 9. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.
- C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.
- D. Install removable insulation covers at locations indicated. Installation shall conform to the following:
  - 1. Make removable flange and union insulation from sectional pipe insulation of same thickness as that on adjoining pipe. Install same insulation jacket as adjoining pipe insulation.
  - 2. When flange and union covers are made from sectional pipe insulation, extend insulation from flanges or union long at least two times the insulation thickness over adjacent pipe insulation on each side of flange or union. Secure flange cover in place with stainless-steel or aluminum bands. Select band material compatible with insulation and jacket.
  - 3. Construct removable valve insulation covers in same manner as for flanges, except divide the two-part section on the vertical center line of valve body.
  - 4. When covers are made from block insulation, make two halves, each consisting of mitered blocks wired to stainless-steel fabric. Secure this wire frame, with its attached insulation, to flanges with tie wire. Extend insulation at least 2 inches over adjacent pipe insulation on each side of valve. Fill space between flange or union cover and pipe insulation with insulating cement. Finish cover assembly with insulating cement applied in two coats. After first coat is dry, apply and trowel second coat to a smooth finish.
  - 5. Unless a PVC jacket is indicated in field-applied jacket schedules, finish exposed surfaces with a metal jacket.

#### 3.5 INSTALLATION OF CELLULAR-GLASS INSULATION

- A. Insulation Installation on Straight Pipes and Tubes:
  - 1. Secure each layer of insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
  - 2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
  - 3. For insulation with factory-applied jackets on above-ambient services, secure laps with outward clinched staples at 6 inches o.c.
  - 4. For insulation with factory-applied jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.
- B. Insulation Installation on Pipe Flanges:
  - 1. Install preformed pipe insulation to outer diameter of pipe flange.
  - 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.

- 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of cellular-glass block insulation of same thickness as pipe insulation.
- 4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.

## C. Insulation Installation on Pipe Fittings and Elbows:

- 1. Install preformed sections of same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
- 2. When preformed sections of insulation are not available, install mitered sections of cellular-glass insulation. Secure insulation materials with wire or bands.

## D. Insulation Installation on Valves and Pipe Specialties:

- 1. Install preformed sections of cellular-glass insulation to valve body.
- 2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
- 3. Install insulation to flanges as specified for flange insulation application.

## 3.6 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

- A. Seal longitudinal seams and end joints with manufacturers recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- B. Insulation Installation on Pipe Flanges:
  - 1. Install pipe insulation to outer diameter of pipe flange.
  - 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
  - 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of sheet insulation of same thickness as pipe insulation.
  - 4. Secure insulation to flanges and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

## C. Insulation Installation on Pipe Fittings and Elbows:

- 1. Install mitered sections of pipe insulation.
- 2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

## D. Insulation Installation on Valves and Pipe Specialties:

- 1. Install preformed valve covers manufactured of same material as pipe insulation when available
- 2. When preformed valve covers are not available, install cut sections of pipe and sheet insulation to valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
- 3. Install insulation to flanges as specified for flange insulation application.

4. Secure insulation to valves and specialties and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

## 3.7 INSTALLATION OF MINERAL-FIBER PREFORMED PIPE INSULATION

## A. Insulation Installation on Straight Pipes and Tubes:

- 1. Secure each layer of preformed pipe insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
- 2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
- 3. For insulation with factory-applied jackets on above-ambient surfaces, secure laps with outward clinched staples at 6 inches o.c.
- 4. For insulation with factory-applied jackets on below-ambient surfaces, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.

## B. Insulation Installation on Pipe Flanges:

- 1. Install preformed pipe insulation to outer diameter of pipe flange.
- 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
- 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with mineral-fiber blanket insulation.
- 4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.

## C. Insulation Installation on Pipe Fittings and Elbows:

- 1. Install preformed sections of same material as straight segments of pipe insulation when available
- 2. When preformed insulation elbows and fittings are not available, install mitered sections of pipe insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire or bands.

### D. Insulation Installation on Valves and Pipe Specialties:

- 1. Install preformed sections of same material as straight segments of pipe insulation when available.
- 2. When preformed sections are not available, install mitered sections of pipe insulation to valve body.
- 3. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
- 4. Install insulation to flanges as specified for flange insulation application.

## E. Insulation Installation on Pipe Flanges:

1. Install pipe insulation to outer diameter of pipe flange.

- 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
- 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of polyolefin sheet insulation of same thickness as pipe insulation.
- 4. Secure insulation to flanges and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- F. Insulation Installation on Pipe Fittings and Elbows:
  - 1. Install mitered sections of polyolefin pipe insulation.
  - 2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- G. Insulation Installation on Valves and Pipe Specialties:
  - 1. Install cut sections of polyolefin pipe and sheet insulation to valve body.
  - 2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
  - 3. Install insulation to flanges as specified for flange insulation application.
  - 4. Secure insulation to valves and specialties, and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.
- H. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.

#### 3.8 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below.
  - 1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
    - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by the Engineer of Record. Vary first and second coats to allow visual inspection of the completed Work.
- D. Do not field paint aluminum or stainless-steel jackets.

## 3.9 FIELD QUALITY CONTROL

A. Perform tests and inspections.

# 3.10 PIPING INSULATION SCHEDULE, GENERAL

A. Thickness and performance shall comply with the latest edition of the Indiana Energy Code.

END OF SECTION 220719

#### SECTION 221116 - DOMESTIC WATER PIPING

## PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Copper tube and fittings.
- 2. Piping joining materials.
- 3. Transition fittings.
- 4. Dielectric fittings.

#### 1.2 ACTION SUBMITTALS

A. Product Data: For transition fittings and dielectric fittings.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. System purging and disinfecting activities report per the legally mandated requirements of the Indiana Department of Health.
- B. Field quality-control reports.

## PART 2 - PRODUCTS

## 2.1 PIPING MATERIALS

- A. Comply with requirements in "Piping Schedule" Article for applications of pipe, tube, fitting materials, and joining methods for specific services, service locations, and pipe sizes.
- B. Potable-water piping and components shall comply with NSF 14, NSF 61, and NSF 372.

## 2.2 COPPER TUBE AND FITTINGS

- A. Hard Copper Tube: ASTM B 88, Type L water tube, drawn temper.
- B. Cast-Copper, Solder-Joint Fittings: ASME B16.18, pressure fittings.
- C. Wrought-Copper, Solder-Joint Fittings: ASME B16.22, wrought-copper pressure fittings.
- D. Bronze Flanges: ASME B16.24, Class 150, with solder-joint ends.
- E. Copper Unions:

- 1. MSS SP-123.
- 2. Cast-copper-alloy, hexagonal-stock body.
- 3. Ball-and-socket, metal-to-metal seating surfaces.
- 4. Solder-joint or threaded ends.

#### 2.3 PIPING JOINING MATERIALS

- A. Pipe-Flange Gasket Materials:
  - 1. AWWA C110/A21.10, rubber, flat face, 1/8 inch thick or ASME B16.21, nonmetallic and asbestos free unless otherwise indicated.
  - 2. Full-face or ring type unless otherwise indicated.
- B. Metal, Pipe-Flange Bolts and Nuts: ASME B18.2.1, carbon steel unless otherwise indicated.
- C. Solder Filler Metals: ASTM B 32, lead-free alloys.
- D. Flux: ASTM B 813, water flushable.
- E. Brazing Filler Metals: AWS A5.8M/A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing unless otherwise indicated.

#### 2.4 TRANSITION FITTINGS

- A. General Requirements:
  - 1. Same size as pipes to be joined.
  - 2. Pressure rating at least equal to pipes to be joined.
  - 3. End connections compatible with pipes to be joined.
- B. Fitting-Type Transition Couplings: Manufactured piping coupling or specified piping system fitting.

#### 2.5 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- B. Dielectric Unions:
  - 1. Standard: ASSE 1079.
  - 2. Pressure Rating: 125 psig minimum at 180 deg. F.
  - 3. End Connections: Solder-joint copper alloy and threaded ferrous.
- C. Dielectric Flanges:
  - 1. Standard: ASSE 1079.

- 2. Factory-fabricated, bolted, companion-flange assembly.
- 3. Pressure Rating: 125 psig minimum at 180 deg. F.
- 4. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.

## D. Dielectric-Flange Insulating Kits:

- 1. Non-conducting materials for field assembly of companion flanges.
- 2. Pressure Rating: 150 psig.
- 3. Gasket: Neoprene or phenolic.
- 4. Bolt Sleeves: Phenolic or polyethylene.
- 5. Washers: Phenolic with steel backing washers.

#### **PART 3 - EXECUTION**

#### 3.1 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of domestic water piping. Indicated locations and arrangements are used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on coordination drawings.
- B. Install copper tubing under building slab according to CDA's "Copper Tube Handbook."
- C. Install shutoff valve, hose-end drain valve, strainer, pressure gage, and test tee with valve inside the building at each domestic water-service entrance.
- D. Install shutoff valve immediately upstream of each dielectric fitting.
- E. Install piping concealed from view and protected from physical contact by building occupants unless otherwise indicated and except in equipment rooms and service areas.
- F. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- G. Install piping to permit valve servicing.
- H. Install nipples, unions, special fittings, and valves with pressure ratings the same as or higher than the system pressure rating used in applications below unless otherwise indicated.
- I. Install piping free of sags and bends.
- J. Install fittings for changes in direction and branch connections.
- K. Install unions in copper tubing at final connection to each piece of equipment, machine, and specialty.
- L. Install sleeves for piping penetrations of walls, ceilings, and floors.

- M. Install sleeve seals for piping penetrations of concrete walls and slabs.
- N. Install escutcheons for piping penetrations of walls, ceilings, and floors.

#### 3.2 JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipes, tubes, and fittings before assembly.
- C. Brazed Joints for Copper Tubing: Comply with CDA's "Copper Tube Handbook," "Brazed Joints" chapter.
- D. Soldered Joints for Copper Tubing: Apply ASTM B 813, water-flushable flux to end of tube. Join copper tube and fittings according to ASTM B 828 or CDA's "Copper Tube Handbook."
- E. Joints for Dissimilar-Material Piping: Make joints using adapters compatible with materials of both piping systems.

#### 3.3 TRANSITION FITTING INSTALLATION

- A. Install transition couplings at joints of dissimilar piping.
- B. Transition Fittings in Underground Domestic Water Piping:
  - 1. Fittings for NPS 1-1/2 and Smaller: Fitting-type coupling.
  - 2. Fittings for NPS 2 and Larger: Sleeve-type coupling.
- C. Transition Fittings in Aboveground Domestic Water Piping NPS 2 and Smaller: Plastic-to-metal transition fittings.

#### 3.4 DIELECTRIC FITTING INSTALLATION

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric couplings.

## 3.5 INSTALLATION OF HANGERS AND SUPPORTS

- A. Comply with the following requirements:
  - 1. Vertical Piping: MSS Type 8 or 42, clamps.
  - 2. Individual, Straight, Horizontal Piping Runs--100 Feet and Less: MSS Type 1, adjustable, steel clevis hangers
  - 3. Base of Vertical Piping: MSS Type 52, spring hangers.

- B. Install hangers for copper piping with maximum horizontal spacing and minimum rod diameters, to comply with MSS-58.
- C. Support horizontal piping within 12 inches of each fitting.
- D. Support vertical runs of copper to comply with MSS-58.

#### 3.6 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. When installing piping adjacent to equipment and machines, allow space for service and maintenance.
- C. Connect domestic water piping to exterior water-service piping. Use transition fitting to join dissimilar piping materials.

## 3.7 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Piping Inspections:
    - a. Do not enclose, cover, or put piping into operation until it has been inspected and approved by Owner.
    - b. During installation, Owner at least one day before inspection must be made. Perform tests specified below in presence of Owner:
      - 1) Roughing-in Inspection: Arrange for inspection of piping before concealing or closing in after roughing in and before setting fixtures.
      - 2) Final Inspection: Arrange for Owner to observe tests specified in "Piping Tests" Subparagraph below and to ensure compliance with requirements.

# 2. Piping Tests:

- a. Fill domestic water piping. Check components to determine that they are not air bound and that piping is full of water.
- b. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit a separate report for each test, complete with diagram of portion of piping tested.
- c. Leave new, altered, extended, or replaced domestic water piping uncovered and unconcealed until it has been tested and approved. Expose work that was covered or concealed before it was tested.
- d. Cap and subject piping to static water pressure of 50 psig above operating pressure, without exceeding pressure rating of piping system materials. Isolate test source and allow it to stand for four hours. Leaks and loss in test pressure constitute defects that must be repaired.
- e. Repair leaks and defects with new materials, and retest piping or portion thereof until satisfactory results are obtained.

- f. Prepare reports for tests and for corrective action required.
- B. Domestic water piping will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

#### 3.8 ADJUSTING

- A. Perform the following adjustments before operation:
  - 1. Close drain valves, hydrants, and hose bibbs.
  - 2. Open shutoff valves to fully open position.
  - 3. Open throttling valves to proper setting.
  - 4. Adjust balancing valves in hot-water-circulation return piping to provide adequate flow.
    - a. Manually adjust ball-type balancing valves in hot-water-circulation return piping to provide hot-water flow in each branch.
    - b. Adjust calibrated balancing valves to flows indicated.
  - 5. Remove plugs used during testing of piping and for temporary sealing of piping during installation.
  - 6. Remove and clean strainer screens. Close drain valves and replace drain plugs.
  - 7. Remove filter cartridges from housings and verify that cartridges are as specified for application where used and are clean and ready for use.
  - 8. Check plumbing specialties and verify proper settings, adjustments, and operation.

## 3.9 CLEANING

- A. Clean and disinfect potable domestic water piping as follows:
  - 1. Purge new piping and parts of existing piping that have been altered, extended, or repaired before using.
  - 2. Use purging and disinfecting procedures prescribed by authorities having jurisdiction; if methods are not prescribed, use procedures described in either AWWA C651 or AWWA C652 or follow procedures described below:
    - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
    - b. Fill and isolate system according to either of the following:
      - 1) Fill system or part thereof with water/chlorine solution with at least 50 ppm of chlorine. Isolate with valves and allow to stand for 24 hours.
      - 2) Fill system or part thereof with water/chlorine solution with at least 200 ppm of chlorine. Isolate and allow to stand for three hours.
    - c. Flush system with clean, potable water until no chlorine is in water coming from system after the standing time.
    - d. Repeat procedures if biological examination shows contamination.
    - e. Submit water samples in sterile bottles to authorities having jurisdiction.

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- B. Prepare and submit reports of purging and disinfecting activities. Include copies of water-sample approvals from authorities having jurisdiction.
- C. Clean interior of domestic water piping system. Remove dirt and debris as work progresses.

## 3.10 PIPING SCHEDULE

- A. Transition and special fittings with pressure ratings at least equal to piping rating may be used in applications below unless otherwise indicated.
- B. Flanges and unions may be used for aboveground piping joints unless otherwise indicated.
- C. Fitting Option: Extruded-tee connections and brazed joints may be used on aboveground copper tubing.
- D. Under-building-slab, domestic water, NPS 2 and smaller, shall be:
  - 1. Hard copper tube, ASTM B 88, Type L; solder-joint fittings; and brazed joints.
- E. Aboveground domestic water piping, NPS 2 and smaller, shall be:
  - 1. Hard copper tube, ASTM B 88, Type L.

END OF SECTION 221116

## SECTION 221119 - DOMESTIC WATER PIPING SPECIALTIES

## PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

#### A. Section Includes:

- 1. Vacuum breakers.
- 2. Backflow preventers.
- 3. Balancing valves.
- 4. Temperature-actuated, water mixing valves.
- 5. Strainers.
- 6. Hose bibbs.
- 7. Wall hydrants.
- 8. Water-hammer arresters.

## 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For domestic water piping specialties.

## 1.4 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

## 1.5 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

### PART 2 - PRODUCTS

## 2.1 GENERAL REQUIREMENTS FOR PIPING SPECIALTIES

- A. Potable-water piping and components shall comply with NSF 61.
- B. Comply with NSF 372 for low lead.

# 2.2 PERFORMANCE REQUIREMENTS

A. Minimum Working Pressure for Domestic Water Piping Specialties: 125 psig unless otherwise indicated.

#### 2.3 VACUUM BREAKERS

- A. Pipe-Applied, Atmospheric-Type Vacuum Breakers
  - 1. Standard: ASSE 1001.
  - 2. Size: NPS 1/4 to NPS 3 as required to match connected piping.
  - 3. Body: Bronze.
  - 4. Inlet and Outlet Connections: Threaded.
- B. Hose-Connection Vacuum Breakers:
  - 1. Standard: ASSE 1011.
  - 2. Body: Bronze, non-removable, with manual drain.
  - 3. Outlet Connection: Garden-hose threaded complying with ASME B1.20.7.

#### 2.4 BACKFLOW PREVENTERS

- A. Intermediate Atmospheric-Vent Backflow Preventers:
  - 1. Standard: ASSE 1012.
  - 2. Operation: Continuous-pressure applications.
  - 3. Size: Match line size/flow.
  - 4. Body: Bronze.
- B. Reduced-Pressure-Principle Backflow Preventers:
  - 1. Standard: ASSE 1013.
  - 2. Operation: Continuous-pressure applications.
  - 3. Pressure Loss: 12 psig maximum, through middle third of flow range.
  - 4. Body: Bronze for NPS 2 and smaller; cast iron with interior lining that complies with AWWA C550 for NPS 2-1/2 and larger.
  - 5. End Connections: Threaded for NPS 2; flanged for NPS 2-1/2 and larger.
  - 6. Configuration: Designed for horizontal, straight-through flow.
  - 7. Accessories:
    - a. Valves NPS 2 and Smaller: Ball type with threaded ends on inlet and outlet.
    - b. Valves NPS 2-1/2 and Larger: Outside-screw and yoke-gate type with flanged ends on inlet and outlet.
    - c. Air-Gap Fitting: ASME A112.1.2, matching backflow-preventer connection.

# C. Double-Check, Backflow-Prevention Assemblies:

- 1. Standard: ASSE 1015.
- 2. Operation: Continuous-pressure applications unless otherwise indicated.
- 3. Pressure Loss: 5 psig maximum, through middle third of flow range.
- 4. Body: Bronze for NPS 2 and smaller; cast iron with interior lining that complies with AWWA C550 for NPS 2-1/2 and larger.
- 5. End Connections: Threaded for NPS 2 smaller; flanged for NPS 2-1/2 and larger.
- 6. Configuration: Designed for horizontal flow.
- 7. Accessories:
  - a. Valves NPS 2 and Smaller: Ball type with threaded ends on inlet and outlet.
  - b. Valves NPS 2-1/2 and Larger: Outside-screw and yoke-gate type with flanged ends on inlet and outlet.

#### 2.5 BALANCING VALVES

## A. Memory-Stop Balancing Valves:

- 1. Standard: MSS SP-110 for two-piece, copper-alloy ball valves.
- 2. Pressure Rating: 400-psig minimum CWP.
- 3. Size: NPS 2 or smaller.
- 4. Body: Copper alloy.
- 5. Port: Standard or full port.
- 6. Ball: Chrome-plated brass.
- 7. Seats and Seals: Replaceable.
- 8. End Connections: Solder joint or threaded.
- 9. Handle: Vinyl-covered steel with memory-setting device.

## 2.6 TEMPERATURE-ACTUATED, WATER MIXING VALVES

## A. Water-Temperature Limiting Devices:

- 1. Standard: ASSE 1017.
- 2. Pressure Rating: 125 psig.
- 3. Type: Thermostatically controlled, water mixing valve.
- 4. Material: Bronze body with corrosion-resistant interior components.
- 5. Connections: Threaded inlets and outlet.
- 6. Accessories: Check stops on hot- and cold-water supplies, and adjustable, temperature-control handle.
- 7. Tempered-Water Setting: 110 deg. F.(Adjustable)

# B. Primary, Thermostatic, Water Mixing Valves:

1. Standard: ASSE 1017.

- 2. Pressure Rating: 125 psig minimum unless otherwise indicated.
- 3. Type: Exposed-mounted, thermostatically controlled, water mixing valve.
- 4. Material: Bronze body with corrosion-resistant interior components.
- 5. Connections: Threaded union inlets and outlet.
- 6. Accessories: Manual temperature control, check stops on hot- and cold-water supplies, and adjustable, temperature-control handle.
- 7. Tempered-Water Setting: 110 deg. F (Adjustable).

#### 2.7 STRAINERS FOR DOMESTIC WATER PIPING

#### A. Y-Pattern Strainers:

- 1. Pressure Rating: 125 psig minimum unless otherwise indicated.
- 2. Body: Bronze for NPS 2 and smaller; cast iron with interior lining that complies with AWWA C550 or that is FDA approved, epoxy coated and for NPS 2-1/2 and larger.
- 3. End Connections: Threaded for NPS 2 and smaller; flanged for NPS 2-1/2 and larger.
- 4. Screen: Stainless steel with round perforations unless otherwise indicated.
- 5. Perforation Size:
  - a. Strainers NPS 2 and Smaller: 0.020 inch.
  - b. Strainers NPS 2-1/2 to NPS 4: 0.045 inch.
  - c. Strainers NPS 5 and Larger: 0.10 inch.
- 6. Drain: Pipe plug.

#### 2.8 HOSE BIBBS

### A. Hose Bibbs:

- 1. Standard: ASME A112.18.1 for sediment faucets.
- 2. Body Material: Bronze.
- 3. Seat: Bronze, replaceable.
- 4. Supply Connections: NPS 1/2 or NPS 3/4 threaded or solder-joint inlet.
- 5. Outlet Connection: Garden-hose thread complying with ASME B1.20.7.
- 6. Pressure Rating: 125 psig.
- 7. Vacuum Breaker: Integral, non-removable, drainable, hose-connection vacuum breaker complying with ASSE 1011.
- 8. Finish for Equipment Rooms: Rough bronze, or chrome or nickel plated.
- 9. Finish for Service Areas: Rough bronze.
- 10. Finish for Finished Rooms: Chrome or nickel plated.
- 11. Operation for Equipment Rooms: Wheel handle.
- 12. Operation for Service Areas: Wheel handle.
- 13. Operation for Finished Rooms: Wheel handle.
- 14. Include integral wall flange with each chrome- or nickel-plated hose bibb.

## 2.9 WALL HYDRANTS

- A. Non-freeze, Hot- and Cold-Water Wall Hydrants:
  - 1. Standard: ASME A112.21.3M for self-draining wall hydrants.
  - 2. Pressure Rating: 125 psig.
  - 3. Casing and Operating Rods: Of length required to match wall thickness. Include wall clamps.
  - 4. Inlet: NPS 3/4.
  - 5. Outlet: Concealed.
  - 6. Box: Deep, flush mounted with cover.
  - 7. Box and Cover Finish: Polished nickel bronze.
  - 8. Vacuum Breaker:
    - a. Non-removable, manual-drain-type, hose-connection vacuum breaker complying with ASSE 1011 or backflow preventer complying with ASSE 1052.
    - b. Garden-hose thread complying with ASME B1.20.7 on outlet.

#### **PART 3 - EXECUTION**

#### 3.1 INSTALLATION

- A. Backflow Preventers: Install backflow preventers in each water supply to mechanical equipment and systems and to other equipment and water systems that may be sources of contamination. Comply with authorities having jurisdiction.
  - 1. Locate backflow preventers in same room as connected equipment or system.
  - 2. Install drain for backflow preventers with atmospheric-vent drain connection with air-gap fitting, fixed air-gap fitting, or equivalent positive pipe separation of at least two pipe diameters in drain piping and pipe-to-floor drain. Locate air-gap device attached to or under backflow preventer. Simple air breaks are unacceptable for this application.
  - 3. Do not install bypass piping around backflow preventers.
- B. Water Hammer Arresters: Install in an accessible location for all flush valves.
- C. Balancing Valves: Install in locations where they can easily be adjusted.
- D. Temperature-Actuated, Water Mixing Valves: Install with check stops or shutoff valves on inlets and with shutoff valve on outlet.
  - 1. Install cabinet-type units recessed in or surface mounted on wall as specified.

#### 3.2 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. When installing piping specialties adjacent to equipment and machines, allow space for service and maintenance.

C. Comply with requirements for grounding equipment in the latest edition of the Indiana Electrical Code.

## 3.3 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Test each installed device according to authorities having jurisdiction (State of Indiana Department of Health and local water utility) and the device's reference standard.
- B. Domestic water piping specialties will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports.

#### 3.4 ADJUSTING

- A. Set field-adjustable pressure set points of water pressure-reducing valves.
- B. Set field-adjustable flow set points of balancing valves.
- C. Set field-adjustable temperature set points of temperature-actuated, water mixing valves.

END OF SECTION 221119

#### SECTION 221316 - SANITARY WASTE AND VENT PIPING

## PART 1 - GENERAL

#### 1.1 SUMMARY

### A. Section Includes:

- 1. Hub-and-spigot, cast-iron soil pipe and fittings.
- 2. PVC pipe and fittings.
- 3. Specialty pipe fittings.

### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

## PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Components and installation shall be capable of withstanding the following minimum working pressure unless otherwise indicated:
  - 1. Soil, Waste, and Vent Piping: 10-foot head of water.

#### 2.2 PIPING MATERIALS

A. Piping materials shall bear label, stamp, or other markings of specified testing agency.

## 2.3 HUB-AND-SPIGOT, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 74, Service class.
- B. Gaskets: ASTM C 564, rubber.
- C. Calking Materials: ASTM B 29, pure lead and oakum or hemp fiber.

## 2.4 PVC PIPE AND FITTINGS

A. Comply with NSF 14, "Plastics Piping Systems Components and Related Materials," for plastic piping components. Include marking with "NSF-DWV" for plastic drain, waste, and vent piping and "NSF-sewer" for plastic sewer piping.

- B. Solid-Wall PVC Pipe: Schedule 40, ASTM D 2665, drain, waste, and vent.
- C. PVC Socket Fittings: ASTM D 2665, made to ASTM D 3311, drain, waste, and vent patterns and to fit Schedule 40 pipe.
- D. Adhesive Primer: ASTM F 656.
- E. Solvent Cement: ASTM D 2564.

#### 2.5 SPECIALTY PIPE FITTINGS

## A. Transition Couplings:

- 1. Fitting-Type Transition Couplings: Manufactured piping coupling or specified piping system fitting.
- 2. Unshielded, Non-pressure Transition Couplings:
  - a. Standard: ASTM C 1173.
  - b. Description: Elastomeric, sleeve-type, reducing or transition pattern. Include shear ring and corrosion-resistant-metal tension band and tightening mechanism on each end.
  - c. End Connections: Same size as and compatible with pipes to be joined.
  - d. Sleeve Materials:
    - 1) For Cast-Iron Soil Pipes: ASTM C 564, rubber.
    - 2) For Plastic Pipes: ASTM F 477, elastomeric seal or ASTM D 5926, PVC.
    - 3) For Dissimilar Pipes: ASTM D 5926, PVC or other material compatible with pipe materials being joined.
- 3. Shielded, Non-pressure Transition Couplings:
  - a. Standard: ASTM C 1460.
  - b. Description: Elastomeric or rubber sleeve with full-length, corrosion-resistant outer shield and corrosion-resistant-metal tension band and tightening mechanism on each end.
  - c. End Connections: Same size as and compatible with pipes to be joined.

## PART 3 - EXECUTION

#### 3.1 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems.
  - 1. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations.
  - 2. Install piping as indicated unless deviations to layout are approved on coordination drawings.

- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping to permit valve servicing.
- F. Install piping at indicated slopes.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Install piping to allow application of insulation.
- J. Make changes in direction for soil and waste drainage and vent piping using appropriate branches, bends, and long-sweep bends.
  - 1. Sanitary tees and short-sweep 1/4 bends may be used on vertical stacks if change in direction of flow is from horizontal to vertical.
  - 2. Use long-turn, double Y-branch and 1/8-bend fittings if two fixtures are installed back to back or side by side with common drainpipe.
    - a. Straight tees, elbows, and crosses may be used on vent lines.
  - 3. Do not change direction of flow more than 90 degrees.
  - 4. Use proper size of standard increasers and reducers if pipes of different sizes are connected.
    - a. Reducing size of waste piping in direction of flow is prohibited.
- K. Lay buried building waste piping beginning at low point of each system.
  - 1. Install true to grades and alignment indicated, with unbroken continuity of invert. Place hub ends of piping upstream.
  - 2. Install required gaskets according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
  - 3. Maintain swab in piping and pull past each joint as completed.
- L. Install cast-iron soil piping according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook," Chapter IV, "Installation of Cast Iron Soil Pipe and Fittings."
- M. Install aboveground PVC piping according to ASTM D 2665.
- N. Plumbing Specialties:
  - 1. Install cleanouts at grade and extend to where building sanitary drains connect to building sanitary sewers in sanitary waste gravity-flow piping.

- 2. Install drains in sanitary waste gravity-flow piping.
- O. Do not enclose, cover, or put piping into operation until it is inspected and approved by the engineer of record.
- P. Install sleeves for piping penetrations of walls, ceilings, and floors.
- Q. Install sleeve seals for piping penetrations of concrete walls and slabs.
- R. Install escutcheons for piping penetrations of walls, ceilings, and floors.

## 3.2 JOINT CONSTRUCTION

- A. Join hub-and-spigot, cast-iron soil piping with gasket joints according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for compression joints.
- B. Plastic, Non-pressure-Piping, Solvent-Cement Joints: Clean and dry joining surfaces. Join pipe and fittings according to the following:
  - 1. Comply with ASTM F 402 for safe-handling practice of cleaners, primers, and solvent cements.
  - 2. PVC Piping: Join according to ASTM D 2855 and ASTM D 2665 appendixes.

### 3.3 SPECIALTY PIPE FITTING INSTALLATION

- A. Transition Couplings:
  - 1. Install transition couplings at joints of piping with small differences in ODs.
  - 2. In Waste Drainage Piping: Unshielded, non-pressure transition couplings.
- B. Install hangers for soil piping, with maximum horizontal spacing and minimum rod diameters, to comply with MSS-58(metallic) and the latest edition of the Indiana Plumbing Code.
- C. Support horizontal piping and tubing within 12 inches of each fitting, valve, and coupling.
- D. Support vertical runs of soil piping to comply with MSS-58(metallic), locally enforced codes, and authorities having jurisdiction requirements, whichever are most stringent.

### 3.4 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Connect soil and waste piping to exterior sanitary sewerage piping. Use transition fitting to join dissimilar piping materials.
- C. Connect waste and vent piping to the following:

- 1. Plumbing Fixtures: Connect waste piping in sizes indicated, but not smaller than required by plumbing code.
- 2. Plumbing Fixtures and Equipment: Connect atmospheric vent piping in sizes indicated, but not smaller than required by authorities having jurisdiction.
- 3. Plumbing Specialties: Connect waste and vent piping in sizes indicated, but not smaller than required by plumbing code.
- 4. Install test tees (wall cleanouts) in conductors near floor and floor cleanouts with cover flush with floor.
- 5. Equipment: Connect waste piping as indicated.
  - a. Provide shutoff valve if indicated and union for each connection.
  - b. Use flanges instead of unions for connections NPS 2-1/2 and larger.
- D. Where installing piping adjacent to equipment, allow space for service and maintenance of equipment.
- E. Make connections according to the following unless otherwise indicated:
  - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
  - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.

#### 3.5 IDENTIFICATION

A. Identify exposed sanitary waste and vent piping.

## 3.6 FIELD QUALITY CONTROL

- A. During installation, notify The Engineer of Record at least 24 hours before inspection must be made. Perform tests specified below in their presence.
  - 1. Roughing-in Inspection: Arrange for inspection of piping before concealing or closing-in after roughing-in and before setting fixtures.
  - 2. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired.
    - a. If testing is performed in segments, submit separate report for each test, complete with diagram of portion of piping tested.
  - 3. Leave uncovered and unconcealed new, altered, extended, or replaced waste and vent piping until it has been tested and approved.
    - a. Expose work that was covered or concealed before it was tested.
  - 4. Roughing-in Plumbing Test Procedure: Test waste and vent piping except outside leaders on completion of roughing-in.

- a. Close openings in piping system and fill with water to point of overflow, but not less than 10-foot head of water.
- b. From 15 minutes before inspection starts to completion of inspection, water level must not drop.
- c. Inspect joints for leaks.
- 5. Finished Plumbing Test Procedure: After plumbing fixtures have been set and traps filled with water, test connections and prove they are gastight and watertight.
  - a. Plug vent-stack openings on roof and building drains where they leave building. Introduce air into piping system equal to pressure of 1-inch wg.
  - b. Use U-tube or manometer inserted in trap of water closet to measure this pressure.
  - c. Air pressure must remain constant without introducing additional air throughout period of inspection.
  - d. Inspect plumbing fixture connections for gas and water leaks.
- 6. Repair leaks and defects with new materials and retest piping, or portion thereof, until satisfactory results are obtained.
- 7. Prepare reports for tests and required corrective action.

### 3.7 CLEANING AND PROTECTION

- A. Clean interior of piping. Remove dirt and debris as work progresses.
- B. Protect sanitary waste and vent piping during remainder of construction period to avoid clogging with dirt and debris and to prevent damage from traffic and construction work.
- C. Place plugs in ends of uncompleted piping at end of day and when work stops.
- D. Exposed PVC Piping: Protect plumbing vents exposed to sunlight with two coats of water-based latex paint.
- E. Repair damage to adjacent materials caused by waste and vent piping installation.

### 3.8 PIPING SCHEDULE

- A. Flanges and unions may be used on aboveground pressure piping unless otherwise indicated.
- B. Aboveground, soil and waste piping NPS 4 and smaller shall be one of the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Solid-wall PVC pipe. PVC socket fittings, and solvent-cemented joints.
  - 3. Dissimilar Pipe-Material Couplings: Non-pressure transition couplings.
- C. Aboveground, soil and waste piping NPS 5 and larger shall be one the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Solid-wall PVC pipe, PVC socket fittings, and solvent-cemented joints.
  - 3. Dissimilar Pipe-Material Couplings: Non-pressure transition couplings.

- D. Aboveground, vent piping NPS 4 and smaller shall be one of the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Solid-wall PVC pipe, PVC socket fittings, and solvent-cemented joints.
  - 3. Dissimilar Pipe-Material Couplings: Non-pressure transition couplings.
- E. Underground, soil, waste, and vent piping NPS 4 and smaller shall be one of the following:
  - 1. Service class, cast-iron soil piping; gaskets; and gasketed joints.
  - 2. Solid wall PVC pipe, PVC socket fittings, and solvent-cemented joints.
  - 3. Dissimilar Pipe-Material Couplings: Non-pressure transition couplings.
- F. Underground, soil and waste piping NPS 5 and larger shall be one of the following:
  - 1. Service class, cast-iron soil piping; gaskets; and gasketed joints.
  - 2. Solid-wall PVC pipe; PVC socket fittings; and solvent-cemented joints.
  - 3. Dissimilar Pipe-Material Couplings: Non-pressure transition couplings.

**END OF SECTION 221316** 

### SECTION 221319 - SANITARY WASTE PIPING SPECIALTIES

## PART 1 - GENERAL

### 1.1 SUMMARY

### A. Section Includes:

- 1. Cleanouts.
- 2. Roof flashing assemblies.
- 3. Miscellaneous sanitary drainage piping specialties.

## PART 2 - PRODUCTS

## 2.1 ASSEMBLY DESCRIPTIONS

- A. Sanitary waste piping specialties shall bear label, stamp, or other markings of specified testing agency.
- B. Comply with NSF 14 for plastic sanitary waste piping specialty components.

## 2.2 CLEANOUTS

## A. Cast-Iron Exposed Cleanouts:

- 1. Standard: ASME A112.36.2M for cast iron for cleanout test tee.
- 2. Size: Same as connected drainage piping
- 3. Closure: Countersunk plug.
- 4. Closure Plug Size: Same as or not more than one size smaller than cleanout size.

## B. Cast-Iron Wall Cleanouts:

- 1. Standard: ASME A112.36.2M. Include wall access.
- 2. Size: Same as connected drainage piping.
- 3. Body: As required to match connected piping.
- 4. Closure Plug:
  - a. Brass.
  - b. Countersunk head.
  - c. Drilled and threaded for cover attachment screw.
  - d. Size: Same as or not more than one size smaller than cleanout size.
- 5. Wall Access: Round cover plate with screw.

### C. Plastic Floor Cleanouts:

- 1. Size: Same as connected branch.
- 2. Body: PVC.
- 3. Closure Plug: PVC.
- 4. Riser: Drainage pipe fitting and riser to cleanout of same material as drainage piping.

## 2.3 ROOF FLASHING ASSEMBLIES

## A. Roof Flashing Assemblies:

1. Description: Manufactured assembly made of 4.0-lb/sq. ft., 0.0625-inch-thick, lead flashing collar and skirt extending at least 6 inches from pipe, with galvanized-steel boot reinforcement and counterflashing fitting.

### 2.4 MISCELLANEOUS SANITARY DRAINAGE PIPING SPECIALTIES

## A. Open Drains:

- 1. Description: Shop or field fabricate from ASTM A74, Service class, hub-and-spigot, castiron soil-pipe fittings. Include P-trap, hub-and-spigot riser section; and where required, increaser fitting joined with ASTM C564 rubber gaskets.
- 2. Size: Same as connected waste piping.

### B. Deep-Seal Traps:

- 1. Description: Cast-iron or bronze casting, with inlet and outlet matching connected piping and cleanout trap-seal primer valve connection.
- 2. Size: Same as connected waste piping.
  - a. NPS 2: 4-inch-minimum water seal.
  - b. NPS 2-1/2 and Larger: 5-inch-minimum water seal.

## C. Floor-Drain, Trap-Seal Primer Fittings:

- 1. Description: Cast iron, with threaded inlet and threaded or spigot outlet, and trap-seal primer valve connection.
- 2. Size: Same as floor drain outlet with NPS ½ side inlet.

### D. Air-Gap Fittings:

- 1. Standard: ASME A112.1.2, for fitting designed to ensure fixed, positive air gap between installed inlet and outlet piping.
- 2. Body: Bronze or cast iron.
- 3. Inlet: Opening in top of body.
- 4. Outlet: Larger than inlet.
- 5. Size: Same as connected waste piping and with inlet large enough for associated indirect waste piping.

# E. Sleeve Flashing Device:

- 1. Description: Manufactured, cast-iron fitting, with clamping device that forms sleeve for pipe floor penetrations of floor membrane. Include galvanized-steel pipe extension in top of fitting that will extend 1 inch above finished floor and galvanized-steel pipe extension in bottom of fitting that will extend through floor slab.
- 2. Size: As required for close fit to riser or stack piping.

## F. Stack Flashing Fittings:

- 1. Description: Counterflashing-type, cast-iron fitting, with bottom recess for terminating roof membrane, and with threaded or hub top for extending vent pipe.
- 2. Size: Same as connected stack vent or vent stack.

## G. Vent Caps:

- 1. Description: Cast-iron body with threaded or hub inlet and vandal-proof design. Include vented hood and setscrews to secure to vent pipe.
- 2. Size: Same as connected stack vent or vent stack.

## H. Expansion Joints:

- 1. Standard: ASME A112.6.4.
- 2. Body: Cast iron with bronze sleeve, packing, and gland.
- 3. End Connections: Matching connected piping.
- 4. Size: Same as connected soil, waste, or vent piping.

## PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Install cleanouts in aboveground piping and building drain piping according to the following, unless otherwise indicated.
  - 1. Size same as drainage piping up to NPS 4. Use NPS 4 for larger drainage piping unless larger cleanout is indicated.
  - 2. Locate at each change in direction of piping greater than 45 degrees.
  - 3. Locate at minimum intervals of 50 feet (15 m) for piping NPS 4 and smaller and 100 feet for larger piping.
  - 4. Locate at base of each vertical soil and waste stack.
- B. For floor cleanouts for piping below floors, install cleanout deck plates with top flush with finished floor.
- C. For cleanouts located in concealed piping, install cleanout wall access covers, of types indicated, with frame and cover flush with finished wall.
- D. Install roof flashing assemblies on sanitary stack vents and vent stacks that extend through roof.
- E. Assemble open drain fittings and install with top of hub 1 inch above floor.

- F. Install deep-seal traps on floor drains and other waste outlets.
- G. Install air-gap fittings on draining-type backflow preventers and on indirect-waste piping discharge into sanitary drainage system.
- H. Install sleeve and sleeve seals with each riser and stack passing through floors with waterproof membrane.
- I. Install vent caps on each vent pipe passing through roof.
- J. Install expansion joints on vertical stacks and conductors. Position expansion joints for easy access and maintenance.
- K. Install wood-blocking reinforcement for wall-mounting-type specialties.
- L. Install traps on plumbing specialty drain outlets. Omit traps on indirect wastes unless trap is indicated.

## 3.2 CONNECTIONS

- A. Install piping adjacent to equipment to allow service and maintenance.
- B. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."

## 3.3 FLASHING INSTALLATION

- A. Fabricate flashing from single piece unless large pans, sumps, or other drainage shapes are required.
- B. Install sheet flashing on pipes, sleeves, and specialties passing through or embedded in floors and roofs with waterproof membrane.
  - 1. Pipe Flashing: Sleeve type, matching pipe size, with minimum length of 10 inches, and skirt or flange extending at least 8 inches around pipe.
  - 2. Sleeve Flashing: Flat sheet, with skirt or flange extending at least 8 inches around sleeve.
  - 3. Embedded Specialty Flashing: Flat sheet, with skirt or flange extending at least 8 inches specialty.
- C. Set flashing on floors and roofs in solid coating of bituminous cement.
- D. Secure flashing into sleeve and specialty clamping ring or device.
- E. Install flashing for piping passing through roofs with counterflashing or commercially made flashing fittings.
- F. Extend flashing up vent pipe passing through roofs and turn down into pipe, or secure flashing into cast-iron sleeve having calking recess.

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# 3.4 PROTECTION

- A. Protect drains during remainder of construction period to avoid clogging with dirt or debris and to prevent damage from traffic or construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

**END OF SECTION 221319** 

## SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

## PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section Includes:
  - 1. Balancing Air Systems:
    - a. Gas-Fired Furnace/Direct Expansion Air Conditioning.

### 1.2 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. NEBB: National Environmental Balancing Bureau.
- C. TAB: Testing, adjusting, and balancing.
- D. TABB: Testing, Adjusting, and Balancing Bureau.
- E. TAB Specialist: An independent entity meeting qualifications to perform TAB work.
- F. TDH: Total dynamic head.

### 1.3 INFORMATIONAL SUBMITTALS

A. Certified TAB reports.

## 1.4 QUALITY ASSURANCE

- A. TAB Specialists Qualifications: Certified by AABC.
  - 1. TAB Field Supervisor: Employee of the TAB specialist and certified by AABC.
  - 2. TAB Technician: Employee of the TAB specialist and certified by AABC as a TAB technician.
- B. Instrumentation Type, Quantity, Accuracy, and Calibration: Comply with requirements in ASHRAE 111, Section 4, "Instrumentation."
- C. ASHRAE/IES 90.1 Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6.7.2.3 "System Balancing."

## PART 2 - PRODUCTS (Not Applicable)

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems designs that may preclude proper TAB of systems and equipment.
- B. Examine installed systems for balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are applicable for intended purpose and are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine equipment performance data including fan curves.
  - 1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
  - 2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems Duct Design." Compare results with the design data and installed conditions.
- F. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- G. Examine HVAC equipment and verify that bearings are greased, belts are aligned and tight, filters are clean, and equipment with functioning controls is ready for operation.
- H. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- I. Examine operating safety interlocks and controls on HVAC equipment.
- J. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

## 3.2 PREPARATION

A. Prepare a TAB plan that includes strategies and step-by-step procedures for balancing the systems.

B. Perform system-readiness checks of HVAC systems and equipment to verify system readiness for TAB work. Include, at a minimum, the following:

## 1. Airside:

- a. Duct systems are complete with terminals installed.
- b. Volume, smoke, and fire dampers are open and functional.
- c. Clean filters are installed.
- d. Fans are operating, free of vibration, and rotating in correct direction.
- e. Automatic temperature-control systems are operational.
- f. Ceilings are installed.
- g. Windows and doors are installed.
- h. Suitable access to balancing devices and equipment is provided.

### 3.3 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance" and in this Section.
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.
  - 1. After testing and balancing, patch probe holes in ducts with same material and thickness as used to construct ducts.
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.

### 3.4 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Cross-check the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.
- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.

- I. Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.
- K. Check for proper sealing of air-handling-unit components.
- L. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

### 3.5 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
  - 1. Measure total airflow.
    - a. Set outside-air, return-air, and relief-air dampers for proper position that simulates minimum outdoor-air conditions.
    - b. Where duct conditions allow, measure airflow by Pitot-tube traverse. If necessary, perform multiple Pitot-tube traverses to obtain total airflow.
    - c. Where duct conditions are not suitable for Pitot-tube traverse measurements, a coil traverse may be acceptable.
    - d. If a reliable Pitot-tube traverse or coil traverse is not possible, measure airflow at terminals and calculate the total airflow.
  - 2. Measure fan static pressures as follows:
    - a. Measure static pressure directly at the fan outlet or through the flexible connection.
    - b. Measure static pressure directly at the fan inlet or through the flexible connection.
    - c. Measure static pressure across each component that makes up the air-handling system.
    - d. Report artificial loading of filters at the time static pressures are measured.
  - 3. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
  - 4. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload occurs. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows.
  - 1. Measure airflow of submain and branch ducts.
  - 2. Adjust submain and branch duct volume dampers for specified airflow.
  - 3. Re-measure each submain and branch duct after all have been adjusted.
- C. Adjust air inlets and outlets for each space to indicated airflows.

- 1. Set airflow patterns of adjustable outlets for proper distribution without drafts.
- 2. Measure inlets and outlets airflow.
- 3. Adjust each inlet and outlet for specified airflow.
- 4. Re-measure each inlet and outlet after they have been adjusted.

#### 3.6 TOLERANCES

- A. Set HVAC system's airflow rates and water flow rates within the following tolerances:
  - 1. Supply, Return, and Energy Recovery Fans and Equipment with Fans: Plus or minus 10 percent.
  - 2. Air Outlets and Inlets: Plus or minus 10 percent.
- B. Maintaining pressure relationships as designed shall have priority over the tolerances specified above.

#### 3.7 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
  - 1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
  - 2. Include a list of instruments used for procedures, along with proof of calibration.
  - 3. Certify validity and accuracy of field data.
- B. Final Report Contents: In addition to certified field-report data, include the following:
  - 1. Fan curves.
  - 2. Manufacturers' test data.
  - 3. Field test reports prepared by system and equipment installers.
  - 4. Other information relative to equipment performance; do not include Shop Drawings and Product Data.
- C. General Report Data: In addition to form titles and entries, include the following data:
  - 1. Title page.
  - 2. Name and address of the TAB specialist.
  - 3. Project name.
  - 4. Project location.
  - 5. Architect's name and address.
  - 6. Engineer's name and address.
  - 7. Contractor's name and address.
  - 8. Report date.
  - 9. Signature of TAB supervisor who certifies the report.
  - 10. Table of Contents with the total number of pages defined for each section of the report.

    Number each page in the report.
  - 11. Summary of contents including the following:

- a. Indicated versus final performance.
- b. Notable characteristics of systems.
- c. Description of system operation sequence if it varies from the Contract Documents.
- 12. Nomenclature sheets for each item of equipment.
- 13. Data for terminal units, including manufacturer's name, type, size, and fittings.
- 14. Notes to explain why certain final data in the body of reports vary from indicated values.
- 15. Test conditions for fans performance forms including the following:
  - a. Settings for outdoor-, return-, and exhaust-air dampers.
  - b. Conditions of filters.
  - c. Cooling coil, wet- and dry-bulb conditions.
  - d. Face and bypass damper settings at coils.
  - e. Fan drive settings including settings and percentage of maximum pitch diameter.
  - f. Inlet vane settings for variable-air-volume systems.
  - g. Settings for supply-air, static-pressure controller.
  - h. Other system operating conditions that affect performance.
- D. System Diagrams: Include schematic layouts of air systems. Present each system with single-line diagram and include the following:
  - 1. Quantities of outdoor, supply, return, and exhaust airflows.
  - 2. Duct, outlet, and inlet sizes.
  - 3. Balancing stations.
  - 4. Position of balancing devices.
- E. Air-Handling-Unit Test Reports: For air-handling units with coils, include the following:
  - 1. Unit Data:
    - a. Unit identification.
    - b. Location.
    - c. Make and type.
    - d. Model number and unit size. inches and bore.
    - e. Center-to-center dimensions of sheave and amount of adjustments in inches.
    - f.
    - g. Manufacturer's serial number.
    - h. Unit arrangement and class.
    - i. Discharge arrangement.
    - j. Sheave make, size in
    - k. Number, make, and size of belts.
    - 1. Number, type, and size of filters.
  - 2. Motor Data:
    - a. Motor make, and frame type and size.
    - b. Horsepower and rpm.
    - c. Volts, phase, and hertz.
    - d. Full-load amperage and service factor.
    - e. Sheave make, size in inches and bore.

- f. Center-to-center dimensions of sheave and amount of adjustments in inches.
- 3. Test Data (Indicated and Actual Values):
  - a. Total airflow rate in cfm.
  - b. Total system static pressure in inches wg.
  - c. Fan rpm.
  - d. Discharge static pressure in inches wg.
  - e. Filter static-pressure differential in inches wg.
  - f. Preheat-coil static-pressure differential in inches wg.
  - g. Cooling-coil static-pressure differential in inches wg.
  - h. Heating-coil static-pressure differential in inches wg.
  - i. Outdoor airflow in cfm.
  - j. Return airflow in cfm.
  - k. Outdoor-air damper position.
  - 1. Return-air damper position.
  - m. Vortex damper position.

# F. Apparatus-Coil Test Reports:

- 1. Coil Data:
  - a. System identification.
  - b. Location.
  - c. Coil type.
  - d. Number of rows.
  - e. Fin spacing in fins per inch o.c.
  - f. Make and model number.
  - g. Face area in sq. ft.
  - h. Tube size in NPS.
  - i. Tube and fin materials.
  - j. Circuiting arrangement.
- 2. Test Data (Indicated and Actual Values):
  - a. Airflow rate in cfm.
  - b. Average face velocity in fpm.
  - c. Air pressure drop in inches wg.
  - d. Outdoor-air, wet- and dry-bulb temperatures in deg F.
  - e. Return-air, wet- and dry-bulb temperatures in deg F.
  - f. Entering-air, wet- and dry-bulb temperatures in deg F.
  - g. Leaving-air, wet- and dry-bulb temperatures in deg F.
  - h. Refrigerant expansion valve and refrigerant types.
  - i. Refrigerant suction pressure in psig.
  - j. Refrigerant suction temperature in deg F.
  - k. Inlet steam pressure in psig.
- G. Gas- and Oil-Fired Heat Apparatus Test Reports: In addition to manufacturer's factory startup equipment reports, include the following:
  - 1. Unit Data:

- a. System identification.
- b. Location.
- c. Make and type.
- d. Model number and unit size.
- e. Manufacturer's serial number.
- f. Fuel type in input data.
- g. Output capacity in Btu/h.
- h. Ignition type.
- i. Burner-control types.
- j. Motor horsepower and rpm.
- k. Motor volts, phase, and hertz.
- 1. Motor full-load amperage and service factor.
- m. Sheave make, size in inches, and bore.
- n. Center-to-center dimensions of sheave and amount of adjustments in inches.

## 2. Test Data (Indicated and Actual Values):

- a. Total airflow rate in cfm.
- b. Entering-air temperature in deg F.
- c. Leaving-air temperature in deg F.
- d. Air temperature differential in deg F.
- e. Entering-air static pressure in inches wg.
- f. Leaving-air static pressure in inches wg.
- g. Air static-pressure differential in inches wg.
- h. Low-fire fuel input in Btu/h.
- i. High-fire fuel input in Btu/h.
- j. Motor voltage at each connection.
- k. Motor amperage for each phase.
- 1.

## H. Fan Test Reports: For supply, return, and exhaust fans, include the following:

#### 1. Fan Data:

- a. System identification.
- b. Location.
- c. Make and type.
- d. Model number and size.
- e. Manufacturer's serial number.
- f. Arrangement and class.
- g. Sheave make, size in inches, and bore.
- h. Center-to-center dimensions of sheave and amount of adjustments in inches.

### 2. Motor Data:

- a. Motor make, and frame type and size.
- b. Horsepower and rpm.
- c. Volts, phase, and hertz.
- d. Full-load amperage and service factor.
- e. Sheave make, size in inches, and bore.
- f. Center-to-center dimensions of sheave, and amount of adjustments in inches.

- g. Number, make, and size of belts.
- 3. Test Data (Indicated and Actual Values):
  - a. Total airflow rate in cfm.
  - b. Total system static pressure in inches wg.
  - c. Fan rpm.
  - d. Discharge static pressure in inches wg.
  - e. Suction static pressure in inches wg.
- I. Round, Flat-Oval, and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
  - 1. Report Data:
    - a. System and air-handling-unit number.
    - b. Location and zone.
    - c. Traverse air temperature in deg F.
    - d. Duct static pressure in inches wg.
    - e. Duct size in inches.
    - f. Duct area in sq. ft.
    - g. Indicated airflow rate in cfm.
    - h. Indicated velocity in fpm.
    - i. Actual airflow rate in cfm.
    - j. Actual average velocity in fpm.
    - k. Barometric pressure in psig.
- J. Instrument Calibration Reports:
  - 1. Report Data:
    - a. Instrument type and make.
    - b. Serial number.
    - c. Application.
    - d. Dates of use.
    - e. Dates of calibration.

### 3.8 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional TAB during near-peak summer and winter conditions.

END OF SECTION 230593

#### **SECTION 230713 - DUCT INSULATION**

### PART 1 - GENERAL

### 1.1 SUMMARY

- A. Section includes insulating the following duct services:
  - 1. Indoor, concealed supply and outdoor air—all shall be insulated.
  - 2. Indoor, exposed supply and outdoor air---all shall be insulated.
- B. Insulation thickness shall comply with all requirements of the Indiana Energy Code, latest edition.

### 1.2 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

## 1.3 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E84, by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
  - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
  - 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smoke-developed index of 150 or less.

## PART 2 - PRODUCTS

## 2.1 INSULATION MATERIALS

- A. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- B. Blanket Insulation: Jacketed mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C553, Type II and ASTM C1290, Type I

### 2.2 ADHESIVES

A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.

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- B. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
- C. ASJ Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.

### 2.3 MASTICS AND COATINGS

A. Materials shall be compatible with insulation materials, jackets, and substrates.

### 2.4 SEALANTS

- A. ASJ Flashing Sealants:
  - 1. Materials shall be compatible with insulation materials, jackets, and substrates.
  - 2. Fire- and water-resistant, flexible, elastomeric sealant.
  - 3. Service Temperature Range: Minus 40 to plus 250 deg F.
  - 4. Color: White.

### 2.5 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C1136, Type I.

## 2.6 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.
  - 1. Width: 3 inches.
  - 2. Thickness: 11.5 mils
  - 3. Adhesion: 90 ounces force/inch in width.
  - 4. Elongation: 2 percent.
  - 5. Tensile Strength: 40 lbf/inch in width.
  - 6. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

## PART 3 - EXECUTION

### 3.1 PREPARATION

A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

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## 3.2 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces, free of voids throughout the length of ducts and fittings.
- B. Install insulation materials, vapor barriers or retarders, jackets, and thicknesses required for each item of duct system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during application and finishing.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.

End of Section

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## SECTION 230993.11 - SEQUENCE OF OPERATIONS FOR FURNACE AND AIR CONDITIONING

## PART 1 - GENERAL

### 1.1 SUMMARY

A. Section includes control sequences for furnace and air conditioning.

# 1.2 HEATING/COOLING CONTROL SEQUENCES

# A. Furnace Heating Mode:

- 1. In heating mode, outdoor air conditioning condensing unit shall be disabled.
- 2. Furnace shall supply air/heat to the space on a call for heat from the unit thermostat.
- 3. Verify operation of multi-staged heating per manufacturer's literature.

# B. Air Conditioning Cooling Mode:

- 1. In cooling mode, outdoor air conditioning condensing unit shall be enabled.
- 2. Air conditioning system shall supply air/cooling to the space on a call for cooling from the unit thermostat.
- 3. Verify operation of multi-staged cooling per manufacturer's literature.

**END OF SECTION 230993.11** 

#### SECTION 231123 - FACILITY NATURAL-GAS PIPING

### PART 1 - GENERAL

### 1.1 SUMMARY

#### A. Section Includes:

- 1. Pipes, tubes, and fittings.
- 2. Piping and tubing joining materials.
- 3. Manual gas shutoff valves.
- 4. Dielectric unions.

## 1.2 QUALITY ASSURANCE

- A. Steel Support Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with all applicable requirements of the latest edition of the Indiana Fuel Gas Code.

### PART 2 - PRODUCTS

## 2.1 PIPES, TUBES, AND FITTINGS

- A. Steel Pipe: ASTM A 53/A 53M, black steel, Schedule 40, Type E or S, Grade B.
  - 1. Malleable-Iron Threaded Fittings: ASME B16.3, Class 150, standard pattern.
  - 2. Wrought-Steel Welding Fittings: ASTM A 234/A 234M for butt welding and socket welding.
  - 3. Unions: ASME B16.39, Class 150, malleable iron with brass-to-iron seat, ground joint, and threaded ends.
  - 4. Protective Coating for Exterior/Underground Piping: Factory-applied, three-layer coating of epoxy, adhesive, and PE.

### 2.2 PIPING SPECIALTIES

- A. Appliance Flexible Connectors:
  - 1. Indoor, Fixed-Appliance Flexible Connectors: Comply with ANSI Z21.24.

- 2. Indoor, Movable-Appliance Flexible Connectors: Comply with ANSI Z21.69.
- 3. Outdoor, Appliance Flexible Connectors: Comply with ANSI Z21.75.

## 2.3 JOINING MATERIALS

- A. Joint Compound and Tape: Suitable for natural gas.
- B. Welding Filler Metals: Comply with AWS D10.12/D10.12M for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.

## 2.4 MANUAL GAS SHUTOFF VALVES

- A. See "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles for where each valve type is applied in various services.
- B. General Requirements for Metallic Valves, NPS 2 and Smaller: Comply with ASME B16.33.
  - 1. CWP Rating: 125 psig.
  - 2. Threaded Ends: Comply with ASME B1.20.1.
  - 3. Dryseal Threads on Flare Ends: Comply with ASME B1.20.3.
  - 4. Tamperproof Feature: Locking feature for valves indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 5. Listing: Listed and labeled by an NRTL acceptable to authorities having jurisdiction for valves 1 inch and smaller.
  - 6. Service Mark: Valves 1-1/4 inches to NPS 2 shall have initials "WOG" permanently marked on valve body.
- C. One-Piece, Bronze Ball Valve with Bronze Trim: MSS SP-110.
  - 1. Body: Bronze, complying with ASTM B 584.
  - 2. Ball: Chrome-plated brass.
  - 3. Stem: Bronze; blowout proof.
  - 4. Seats: Reinforced TFE; blowout proof.
  - 5. Packing: Separate pack nut with adjustable-stem packing threaded ends.
  - 6. Ends: Threaded, flared, or socket as indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
  - 7. CWP Rating: 600 psig.
  - 8. Listing: Valves NPS 1 and smaller shall be listed and labeled by an NRTL acceptable to authorities having jurisdiction.
  - 9. Service: Suitable for natural-gas service with "WOG" indicated on valve body.

## 2.5 DIELECTRIC UNIONS

- A. Dielectric Unions:
  - 1. Description:

- a. Standard: ASSE 1079.
- b. Pressure Rating:125 psig minimum at 180 deg F.
- c. End Connections: Solder-joint copper alloy and threaded ferrous.

#### 2.6 INDOOR PIPING INSTALLATION

- A. Arrange for pipe spaces, chases, slots, sleeves, and openings in building structure during progress of construction, to allow for mechanical installations.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Locate valves for easy access.
- F. Install natural-gas piping at uniform grade of 2 percent down toward drip and sediment traps.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Verify final equipment locations for roughing-in.
- J. Comply with requirements in Sections specifying gas-fired appliances and equipment for roughing-in requirements.
- K. Drips and Sediment Traps: Install drips at points where condensate may collect, including service-meter outlets. Locate where accessible to permit cleaning and emptying. Do not install where condensate is subject to freezing.
  - 1. Construct drips and sediment traps using tee fitting with bottom outlet plugged or capped. Use nipple a minimum length of 3 pipe diameters, but not less than 3 inches long and same size as connected pipe. Install with space below bottom of drip to remove plug or cap.
- L. Extend relief vent connections for service regulators, line regulators, and overpressure protection devices to outdoors and terminate with weatherproof vent cap.
- M. Conceal pipe installations in walls, pipe spaces, utility spaces, above ceilings, below grade or floors, and in floor channels unless indicated to be exposed to view.
- N. Use eccentric reducer fittings to make reductions in pipe sizes. Install fittings with level side down.
- O. Connect branch piping from top or side of horizontal piping.

- P. Install unions in pipes NPS 2 and smaller, adjacent to each valve, at final connection to each piece of equipment.
- Q. Do not use natural-gas piping as grounding electrode.
- R. Install strainer on inlet of each line-pressure regulator and automatic or electrically operated valve.

### 2.7 PIPING JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.

## C. Threaded Joints:

- 1. Thread pipe with tapered pipe threads complying with ASME B1.20.1.
- 2. Cut threads full and clean using sharp dies.
- 3. Ream threaded pipe ends to remove burrs and restore full inside diameter of pipe.
- 4. Apply appropriate tape or thread compound to external pipe threads unless dryseal threading is specified.
- 5. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.

## D. Welded Joints:

- 1. Construct joints according to AWS D10.12/D10.12M, using qualified processes and welding operators.
- 2. Bevel plain ends of steel pipe.
- 3. Patch factory-applied protective coating as recommended by manufacturer at field welds and where damage to coating occurs during construction.

## 2.8 HANGER AND SUPPORT INSTALLATION

- A. Install hangers for steel piping with maximum horizontal spacing and minimum rod diameter, to comply with MSS-58, locally enforced codes, and authorities having jurisdiction requirements, whichever are most stringent.
- B. Support horizontal piping within 12 inches of each fitting.
- C. Support vertical runs of steel piping to comply with MSS-58, locally enforced codes, and authorities having jurisdiction requirements, whichever are most stringent.

## 2.9 CONNECTIONS

A. Connect to utility's gas main according to utility's procedures and requirements.

- B. Install natural-gas piping electrically continuous and bonded to gas appliance equipment grounding conductor of the circuit powering the appliance according to NFPA 70.
- C. Install piping adjacent to appliances to allow service and maintenance of appliances.
- D. Connect piping to appliances using manual gas shutoff valves and unions. Install valve within 72 inches of each gas-fired appliance and equipment. Install union between valve and appliances or equipment.
- E. Sediment Traps: Install tee fitting with capped nipple in bottom to form drip, as close as practical to inlet of each appliance.
- F. Containment Conduit: Steel pipe with wrought-steel fittings and welded joints. Coat pipe and fittings with protective coating for steel piping.

#### 2.10 INDOOR PIPING SCHEDULE

- A. Aboveground, branch piping NPS 1 and smaller shall be the following:
  - 1. Steel pipe with malleable-iron fittings and threaded joints.
- B. Aboveground, distribution piping shall be one of the following:
  - 1. Steel pipe with malleable-iron fittings and threaded joints.
  - 2. Steel pipe with wrought-steel fittings and welded joints.
- C. Underground, below building, piping shall be one of the following:
  - 1. Steel pipe with malleable-iron fittings and threaded joints.
  - 2. Steel pipe with wrought-steel fittings and welded joints.
- D. .

### 2.11 ABOVEGROUND MANUAL GAS SHUTOFF VALVE SCHEDULE

- A. Valves shall be the following:
  - 1. One-piece, bronze ball valve with bronze trim.

**END OF SECTION 231123** 

#### SECTION 232300 - REFRIGERANT PIPING

### PART 1 - GENERAL

## 1.1 SUMMARY

- A. Section Includes:
  - 1. Refrigerant pipes and fittings.

## 1.2 QUALITY ASSURANCE

- A. Comply with ASHRAE 15, "Safety Code for Refrigeration Systems."
- B. Comply with ASME B31.5, "Refrigeration Piping and Heat Transfer Components."

### PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Line Test Pressure for Refrigerant R-134a:
  - 1. Suction Lines for Air-Conditioning Applications: 115 psig.
  - 2. Suction Lines for Heat-Pump Applications: 225 psig.
  - 3. Hot-Gas and Liquid Lines: 225 psig.
- B. Line Test Pressure for Refrigerant R-407C:
  - 1. Suction Lines for Air-Conditioning Applications: 230 psig.
  - 2. Suction Lines for Heat-Pump Applications: 380 psig.
  - 3. Hot-Gas and Liquid Lines: 380 psig.
- C. Line Test Pressure for Refrigerant R-410A:
  - 1. Suction Lines for Air-Conditioning Applications: 300 psig.
  - 2. Suction Lines for Heat-Pump Applications: 535 psig.
  - 3. Hot-Gas and Liquid Lines: 535 psig.

## 2.2 COPPER TUBE AND FITTINGS

- A. Copper Tube: ASTM B 88, Type K or L
- B. Solder Filler Metals: ASTM B 32. Use 95-5 tin antimony or alloy HB solder to join copper socket fittings on copper pipe.

REFRIGERANT PIPING 232300 - 1

### C. Flexible Connectors:

- 1. Body: Tin-bronze bellows with woven, flexible, tinned-bronze-wire-reinforced protective jacket.
- 2. End Connections: Socket ends.
- 3. Offset Performance: Capable of minimum 3/4-inch misalignment in minimum 7-inchlong assembly.
- 4. Working Pressure Rating: Factory test at minimum 500 psig.
- 5. Maximum Operating Temperature: 250 deg F.

### **PART 3 - EXECUTION**

### 3.1 PIPING INSTALLATION

- A. Install refrigerant piping according to ASHRAE 15. Insulate per manufacturer's instructions.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping adjacent to machines to allow service and maintenance.
- F. Install piping free of sags and bends.
- G. Install fittings for changes in direction and branch connections.
- H. Select system components with pressure rating equal to or greater than system operating pressure.
- I. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.
- J. Install refrigerant piping in protective conduit where installed belowground.
- K. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.
- L. Slope refrigerant piping as follows:
  - 1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
  - 2. Install horizontal suction lines with a uniform slope downward to compressor.
  - 3. Install traps and double risers to entrain oil in vertical runs.
  - 4. Liquid lines may be installed level.

REFRIGERANT PIPING 232300 - 2

- M. When brazing or soldering, remove solenoid-valve coils and sight glasses; also remove valve stems, seats, and packing, and accessible internal parts of refrigerant specialties. Do not apply heat near expansion-valve bulb.
- N. Install piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for insulation installation.

## 3.2 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Soldered Joints: Construct joints according to ASTM B 828.

## 3.3 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Comply with ASME B31.5, Chapter VI.
  - 2. Test refrigerant piping, specialties, and receivers. Isolate compressor, condenser, evaporator, and safety devices from test pressure if they are not rated above the test pressure.
  - 3. Test high- and low-pressure side piping of each system separately at not less than the pressures indicated in "Performance Requirements" Article.
    - a. Fill system with nitrogen to the required test pressure.
    - b. System shall maintain test pressure at the manifold gage throughout duration of test.
    - c. Test joints and fittings with electronic leak detector or by brushing a small amount of soap and glycerin solution over joints.
    - d. Remake leaking joints using new materials, and retest until satisfactory results are achieved.

END OF SECTION 232300

REFRIGERANT PIPING 232300 - 3

#### **SECTION 233113 - METAL DUCTS**

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section. All ductwork shall comply with this section of the specifications and shall be of galvanized sheet metal construction.

### 1.2 SUMMARY

#### A. Section Includes:

- 1. Single-wall rectangular ducts and fittings.
- 2. Sheet metal materials.
- 3. Sealants and gaskets.
- 4. Hangers and supports.

### PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Duct construction, including sheet metal thicknesses, seam and joint construction, reinforcements, and hangers and supports, shall comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible".
- B. Airstream Surfaces: Surfaces in contact with airstream shall comply with requirements in ASHRAE 62.1.
- C. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 "Systems and Equipment," and Section 7 "Construction and System Startup."
- D. ASHRAE/IES Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6.4.4 "HVAC System Construction and Insulation."
- E. Duct Dimensions: Unless otherwise indicated, all duct dimensions indicated on Drawings are inside clear dimensions and do not include insulation or duct wall thickness.

### 2.2 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.

- 1. Construct ducts of galvanized sheet steel unless otherwise indicated.
- B. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate in accordance with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Ch. 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards Metal and Flexible."

#### 2.3 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. Galvanized Sheet Steel: Comply with ASTM A653/A653M.

### 2.4 SEALANT AND GASKETS

A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested in accordance with UL 723; certified by an NRTL.

## 2.5 HANGERS AND SUPPORTS

- A. Hanger Rods for Noncorrosive Environments: Galvanized-steel rods and nuts.
- B. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."
- C. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A603.
- D. Steel Cable End Connections: Galvanized-steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.
- E. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.

## PART 3 - EXECUTION

#### 3.1 DUCT INSTALLATION

- A. Install ducts in accordance with SMACNA's "HVAC Duct Construction Standards Metal and Flexible" unless otherwise indicated.
- B. Install ducts in maximum practical lengths with fewest possible joints.

- C. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.
- D. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- E. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- F. Install ducts with a clearance of 1 inch plus allowance for insulation thickness.
- G. Protect duct interiors from moisture, construction debris and dust, and other foreign materials both before and after installation.
- H. Elbows: Use long-radius elbows wherever they fit.
  - 1. Fabricate 90-degree rectangular mitered elbows to include turning vanes.
- I. Branch Connections: Use lateral or conical branch connections.

#### 3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts from being dented, scratched, or damaged. Install in a manner that maintains access over and under by personnel.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.
- D. Maintain consistency, symmetry, and uniformity in arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.

## 3.3 DUCT SEALING

A. Seal ducts for duct static-pressure, seal classes, and leakage classes specified in "Duct Schedule" Article in accordance with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

### 3.4 HANGER AND SUPPORT INSTALLATION

A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."

# 3.5 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
  - 1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
- C. Duct System Cleanliness Tests:
  - 1. Visually inspect duct system to ensure that no visible contaminants are present.

### 3.6 DUCT CLEANING

- A. Clean new duct system(s) before testing, adjusting, and balancing.
- B. Clean the following components by removing surface contaminants and deposits:
  - 1. Air outlets and inlets (registers, grilles, and diffusers).
  - 2. Supply, return, and exhaust fans including fan housings, plenums (except ceiling supply and return plenums), scrolls, blades or vanes, shafts, baffles, dampers, and drive assemblies.
  - 3. Air-handling unit internal surfaces and components including mixing box, coil section, air wash systems, spray eliminators, condensate drain pans, humidifiers and dehumidifiers, filters and filter sections, and condensate collectors and drains.
  - 4. Coils and related components.
  - 5. Return-air ducts, dampers, actuators, and turning vanes except in ceiling plenums and mechanical equipment rooms.
  - 6. Supply-air ducts, dampers, actuators, and turning vanes.
  - 7. Dedicated exhaust and ventilation components and makeup air systems.

END OF SECTION 233113

### SECTION 233300 - AIR DUCT ACCESSORIES

## PART 1 - GENERAL

### 1.1 SUMMARY

### A. Section Includes:

- 1. Backdraft and pressure relief dampers.
- 2. Flange connectors.
- 3. Turning vanes.
- 4. Duct-mounted access doors.
- 5. Flexible connectors.
- 6. Duct accessory hardware.

## 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

## 1.3 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

## PART 2 - PRODUCT

## 2.1 ASSEMBLY DESCRIPTION

A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

## 2.2 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A653/A653M.
  - 1. Galvanized Coating Designation: G60
  - 2. Exposed-Surface Finish: Mill phosphatized.
- B. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts.

# 2.3 BACKDRAFT AND PRESSURE RELIEF DAMPERS

- A. Description: Gravity balanced.
- B. Maximum Air Velocity: 1000 fpm.
- C. Maximum System Pressure: 1-inch wg.
- D. Blades: Multiple single-piece blades, center pivoted, maximum 6-inch width, 0.025-inch-thick, with sealed edges.
- E. Blade Action: Parallel.
- F. Return Spring: Adjustable tension.
- G. Bearings: Steel ball.
- H. Accessories:
  - 1. Adjustment device to permit setting for varying differential static pressure.
  - 2. Counterweights and spring-assist kits for vertical airflow installations.
  - 3. Screen Type: Bird.
  - 4. 90-degree stops.

### 2.4 FLANGE CONNECTORS

- A. Description: Factory-fabricated, slide-on transverse flange connectors, gaskets, and components.
- B. Material: Galvanized steel.
- C. Gage and Shape: Match connecting ductwork.

### 2.5 TURNING VANES

- A. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
  - 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.
- B. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
- C. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards Metal and Flexible"; Figures 4-3, "Vanes and Vane Runners," and 4-4, "Vane Support in Elbows."
- D. Vane Construction: Single wall.

# 2.6 DUCT-MOUNTED ACCESS DOORS

A. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 7-2 (7-2M), "Duct Access Doors and Panels," and 7-3, "Access Doors - Round Duct."

#### 1. Door:

- a. Double wall, rectangular.
- b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
- c. Vision panel.
- d. Hinges and Latches: 1-by-1-inch or piano hinge and cam latches.
- e. Fabricate doors airtight and suitable for duct pressure class.
- 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
- 3. Number of Hinges and Locks:
  - a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
  - b. Access Doors up to 18 Inches Square: Two hinges and two sash locks.

# 2.7 FLEXIBLE CONNECTORS

- A. Materials: Flame-retardant or noncombustible fabrics.
- B. Coatings and Adhesives: Comply with UL 181, Class 1.
- C. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches wide attached to two strips of 2-3/4-inch-wide, 0.028-inch- thick, galvanized sheet steel. Provide metal compatible with connected ducts.

# 2.8 DUCT ACCESSORY HARDWARE

A. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

# PART 3 - EXECUTION

# 3.1 INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards Metal and Flexible" for metal ducts.
- B. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment.
- C. Install access doors with swing against duct static pressure. Label access doors.

D. Install flexible connectors to connect ducts to equipment.

# 3.2 FIELD QUALITY CONTROL

# A. Tests and Inspections:

- 1. Operate dampers to verify full range of movement.
- 2. Inspect locations of access doors and verify that purpose of access door can be performed.
- 3. Inspect turning vanes for proper and secure installation.

END OF SECTION 233300

#### SECTION 238126 - SPLIT-SYSTEM GAS-FIRED FURNACE/AIR-CONDITIONER

# PART 1 - GENERAL

# 1.1 SUMMARY

A. This section includes a split-system air-conditioning unit consisting of separate evaporator-fan and compressor/condenser components. Contractor is to provide a new fully functional HVAC system installed in compliance with manufacturer's installation instructions and all applicable codes. All required labor/components are to be included regardless of whether or not they are explicitly described.

# 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.

# 1.3 CLOSEOUT SUBMITTALS

- A. Operation and maintenance data.
- B. Warranty information fully completed by contractor, submitted and acknowledged by manufacturer.

# 1.4 QUALITY ASSURANCE

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

# 1.5 WARRANTY

- A. Manufacturer's standard form in which manufacturer agrees to repair or replace components of split-system air-conditioning units that fail in materials or workmanship within specified warranty period. Nothing in this section negates the contractor's warranty obligation(s) described elsewhere in their contract with the State of Indiana.
  - 1. Warranty Period:

a. Compressor: 5 yearsb. Heat exchanger: 20 years

# 2. Contractor Responsibility:

a. Contractor is responsible for registering the warranty in the owner's name with the manufacturer within the timeframe required by the manufacturer

# PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Bryant (Carrier) or Lennox models fully equal in construction and performance to the Basis of Design.
- B. Other manufacturers will be considered only if the contractor makes a complete submission of the proposed substitution to the Engineer of Record a minimum of seven (7) calendar days prior to bid date. If another manufacturer is approved, then the Engineer of Record will issue an addendum giving all bidders the opportunity to include that equipment in their bid.
- C. Submitted equipment is to meet the warranty requirements described above.
- D. Contractor shall verify that any equipment proposed fits in the available space.
- 2.2 High-Efficiency Gas-Fired Furnace, Air Conditioning Coil and Condensing/Compressor Unit:
  - A. <u>Basis of Design(furnace)</u>: <u>Bryant Model 987</u>, 97% efficiency gas furnace, maximum 2000 CFM cooling, 120,000 BTUH natural gas heating input, variable speed, modulating stages.
  - B. Electrical Data: 115-1-60 V-Ph-Hz; Unit MCA 14.8 Amps; Unit MOCP 20 Amps.
  - C. Furnace Performance Data:

Certified Temp High Rise Range: 45-75 F

Certified Temp Med Rise Range: 50-80 F

Certified Temp Low Rise Range: 35-65 F

Furnace capacity staging:

Input Max Heat:	120,000	BTU/hr
Input Intermediate Heat:	78,000	BTU/hr
Input Min Heat:		BTU/hr
Output Max Heat:	117,000	BTU/hr
Output Intermediate Heat:	76,000	BTU/hr
Output Min Heat:		BTU/hr

- D. Furnace physical size without cooling coil: Unit Length 29.50 in; Unit Width 24.50 in; Unit Height 35.00 inches.
- E. Indoor cooling coil: <u>Basis of Design is Bryant Model Number CNPVP6024ALA</u>; 5 tons nominal cooling capacity; Unit Length: 21 in Unit Width: 24.5 in Unit Height: 26.875 in
- F. Unit controls: The <u>Basis of Design (unit control) is Bryant's "Evolution Connex" wall-mounted system</u>. Motor speeds shall vary automatically as conditions change. Controls for furnace and air conditioning shall be provided by the equipment manufacturer and shall be designed to stage the unit to maintain both temperature (summer and winter) and humidity control(summertime).
- G. Humidification is not included.
- H. Furnace is to incorporate/mix 300 CFM of outdoor air to provide code-required ventilation and make-up air for the building exhaust fan. Provide a motorized damper on the 10 inch diameter fresh air duct that opens only when the AC unit is in the heating or cooling mode.
- I. Furnace is to include factory-provided interior <u>UV lighting equal to Bryant Model UVL</u>. Lights shall be installed in such a manner as to facilitate maintenance without removal of other components.
- J. Basis of Design (outdoor condensing/compressor unit) is the Bryant Evolution Model 189B 5 ton nominal capacity, variable-speed 5-stage air conditioner; 19 SEER; heavy-gauge powder-coated steel construction; high and low pressure switches; R410a or equal; hermetic compressor; compressor blanket for sound control.. Unit shall be UL labelled. 220V/Single phase power.

# PART 3 - EXECUTION

# 3.1 INSTALLATION

- A. Install units level and plumb.
- B. Install evaporator-fan components using manufacturer's standard mounting devices securely fastened to building structure.
- C. Equipment Mounting:
  - 1. Install ground-mounted, compressor-condenser components on contractor-provided castin-place concrete equipment base minimum 6 inches below grade and 8 inches above grade.

# 3.2 CONNECTIONS

- A. Where piping is installed adjacent to unit, allow space for service and maintenance of unit.
- B. Duct Connections: Drawings indicate the general arrangement of ducts. Contractor shall adjust as required by field conditions and unit configuration. Connect supply and return ducts to split-system air-conditioning units with flexible duct connectors.

# 3.3 FIELD QUALITY CONTROL

# A. Tests and Inspections:

- 1. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
- 2. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
- 3. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

END OF SECTION 238126

# SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

# PART 1 - GENERAL

# 1.1 SUMMARY

# A. Section Includes:

- 1. Copper building wire rated 600 V or less.
- 2. Metal-clad cable, Type MC, rated 600 V or less—Allowable concealed above ceilings to light fixtures only.
- B. Product Schedule: Indicate type, use, location, and termination locations.

# 1.2 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

# PART 2 - PRODUCTS

# 2.1 COPPER BUILDING WIRE

A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.

#### B. Standards:

- 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- 2. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."
- C. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.

# D. Conductor Insulation:

1. Type THHN and Type THWN: Comply with UL 83.

# 2.2 METAL-CLAD CABLE, TYPE MC

A. Description: A factory assembly of one or more current-carrying insulated conductors in an overall metallic sheath.

# B. Standards:

- 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- 2. Comply with UL 1569.
- 3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

# C. Circuits:

- 1. Single circuit
- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.
- E. Ground Conductor: Bare.
- F. Conductor Insulation:
  - 1. Type THHN/THWN: Comply with UL 83.

#### 2.3 CONNECTORS AND SPLICES

A. Description: Factory-fabricated connectors, splices, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.

# PART 3 - EXECUTION

# 3.1 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

# 3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspaces: Type THHN/THWN, single conductors in raceway.
- B. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN, single conductors in raceway OR Underground feeder cable, Type UF where allowed by code.
- C. Exposed Branch Circuits, Including in Crawlspaces and Attics: Type THHN/THWN, single conductors in raceway.

- D. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN, single conductors in raceway.
- A. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN, single conductors in raceway.

# 3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- C. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips that will not damage cables or raceway.
- D. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.

#### 3.4 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torquetightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
  - 1. Use oxide inhibitor in each splice, termination, and tap for aluminum conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.

#### 3.5 IDENTIFICATION

A. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

# 3.6 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies.

# END OF SECTION 260519

SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL, COMMUNICATIONS, INFORMATION TECHNOLOGY, AND PIPING SYSTEMS

#### PART 1 - GENERAL

# 1.1 SUMMARY

A. Section includes grounding for bonding systems and equipment. Contractor shall install building grounding system that fully complies with the Indiana Electrical Code and that provides one common reference ground for the entire building. The adjacent radio tower grounding system shall be bonded to the building reference ground also.

# 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

# 1.3 INFORMATIONAL SUBMITTALS

A. Coordination Drawings: Plans showing dimensioned as-built locations of grounding features.

# PART 2 - PRODUCTS

# 2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

# 2.2 CONDUCTORS

- A. Insulated Conductors: Copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Solid Conductors: ASTM B3.
  - 2. Stranded Conductors: ASTM B8.
  - 3. Tinned Conductors: ASTM B33.
  - 4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
  - 5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
  - 6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.

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C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches in cross section, with 9/32-inch holes spaced 1-1/8 inches apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

# 2.3 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.
- C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless compression-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
- D. Bus-Bar Connectors: Compression type, copper or copper alloy, with two wire terminals.
- E. Beam Clamps: Mechanical type, terminal, ground wire access from four directions, with dual, tin-plated or silicon bronze bolts.
- F. Cable-to-Cable Connectors: Compression type, copper or copper alloy.
- G. Cable Tray Ground Clamp: Mechanical type, zinc-plated malleable iron.
- H. Conduit Hubs: Mechanical type, terminal with threaded hub.
- I. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- J. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- K. Lay-in Lug Connector: Mechanical type terminal with set screw.
- L. Service Post Connectors: Mechanical type, bronze alloy terminal, in short- and long-stud lengths, capable of single and double conductor connections.
- M. Signal Reference Grid Clamp: Mechanical type, stamped-steel terminal with hex head screw.
- N. Straps: Solid copper.
- O. Tower Ground Clamps: Mechanical type, copper or copper alloy.
- P. U-Bolt Clamps: Mechanical type, copper or copper alloy, listed for direct burial.
- Q. Water Pipe Clamps:
  - 1. Mechanical type.
    - a. Listed for direct burial.

2. U-bolt type with malleable-iron clamp and copper ground connector rated for direct burial.

# 2.4 GROUNDING ELECTRODES

- A. Ground Rods: Copper-clad steel, 3/4 inch by 10 feet.
- B. Ground Plates: 1/4 inch thick, hot-dip galvanized.

# **PART 3 - EXECUTION**

# 3.1 APPLICATIONS

- A. Conductors: Install stranded conductors for No. 6 AWG copper and larger unless otherwise indicated.
- B. Underground Grounding Conductors: Install bare copper conductor, No. 2/0 AWG minimum.
  - 1. Bury at least 30 inches below grade.
- C. Grounding Bus: Install in electrical equipment rooms, in rooms housing service equipment, and elsewhere as indicated.
  - 1. Install bus horizontally, on insulated spacers 2 inches minimum from wall, 6 inches above finished floor unless otherwise indicated.
  - 2. Where indicated on both sides of doorways, route bus up to top of door frame, across top of doorway, and down; connect to horizontal bus.

# D. Conductor Terminations and Connections:

- 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
- 2. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
- 3. Connections to Ground Rods at Test Wells: Bolted connectors.
- 4. Connections to Structural Steel: Welded connectors.

# 3.2 GROUNDING AT THE SERVICE

A. Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Install a main bonding jumper between the neutral and ground buses.

#### 3.3 GROUNDING SEPARATELY DERIVED SYSTEMS

A. Generator: Install grounding electrode(s) at the generator location. The electrode shall be connected to the equipment grounding conductor and to the frame of the generator.

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# 3.4 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

- A. Comply with IEEE C2 grounding requirements.
- B. Grounding Manholes and Handholes: Install a driven ground rod through manhole or handhole floor, close to wall, and set rod depth so 4 inches will extend above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inches above to 6 inches below concrete. Seal floor opening with waterproof, nonshrink grout.
- C. Grounding Connections to Manhole Components: Bond exposed-metal parts such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole or handhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, stranded, hard-drawn copper bonding conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect to cable armor and cable shields according to written instructions by manufacturer of splicing and termination kits.
- D. Pad-Mounted Transformers and Switches: Install two ground rods and ground ring around the pad. Ground pad-mounted equipment and noncurrent-carrying metal items associated with substations by connecting them to underground cable and grounding electrodes. Install tinned-copper conductor not less than No. 2 AWG for ground ring and for taps to equipment grounding terminals. Bury ground ring not less than 6 inches from the foundation.

# 3.5 EQUIPMENT GROUNDING

- A. Install insulated equipment grounding conductors with all feeders and branch circuits.
- B. Install insulated equipment grounding conductors with the following items, in addition to those required by NFPA 70:
  - 1. Feeders and branch circuits.
  - 2. Lighting circuits.
  - 3. Receptacle circuits.
  - 4. Single-phase motor and appliance branch circuits.
  - 5. Three-phase motor and appliance branch circuits.
  - 6. Flexible raceway runs.
  - 7. Armored and metal-clad cable runs.
  - 8. Busway Supply Circuits: Install insulated equipment grounding conductor from grounding bus in the switchgear, switchboard, or distribution panel to equipment grounding bar terminal on busway.
- C. Air-Duct Equipment Circuits: Install insulated equipment grounding conductor to duct-mounted electrical devices operating at 120 V and more, including air cleaners, heaters, dampers, humidifiers, and other duct electrical equipment. Bond conductor to each unit and to air duct and connected metallic piping.

- D. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.
- E. Poles Supporting Outdoor Lighting Fixtures: Install grounding electrode and a separate insulated equipment grounding conductor in addition to grounding conductor installed with branch-circuit conductors.

#### 3.6 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Bonding Common with Lightning Protection System: Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system. Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.
- C. Ground Rods: Drive rods until tops are 2 inches below finished floor or final grade unless otherwise indicated.
  - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
- D. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
  - 1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
  - 2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
  - 3. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.

# E. Grounding and Bonding for Piping:

- 1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
- 2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.

3. Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.

# 3.7 FIELD QUALITY CONTROL

# A. Tests and Inspections:

- 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
- 2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
- 3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal and at individual ground rods. Make tests at ground rods before any conductors are connected.
  - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
  - b. Perform tests by fall-of-potential method according to IEEE 81.
- 4. Prepare dimensioned Drawings locating each ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.
- B. Grounding system will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

**END OF SECTION 260526** 

#### SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

# PART 1 - GENERAL

#### 1.1 SUMMARY

# A. Section Includes:

- 1. Conduit and cable support devices.
- 2. Support for conductors in vertical conduit.
- 3. Mounting, anchoring, and attachment components, including powder-actuated fasteners, mechanical expansion anchors, concrete inserts, clamps, through bolts, toggle bolts, and hanger rods.

# PART 2 - PRODUCTS

# 2.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- B. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for non-armored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.
- C. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - 1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened Portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
  - 2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened Portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
  - 3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
  - 4. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
  - 5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM F3125/F3125M, Grade A325.
  - 6. Toggle Bolts: Steel springhead type.

7. Hanger Rods: Threaded steel.

# 2.2 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.

# **PART 3 - EXECUTION**

# 3.1 APPLICATION

- A. Comply with the following standards for application and installation requirements of hangers and supports, except where requirements on Drawings or in this Section are stricter:
  - 1. NECA 1.
  - 2. NECA 101
  - 3. NECA 102.
  - 4. NECA 105.
  - 5. NECA 111.
- B. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT, IMC, and RMC as required by NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- C. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with two-bolt conduit clamps. Retain paragraph below for projects where seismic design requirements do not apply. Consider retaining for light-commercial projects only.
- D. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch and smaller raceways serving branch circuits and communication systems above suspended ceilings, and for fastening raceways to trapeze supports.

# 3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.

- C. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
  - 6. To Steel: Welded threaded studs complying with AWS D1.1/D1.1M, with lock washers and nuts.
  - 7. To Light Steel: Sheet metal screws.
  - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate by means that comply with seismic-restraint strength and anchorage requirements.
- D. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

END OF SECTION 260529

### SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL AND IT WIRING SYSTEMS

# PART 1 - GENERAL

# 1.1 SUMMARY

# A. Section Includes:

- 1. Metal conduits and fittings.
- 2. Metal wireways and auxiliary gutters.
- 3. Boxes, enclosures, and cabinets.

# 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

# PART 2 - PRODUCTS

# 2.1 METAL CONDUITS AND FITTINGS

#### A. Metal Conduit:

- 1. Listing and Labeling: Metal conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- 2. GRC: Comply with ANSI C80.1 and UL 6.
- 3. IMC: Comply with ANSI C80.6 and UL 1242.
- 4. EMT: Comply with ANSI C80.3 and UL 797.
- 5. FMC: Comply with UL 1; zinc-coated steel.
- 6. LFMC: Flexible steel conduit with PVC jacket and complying with UL 360.

# B. Metal Fittings: Comply with NEMA FB 1 and UL 514B.

- 1. Listing and Labeling: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- 2. Fittings, General: Listed and labeled for type of conduit, location, and use.
- 3. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 1203 and NFPA 70.
- 4. Expansion Fittings: Steel to match conduit type, complying with UL 651, rated for environmental conditions where installed, and including flexible external bonding jumper.

# 2.2 METAL WIREWAYS AND AUXILIARY GUTTERS

- A. Description: Sheet metal, complying with UL 870 and NEMA 250.
  - 1. Metal wireways installed outdoors shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.

# 2.3 BOXES, ENCLOSURES, AND CABINETS

- A. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets installed in wet locations shall be listed for use in wet locations.
- B. Sheet Metal Outlet and Device Boxes: Comply with NEMA OS 1 and UL 514A.
- C. Cast-Metal Outlet and Device Boxes: Comply with NEMA FB 1, ferrous alloy, Type FD, with gasketed cover.
- D. Metal Floor Boxes:
  - 1. Material: Cast metal.
  - 2. Type: Fully adjustable.
  - 3. Shape: Rectangular.
  - 4. Listing and Labeling: Metal floor boxes shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- E. Luminaire Outlet Boxes: Nonadjustable, designed for attachment of luminaire weighing 50 lb. Outlet boxes designed for attachment of luminaires weighing more than 50 lb. shall be listed and marked for the maximum allowable weight.
- F. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.
- G. Cast-Metal Access, Pull, and Junction Boxes: Comply with NEMA FB 1 and UL 1773, cast aluminum with gasketed cover.
- H. Box extensions used to accommodate new building finishes shall be of same material as recessed box.
- I. Device Box Dimensions: 4 inches square by 2-1/8 inches deep.
- J. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, with continuous-hinge cover with flush latch unless otherwise indicated.
  - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
  - 2. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.

# K. Cabinets:

- 1. NEMA 250, galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
- 2. Hinged door in front cover with flush latch and concealed hinge.
- 3. Key latch to match panelboards.
- 4. Metal barriers to separate wiring of different systems and voltage.
- 5. Accessory feet where required for freestanding equipment. in

# **PART 3 - EXECUTION**

# 3.1 RACEWAY APPLICATION

- A. Outdoors: Apply raceway products as specified below unless otherwise indicated:
  - 1. Exposed Conduit: GRC.
  - 2. Concealed Conduit, Aboveground: IMC.
  - 3. Underground Conduit: RNC, Type EPC-40-PVC, Type EPC-80-PVC.
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
  - 5. Boxes and Enclosures, Aboveground: NEMA 250, Type 3R.
- B. Indoors: Apply raceway products as specified below unless otherwise indicated.
  - 1. Exposed, Not Subject to Physical Damage: EMT.
  - 2. Exposed, Not Subject to Severe Physical Damage: EMT.
  - 3. Concealed in Ceilings and Interior Walls and Partitions: EMT.
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC, except use LFMC in damp or wet locations.
  - 5. Damp or Wet Locations: GRC.
  - 6. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4 stainless steel in institutional and commercial kitchens and damp or wet locations.
- C. Minimum Raceway Size: 3/4-inch trade size for electrical work; <sup>3</sup>/<sub>4</sub> inch EMT for Information Technology (IT) applications.
- D. Raceway Fittings: Compatible with raceways and suitable for use and location.
  - 1. Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings unless otherwise indicated. Comply with NEMA FB 2.10.
  - 2. EMT: Use setscrew fittings. Comply with NEMA FB 2.10.
  - 3. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.
- E. Do not install aluminum conduits, boxes, or fittings in contact with concrete or earth.
- F. Install surface raceways only where indicated on Drawings. Unless otherwise indicated, all wiring is to be concealed in walls/ceilings.

# 3.2 INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.
- B. Keep raceways at least 6 inches from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- C. Arrange stub-ups so curved portions of bends are not visible above finished slab.
- D. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of change in direction.
- E. Make bends in raceway using large-radius preformed ells. Field bending shall be according to NFPA 70 minimum radii requirements. Use only equipment specifically designed for material and size involved.
- F. Conceal conduit and EMT within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.
- G. Support conduit within 12 inches of enclosures to which attached.
- H. Raceways Embedded in Slabs:
  - 1. Run conduit larger than 1-inch trade size, parallel or at right angles to main reinforcement. Where at right angles to reinforcement, place conduit close to slab support. Secure raceways to reinforcement at maximum 10-foot intervals.
  - 2. Arrange raceways to cross building expansion joints at right angles with expansion fittings.
  - 3. Arrange raceways to keep a minimum of 1 inch of concrete cover in all directions.
  - 4. Do not embed threadless fittings in concrete unless specifically approved by Architect for each specific location.
- I. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- J. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors including conductors smaller than No. 4 AWG.
- K. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat metal bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service conduits.
- L. Install pull wires in empty raceways installed for Information Technology (IT). Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.

# M. Surface Raceways:

- 1. Install surface raceway with a minimum 2-inch radius control at bend points.
- 2. Secure surface raceway with screws or other anchor-type devices at intervals not exceeding 48 inches and with no less than two supports per straight raceway section. Support surface raceway according to manufacturer's written instructions. Tape and glue are not acceptable support methods.
- N. Install raceway sealing fittings at accessible locations according to NFPA 70 and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces.
- O. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:
  - 1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
  - 2. Where an underground service raceway enters a building or structure.
  - 3. Conduit extending from interior to exterior of building.
  - 4. Conduit extending into pressurized duct and equipment.
  - 5. Conduit extending into pressurized zones that are automatically controlled to maintain different pressure set points.
  - 6. Where otherwise required by NFPA 70.

# P. Expansion-Joint Fittings:

- 1. Install in each run of aboveground RNC that is located where environmental temperature change may exceed 30 deg. F and that has straight-run length that exceeds 25 feet.
- 2. Install type and quantity of fittings that accommodate temperature change listed for each of the following locations:
  - a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
  - b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
  - c. Indoor Spaces Connected with Outdoors without Physical Separation: 125 deg F temperature change.
- 3. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per degree F of temperature change for PVC conduits.
- 4. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
- 5. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.
- Q. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 36 inches of flexible conduit for recessed and semi-recessed luminaires, equipment subject to vibration, noise transmission, or movement; and for transformers and motors.
  - 1. Use LFMC in damp or wet locations subject to severe physical damage.
  - 2. Use LFMC or LFNC in damp or wet locations not subject to severe physical damage.

- R. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to center of box unless otherwise indicated.
- S. Recessed Boxes in Masonry Walls: Saw-cut opening for box in center of cell of masonry block, and install box flush with surface of wall. Prepare block surfaces to provide a flat surface for a raintight connection between the box and cover plate or the supported equipment and box.
- T. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.
- U. Locate boxes so that cover or plate will not span different building finishes.
- V. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.
- W. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.
- X. Set metal floor boxes level and flush with finished floor surface.
- Y. Set nonmetallic floor boxes level. Trim after installation to fit flush with finished floor surface.
  - 1. Underground Warning Tape: Comply with requirements in Section 260553 "Identification for Electrical Systems."

# 3.3 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies."

# 3.4 FIRESTOPPING

A. Install firestopping at penetrations of fire-rated floor and wall assemblies.

#### 3.5 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
  - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

END OF SECTION 260533

# SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

#### PART 1 - GENERAL

# 1.1 SUMMARY

#### A. Section Includes:

- 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
- 2. Sleeve-seal systems.
- 3. Sleeve-seal fittings.
- 4. Grout.
- 5. Silicone sealants.

# 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

# PART 2 - PRODUCTS

# 2.1 SLEEVES

# A. Wall Sleeves:

- 1. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
- 2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.
- C. Sleeves for Rectangular Openings:
  - 1. Material: Galvanized sheet steel.
  - 2. Minimum Metal Thickness:
    - a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
    - b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

# 2.2 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
  - 1. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  - 2. Pressure Plates: Carbon steel.
  - 3. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating, of length required to secure pressure plates to sealing elements.

#### 2.3 SLEEVE-SEAL FITTINGS

A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.

# 2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

# 2.5 SILICONE SEALANTS

- A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

# PART 3 - EXECUTION

# 3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

A. Comply with NECA 1.

- B. Comply with NEMA VE 2 for cable tray and cable penetrations.
- C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint.
    - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
  - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed.
  - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
  - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level.
- D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:
  - 1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.
- E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.
- F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

# 3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

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# 3.3 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Using grout, seal the space around outside of sleeve-seal fittings.

END OF SECTION 260544

#### SECTION 262416 - PANELBOARDS

# 1.1 SUMMARY

# A. Section Includes:

- Lighting and appliance branch-circuit panelboards complete with surge-protective device, 200 Amp/220 V Single phase main breaker and of size indicated on drawings, and breakers. 42 spaces minimum.
- 2. Panel and breakers shall be minimum 22,000 AIC-rated and shall be manufactured by Siemens, Square D, or equal manufacturer approved in writing prior to receipt of bids.
- 3. Install and label circuits in compliance with the Indiana Electrical Code, current edition.

# 1.2 DEFINITIONS

- A. MCCB: Molded-case circuit breaker.
- B. SPD: Surge protective device.

# 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of panelboard.
- B. Shop Drawings: For each panelboard and related equipment.
  - 1. Include dimensioned plans, elevations, sections, and details.
  - 2. Detail enclosure types including mounting and anchorage, environmental protection, knockouts, corner treatments, covers and doors, gaskets, hinges, and locks.
  - 3. Detail bus configuration, current, and voltage ratings.
  - 4. Short-circuit current rating of panelboards and overcurrent protective devices.
  - 5. Include evidence of NRTL listing for series rating of installed devices.
  - 6. Include evidence of NRTL listing for SPD as installed in panelboard.
  - 7. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
  - 8. Include wiring diagrams for power, signal, and control wiring.
  - 9. Key interlock scheme drawing and sequence of operations.
  - 10. Include time-current coordination curves for each type and rating of overcurrent protective device included in panelboards.

# 1.4 INFORMATIONAL SUBMITTALS

A. Panelboard schedules for installation in panelboards.

# 1.5 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

#### 1.6 FIELD CONDITIONS

- A. Service Conditions: NEMA PB 1, usual service conditions, as follows:
  - 1. Ambient temperatures within limits specified: -20 to +120 degrees F ambient temperature.

#### 1.7 WARRANTY

A. Manufacturer's Warranty: Manufacturer agrees to repair or replace panelboards that fail in materials or workmanship within specified warranty period.

# PART 2 - PRODUCTS

# 2.1 PANELBOARDS COMMON REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with NEMA PB 1.
- C. Comply with NFPA 70.
- D. Enclosures: Dead-front cabinets.
  - 1. Rated for environmental conditions at installed location.
    - a. Indoor Dry and Clean Locations: NEMA 250, Type 1
  - 2. Height: 84 inches maximum.
  - 3. Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box. Trims shall cover all live parts and shall have no exposed hardware.
  - 4. Hinged Front Cover: Entire front trim hinged to box and with standard door within hinged trim cover. Trims shall cover all live parts and shall have no exposed hardware.
- E. Phase, Neutral, and Ground Buses: Hard-drawn copper, 98 percent conductivity.
- F. Conductor Connectors: Suitable for use with conductor material and sizes.
  - 1. Material: Hard-drawn copper, 98 percent conductivity.

- 2. Main and Neutral Lugs: Compression type, with a lug on the neutral bar for each pole in the panelboard.
- 3. Ground Lugs and Bus-Configured Terminators: Compression type, with a lug on the bar for each pole in the panelboard.
- 4. Feed-Through Lugs: Compression type, suitable for use with conductor material. Locate at opposite end of bus from incoming lugs or main device.
- 5. Sub-feed (Double) Lugs: Compression type suitable for use with conductor material. Locate at same end of bus as incoming lugs or main device.
- G. NRTL Label: Panelboards shall be labeled by an NRTL acceptable to authority having jurisdiction for use as service equipment with one or more main service disconnecting and overcurrent protective devices.
- H. Future Devices: Panelboards shall have mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.
- I. Panelboard Short-Circuit Current Rating: Rated for series-connected system with integral or remote upstream overcurrent protective devices and labeled by an NRTL. Include label or manual with size and type of allowable upstream and branch devices listed and labeled by an NRTL for series-connected short-circuit rating.
- J. Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical short-circuit current available at terminals. Assembly listed by an NRTL for 100 percent interrupting capacity.

# 2.2 PERFORMANCE REQUIREMENTS

A. Surge Suppression: Factory installed as an integral part of indicated panelboards, complying with UL 1449 SPD Type 1.

# 2.3 POWER PANELBOARDS

- A. Panelboards: NEMA PB 1, distribution type.
- B. Doors: Secured with vault-type latch with tumbler lock; keyed alike.
  - 1. For doors more than 36 inches high, provide two latches, keyed alike.
- C. Mains: Circuit breaker.
- D. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes 125 A and Smaller: Plug-in circuit breakers.
- E. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes Larger Than 125 A: Bolt-on circuit breakers.
- F. Branch Overcurrent Protective Devices: Fused switches.
- G. Contactors in Main Bus: NEMA ICS 2, Class A, general-purpose controller, with same short-circuit interrupting rating as panelboard.

# 2.4 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.
- B. Mains: Circuit breaker.
- C. Branch Overcurrent Protective Devices: Plug-in circuit breakers, replaceable without disturbing adjacent units.
- D. Contactors in Main Bus: NEMA ICS 2, Class A general-purpose controller, with same short-circuit interrupting rating as panelboard.
- E. Doors: Concealed hinges; secured with flush latch with tumbler lock; keyed alike.
- F. Column-Type Panelboards: Single row of overcurrent devices with narrow gutter extension and overhead junction box equipped with ground and neutral terminal buses.

#### 2.5 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. MCCB: Comply with UL 489, with interrupting capacity to meet available fault currents.
  - 1. Thermal-Magnetic Circuit Breakers:
    - a. Inverse time-current element for low-level overloads.
    - b. Instantaneous magnetic trip element for short circuits.
    - c. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
  - 2. Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
  - 3. Electronic Trip Circuit Breakers:
    - a. RMS sensing.
    - b. Field-replaceable rating plug or electronic trip.
    - c. Digital display of settings, trip targets, and indicated metering displays.
    - d. Multi-button keypad to access programmable functions and monitored data.
    - e. Ten-event, trip-history log. Each trip event shall be recorded with type, phase, and magnitude of fault that caused the trip.
    - f. Integral test jack for connection to portable test set or laptop computer.
    - g. Field-Adjustable Settings:
      - 1) Instantaneous trip.
      - 2) Long- and short-time pickup levels.
      - 3) Long and short time adjustments.
      - 4) Ground-fault pickup level, time delay, and I squared T response.
  - 4. Current-Limiting Circuit Breakers: Frame sizes 400 A and smaller; let-through ratings less than NEMA FU 1, RK-5.
  - 5. GFCI Circuit Breakers: Single- and double-pole configurations with Class A ground-fault protection (6-mA trip).
  - 6. GFEP Circuit Breakers: Class B ground-fault protection (30-mA trip).

- 7. Arc-Fault Circuit Interrupter Circuit Breakers: Comply with UL 1699; 120/240-V, single-pole configuration.
- 8. Sub-feed Circuit Breakers: Vertically mounted.
- 9. MCCB Features and Accessories:
  - a. Standard frame sizes, trip ratings, and number of poles.
  - b. Breaker handle indicates tripped status.
  - c. UL listed for reverse connection without restrictive line or load ratings.
  - d. Lugs: Compression style, suitable for number, size, trip ratings, and conductor materials.
  - e. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and HID lighting circuits.
  - f. Ground-Fault Protection: Integrally mounted relay and trip unit with adjustable pickup and time-delay settings, push-to-test feature, and ground-fault indicator.
  - g. Handle Padlocking Device: Fixed attachment, for locking circuit-breaker handle in off position.
  - h. Handle Clamp: Loose attachment, for holding circuit-breaker handle in on position.

# 2.6 IDENTIFICATION

- A. Panelboard Label: Manufacturer's name and trademark, voltage, amperage, number of phases, and number of poles shall be located on the interior of the panelboard door.
- B. Breaker Labels: Faceplate shall list current rating, UL and IEC certification standards, and AIC rating.
- C. Circuit Directory: Directory card inside panelboard door, mounted in transparent card holder.

# 2.7 ACCESSORY COMPONENTS AND FEATURES

A. Portable Test Set: For testing functions of solid-state trip devices without removing from panelboard. Include relay and meter test plugs suitable for testing panelboard meters and switchboard class relays.

# **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Install panelboards and accessories according to NECA 407.
- C. Mount top of trim 90 inches above finished floor unless otherwise indicated.
- D. Mount panelboard cabinet plumb and rigid without distortion of box.

- E. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.
- F. Install overcurrent protective devices and controllers not already factory installed.
  - 1. Set field-adjustable, circuit-breaker trip ranges.
- G. Make grounding connections and bond neutral for services and separately derived systems to ground. Make connections to grounding electrodes, separate grounds for isolated ground bars, and connections to separate ground bars.
- H. Install filler plates in unused spaces.
- I. Stub four 1-inch empty conduits from panelboard into accessible ceiling space or space designated to be ceiling space in the future. Stub four 1-inch empty conduits into raised floor space or below slab not on grade.
- J. Arrange conductors in gutters into groups and bundle and wrap with wire ties.

# 3.2 IDENTIFICATION

- A. Create a directory to indicate installed circuit loads; incorporate Owner's final room designations. Obtain approval before installing. Handwritten directories are not acceptable. Install directory inside panelboard door.
- B. Panelboard Nameplates: Label each panelboard with a nameplate.
- C. Device Nameplates: Label each branch circuit device in power panelboards.
- D. Install warning signs complying with applicable state laws.

# 3.3 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Acceptance Testing Preparation:
  - 1. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- C. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection and electrical test for low-voltage air circuit breakers stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- D. Panelboards will be considered defective if they do not pass tests and inspections.

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E. Prepare test and inspection reports, including a certified report that identifies panelboards included and that describes scanning results, with comparisons of the two scans. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

END OF SECTION 262416

#### SECTION 265213 - EMERGENCY AND EXIT LIGHTING

# PART 1 - GENERAL

# 1.1 SUMMARY

- A. Section Includes:
  - 1. Emergency lighting units.
  - 2. Exit signs.

# 1.2 DEFINITIONS

- A. CCT: Correlated color temperature.
- B. CRI: Color Rendering Index.
- C. Emergency Lighting Unit: A lighting unit with integral or remote emergency battery powered supply and the means for controlling and charging the battery and unit operation.
- D. Fixture: See "Luminaire" Paragraph.
- E. Lumen: Measured output of lamp and luminaire, or both.
- F. Luminaire: Complete lighting unit, including lamp, reflector, and housing.

# 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of emergency lighting unit, exit sign, and emergency lighting support, arranged by designation.
- B. Shop Drawings: For nonstandard or custom luminaires.
  - 1. Include plans as well as mounting and attachment details.
  - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection
  - 3. Include diagrams for power, signal, and control wiring.

# 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, coordinated with each other, using input from installers of the items involved:
- B. Product Certificates: For each type of luminaire.

- C. Seismic Qualification Data: Certificates, for luminaires, accessories, and components, from manufacturer.
- D. Manufacturer's Warranty.

# 1.5 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

# 1.6 CONTRACTOR'S WARRANTY

A. The contractor agrees to repair or replace components of luminaires that fail in materials or workmanship within specified warranty period of 1 year.

### PART 2 - PRODUCTS

# 2.1 GENERAL REQUIREMENTS FOR EMERGENCY LIGHTING

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. NRTL Compliance: Fabricate and label emergency lighting units, exit signs, and batteries to comply with UL 924.
- C. Comply with NFPA 70 and NFPA 101.
- D. Comply with NEMA LE 4 for recessed luminaires.
- E. Comply with UL 1598 for recessed luminaires.
- F. Internal Type Emergency Power Unit: Self-contained, modular, battery-inverter unit, factory mounted within luminaire body.
  - 1. Emergency Connection: Connect un-switched circuit to battery-inverter unit and switched circuit to fixture ballast.
  - 2. Operation: Relay automatically turns lamp on when power-supply circuit voltage drops to 80 percent of nominal voltage or below. Lamp automatically disconnects from battery when voltage approaches deep-discharge level. When normal voltage is restored, relay disconnects lamps from battery, and battery is automatically recharged and floated on charger.
  - 3. Test Push-Button and Indicator Light: Visible and accessible without opening fixture or entering ceiling space.
    - a. Push Button: Push-to-test type, in unit housing, simulates loss of normal power and demonstrates unit operability.
    - b. Indicator Light: LED indicates normal power on. Normal glow indicates trickle charge; bright glow indicates charging at end of discharge cycle.

- 4. Battery: Sealed, maintenance-free, nickel-cadmium type.
- 5. Charger: Fully automatic, solid-state, constant-current type with sealed power transfer relay.
- 6. Integral Self-Test: Factory-installed electronic device automatically initiates coderequired test of unit emergency operation at required intervals. Test failure is annunciated by an integral audible alarm and a flashing red LED.
- G. External Type: Self-contained, modular, battery-inverter unit, suitable for powering one or more lamps, remote mounted from luminaire.
  - 1. Connect un-switched circuit to battery-inverter unit and switched circuit to luminaire.
  - 2. Operation: Relay automatically turns lamp on when power-supply circuit voltage drops to 80 percent of nominal voltage or below. Lamp automatically disconnects from battery when voltage approaches deep-discharge level. When normal voltage is restored, relay disconnects lamps from battery, and battery is automatically recharged and floated on charger.
  - 3. Nightlight Connection: Operate lamp in a remote fixture continuously.
  - 4. Battery: Sealed, maintenance-free, nickel-cadmium type.
  - 5. Charger: Fully automatic, solid-state, constant-current type.
  - 6. Housing: NEMA 250, Type 1 enclosure listed for installation inside, on top of, or remote from luminaire.
  - 7. Test Push Button: Push-to-test type, in unit housing, simulates loss of normal power and demonstrates unit operability.
  - 8. LED Indicator Light: Indicates normal power on. Normal glow indicates trickle charge; bright glow indicates charging at end of discharge cycle.
  - 9. Integral Self-Test: Factory-installed electronic device automatically initiates coderequired test of unit emergency operation at required intervals. Test failure is annunciated by an integral audible alarm and a flashing red LED.

#### 2.2 EMERGENCY LIGHTING

- A. Emergency Luminaires and Emergency Lighting Units:
  - 1. Provide where indicated, or required by Indiana Code, with the following additional features:
    - a. Operating at nominal voltage as indicated on the plans or required for the specific installation.

# 2.3 EXIT SIGNS

- A. Internally Lighted Signs:
  - 1. Operating at nominal voltage as indicated on the plans or required for the specific installation.
  - 2. Lamps for AC Operation: LEDs; 50,000 hours minimum rated lamp life.
  - 3. Self-Powered Exit Signs (Battery Type): Internal emergency power unit.

# 2.4 MATERIALS

#### A. Metal Parts:

- 1. Free of burrs and sharp corners and edges.
- 2. Sheet metal components shall be steel unless otherwise indicated.
- 3. Form and support to prevent warping and sagging.

# B. Doors, Frames, and Other Internal Access:

- 1. Smooth operating, free of light leakage under operating conditions.
- 2. Designed to permit re-lamping without use of tools.
- 3. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during re-lamping and when secured in operating position.

# C. Diffusers and Globes:

- 1. Retain "Glass" Subparagraph below if glass option is chosen in "Diffusers and Globes" Paragraph.
- 2. Glass: Annealed crystal glass unless otherwise indicated.
- 3. Acrylic: 100 percent virgin acrylic plastic, with high resistance to yellowing and other changes due to aging, exposure to heat, and UV radiation.
- 4. Lens Thickness: At least 0.125 inch minimum unless otherwise indicated.

# 2.5 METAL FINISHES

A. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

# **PART 3 - EXECUTION**

# 3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- C. Install lamps in each luminaire.

# D. Supports:

- 1. Sized and rated for luminaire and emergency power unit weight.
- 2. Able to maintain luminaire position when testing emergency power unit.
- 3. Provide support for luminaire and emergency power unit without causing deflection of ceiling or wall.

4. Luminaire-mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire and emergency power unit weight and vertical force of 400 percent of fixture weight.

# E. Wall-Mounted Luminaire Support:

1. Install per manufacturer's installation instructions. Do not attach directly to drywall.

# F. Suspended Luminaire Support:

- 1. Pendants and Rods: Where longer than 48 inches, brace to limit swinging.
- 2. Stem-Mounted, Single-Unit Fixtures: Suspend with twin-stem hangers. Support with approved outlet box and accessories that hold stem and provide damping of fixture oscillations. Support outlet box vertically to building structure using approved devices.
- 3. Continuous Rows of Luminaires: Use tubing or stem for wiring at one point and rod or wire support for suspension for each unit length of fixture chassis, including one at each end.
- 4. Do not use ceiling grid as support for pendant luminaires. Connect support wires or rods to building structure.

# G. Ceiling Grid Mounted Luminaires:

- 1. Secure to any required outlet box.
- 2. Secure emergency power unit using approved fasteners in a minimum of four locations, spaced near corners of emergency power unit.
- H. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

# 3.2 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.
- B. Luminaire will be considered defective if it does not pass operation tests and inspections.
- C. Prepare test and inspection reports.

END OF SECTION 265213

#### **SECTION 310000**

# EXCAVATING, BACKFILLING AND COMPACTING

#### PART 1 - GENERAL

# 1.01 WORK INCLUDED:

A. Trench Excavating, Backfilling and Compacting.

# 1.02 PROTECTION:

- A. The Contractor will be held accountable and responsible for the sufficiency of all sheeting and bracing used, and for all damages to persons and property resulting from the improper quality, strength, placing, maintaining or removing of the same. This includes damage to trees, sidewalks, and other property in the street area, as well as on private grounds. In no case shall sheeting be removed until the trench backfill has reached within two feet of the top of the trench, except that the lower course of sheeting may be removed from a double sheeted trench. In all cases, sheeting shall be driven ahead of excavation.
- B. All trenches five feet or greater in depth shall utilize trench safety systems in compliance with IOSHA Regulations 29C.F.R.1926, Subpart P. All costs associated with the use of said safety systems shall be incorporated into the Contractor's lump sum bid for the project.
- C. Work passing through a wooded area or near landscaping trees or shrubs shall be performed in a way to do as little damage as possible. Routes through wooded areas shall be coordinated with a representative of the Owner. Trenches shall stay at least 10 feet away from landscaping trees unless approved by the Designer.
- D. Where pipes, conduits, or cables cross the trench, the Contractor shall support these pipes, conduits or cables without damage to them and without interrupting their use during the progress of the work. The manner of supporting shall be subject to the approval of the Designer or Inspector for the utility involved.
- E. Keep open excavations free of water, both surface and subterranean by use of pumps and earth damming around such excavations to drain surface water away from the excavations.
- F. Protect open excavations by lighted barricades or railings to prevent injury to personnel.

# 1.03 MAINTENANCE OF TRAFFIC DURING CONSTRUCTION:

A. Contractor may, at his option, bore or open cut all pavements to be crossed, unless specified otherwise on the plans.

B. Single lane service roads and driveways may be closed to traffic for short periods of time if the Owner is given a minimum of 12 hours advance notice. As soon as the required work is completed, temporary repairs shall be made to allow use of these roads and drives.

# 1.04 EQUIPMENT CONDITION

A. The Contractor SHALL power wash any mechanical equipment or vehicle to be used on the job site to remove all mud and debris prior to transportation to the site. This is necessary to prevent contamination by invasive species seeds that may be attached to the equipment. The Contractor SHALL NOT unload the equipment on site without prior visual inspection by the Property Manager. No other vehicles/machines shall be permitted in the project area. All other equipment or project related vehicles must be parked in specified parking areas.

# 1.05 REFERENCE STANDARDS:

A. I.D.O.T.S.S. (Indiana Department of Transportation Standard Specifications).

# PART 2 - PRODUCTS

# 2.01 GRANULAR FILL:

- A. Granular fill shall be either a "B" borrow or a fine aggregate.
- B. By weight, a minimum of 90% shall pass the No. 4 sieve and a maximum of 8% shall pass the 200 sieve.

# 2.02 GENERAL BACKFILL:

- A. Unless specified otherwise, or unless the material excavated does not meet the requirements for a specific location, it is intended that trench backfill shall be the material excavated.
- B. If the material excavated does not meet the requirements, the Contractor may, at his option, modify the existing material to meet the requirements or supply granular fill.

#### 2.03 TOPSOIL:

A. Topsoil (where landscape backfilling is indicated on the plans) shall be material excavated from the top 6" of the trench or material supplied by the Contractor and meeting the requirements of Section 02933 Seeding.

# 2.04 PAVEMENT REPAIR MATERIALS:

- A. Crushed stone or crushed gravel shall be #53 as specified in I.D.O.T.S.S. Section 903.02.
- B. All trenches cutting existing asphalt pavement shall be repaired with hot asphaltic concrete base material (No. 8 or No. 9) and hot asphaltic concrete surface material. All materials shall meet the requirements of I.D.O.T.S.S. Section 405 and Section 02511 Paving and Surfacing.
- B. All trenches cutting existing asphalt pavement shall be repaired with hot asphaltic concrete binder Type No. 8 as specified in I.D.O.T.S.S. Section 405.
- C. Filter fabric shall be nonwoven filter fabric as specified in I.D.O.T.S.S. Section 9122.18.

# PART 3 - EXECUTION

# 3.01 GENERAL EXCAVATION:

- A. During excavation, material suitable for backfilling shall be piled in an orderly manner a sufficient distance from the banks of the trench to avoid overloading and to prevent slides or cave-ins. Where topsoil is required for later reuse, it shall be piled separately from other excavated material.
- B. Open-cut trenches shall be sheeted and braced as required by governing state laws and as necessary to protect life, property, or work.
- C. All boulders, waste, and excess excavations shall be hauled away from the property and legally disposed. Within ten (10) days of the receipt of Notice to Proceed, the Contractor shall inform the Designer by letter, maps and/or sketches of the location of the disposal site. The Contractor shall be responsible to obtain all necessary permits and/or approvals for the disposal of materials within any protected areas.

# 3.02 TRENCH EXCAVATION:

- A. Excavate trenches to depth and width required to permit the installation of the work to the lines and dimensions indicated on the Drawings or as otherwise specified, except that the width of a pipeline trench shall not exceed 24 inches plus the pipe diameter at the top of the pipe.
- B. Bedding shall be provided with bell holes at each joint to permit proper jointing and support of the pipeline.
- C. The trench bottom shall be excavated to a minimum of 4 inches below the pipe. No extra payment will be allowed for over depth excavation.
- D. Material excavated from trenches suitable for backfill shall be stockpiled in an orderly manner and deposited a sufficient distance from the trench bank to avoid overloading and to prevent slides or cave-ins.

# 3.03 TRENCH BACKFILL:

- A. Below finished pipe location to 6 inches above pipe:
  - 1. Granular fill.
  - 2. Sufficiently damp to permit thorough compaction.

- B. Six inches above pipe to 1 foot above pipe:
  - 1. General backfill free from rocks larger than 1".
- C. One foot above pipe to finish grade:
  - 1. General backfill free from rocks larger than 8".
  - 2. Top 6" to be topsoil where landscape backfilling is indicated on the plans.

# D. Paved areas:

- 1. Trenches cutting or within 5 feet of gravel, stone, or asphalt surfaces shall be backfilled with granular fill below the surface repair material.
- E. Operations of earth work shall be suspended when satisfactory results cannot be obtained because of rain, freezing weather or other unsatisfactory condition.

# 3.04 COMPACTION:

- A. Granular fill used in areas below the specified grade shall be placed in 4" layers and thoroughly tamped as directed by the Designer so as to provide a uniform and continuous bearing and support for the pipe between bell or coupling holes.
- B. Granular backfill shall be compacted. Layers shall not exceed 4" thick after compaction. Backfill shall be sufficiently damp to permit thorough compaction under and on each side of the pipe. Special care shall be taken to work material under the pipe for support.
- C. After backfilling, remove all excess material, regrade and leave the premises free, clear and in good order. The backfill may be mounded to allow for settlement unless landscape backfilling is required.
- D. Where it is indicated on the plans that compacted backfill or landscape backfilling is required, the Contractor shall compact all backfill in the trench to such an extent that mounding for settlement is not required. Finish grade shall be at the same level as adjacent areas.
- E. All settlement in the backfill which takes place within one year warranty period specified in General Conditions shall be refilled and restored by the Contractor at his expense.

# 3.05 PAVEMENT REPAIR:

- A. Gravel or stone surfaces shall be repaired with 6" of #53 crushed stone or crushed gravel placed on top of filter fabric.
- B. Asphalt shall be saw cut 12" past the trench excavation.
- C. Hot asphaltic concrete pavement repair material shall be compacted either by hand or mechanical tampers after placement.
- D. Asphalt pavement surfaces shall be repaired with 6" of #53 crushed stone or gravel placed on top of filter fabric, 220 pounds per square yard of hot asphaltic concrete base material and 110 pounds per square yard of hot asphaltic concrete surface material.
- E. Asphalt pavement surfaces shall be repaired with 6" of #53 crushed stone or gravel placed on top of filter fabric and 330 pounds per square yard of hot asphaltic concrete binder.