



Mental Health Advisory Council (MHAC) Meeting Materials

FY 2023, Quarter 4

Prepared for the
Mental Health Advisory Council (MHAC) Meeting
November 15, 2023

Equality Through Advocacy

The Protection and Advocacy System for the State of Indiana

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**Indiana Protection & Advocacy Services Commission
Indiana Disability Rights
4755 Kingsway Dr., Suite 100
Indianapolis, IN 46205**

**MHAC Executive Session
Wednesday, November 15, 2023 – 12:00-12:30 pm, EST**

AGENDA

- I. Receive information about prospective appointees (Indiana Code 5-14-1.5-6.1(b)(5))
 - a. Kenisha Peden
 - b. Chelsea Puckett
- II. Discussion of strategy with respect to litigation (Indiana Code 5-14-1.5-6.1(b)(2)(B))
- III. Job performance evaluation of an individual employee (Indiana Code 5-14-1.5-6.1(b)(9))

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Equity Through Advocacy

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Indiana Protection & Advocacy Services Commission
Indiana Disability Rights
4755 Kingsway Dr., Suite 100
Indianapolis, IN 46205

Mental Health Advisory Council (MHAC)
Public Meeting – Wednesday, November 15, 2023 – 12:30pm, EST

AGENDA

- I. Call to Order
- II. Introductions
- III. Changes to Agenda, if any
- IV. Approval of MHAC Minutes* from August 16, 2023
- V. MHAC Membership and Terms*
 - a. Two pending applications* - 1 vacancy
 - i. Kenisha Peden
 - ii. Chelsea Puckett
- VI. IDR Executive Director Report: M. Keyes
 - a. Quarterly Report (handout)
 - b. Fiscal Report (handout)
- VII. Old Business:
 - a. Topics for discussion at future meetings
- VIII. New Business
 - a. PAC Report for PAIMI PPR
 - b. FY 2025-2027 Priorities & Objectives Planning
 - c. Scheduling FFY 2024 Meeting Dates (proposed)
 - i. Feb. 21, 2024
 - ii. May 29, 2024
 - iii. Aug. 28, 2024
 - iv. Nov. 20, 2024
- IX. Comments, announcements, other business
- X. Next Meeting Date TBD
- XI. Meeting Adjourns

***Voting Items**

###

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**Indiana Protection & Advocacy Services Commission
Indiana Disability Rights
4755 Kingsway Dr., Suite 100
Indianapolis, IN 46205**

**Mental Health Advisory Council (MHAC) – Executive Session - Virtual
Wednesday, August 16, 2023 – 12:00pm EST**

Minutes

- I. Receive information about prospective appointees (Indiana Code 5-14-1.5-6.1(b)(5))**
- II. Discussion of strategy with respect to litigation (Indiana Code 5-14-1.5-6.1(b)(2)(B))**

An Executive Session was held on Wednesday, August 16, 2023. No topics were discussed other than those identified on the meeting agenda.

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Indiana Protection & Advocacy Services Commission
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4755 Kingsway Dr., Suite 100
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Mental Health Advisory Council (MHAC) – Public Meeting - Virtual
Wednesday, August 16, 2023 – 12:30pm EST

Minutes

MHAC

Attendees: N. Beeman-Cadwallader, J. Loop-Miller, S. Moore, T. Oakerson, C. Thomas, R. Vilensky, K. Wilds

Absent: J. Antisdell, S. Bahler, L. Stevens

IDR

Attendees: K. Dulaney, M. Keyes, D. Morris

- I. The MHAC meeting was called to order at 12:37p.m. by R. Vilensky, MHAC Chair.
- II. Introduction of Members – N/A
- III. Changes to Agenda – N/A
- IV. Approval of MHAC Minutes* from May 17, 2023 – J. Loop-Miller motioned to accept/approve the minutes from the 5/17/23 MHAC meeting; C. Thomas seconded the motion. Motion carried by unanimous voice-vote to approve the minutes from the 5/17/23 MHAC meeting.
- V. MHAC Membership and Terms – It was decided to table this agenda item until the meeting being held in November.
- VI. IDR Executive Report – M. Keyes gave an overview of the documents that were previously distributed in meeting packets.
- VII. Old Business – FFY 2024 Priorities/Objectives – K. Dulaney gave a brief status overview. She commented that work on developing P&Os has begun. She stated that at the February 2024 meeting they'll review what issues/ideas MHAC members are seeing. At the May 2024 meeting, there will be a draft of the P&Os for review.
- VIII. New Business:
 - a. Know Your Rights – PSF Project: This project is wrapping-up this year.
 - b. Discussion Topic – R. Vilensky suggested that there be a “topic for discussion” at each MHAC meeting. This item was deferred until the February 2024 meeting.

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- IX. Comments, announcements, other business – T. Oakerson shared that he will be working for Wayne County as a Public Defender.
- X. Next Meeting Date: **Wednesday, November 15, 2023 (Virtual) – 12:00pm, Executive Session; 12:30pm, Public Meeting.**
- XI. R. Vilensky motioned that the meeting be adjourned; T. Oakerson seconded the motion. Motion carried by unanimous voice-vote. MHAC meeting adjourned at 1:10pm, (EST).

#####



Quarterly Report

FY 2023, Quarter 4

Prepared for the
Mental Health Advisory Council (MHAC) Meeting
November 15, 2023

and

Indiana Protection and Advocacy Services (IPAS)
Commission Meeting
November 17, 2023

Equity Through Advocacy

The Protection and Advocacy System for the State of Indiana



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Executive Director's Report

Key Updates

- The state is using a new Grants Management System called eCivis. The transition to the new system has been slow but we are hopeful that once it is fully implemented it will improve grant processing time and accuracy.
- Melissa Keyes was voted to join the NDRN Board of Directors as a Regional Representative Alternate. Melissa worked to change the NDRN Board's bylaws to include additional membership requirements for people with disabilities and people from marginalized communities.
- The state continues to change policies and processes that impact our agency. IOT is now requiring state employees to use ZoomGov accounts instead of professional Zoom accounts. This option has a significant added cost and IDR leadership is evaluating access.
- IDR's current case management system, PANDA, was sold to another company that will no longer service the product at the end of 2024. IDR is meeting with other PANDA users and exploring alternative case management products.

GOAL 1: IDR WILL COMPLY WITH ALL STATE AND FEDERAL REPORTING AND FINANCIAL PROCESSES.

- See the fiscal report for information on current grant balances and expenses.
- IDR completed all required federal and state quarterly reports. IDR continues to meet regularly with all federal funding agencies.
- IDR timely completed multiple requests from federal agencies for information.
- IDR timely completed federal draws.
- IDR completed the PABSS 2024 and PAIMI 2024 program applications.

GOAL 2: IDR WILL LEVERAGE OPPORTUNITIES TO INCREASE ITS CAPACITY.

- IDR is monitoring the federal budget discussions and is working with the state and federal agencies on plans to ensure continued operations in the event of a government shutdown.
- IDR continues to monitor state and federal resources for additional grant opportunities. There were no opportunities in line with IDR's mission during this quarter. IDR is reviewing Indiana's Victim of Crime Act (VOCA) programs and consulting with other P&As on how to best leverage this program as a possible funding source.
- IDR continues to advocate for full recovery of funds used to cover the Disability Law Fellow program. The funds have been approved by GCPD but is being held up in the state system.
- IDR currently has 6 vacancies with another staff member leaving at the end of November. We have developed a staffing plan and are working within the new state processes to begin posting positions soon, pending final approval of a federal budget.



GOAL 3: IDR WILL ENSURE ITS POLICIES AND PROCESSES ARE EQUITABLE, TRANSPARENT, AND ACCESSIBLE.

- IDR is working with SPD to do a job description audit. Following the salary adjustment in October 2022, many jobs were reclassified inappropriately.
- IDR is incorporating new state processes into its existing internal policies and processes.
- IDR's Executive Director responded to 4 appeals in Q4. None of the appeals requested Commission review. In FFY 2023, there were 11 appeals; 1 case was re-opened for fact-finding.

GOAL 4: EXECUTIVE DIRECTOR WILL SUPPORT IMPLEMENTATION OF THE COMMISSION'S STRATEGIC PLAN AND AGENCY PRIORITIES AND OBJECTIVES.

- Melissa Keyes supports the staff, Commission, and MHAC through direct supervision, technical assistance, connection to community resources, and facilitating access to programmatic needs.
- Project specific updates are included throughout the report.
- IDR staff are preparing for FFY 2025-2027 Priority and Objective development.

Diversity, Equity, and Inclusion Report

GOAL 1: IDR WILL PROVIDE SERVICES TO MORE PEOPLE WITH DISABILITIES FROM BLACK, INDIGENOUS, AND COMMUNITIES OF COLOR.

- In FY 2023, IDR staff completed 340.75 hours of professional development overall and 105.75 hours specifically on diversity and cultural competency training.
- Melissa Keyes met with four (4) new potential community partners to begin building relationships with organizations that primarily serve people from communities of color. IDR has started a rights education project with one of the organizations, discussed in more detail in DEI Goal 3.
- Carmen Ledezma attended a Binational Health Fair serving the Hispanic community in Frankfort, Indiana. This resulted in at least one case referral.
- IDR staff received training from Commissioner Ronelle Johnson about disability discrimination from her perspective.



GOAL 2: IDR STAFF, THE IPAS COMMISSION, AND MHAC MEMBERSHIP WILL REFLECT THE BROAD DIVERSITY OF INDIANA.

- IDR was ranked first (1) across all state agencies in the state's Pulse survey responses. This survey measures factors like engagement with your agency's mission, job flexibility, and inclusiveness.
- Amy Jarrett, IDR's Diversity and Cultural Competency Workgroup chair, received a scholarship to attend a conference in Tennessee titled, "Beyond Diversity Training: Leveraging Emotional Intelligence for Real Equity in the Workplace." Amy brought information from this conference back that will be implemented with staff.
- The IPAS Commission received training from Joseph Pinnell about Next Level Teams.
- IDR conducted a demographics survey of current staff, MHAC and Commission members. De-identified results are below.

	N	Disability	BIPOC
IDR Staff	28	10 (36%)	9 (32%)
MHAC	9	4 (44%)	1 (11%)
Commission	12	9 (75%)	5 (42%)

GOAL 3: IDR WILL STRENGTHEN ITS RELATIONSHIPS WITH ADVOCATES AND ORGANIZATIONS LED BY PEOPLE WITH DISABILITIES, PEOPLE FROM MARGINALIZED COMMUNITIES, AND PEOPLE WITH LIVED EXPERIENCES.

- IDR is working with Victory in the City to collaborate on educating kids about disability and disability rights through a quarterly series of assemblies.
- In late June, IDR staff attorney Tash Crespo raised concerns about proposed questions on the ACL One PPR (our annual report for several of our grants) regarding Sexual Orientation and Gender Identity (SOGI) demographics. Tash drafted comments and Melissa Keyes raised the issue with NDRN and ACL leadership. Melissa also engaged other DD Act partners on the issue as well. Several other P&As joined the issue and IDR signed on to their comments as well. As of September 29, ACL amended its guidance and interpretation to remove the harmful questions in favor of questions more in line with community expectations.



Client Demographics

Hispanic Ethnicity Demographics

	Q1 Count	Q1 Percent	Q2 Count	Q2 Percent	Q3 Count	Q3 Percent	Q4 Count	Q4 Percent	Total Count	Total Percent
Hispanic	7	4%	6	3%	4	2%	2	1%	19	2%
Not Hispanic	178	94%	197	92%	169	91%	161	91%	705	92%
Unknown	5	2%	10	5%	13	7%	14	8%	42	5%
Total	190		213		186		177		766	

Figure 1 – Client Hispanic Ethnicity Demographics

Gender/Sex Demographics

	Q1 Count	Q1 Percent	Q2 Count	Q2 Percent	Q3 Count	Q3 Percent	Q4 Count	Q4 Percent	Total Count	Total Percent
Female	79	42%	92	43%	74	40%	82	46%	327	43%
Male	107	56%	114	54%	101	54%	86	49%	408	53%
Other	0	0%	0	0%	1	1%	0	0%	1	0%
Unknown	0	0%	7	3%	0	0%	0	0%	7	1%
Blank	4	2%	0	0%	10	5%	9	5%	23	3%
Total	190		213		186		177		766	

Figure 2 – Client Gender/Sex Demographics



Race Demographics

	Q1 Count	Q1 Percent	Q2 Count	Q2 Percent	Q3 Count	Q3 Percent	Q4 Count	Q4 Percent	Total Count	Total Percent
Asian	2	1%	3	1%	2	1%	1	1%	8	1%
Black/African American	30	16%	33	15%	24	13%	21	12%	108	14%
Race Unknown	0	0%	0	0%	0	0%	0	0%	0	0%
White	143	75%	158	75%	141	76%	135	76%	577	75%
Blank	4	2%	8	4%	11	6%	12	7%	35	5%
Two or More Races	10	5%	8	4%	5	3%	6	3%	29	4%
Native Hawaiian/Other Pacific	0	0%	1	0%	1	<1%	0	0%	2	0%
American Indian/Alaskan Native/ Indigenous	0	0%	0	0%	0	0%	0	0%	0	0%
Unknown-Declined	1	1%	2	1%	2	1%	2	1%	7	1%
Total	190		213		186		177		766	

Figure 3 – Client Race Demographics

Age Demographics

	Q1 Count	Q1 Percent	Q2 Count	Q2 Percent	Q3 Count	Q3 Percent	Q4 Count	Q4 Percent	Total Count	Total Percent
1 to 3	2	1%	4	2%	1	<1%	0	0%	7	1%
4 to 10	15	8%	21	10%	23	12%	10	6%	69	9%
11 to 21	46	24%	51	24%	41	22%	31	18%	169	22%
22 to 40	44	23%	51	24%	43	23%	59	33%	197	26%
41 to 65	62	33%	69	33%	57	31%	61	34%	249	33%
66 to 80	14	7%	11	5%	9	5%	5	3%	39	5%
81 and above	3	2%	3	1%	3	2%	3	2%	12	2%
Blank	4	2%	2	1%	8	4%	7	4%	21	3%
Data errors	0	0%	1	0%	1	<1%	1	1%	3	0%
Total	190		213		186		177		766	

Figure 4 – Client Age Demographics



Priorities and Objectives Report

GOAL 1: PREVENTING, FINDING, AND STOPPING ABUSE, NEGLECT, AND EXPLOITATION OF PERSONS WITH DISABILITIES IN FACILITIES.

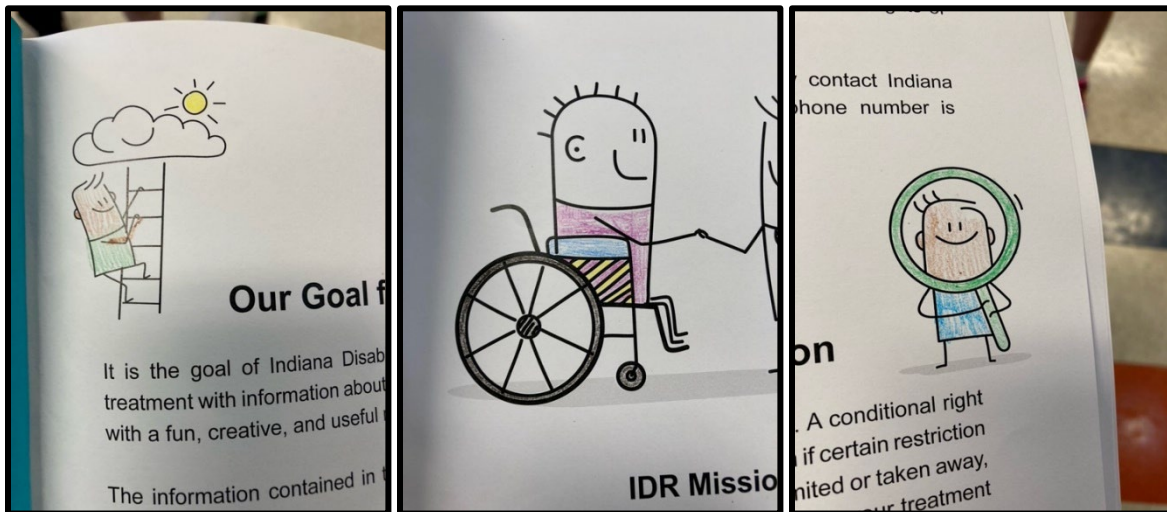
PRIORITIES TO ADDRESS:

1.1. Investigate facilities serving children with disabilities.

Educate

Know Your Rights Handbook

- 100 copies of the newly printed coloring book have been distributed to youth in residential treatment facilities. The feedback has been very positive.
- The coloring book was shared with the Deputy Director of DCS who shared the book and distribution information with all Residential Treatment Facilities.
- SAMSHA and PAIMI leadership were also provided a copy of the coloring book and commented that it was a wonderful example of rights education. Leadership shared it with other PAIMI program officers as a positive example to show other states.
- IDR attended rights meetings for youth currently at EPCC in early September to discuss the Know Your Rights coloring book. Some of the youth shared their colorings with IDR. See “Success Story – Goal 1” for more information.





Monitor and Investigate

Monitoring Project – PRTFs and PSFs.

	Q1	Q2	Q3	Q4	Total
Facilities visited	5	3	4	5	17
Reports filed with overseeing entities	1	0	0	1	2
Discharges assisted	1	0	0	1	2

Outcomes:

- Complaints related to the care and treatment of youth in residential facilities were reported to DCS, including racially based comments by staff, lack of staffing to provide outside recreation time, reports of religiously charged comments by the licensed teacher, rude staff, group punishment, and residents not able to keep personal possession or being notified of where those belongings are kept.
- IDR information was provided to youth and staff in all facilities.

Advocate

No current advocacy initiatives tied to this project.

1.2. Investigate or monitor for suspected abuse, neglect, exploitation, and rights violations in facilities or by a service provider.

Educate

Presentations

- Natasha Henry gave an overview of IDR's services to a total of 48 new staff members at the monthly ESH/EPCC New Staff Orientations. The presentation has been recorded for future use.
- Tina Frayer presented to 10 individuals from BDDS about IDR's services and discussed potential future collaboration.

Monitor and Investigate

Rep Payee

IDR presented 4 Rep Payee reviews to SSA which are now in end stages of review.

IDR ended its 2023 Rep Payee grant year with zero cases awaiting to be opened. This is a notable task as since the SSA Representative Payee program was reinstated in 2018, almost every P&A in the nation has been significantly behind on assignments and achieving benchmarks. IDR has set assignment goals and consistently achieved them to fully execute every assignment in a timely fashion; as such, IDR is anxiously awaiting assignment of both predictive and periodic cases in the coming quarter, beginning November 1.

Because Rep Payee reviewers have been with lower caseloads during this quarter, they've been participating in community diversity experiences, assisting with voter accessibility and being trained to assist with abuse, neglect and discharge.



Investigations

- 14 investigations were opened or still open.
- 3 investigations were conducted; 2 were substantiated.
- 1 investigation resulted in referral for additional action made.

Investigations in FY2023	Q1 Opened	Q1 Closed	Q2 Opened	Q2 Closed	Q3 Opened	Q3 Closed	Q4 Opened	Q4 Closed	YTD Open	YTD Closed
Client-to-Client Abuse	0	0	0	0	0	0	0	0	0	0
Death of Patient	0	0	0	2	0	0	0	1	0	3
Environmental & Facility Safety	0	1	1	0	0	0	0	0	1	1
Medication Errors	0	0	1	1	0	0	0	0	1	1
Neglect	2	0	2	2	1	2	2	2	7	6
Physical Abuse	1	1	0	1	0	1	0	0	1	3
Sexual Abuse	0	0	0	0	0	0	0	0	0	0
Unnecessary Restraint	0	0	0	0	0	0	0	0	0	0
Other Abuse	0	0	0	0	0	0	0	1	0	1
TOTAL	3	2	4	6	1	3	2	4	10	15

Monitoring – IDR conducted 27 monitoring visits at 9 facilities.

Monitoring Project – CRMNF Marion

- The facility has been decertified but continues to operate with fewer people receiving services.
- Staffing shortages continue to be at a critically low level, including inadequate staffing to cover the 1:1 level of supervision and general supervision of the units.
- There was a death, currently under investigation, that occurred during the decertification process. The individual was on a group outing when she passed away.
- Groups that are to be led by administrative staff are consistently not being provided.
- IDR staff continue to monitor and advocate for appropriate placement.

Advocate

Individual Representation

Referenced in 2.1



Success Story – Goal 1:

At EPCC, IDR reviewed the Know Your Rights coloring book with the youth. After the meetings, IDR was on the unit and one resident, who is 12, reported EPCC refused to allow her to access her personal books (young adult novels) unless she is on full privilege level. She flipped to the page about her right to have her personal belongings, then proclaimed that EPCC was violating her rights by restricting her access to her books. IDR discussed her options with her, and she requested assistance with filing a grievance, which was provided to her. She completed the form and cited the Indiana Code for that specific right, which she obtained from the list in the back of the coloring book.

GOAL 2: BREAKING DOWN BARRIERS TO ENSURE RIGHTS ARE RESPECTED AND SUPPORTS ARE AVAILABLE FOR PERSONS WITH DISABILITIES TO PARTICIPATE IN AN EQUITABLE AND INCLUSIVE SOCIETY.

PRIORITIES TO ADDRESS:

2.1. Advocate on behalf of individuals with disabilities in the areas of abuse and neglect, civil rights, discharge from institutions, education, employment, health care, justice, self-determination, and voting.

Educate

Materials – Resolving Problems VR

The Employment and PSP Teams created and published a series of 4 fact sheets, “[Resolving Problems with Vocational Rehabilitation Services](#).” The documents are available in English and Spanish.



Advocate

Individual Advocacy Case Data

Individual Advocacy in FY2023	Q1 Opened	Q1 Closed	Q2 Opened	Q2 Closed	Q3 Opened	Q3 Closed	Q4 Opened	Q4 Closed	YTD Open	YTD Closed
AND Advocacy/Discharge	9	7	7	14	7	3	11	7	27	28
Civil Rights	14	16	15	19	12	12	15	11	44	46
Education	16	7	26	20	8	14	1	6	43	33
Employment	9	11	10	12	11	13	12	13	31	36
Health Care	7	4	9	8	7	7	3	4	19	16
Self-Determination	4	4	11	2	9	12	6	11	21	17
TOTAL	59	49	78	75	54	61	48	52	239	237

Individual Representation Select Outcomes

Adrian - Education

“Adrian’s” mother contacted IDR to address concerns that he was being placed in a restraint chair and needed his IEP revised. IDR also learned that Adrian had been removed from school. IDR successfully advocated for the student to be returned to school, receive compensatory time, and address the mother’s concerns.

Andrea - Employment

After “Andrea” graduated high school in May, she wanted to go to college in a different state with support from VR. Because her stated employment goal did not require post-secondary education, VR denied assistance to attend the out of state program. Thanks to IDR’s thorough technical assistance regarding how to prepare for mediation with VR, Andrea successfully self-advocated and was approved to attend the program with assistance from VR.

Greg - Employment

Two years ago, “Greg” requested reasonable accommodations from his employer, including installing a hoist to help him lift heavy objects necessary to perform his job. His employer did not follow through on Greg’s request, so he contacted IDR. Since the statute of limitations had expired, IDR provided technical assistance for Greg to resubmit his reasonable accommodation request which was granted this time.



Kaylin – Education

“Kaylin’s” mother contacted IDR for assistance with due process with the Indiana Department of Education (IDOE). Kaylin was preparing to be discharged from a residential placement and appropriate alternative school placement arrangements had not been made. IDR assisted Kaylin in receiving a successful resolution with the IDOE, including being admitted to an alternative school placement and receiving transportation to her new program.

Rachel – Health Care

Following a visit to the ER in which “Rachel” was not provided with an American Sign Language (ASL) interpreter or other means of effective communication, she contacted IDR. Because of IDR’s advocacy, the hospital implemented systemic changes, including providing a refresher training on effective communication during annual in-service, working to ensure quality provision of video remote interpreting, and tracking future complaints to monitor frequency of effective communication concerns.

Sharon – Civil Rights

After being denied service at a restaurant while accompanied by her service animal, “Sharon” contacted IDR. IDR successfully advocated for Sharon to be a patron of the restaurant with her service animal and for the company to provide additional training to its staff.

Systemic Litigation

Indiana Protection and Advocacy Services Commission v. Indiana Family and Social Services Administration et al (1:22-cv-00906-JRS-TAB; Southern District of Indiana)

In light of significant improvement in the time that criminal defendants who have been adjudicated incompetent to stand trial must wait to receive competency restoration services, the parties have entered discussions to determine if it is possible to resolve this litigation without the necessity of further court intervention. Those conversations are ongoing.

Advocacy Projects

- Diversity in Hiring
 - The research paper based on the data collected is currently under review and will be revised based on recommendations received. In upcoming quarters, Tash Crespo will seek to publish the article in a law review or academic journal.
- Melissa Keyes attended the Mental Health America Regional Policy Meeting to hear about initiatives important to the mental health community and connect with policymakers from other states about Indiana’s emergency detention initiatives.

Policy Advocacy

Melissa Keyes is meeting with multiple stakeholders to discuss concerns with HEA 1006, a bill that amended Indiana’s emergency detention laws.



2.2. Advocate for ending sheltered workshops and increasing competitive integrated employment.

Educate

No current education initiatives tied to this project.

Monitor and Investigate

Monitor DDRS Employment Systems Transformation Plan

On August 1, IDR attended DDRS's Shared Outcomes follow-up meeting regarding its CIE transition plan. On August 4, IDR and the Center for Public Representation (CPR) met with DDRS regarding potential changes to Medicaid waiver service definitions as the agency implements its CIE transition plan. IDR and CPR provided feedback about DDRS's proposed definitions, and again met with DDRS on August 17 to discuss their feedback. Another implementation check-in meeting occurred on September 12. Participants discussed potential training standards for the providers of new CIE-based waiver services. DRS has implemented many of IDR and CPR's recommendations.

Pre-Employment Transition Services

On September 7, Emily Munson attended a stakeholder listening session about pre-employment transition services (pre-ETS). The listening session was sponsored by PCG and stakeholder feedback will be transmitted to VR anonymously. VR hopes to learn how it can improve pre-ETS across the state.

Disability Employment Technical Assistance Center Meeting

On July 31, Emily Munson participated in a quarterly meeting of ACL's Disability Employment Technical Assistance Center's (DETAC) Grantee Advisory Committee. Emily is the only P&A representative who participates in the DETAC Grantee Advisory Committee; other committee members include representatives of centers for independent living, university centers for excellence in developmental disabilities, state developmental disability councils, and the TBI community.

Indiana Employment First Cross Council Summit

IDR's Executive Director, Melissa Keyes, Legal Director Tom Crishon, and several Commission members including Ronelle Johnson, Ray Lay, and Shanese Simmons attended the summit to discuss the state's efforts to support employment for people with disabilities. Following the summit, Melissa Keyes sent comments to the summit organizers about how to make future meetings more accessible and accommodating to people with disabilities.

Advocate

No current advocacy initiatives tied to this project.



2.3. Advocate for access to Home and Community-Based Services (HCBS).

Educate

Presentation

Emily Munson presented to 35 staff of the Office of the State Long-Term Care Ombudsman about IDR and their common interests.

Monitor and Investigate

Monitoring Project – State HCBS Transition Plan and Settings Rule Implementation

IDR continues to submit public comments regarding the heightened scrutiny of HCBS settings that are presumed to be institutional in nature. This quarter, IDR addressed the heightened scrutiny review of four assisted living facilities: The Lodge, Heritage Woods, Bridgepointe Gardens, and The Brentwood at Hobart.

Advocate

Policy Advocacy

Systems Outreach

- On August 31, Emily Munson and Tash Crespo participated a monthly coaching call for the NCAPPS Self-Direction Learning Collaborative. They also attended the culmination of the Learning Collaborative, a Summit on September 19.
- Emily Munson and Tash Crespo continue to meet with DRS and other advocates with disabilities regarding the potential expansion of self-directed services for Medicaid waiver beneficiaries. The most recent work group meeting occurred on September 14 and focused on the potential roles of case managers, support brokers, and providers of Information & Assistance services.

Public Comments

- IDR submitted four letters to FSSA about the heightened scrutiny review of four assisted living facilities that are presumed institutional pursuant to the federal HCBS Settings Rule.
- On August 14, IDR provided the U.S. Department of Health and Human Services with public comments about proposed changes to the regulations implementing the Older Americans Act.

2.4. Advocate for the expansion of knowledge and use of supported decision-making/less restrictive alternatives to guardianship.

Educate

Presentation

- Justin Schrock, former IDR client, Jamie Beck, and Jamie's former legal guardian, Dan Stewart, participated in a panel discussion for 103 attendees regarding Supported Decision-Making during the annual Heart to Heart conference hosted by Indiana Family to Family.
- Melissa Keyes presented to 140 DRS staff about self-determination and supported decision making.



Technical Assistance – IDR provided consultation or technical assistance to over 25 organizations or entities regarding guardianship and self-determination.

Monitor and Investigate

Monitoring Project – Court Filings

IDR continues to review court cases of guardianships filed since the implementation of Indiana’s SDM bill. In collaboration with attorneys from Indiana Legal Services, IDR has requested and received data from the Indiana Office of Court Services regarding adult guardianship cases filed beginning in November 2017 to assess implementation of the Indiana’s SDM law passed and implemented in 2019.

Advocate

Individual Representation Select Outcomes

Alison – Self-Determination

As “Alison’s” guardian and mother began to experience health concerns, Alison contacted IDR to explore alternatives to guardianship. With IDR’s assistance and support from her mother, Alison successfully petitioned to terminate the guardianship of her person and estate.

Betty – Self-Determination

When her guardian made decisions she did not agree with, “Betty” contacted IDR. With IDR’s assistance, Betty successfully petitioned for the termination of her guardianship. In review of the final accountings, IDR discovered that Betty’s guardian could not account for approximately \$4,300 in SSDI funds they received on her behalf. Although Betty decided not to take further action on the matter, she did receive assistance from IDR in appointing a healthcare representative of her choosing.

Nathan – Self-Determination

After IDR helped “Nathan” terminate the guardianship of his person and estate, he wanted to establish a healthcare representative. IDR prepared the document for Nathan to appoint his wife as his healthcare representative and father-in-law as a secondary representative for him to have notarized at his convenience.

Advocacy Projects

- CYVYC Transition-Aged Youth – IDR supported its youth ambassadors in presenting with other CYVYC youth ambassadors about their experiences in the program. IDR provided technical assistance to the youth ambassadors about their projects.
- Advanced Directives for People with ID/DD – IDR partnered with an external pro bono attorney and Tangram to provide advanced directive services to 7 families at an advanced directives clinic. IDR is continuing to seek partners in underrepresented communities to provide advanced directive services. IDR also hosted a booth at the SAI Picnic; see Goal 3.1 for details.



Policy Advocacy

Legislative Advocacy

- Melissa Keyes continues to work with members of the Probate Section of ISBA on recommendations for modernizing Indiana's guardianship code.
- Melissa Keyes convened several meetings with stakeholders, including our CYVYC youth ambassadors to discuss how best to support meaningful implementation of HEA 1591 (2023), which required case conference committee meetings to discuss decision-making skills and alternatives to guardianship starting in high school. IDR and others provided feedback to IDOE on its educational materials. The group is now looking at available resources and identifying gaps.

Press

Justin Schrock and IDR client Tyler Christopher were interviewed for Bloomberg Law's latest article in the series "[In the Name of Protection](#)."

2.5. Advocate to ensure elections are accessible to voters with disabilities.

Educate

Digital Media – Hoosiers Vote

	Q1	Q2	Q3	Q4
Percent of website visits to a voting-related webpage	90%	25%	62%	37.5%
Percent of website visits to single most frequented voting-related webpage	75%	16%	35%	22.5%
Single most frequented voting-related webpage	Vote Early	Voter Registration	Vote Early	Update or Confirm Registration

Presentations

- Paige Benner presented the "Voter Empowerment Training" for 20 life skill students at Ben Davis High School.
- Kristin Dulaney presented the "Voter Empowerment Training" for 14 individuals with brain injury in collaboration with the Brain Injury Association of Indiana.
- Kristin Dulaney hosted the presentation, "Beyond the Ballot" with speaker Grace Kestler for 5 people. The event received press as noted below.

Monitor and Investigate

Monitoring – Polling Place Accessibility Surveys

IDR prepared to launch polling place accessibility surveys in FY 2024 Q1 in [partnership with the United States Attorney's Office for the Southern District of Indiana](#).



Advocate

Press

IDR was featured on the [Public News Service](#) for its event “Beyond the Ballot” in which Grace Kestler, an elected official with lived experience as a person with a disability; gave a non-partisan, non-political information session about how to get involved in the full election process.

Workgroups

- Kristin Dulaney has served as the Co-Chair of the REV UP Election Accessibility Toolkit Committee since May. The Toolkit and promotional materials have been submitted to the American Association of People with Disabilities for finalization.
- The Count Us IN Voting Coalition has resumed meetings and IDR intends to attend as often as possible.

Success Story – Goal 2:

“Cindy” contacted IDR when her request for a reasonable accommodation was denied; Cindy is blind and asked a store associate for assistance with shopping. IDR successfully advocated for the store to implement policies to provide reasonable accommodations to blind patrons and train their staff accordingly.

GOAL 3: SERVING AS A PARTNER IN RIGHTS ISSUES BY SUPPORTING INDIVIDUALS AND ORGANIZATIONS LED BY PEOPLE WITH DISABILITIES, THOSE FROM MARGINALIZED COMMUNITIES, AND PEOPLE WITH LIVED EXPERIENCES.

PRIORITIES TO ADDRESS:

3.1. Provide easily accessible and equitable paths for the public to contact IDR for advocacy needs and to find information, referrals, and resources.

Educate

I/R and Website

	Q1	Q2	Q3	Q4	Total
Intake Requests	643	852	665	710	2,870
Information & Referrals	17	13	99	242	371
Website Sessions	186,566	8,456	20,186	9,916	225,124



Presentations

Presentation topics are noted throughout this report.

	Q1	Q2	Q3	Q4	Total
Presentations	14	6	8	7	26
Attendees	722	157	1,035	235	1,866

Events

- Jim Hutton attended Art in the Park - Supporting Financial Health, Crime Prevention, and Re-Entry” on August 5 and spoke with 8 attendees.
- Several IDR staff hosted a booth at the Self-Advocates of Indiana annual picnic on September 15 (photo below). The booth resulted in:
 - 100 conversations
 - 27 voter engagement photos
 - 3 advanced directive conversations
 - 1 voter registration check
 - Collaboration with Marion County Clerk



IDR staff enjoying the SAI Picnic. Front row: Tash Crespo, Carmen Ledezma, and Kristin Dulaney. Middle row: Tina Frayer, Shari Stites, and Justin Schrock. Back row: Melissa Keyes and Sam Adams.



Monitor and Investigate

No monitoring/investigating activities tied to this priority.

Advocate

No current advocacy initiatives tied to this project.

3.2. Support the legislative and policy work and meaningful inclusion of people with disabilities, those from marginalized communities, and people with lived experiences on issues that align with IDR's mission, vision, and values.

Educate

Event

Carmen Ledezma hosted a virtual booth at the Family to Family “Heart to Heart” conference and connected with 21 attendees.

Monitor and Investigate

No monitoring/investigating activities tied to this priority.

Advocate

Workgroups

- In August, Melissa Keyes and Emily Munson joined the Family Employment First Coalition (FEFC). Funded by DDRS and administered by IIDC, the FEFC has been tasked with developing CIE outreach materials for individuals with disabilities and their family members. FEFC members meet monthly.
- Emily Munson continued to Chair the Indianapolis Mayor's Advisory Committee on Disability (MAC-D), hosting the group's first in-person meeting in more than three years on September 20. The MAC-D continues to advocate for the hiring of a disability services liaison within the Mayor's Office; the position has been vacant since 2022.

Success Story – Goal 3:

IDR's Intake Team responded to 2,870 requests in FY 2023 which is 25% more contacts than IDR received in FY 2022 (2,281). IDR continues to be committed to ensuring accessible and equitable pathways for public contact.



Active Workgroups

Workgroup	Goal
Diversity Roundtable of Indianapolis	DEI Goal 3
Indiana State Bar Association Diversity Committee	DEI Goal 3
ISBA Diversity CLE Committee	DEI Goal 3
Facility-Based Human Rights Committees	P&O 1.2
Mortality Review Committee (MRC)	P&O 1.2
NDRN Residential Facilities Community of Practice	P&O 1.2
Back Home in Indiana Alliance Steering Committee	P&O 2.1
Carmel Advisory Committee on Disability	P&O 2.1
Disability Employment Technical Assistance Center (DETAC) Grantee Advisory Workgroup	P&O 2.1
Division of Disability and Rehabilitative Services (DDRS) Advisory Council	P&O 2.1
Fair Housing Center of Central Indiana Board of Directors	P&O 2.1
Family Employment First Coalition	P&O 2.1
Fishers Advisory Committee on Disability	P&O 2.1
Indiana Association of People Supporting Employment First (INAPSE) Public Policy Committee	P&O 2.1
Indiana Council Against Senior Exploitation (IN-CASE)	P&O 2.1
Indianapolis Mayor's Advisory Council on Disability (MAC-D)	P&O 2.1
Medicaid All Hands	P&O 2.1
Mental Health, Addictions, and Criminal Justice Collaboration Workgroup	P&O 2.1
Vocational Rehabilitation (VR) Commission	P&O 2.2
Center for Youth and Adults with Conditions of Childhood (CYACC) Advisory Board	P&O 2.3
Health Care Justice Coalition (Long-Term Care Coalition)	P&O 2.3
National Center on Advancing Person-Centered Practices and Systems' Self-Directed Learning Collaborative	P&O 2.3
House Enrolled Act 1591 Implementation Group	P&O 2.4
Indiana Adult Guardianship State Taskforce (WINGS)	P&O 2.4
Indiana Supported Decision-Making Coalition	P&O 2.4
Indiana Traumatic Brain Injury Advisory Board	P&O 2.4
National Coalition for a Civil Right to Counsel	P&O 2.4
Uniform Guardianship Act Subcommittee for Probate Review Committee	P&O 2.4
Indiana Voting Coalition	P&O 2.5



REV UP Advisory Committee	P&O 2.5
REV UP Election Accessibility Toolkit Committee	P&O 2.5
IIDC Advisory Council	P&O 3.1
PANDA Improvement Workgroup	P&O 3.1
Governor's Council for People with Disabilities	P&O 3.2
HIVMM Coalition	P&O 3.2
Indiana Advisory Committee to the US Commission on Civil Rights	P&O 3.2
International Initiative for Disability Leadership	P&O 3.2
National Alliance on Mental Illness (NAMI)-Indiana Public Policy Committee	P&O 3.2
National Disability Rights Network (NDRN) – CEO Meetings, Legal Director Meetings	P&O 3.2
Transition Partners of Northeast Indiana	P&O 3.2
United States Attorney's Office (USAO) Disability Rights Roundtable	P&O 3.2



Acronyms

List of Common Acronyms

504 -	Section 504 of the Rehabilitation Act of 1973-504
ACF -	Administration for Children and Families
ACLU -	American Civil Liberties Union
ADA -	Americans with Disabilities Act
AIDD -	Administration on Intellectual and Developmental Disabilities
APS -	Adult Protective Services
ARC -	State and local organizations for developmental disability advocacy
ARTICLE 7 -	Special Education Regulations (Indiana)
BDDS -	Bureau of Developmental Disabilities Services
BQIS -	Bureau of Quality Improvement Services
CEO -	Chief Executive Officer
CIH Waiver -	Community Integration and Habilitation Waiver
CMS -	Center for Medicare and Medicaid Services
CPR -	Center for Public Representation
CRMNF -	Comprehensive Rehabilitative Management Needs Facility
CYVYC	Center on Youth Voice/Youth Choice
DCC -	IDR Diversity and Cultural Competency Workgroup
DCS -	Department of Child Services
DD -	Developmental Disabilities
DD Act -	Developmental Disabilities Assistance and Bill of Rights Act
DD Council -	Developmental Disabilities Council
DDRS -	Division of Disability and Rehabilitative Services
DMHA -	Division of Mental Health and Addictions
DOC -	Indiana Department of Correction
DOE -	Indiana Department of Education
EEOC -	Equal Employment Opportunity Commission
FBA -	Functional Behavioral Assessment
GCPD -	Governor's Council for People with Disabilities
HAVA -	Help America Vote Act
HMM -	HIV Modernization Movement
HRSA -	Health Resources and Services Administration
I&R -	Information and Referral
ICF -	Intermediate Care Facility
ICRC -	Indiana Civil Rights Commission
ICLU -	Indiana Civil Liberties Union



ID -	Intellectual Disability
IDD -	Intellectual or Developmental Disabilities
IDR -	Indiana Disability Rights
IDEA -	Individuals with Disabilities Education Act (Federal)
IDOH -	Indiana Department of Health
IIDC/Institute -	The Indiana Institute on Disability and Community
IN*SOURCE -	Indiana's Parent Training Information Project
IPE -	Individual Plan for Employment
LD -	Learning Disability
MI -	Mental Illness
NDRN -	National Disability Rights Network
OCR -	Office of Civil Rights
OMB -	Office of Management and Budget
OMPP -	Office of Medicaid Policy and Planning
P&A -	Protection & Advocacy System
PPR -	Program Performance Report
PRTF -	Psychiatric Residential Treatment Facility
PSF -	Private Secure Facility
PTSD -	Post-Traumatic Stress Disorder
RSA -	Rehabilitation Services Administration
RULE7 -	Part of Nursing Home Regulations (Indiana) concerning the facility's requirements for programming for MR residents used in QMRP-D Training
SAI -	Self-Advocates of Indiana
SAMHSA -	Substance Abuse and Mental Health Services Administration
SDM -	Supported Decision-Making
SGL -	Supervised Group Living
SSA -	Social Security Administration
TA -	Technical Assistance
TASC -	Training and Advocacy Support Center
TBI -	Traumatic Brain Injury
UCEDD -	University Centers for Excellence in Developmental Disabilities
USDOE -	United States Department of Education
VA -	Veterans Affairs
VR/Voc Rehab -	Vocational Rehabilitation Services



IDR Teams

- Abuse, Neglect, and Discharge (AND)
- Civil Rights
- Education
- Employment
- Health Care
- Policy and Special Projects (PSP)
- Self-Determination
- Representative Payee

IDR Staff

Please visit <https://www.in.gov/idr/staff/our-staff/> to view a current staff list.

Grant Programs

CAP -	Client Assistance Program
PAAT -	Protection & Advocacy for Obtaining Assistive Technology
PABSS -	Protection & Advocacy for Beneficiaries of Social Security
PADD -	Protection & Advocacy for Persons with Developmental Disabilities
PAIMI -	Protection & Advocacy for Individuals with Mental Illness
PAIR -	Protection & Advocacy for Individual Rights
PATBI -	Protection & Advocacy for Persons with Traumatic Brain Injury
PAVA -	Protection & Advocacy for Voting Access

State Hospitals

LCH -	Larue Carter Hospital
LSH -	Logansport State Hospital
EPCC -	Evansville Psychiatric Children's Center
ESH -	Evansville State Hospital
MSH -	Madison State Hospital
RSH -	Richmond State Hospital

FFY 2023 BUDGET - AMENDED	FY 2023	Q1	Q2	Q3	Q4	Difference
	10/01/22-09/30/23	10/01/22-12/31/22	1/1/23-3/31/23	4/1/23-6/30/23	7/1/23-9/30/23	
INCOME/AVAILABLE FUNDS	5,013,082.53	4,290,735.62	2,959,910.33	2,399,495.22	2,668,051.64	
<i>Federal Grants</i>	<i>4,601,484.25</i>	<i>3,962,567.07</i>	<i>2,507,971.55</i>	<i>1,962,470.04</i>	<i>1,924,467.21</i>	
FFY 2022 (spend/obligate by 9/30/2023)	1,776,154.79	887,966.03	266,124.84	32,602.34	0.00	
FFY 2023 Received (spend by 9/30/2024+)	1,178,179.46	1,371,549.04	2,241,846.71	1,929,867.70	1,924,467.21	
FFY 2023 Estimated Additional (spend by 9/30/2024+)	1,647,150.00	1,703,052.00	0.00	0.00	0.00	
<i>Non-Federal Grants</i>	<i>411,598.28</i>	<i>328,168.55</i>	<i>451,938.78</i>	<i>437,025.18</i>	<i>743,584.43</i>	
Program Income/Atty Fees (Restricted)	10,000.00	300.00	4,450.00	0.00	0.00	
Program Income/Atty Fees (Unrestricted)	60,000.00	105,068.77	238,245.82	238,243.77	238,243.77	
Non-Federal Income/Unrestricted Balance	194,745.85	194,745.85	194,745.85	194,745.85	194,745.85	
GCPD Disability Law Fellow - Direct bill	112,637.50	0.00	0.00	0.00	0.00	
2022 IBF Community Empowerment Grant	34,214.93	28,053.93	14,497.11	4,035.56	0.00	
State Agency Contingency Fund					310,594.81	
EXPENSES						
Personnel Costs	3,219,971.85	563,112.63	968,024.29	727,996.16	781,652.82	179,185.95
SALARY (+SS)*	2,184,058.73	410,748.84	703,298.88	536,689.59	564,130.72	-30,809.30
BENEFITS*	695,368.44	91,029.54	167,121.84	111,435.69	116,253.27	209,528.10
PERF	239,686.68	55,108.13	94,261.93	72,141.74	74,064.82	-55,889.94
501 Retiree Medical Expenses	33,858.00	0.00	0.00	5,130.00	24,624.00	4,104.00
Contracted Workers*	50,000.00	4,106.96	0.00	0.00	0.00	45,893.04
Deferred Compensation (Hoosier START) State Match	12,000.00	2,115.81	3,341.64	2,599.14	2,580.01	1,363.40
Misc. (e.g., background checks, workers comp, unemployment)	5,000.00	3.35	0.00	0.00	0.00	4,996.65
Travel	37,500.00	17,516.60	10,458.54	12,400.29	6,137.55	-9,012.98
In-State (Mileage, Car Rentals, Per Diem, Hotels, etc)	25,000.00	12,289.98	7,426.37	8,312.97	6,231.57	-9,260.89
Out-of-State (Travel, Hotel, Per Diem, etc)*	10,000.00	5,226.62	3,032.17	4,087.32	-94.02	-2,252.09
Commission and MHAC	2,500.00	0.00	0.00	0.00	0.00	2,500.00
Operations	332,747.84	99,477.25	83,176.44	70,373.50	67,642.07	12,078.58
Rent (\$9,641/mo)	115,701.75	28,925.43	48,209.04	19,283.62	28,925.43	-9,641.77
IOT (hardware, software, IOT services, website hosting)	70,783.05	35,821.96	10,785.85	21,134.91	16,779.95	-13,739.62
HUMAN RESOURCES/SPD*	20,000.00	3,604.72	2,757.54	4,451.90	3,574.02	5,611.82
Centralized Accounting & PeopleSoft Financial Software*	20,000.00	5,809.56	4,418.68	8,837.34	5,554.24	-4,619.82
Phone (ATT, Landline/1-800)	20,000.00	4,316.13	1,449.50	2,896.91	2,272.63	9,064.83
On-site storage (new office space)	2,700.00	675.00	1,125.00	450.00	675.00	-225.00
Verizon Cell Phones	16,000.00	3,498.82	2,416.47	4,950.97	3,298.24	1,835.50
Hinkley- water (\$96.92/month)	1,163.04	128.67	140.86	11.97	129.86	751.68
Office Equipment Rentals (copier, postal system)	3,500.00	623.93	1,070.31	483.97	656.54	665.25
Post Master (Courier Service, Postage, Mailing Services)	5,000.00	899.17	866.70	622.99	1,256.36	1,354.78
Employee Reimbursement for Postage	100.00	0.00	0.00	0.00	0.00	100.00
NDRN (Dues, meetings, LRP)	30,000.00	6,488.17	6,729.36	5,565.18	0.00	11,217.29
Koorsen Security (security system)	1,800.00	7,547.15	0.00	0.00	0.00	-5,747.15
Office Supplies	4,000.00	158.78	1,069.21	213.37	421.37	2,137.27
Computer/Printer Supplies	2,000.00	0.00	287.08	0.00	0.00	1,712.92
Interpreter/CART Services/Translator	15,000.00	830.76	1,312.25	1,320.37	1,359.93	10,176.69
Subscription Services (prof. orgs., software, news)	5,000.00	149.00	538.59	150.00	2,738.50	1,423.91
Legal/Program	62,832.00	21,844.46	5,454.29	23,273.64	7,963.86	4,295.75
Litigation Expenses & Records Requests (Filing fees, experts, docs, etc.)	30,000.00	17,304.26	1,386.29	6,370.00	3,470.00	1,469.45
AUTOMON LLC (Case Management)	13,000.00	0.00	0.00	12,849.64	0.00	150.36
Westlaw (legal research)	14,832.00	2,472.00	3,868.00	3,892.00	3,984.00	616.00
Registration Fees (Staff Training)*	5,000.00	2,068.20	200.00	162.00	509.86	2,059.94
Communication/Outreach	37,500.00	667.24	1,850.00	0.00	0.00	34,982.76
Self-Advocate Stakeholder Reimbursement	10,000.00	0.00	0.00	0.00	0.00	10,000.00
IDR Website Redesign	20,000.00	0.00	0.00	0.00	0.00	20,000.00
Conference Exhibits/Tables	2,500.00	0.00	0.00	0.00	0.00	2,500.00
Printing	5,000.00	667.24	1,850.00	0.00	0.00	2,482.76
Misc.	5,000.00	119.98	672.50	188.79	437.37	3,581.36
Misc.	5,000.00	119.98	672.50	188.79	437.37	3,581.36
TOTAL EXPENSES	3,695,551.69	702,738.16	1,069,636.06	834,232.38	863,833.67	225,111.42
TOTAL INCOME	5,013,082.53	4,290,735.62	2,959,910.33	2,399,495.22	2,668,051.64	
	1,317,530.84	3,587,997.46	1,890,274.27	1,565,262.84	1,804,217.97	

FY2023 BUDGET	Q4 - Totals	CAP	PADD	PAIR	PAVA	PABSS	PAIMI	PATBI	REP PAYEE	PAAT	PHWF	IBF	SACF
EXPENSES	7/1/23-9/30/23												
Personnel Costs	781,652.82	21,003.70	196,872.59	74,369.89	24,999.31	24,502.42	167,870.57	36,609.50	83,468.77	3,864.30	38,426.51	6,133.64	103,531.62
SALARY (+SS)*		13,978.85	135,399.63	51,623.18	16,580.39	15,987.17	115,606.96	25,046.12	52,385.05	2,176.41	27,341.34	4,474.00	103,531.62
BENEFITS*		2,520.22	32,549.75	11,002.56	4,425.83	4,103.01	27,306.21	6,650.78	19,301.77	406.52	6,934.66	1,051.96	0.00
PERF		2,114.35	20,901.85	7,915.58	2,436.92	2,907.50	17,633.23	3,845.58	11,350.74	317.08	4,052.95	589.04	0.00
501 Retiree Medical Expenses		2,319.58	7,283.78	3,553.24	1,462.67	1,406.03	6,717.43	928.32	0.00	952.95	0.00	0.00	0.00
Contracted Workers*		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Deferred Compensation (Hoosier START) State Match		70.70	737.58	275.33	93.50	98.71	606.74	138.70	431.21	11.34	97.56	18.64	0.00
Misc. (e.g., background checks, workers comp)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Travel	6,137.55	159.88	1,644.31	246.85	137.24	197.92	1,489.26	-72.49	1,382.94	4.63	947.01	0.00	0.00
In-State (Mileage, Car Rentals, Per Diem, Hotels, etc)		150.51	1,555.39	211.50	126.80	189.45	1,427.19	551.49	1,093.91	3.97	921.36	0.00	0.00
Out-of-State (Travel, Hotel, Per Diem, etc)*		9.37	88.92	35.35	10.44	8.47	62.07	-623.98	289.03	0.66	25.65	0.00	0.00
Commission and MHAC		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Operations	67,642.07	5,786.89	17,642.68	6,408.15	3,526.14	1,419.42	20,358.64	3,023.88	5,360.10	174.13	3,689.04	253.00	0.00
Rent (\$9891.81/mo; \$9,641/mo)		693.24	7,257.39	2,754.67	1,521.50	691.31	9,873.21	1,390.35	2,995.71	61.70	1,686.35	0.00	0.00
IOT (hardware, software, IOT services, website hosting)		2,039.76	4,608.25	1,612.81	842.51	324.35	4,605.76	728.73	1,081.54	43.83	892.41	0.00	0.00
HUMAN RESOURCES/SPD*		363.92	1,036.82	375.58	171.04	79.67	950.09	164.55	199.12	9.26	223.97	0.00	0.00
Centralized Accounting & PeopleSoft Financial Software*		675.44	1,525.29	533.80	278.91	107.36	1,524.77	241.21	357.61	14.47	295.38	0.00	0.00
Phone (ATT, Landline/1-800)		271.72	625.36	219.27	113.34	44.22	619.92	98.87	153.06	5.92	120.95	0.00	0.00
On-site storage (new office space)		16.17	169.36	64.27	35.51	16.14	230.41	32.44	69.91	1.44	39.35	0.00	0.00
Verizon Cell Phones		426.89	898.73	312.18	170.16	61.99	927.54	142.30	174.78	8.73	174.94	0.00	0.00
Hinkley- water (\$96.92/month)		19.40	40.73	12.87	5.24	2.82	35.12	7.95	1.20	1.29	3.24	0.00	0.00
Office Equipment Rentals (copier, postal system)		150.06	160.95	61.32	31.57	11.63	131.92	21.12	54.05	1.50	32.42	0.00	0.00
Post Master (Courier Service, Postage, Mailing Services)		76.65	326.14	113.49	36.15	26.97	280.00	76.41	273.12	15.64	31.79	0.00	0.00
Employee Reimbursement for Postage		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
NDRN (Dues, meetings)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Koorsen Security (security system)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Office Supplies		10.88	103.25	41.05	137.12	9.84	72.07	16.60	0.00	0.77	29.79	0.00	0.00
Computer/Printer Supplies		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Interpreter/CART Services/Translator		297.46	263.75	92.57	52.23	12.30	311.21	29.48	0.00	2.73	45.20	253.00	0.00
Subscription Services (prof. orgs., software, news)		745.30	626.66	214.27	130.86	30.82	796.62	73.87	0.00	6.85	113.25	0.00	0.00
Legal/Program	7,963.86	4,332.78	1,247.93	424.16	448.80	79.48	971.49	159.90	29.83	11.80	257.69	0.00	0.00
Litigation Expenses & Records Requests (Filing fees, experts, docs, etc.)		3470	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
AUTOMON LLC (Case Management)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Westlaw (legal research; \$554.01/month)		856.42	1,143.70	416.89	196.33	77.68	870.60	154.73	0.00	10.89	256.76	0.00	0.00
Registration Fees (Staff Training)*		6.36	104.23	7.27	252.47	1.80	100.89	5.17	29.83	0.91	0.93	0.00	0.00
Communication/Outreach	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Self-Advocate Stakeholder Reimbursement		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
IDR Website Redesign		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Conference Exhibits/Tables		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Printing		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Misc.	437.37	25.77	96.14	29.46	10.03	7.29	119.40	20.94	120.85	3.71	3.78	0.00	0.00
Misc.		25.77	96.14	29.46	10.03	7.29	119.40	20.94	120.85	3.71	3.78	0.00	0.00
TOTAL EXPENSES	863,833.67	31,309.02	217,503.65	81,478.51	29,121.52	26,206.53	190,809.36	39,741.73	90,362.49	4,058.57	43,324.03	6,386.64	103,531.62

IDR Grant Balance

Grant	Date to Spend	Authorized Amt.	Amt. Received	Total Disbursed	Federal (09/21/23)	State (10/16/23)	NOTES
SACF-2024	6/30/2024	\$448,637.00	\$448,637.00	\$138,042.19		\$310,594.81	
Rep Payee 2022 (Y5)	7/31/2024	\$597,962.46	\$597,962.46	\$597,962.46	\$0.00	\$0.00	SPENT DOWN
CAP 2023*	9/30/2024	\$258,015.00	\$258,015.00	\$0.00	\$258,015.00	\$256,040.00	Received one-time supp of \$34,405.00 (new total \$258,015).
PAAT 2023	9/30/2024	\$91,858.00	\$91,858.00	\$65,110.84	\$26,747.16	\$25,886.30	
PABSS 2023 (Y5)	9/30/2024	\$135,540.00	\$135,540.00	\$23,412.48	\$112,127.52	\$117,488.38	6.34% - from final FFY22 allocation.
PADD 2023	9/30/2024	\$702,261.00	\$702,261.00	\$186,007.85	\$516,253.15	\$452,802.10	
PAIMI 2023	9/30/2024	\$647,370.00	\$647,370.00	\$466,208.99	\$181,161.01	\$136,943.71	3.54% +
PAIR 2023*	9/30/2024	\$342,603.00	\$342,603.00	\$181,001.75	\$161,601.25	\$137,941.25	
PATBI 2023	9/30/2024	\$90,169.00	\$90,169.00	\$65,320.10	\$24,848.90	\$14,081.06	Received additional \$653 in Aug. 2023
PAVA 2020	9/30/2024	\$105,261.00	\$105,261.00	\$105,261.00	\$0.00	\$0.00	SPENT DOWN
ACL PHWF 2022	9/30/2024	\$114,000.00	\$114,000.00	\$110,946.80	\$3,053.20	\$513.55	Waiting on error to correct, then will be spent down.
PAIMI 2023 PI Restricted	9/30/2024		\$4,250.00	\$4,250.00		\$0.00	
PADD 2023 PI Restricted	9/30/2024		\$200.00	\$200.00		\$0.00	
CAP 20 PI Restricted	9/30/2024		\$300.00	\$300.00		\$0.00	
SACF-2025	6/30/2025	\$448,637.00		\$0.00			
Rep Payee 2023 (Y1)	7/31/2025	\$649,280.71	\$649,280.71	\$30,496.46	\$618,784.25	\$549,837.16	
PAVA 2021	9/30/2025	\$112,313.00	\$112,313.00	\$112,313.00	\$0.00	\$0.00	SPENT DOWN
PABSS 2024 (Y1)	9/30/2025	\$135,540.00					Waiting on CR NOA and FFY 2024 Budget
PAIMI 2024	9/30/2025	\$647,370.00					Waiting on CR NOA and FFY 2024 Budget
CAP 2024*	9/30/2025	\$223,610.00	\$29,315.00		\$29,315.00		Waiting on final FFY2024 Budget
PAAT 2024	9/30/2025	\$91,858.00	\$12,042.00		\$12,042.00		Waiting on final FFY2024 Budget
PADD 2024	9/30/2025	\$702,261.00	\$96,156.00		\$96,156.00		Waiting on final FFY2024 Budget
PAIR 2024*	9/30/2025	\$342,603.00	\$44,915.00		\$44,915.00		Waiting on final FFY2024 Budget
PATBI 2024	9/30/2025	\$89,516.00	\$12,853.00		\$12,853.00		Waiting on final FFY2024 Budget
PAVA 2022	9/30/2026	\$119,365.00	\$119,365.00	\$13,104.69	\$106,260.31	\$97,251.56	
PAVA 2023	9/30/2027	\$141,043.00	\$141,043.00	\$0.00	\$141,043.00	\$141,043.00	
PAVA 2024	9/30/2028	\$141,043.00	\$18,491.00		\$18,491.00		Waiting on final FFY2024 Budget
GCPD DLF	Unrestricted	\$112,637.50	\$0.00				GCPD Disability Law Fellow; waiting on GCPD PO
Non-Federal	Unrestricted					\$194,745.85	
PADD 2020 PI UR	Unrestricted		\$6,000.00			\$6,000.00	
PADD22 PI UR	Unrestricted		\$15,000.00			\$15,000.00	
PAIMI 2021 PI UR	Unrestricted		\$2,500.00			\$2,500.00	
PAIMI 2022 PI UR	Unrestricted		\$32,175.00			\$32,175.00	
PAIR 2017 PI UR	Unrestricted		\$22,419.92			\$22,419.92	
PAIR 2021 PI UR	Unrestricted		\$56,148.85			\$56,148.85	
PAIR 2023 PI UR	Unrestricted		\$100,000.00			\$100,000.00	[PAIR 2022 PI UR]
PABSS 2023 PI UR	Unrestricted		\$4,000.00			\$4,000.00	[PABSS 2022 PI UR]

**The ADVISORY COUNCIL REPORT (ACR) Section of the
ANNUAL PAIMI PROGRAM PERFORMANCE REPORT (PPR)**

STATE	INDIANA	FISCAL YEAR	2023
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The Advisory Council Report (ACR) section of the annual PAIMI Program Performance Report, to the Secretary of the U.S. Department of Health and Human Services, **is due by January 1**. The ACR is mandated under the PAIMI Act at 42 U.S.C. 10805 (a) (7). The ACR is the PAIMI Advisory's Council's (PAC) **independent assessment** of their protection and advocacy system's for the previous fiscal year. **The ACR must be prepared by the PAC and signed and dated by the PAC Chairperson.**

For ACR assistance, please contact the PAIMI Program Officer

Please read and follow the instructions in each section and use the attached glossary in to complete the form.

Public reporting burden for the ACR section of the annual PAIMI PPR is estimated to average 10 hours per response. This includes the time needed to review the instructions, to search existing data sources, to gather the data needed, and to complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-0169); CBHSQ, Room 15E21B; 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is (0930-0169).

The ACR Section of the ANNUAL PAIMI PPR

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The ACR Section of the Annual PAIMI PPR

SECTION A. GENERAL INFORMATION

Fiscal Year:	2023
State:	Indiana
Name of P&A system:	Indiana Disability Rights
PAC Report Prepared By: Provide the name [Print First, Middle and Last Name] Title of the preparer: Phone Number:	Rachel Vilensky Mental Health Advisory Council Chair 317-722-5555
Name of PAC Chair: [Print First, Middle and Last Name] Provide updated contact information if the PAC Chair is different than the person listed on the most recent PAIMI Application.	Rachel ☒ Vilensky
Telephone Number:	317-722-5555
E- Mail Address:	Rachel@ChildAdvocates.net
Date Submitted:	November 30, 2023
By signing this document, the Chair certifies that this report reflects the consensus of the PAC members.	

SECTION B. The PAIMI ADVISORY COUNCIL (PAC)

*Under Primary ID, select <i>ONLY ONE</i> (1) primary identity for each PAC member position [B.1.b. - B.1.h.] that is mandated per the PAIMI Act & Rules).		Primary Identification
B.1.a. The TOTAL number of seats on the PAC.	10	Total
B.1.b. Individuals who are recipients/former recipients (R/FR) of mental health services.		4
B.1.c. Family members of individuals who are recipients/former recipients (R/FR) of mental health services.		1
At least one (1) PAC member shall be a B.1.d.		
B.1.d. Family members of a minor child or youth (under 18 years old) who has received or is receiving mental health services.		1
B.1.e. Mental health service providers.		
B.1.f. Mental health professionals:		

B.1.f.1. Social Workers					
B.1.f.2. Psychologists					
B.1.f.3. Psychiatric Nurses					
B.1.f.4. Psychiatrists					
B.1.f.5. Psychiatric Nurse Practitioners	1				
B.1.f.6. Peer Support Specialists					
B.1.g. Attorneys.	1				
B.1.h. Individuals from the public knowledgeable about mental illness.	1				
B.1.i. Others (please identify by position held).					
B.1.j. Vacancies as of 9/30. [identify each vacant position & the date it was vacated].	1; vacated in 8/2023				
B.1.k. TOTAL number of PAC members serving on 9/30.	Total 9				
B.1.l. Number of PAC members who are either R/FR of MH services or family members of these individuals (count each PAC member only once).	8				
B.1.m. Percentage of PAC members who are either R/FR of MH services or family members of these individuals [B.1. k. divided by B.1.l.]	89%				
B. 2. REPRESENTATION OF THE PAC CHAIRPERSON					
B.2. Is the PAC Chair an individual who has received or is receiving mental health services, or a family member of an individual who has received or is receiving mental health services?	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td>X</td> <td></td> </tr> </table>	Yes	No	X	
Yes	No				
X					
B. 3. PAC TERMS of Appointment					
B.3.a. Term of Appointment (number of years)	4				
B.3.b. Maximum Number of Terms a Member May Serve	1				
B.3.c. Frequency of Meetings	4/year				
B.3.d. Number of Meetings Held in the FY [3 is the mandated minimum].	4				
B.3. e. Number (%Average) of PAC members present at Meeting.	78%				
SECTION C. PAC ETHNICITY & RACIAL DIVERSITY					
Please refer to the GLOSSARY for definitions. The following information is self-reported or self-identified and uses two separate questions. The data on race and ethnicity are collected SEPARATELY; provision shall be made to report the number of respondents in each category who are Hispanic or Latino. Collection of greater detail is encouraged; however, any collection that uses more detail shall be organized in such a way, that the additional information can be aggregated into these minimum categories for data on race and ethnicity.					
C. A. ETHNICITY	Number of PAC Members				
C. A. 1. Hispanic or Latino	0				
C. A. 2. Not of Hispanic Origin	9				
(add C.A.1 & C.A.2., the total should be the same as the one listed in B.1.k. (members serving as of 9/30).	Total 9				
C. B. RACE					
C. B. 1. American Indian or Alaska Native	0				
C. B. 2. Asian	0				

C. B. 3. Black or African American	1
C. B. 4. Native Hawaiian/Other Pacific Islander	0
C. B. 5. White	8
C. B. 6. Two or More Races	1
C. B. 7. = C.B.1 through C.B.6.	Total 9
Members may select as many racial identifications as they want.	
C. C.1. Total Number of PAC member vacancies on September 30.	Total PAC Vacancies
	1

SECTION D. Sex of PAC Members	
D.1 MALE 1	D.2 FEMALE 7
D.3. UNKNOWN/WOULD NOT DISCLOSE	
D.3. TOTAL 9	

SECTION E. GOVERNING BOARD INFORMATION		
E. 1. FOR STATE-OPERATED P&A SYSTEMS ONLY:		
E.1.a. Is this a State-operated P&A system?	Yes X	No
E.1.b. Does this State-operated system have a Governing Board/Authority authorized by State statute? If the answer is NO, proceed to Section F.	Yes X	No
E.1.c. If the answer to item E.1.b. is YES, does the PAC Chair sit on the Governing Board/Authority as a full voting member?	Yes X	No
E.1.d. If the answer to item E.1.c. is no, briefly explain (e.g., State statute determines Governing Board/Authority composition, etc.).		
E.2. For PRIVATE, Not-for Profit P&A SYSTEMS only		
E. 2.a. Does the P&A system have a multi-member Governing Board?	Yes	No
If you answered YES to E.2.a., please answer the questions E.2.b. 1. - 3.		
E.2.b.1. Number of Governing Board members.	Total	
E.2.b.2. Is the PAC Chair a full voting member of the Governing Board?	Yes	No

E.2.b.3. If you answered No to E.2.b.2., then explain why the PAC Chair is not a full voting member of the Governing Board as mandated by the PAIMI Rules at 42 CFR 51.22(b)(3).		
E.2.b.4. Do any other PAC members hold seats on the Governing Board? Yes, how many seats? ____	If	Yes No

SECTION F. PAC ACTIVITIES [See, PAIMI Act - 42 U.S.C. 10805(7)]		
F.1. Are P&A program staff invited to attend PAC meetings?	Yes X	No
F.1.a. Did any of the invited program staff attend?	Yes X	No
F.2.a. If the answer to F.1. is Yes, please identify the positions of staff (e.g., PAIMI Coordinator, Mental health advocate, etc.) usually invited to attend. Executive Director, Legal Director, Operations Director, Administrative Assistant, Special Projects Manager, other advocacy staff as interested to share about various projects. F.2.b. If the answer to F.1.a. is Yes, please identify the positions of the program staff in attendance (e.g., one advocate, one attorney) and their role at the meetings, e.g., information sharing, etc. Executive Director (information sharing), Legal Director (information sharing), Operations Director (information sharing), Administrative Assistant (assistance with meeting minutes), Special Projects Manager (take notes for priorities and objective development), attorneys and advocates to share about current projects. F.2.c. If the answer to F.1. is No, you <i>MAY</i> provide a brief explanation.		
F. 3. a. Were governing board members, excluding the PAC Chair, invited to PAC meetings?	Yes X	No
F.3.b. If you answered Yes to F.3.a., which governing board members were invited, for what purpose (e.g., informational, etc.) and did they attend? All members of the board have a standing invitation to attend; the meeting is open to the public. None have attended.		
F.3.c. Did any of the invited governing board members attend?	Yes	No X
F.4. Did the PAC work jointly with the governing board to develop the annual PAIMI priorities? [42 CFR 51.23(a) (2)].	Yes X	No*

F.4.a. If Yes, briefly describe these joint activities.

The PAC assisted in the development of the priorities and objectives through a thorough process involving reviewing information obtained by IDR through monitoring at mental health institutions, online surveys, and small group targeted discussions with members of the mental health community to develop the priorities and objectives for PAIMI work. The PAC reviewed the priorities and objectives in conjunction with the overall agency priorities drafted by the Governing Board. The PAC voted to accept the overall agency priorities which were inclusive of PAC priorities. The PAC Chair provided feedback and guidance to the Governing Board.

SECTION F. PAC ACTIVITIES [See, PAIMI Act - 42 U.S.C. 10805(7)]

F.4. b. If No, PAC's affiliated with private, non-profit P&A systems must provide a brief explanation.

F.5. Did PAC members attend any in-state or out-of-state trainings or educational presentations related to PAIMI Program activities? *[42 CFR 51.27 - payments for PAC and Governing board/authority members by a State P&A system are optional].*

F.5.a. In-State Trainings/Educational Activities.

Yes X No

If Yes, list each activity by number and provide a brief description of PAC involvement, e.g., Activity 1 – Attendance at IDR Board Training

F.5.b. Out-of-State Trainings/Educational Activities.

Yes X No

If yes, list each activity by number and provide a brief description of PAC involvement, e.g., Activity 1 – Attendance at NDRN virtual annual conference.

F.6. Does the P&A system have established written policies and procedures for reimbursing PAC members for expenses that takes into account the needs of the individual council members, available resources and applicable restrictions on use of grant funds, including the restrictions cited in and the restrictions in 51.31(e) and 51.6(e)? *[See, 42 CFR 51.23 (d) (1)].*

F.6.a.1. Yes X

F.6.a.2. No*

F.6.a.3. Don't Know.*

F.6.b. Brief explanation needed for F.6.a.2. or F.6.a.3. responses].

SECTION F. PAC ACTIVITIES [See, PAIMI Act - 42 U.S.C. 10805(7)]

F.7. If the answer to F.6. was Yes, were PAC members reimbursed for expenses incurred for PAIMI Program related activities, consistent with the P&A system's policies and procedures.

F.7.a.	1. Yes X	2. No*	3. Don't Know*
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F.7.b. *Brief explanation required for either F.7.a. 2. No *or* F.7.a. 3. Don't Know responses.

F. 8. REIMBURSEMENT OF EXPENSES – If PAC member expenses were reimbursed, please complete the following chart. [42 CFR 51.23(d) (1)]. Under the Activity column, list the activity by the number used in above F.5.a. – In-State or F.5.b. – Out-of-State. Example: F.5.b. Out-of-State activity # 1, – 5 PAC members attended the NDRN annual meeting, 2 members reimbursed by the P&A; 2 self-paid, 1 NDRN scholarship.

a. ACTIVITY	b. # ATTENDING	c. P&A	d. SELF	e. OTHER

SECTION F. PAC ACTIVITIES [See PAIMI Act at 10805(7)]

F.9. Did the P&A system provide the PAC with reports, materials, & fiscal data to enable review of the following: [42 CFR 51.23(c)].

F.9.a. Existing program policies, priorities, and performance outcomes.	Yes X	No*
F.9.b. If Yes, were the submissions (of P&A system documents referenced in F.9.a.) made at least annually and (shall) report expenditures for the past two (2) FISCAL YEARS?	Yes X	No*

*F.9.c. If the answer to F.9. a. or F.9.b. is 'No', a brief explanation is required.

F.9.d. If you answered Yes in F.9.a., did the P&A system documents referenced also <i>INCLUDE THE PROJECTED EXPENSES FOR THE NEXT FISCAL YEAR (FY) IDENTIFIED BY BUDGET CATEGORY</i> , e.g. salary & wages, contracts for services, administrative expenses, including, the amount allotted for training of the PAC, the governing board and staff?	Yes X	No*
F.9.d.1. If No*, a brief explanation is required].		

SECTION F. PAC ACTIVITIES [See, PAIMI Act at 10805(7)]		
F.9.e. The PAIMI Rules mandate that members of the public shall be given an opportunity, on an annual basis, to comment on the priorities established by and the activities of the P&A system. Procedures for public comment must provide for notice in a format accessible to individuals with mental illness, including such individuals who are in residential facilities, to family members and representatives of such individuals with disabilities. [42 CFR at 51.24(b)].		
F.9.e. Does the P&A have procedures established for public comment?		
F.9.e. 1. Yes X	F.9.e. 2. No*	F.9.e.3. Don't Know*
F.9.e.4. *Brief explanation required for F.9.e.2. No or F.9.e.3. Don't know responses.		
F.9.f. Was the PAC provided a copy of these procedures?		
F.9.f.1. Yes X	F.9.f.2. No*	F.9.f.3. Don't Know*
F.9.f.4. *Brief explanation required for F.9.f.2. No or F.9.f.3. Don't know responses.		

SECTION F. PAC ACTIVITIES [See, PAIMI Act at 10805(7)]		
F.9.g. The PAIMI Rules, at 42 CFR 51. 24(b), mandate that the public shall be given an opportunity, on an annual basis, to comment on the priorities established by and the activities of the P&A system. <i>WAS THE PUBLIC PROVIDED AN OPPORTUNITY FOR PUBLIC COMMENT?</i>		
F.9.g. 1. Yes# 3	F.9.g. 2. No*	F.9.g.3. Don't Know*
F.9.g 4. #If the answer to F.9.g.1. is Yes, briefly describe activities the P&A system used to obtain public comment. Priorities and objectives were posted for 60 days electronically and several mediums for submitting comment were provided. Comments were sought from online survey, written comments, through monitors in-person at mental health institutions, via focused discussion groups, or attending the Commission or PAC May and August public meeting to provide synchronous public comment.		

F.9.g. 5. *If the answer to F.9.g.2. is NO, explain why public comment was not obtained.

F.9.g. 6. *If the answer to F.9.g.3. is DON'T KNOW, please explain (e.g., PAC needs training, etc.)

F.10. **COMPLETION OF THIS SECTION (F.10 a. –e.) IS OPTIONAL.** However, if you choose to respond, please describe in the spaces below any other PAC activities, *other than* mandated PAC membership meetings.

F.10.a. Briefly describe, governing board or PAC committee work.

F.10.b. Briefly describe any training or educational presentations to either constituency groups or the general public.

SECTION F. PAC ACTIVITIES [See, PAIMI Act – 42 U.S.C.10805(7)]

F.10.d. Briefly describe any special projects (e.g., institutional monitoring).

F.10.e. Briefly describe any other activities, e.g., fund raising, public relations, etc.

SECTION G. PAC ASSESSMENT OF PAIMI PROGRAM OPERATIONS

G.1. *Please provide a NARRATIVE SUMMARY of the PAC'S ASSESSMENT of the PAIMI priorities (goals) and objectives included in the PPR for this Fiscal Year.*

Include in the narrative an assessment of the following items:

G.1.a. The PAIMI Priorities (Goals) and Objectives selected.

G.1.b. The activities conducted towards achieving these priorities (goals) and objectives.

G.1.c. The outcomes.

G.1.d. Examples of individual or systemic cases, applicable legislative activities, and participation in State mental health planning activities.

G.1.e. Any recommendations regarding future priorities (goals) and objectives.

The Mental Health Advisory Council (MHAC, PAC) is grateful to IDR staff for their continued focus on children, youth, and adults in mental health institutions to ensure their rights are protected. Given the examples below, the PAC believes IDR is effectively working toward the priorities and objectives.

Examples

IDR created a "Know Your Rights" plain language coloring book and distributed them to youth living in mental health institutions and presented rights information. After one presentation, IDR was on a unit and a resident, who is 12, reported the facility refused to allow her to access her personal books (young adult novels) unless she is on full privilege level. She flipped to the page about her right to have her personal belongings, then proclaimed that the facility was violating her rights by restricting her access to her books. IDR discussed her options with her, and she requested assistance with filing a grievance, which was provided to her. She completed the form and cited the Indiana Code for that specific right, which she obtained from the list in the back of the coloring book.

Following allegations that had an informal, unwritten policy prohibiting patients from purchasing more than 4 personal items per month with their own money, IDR opened a case. IDR confirmed the policy and MSH agreed that purchase restrictions will be done on an individual basis when clinically appropriate and justified and in such all such cases, the restriction and reason for it will be noted in the patient's treatment plan.

Kindergartener "Jason" was suspended 8 times this school year due to behaviors related to his mood disorder. IDR successfully advocated for Jason to return to full-time school with a behavior plan and more appropriate services.

After "Henry" passed away in a state-operated mental health inpatient facility, a nurse contacted IDR with concerns about how staff handled his death. IDR investigated and determined that, among other issues, staff failed to follow facility policy and develop and implement an individual plans of care after Henry was diagnosed with aspiration pneumonia and COVID-19. IDR substantiated the allegation and recommended a letter be sent to the facility notifying it of IDR's findings.

After "Deidra's" emotional support animal allegedly bit a maintenance person while in her apartment, her landlord required her to remove the dog from the property. Deidra is a person with anxiety disorder. She contacted IDR who negotiated with the landlord for the dog's return as long as it was kept in a crate or behind a closed door when personnel were in the apartment. The landlord also agreed to try to give at least two hours' notice prior to entering her apartment.

SECTION G. PAC ASSESSMENT OF PAIMI PROGRAM OPERATIONS

G.2. OTHER COMMENTS CONCERNING PAIMI SYSTEM OPERATIONS:

Briefly describe any special initiatives, problem solving techniques, or innovative practices that may help other State P&A systems.

IDR did a great job of establishing and exceeding diversity and inclusion goals in its priorities and objectives setting process. Targeted discussion groups seemed to be the most effective way to gather feedback from diverse voices.

G.3. Please list any training & technical assistance needs identified by the PAC.

Diversity, equity and inclusion and intersectionality with people with serious mental illness.

SECTION H. GRIEVANCE PROCEDURES [42 CFR Section 51.25]

Pursuant to the PAIMI Rules at 42 CFR 51.25, the P&A systems shall establish procedures to address grievances from: individuals at 42 CFR 51.25(a)(1) – *clients or prospective clients . . . ; and systemic complaints at 42 CFR 51.25(a)(2) – individuals who have received or are receiving mental health services in the state, family members or representatives of such individuals*

H.1. Is the PAC aware of and knowledgeable of the above referenced policies and procedures?	Yes X	No*
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H.1.a. If you answered No to H.1. provide a brief explanation.

H.2. The number of grievances filed by PAIMI-eligible clients, including representatives or family-members of such individuals receiving services during this fiscal year.	Total 1
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H.3. The number of grievances filed by prospective PAIMI-eligible clients (those who were not served due to limited PAIMI Program resources or because of non-priority issues).	Total 1
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H.4. Add H.2 & H.3 [42 CFR Section 51.25(a)(1),(2)]	Total 2
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H.5. The Number of Grievances Appealed to:

H.5. a. The Governing Board (the PAC Chair of a private, non-profit P&A system should have this information).	Total 0
H.5.b. The Executive Director	Total 2
H.5 c. The number of Grievances appealed [H.5.a. + H.5.B = H.5.c.].	Total 0
H.6. The number of reports sent to the Governing Board AND the PAC (<i>at least one annually</i>) that describe the grievances received, processed, and resolved.	Total 1

SECTION H. GRIEVANCE PROCEDURES [42 CFR Section 51.25]		
H.7. Please identify all individuals, by name & title, responsible for P&A system grievance reviews. Melissa Keyes, Executive Director Amber O'Haver, IPAS Commission Chair (Board Chair)		
H.8. What is the timetable (in days) used to ensure prompt notification of the grievance procedure process to clients, prospective clients or persons denied representation, and ensure prompt resolution. [42 CFR 51.25(B)(4)]		Days 5
H.9. Were written responses sent to all grievants?	Yes X	No*
H.9.a. *If you answered No, to H.9, briefly explain.		
H.10. Was client confidentiality protected? _____. If not, explain below. [42 CFR 51.25(B)(6)]	Yes X	No*
H.10.a. *If you answered No, to H.10, briefly explain.	Yes	No*

GLOSSARY

Closed Case - is when the advocate/attorney closes the client record or case file after providing advocacy interventions on behalf of a client, and determining that the client either has no need of further intervention services or that the agency has no other services available to address the issue(s) or complaint(s) for which the case was initially opened.

Grievance Procedures - are policies and procedures developed by the P&A system to ensure that its clients and prospective PAIMI-eligible clients, their family members, or representatives have full access to the system services and that the system is fully compliant with the provisions of the PAIMI Act and Rules.

Information and Referral (I&R) Services - is the provision of brief written or oral information, such as generic information about the P&A, including information about additional programs and resources external to the P&A that relate to the individual's service needs and statutory or constitutional rights as a person with a disability. I &R services are generally of short duration, typically range from a few minutes to an hour, do not involve direct advocacy intervention by staff, and any type of staff follow-up. I&R services may include mailing generic agency information. Individuals receiving I &R services are not counted as PAIMI clients.

Intervention Strategies:

Abuse/Neglect Investigations - a systemic and thorough examination of information, records, evidence and circumstances surrounding an allegation of abuse and neglect. Investigations are undertaken to determine if there is a basis for administrative or legal action on behalf of the client. Investigations require a significant allocation of time to interview witnesses, gather factual information, and to issue a written report of findings.

Administrative Remedies - includes the use of any systems for appeal within an agency or facility, or between agencies, which does not involve adjudication by a court of law.

Legal Remedies - the legal representation of clients in litigation in court processes concerned with rights, grievances, or appeals of such rights or grievances.

Legislative/Regulatory Advocacy - activities involve monitoring, evaluating, and commenting upon the development and implementation of Federal, State, and local laws, regulations, plans, budgets, taxes and other actions which may affect individuals with mental illness. [The PAIMI Rules at 42 FCR at 51.24 mandates that legislative activities shall also be addressed in the development of program priorities].

Negotiation/Mediation - is an informal, non-legal intervention by a PAIMI representative, attorney or case manager used to resolve problems with facility staff or other agency representatives; (does not involve a formal appeal).

Objectives - are activities undertaken to achieve annual program priorities (goals). All objectives required to have measurable outcomes and the use of numerical targets is encouraged. Each objective must clearly state why the activity was undertaken, who will benefit from the objective (the target population), how the activity will be accomplished, and what is the expected outcome for the activity? Generally, with the exception of litigation, legislative or regulatory activities, objectives shall be attainable within the fiscal reporting period (within one (1) fiscal year).

Open Case - is when a PAIMI-eligible individual with a complaint is accepted as a client by the P&A system. A case record or case file is opened for that individual. System staff maintain all intervention services provided to the client and other information t are maintained in this case record/file.

Outreach - is an activity that targets information on PAIMI Program activities to specific populations (e.g., cultural, ethnic and racial minorities, and other underserved or un-served populations, etc. The activity is linked to an objective of a specific annual priority.

PAIMI Clients (for purposes of this report) - are individuals who meet the PAIMI eligibility criteria as defined in the PAIMI Act [42 U.S.C. 10802(4) and its Rules at 42 CFR 51.2 Definitions, who have a complaint, for whom demographic data is collected, and for whom the PAIMI Program, or any of its subcontractors, provides an intervention (as reported under Intervention Strategies in this form).

Priorities (Goals) - are broad general descriptions of short term activities for the P&A system to accomplish within one (1) fiscal year (FY). [The exceptions are generally regulatory, legislative, and litigation activities]. The priorities must be directly related to the purpose of the enabling Federal legislation and the requirements of the Federal-funding agency and consistent with the priorities included in the PAIMI Application for the same FY. [See PAIMI Act at 42 U.S.C. 10801, PAIMI Rules at 42 CFR 51.24 (a) – Program Priorities, and the Children’s Health Act of 2000 at 42 U.S.C. at 290ii-ii-1 and 290jj-jj-2].

Public Awareness Activities - provide general information on disability rights and the purpose and mission of the P&A system. Public awareness activities include public service announcements, newsletters, radio or television, publications in legal journals, web site services, general distribution of agency brochures, etc.

Public Education and Constituency Training - is the dissemination of information to one or more persons through an interactive event, which often promotes a greater understanding of the constitutional or statutory rights of persons with disabilities. Contrasted to Public Awareness Activities, education and training must be specifically targeted to meet the unique need of the group(s) trained.

Racial/Ethnic Background - The following minimum standards shall be used for all federal administrative reporting and grants reporting or record keeping requirements that include data on race and ethnicity [http://www.whitehouse.gov/omb/fedreg_1997standards/].

CATEGORIES AND DEFINITIONS:

Ethnicity:

Hispanic or Latino - A person of Cuban, Mexican, Puerto Rican, South or Central American descent.

Not of Hispanic Origin.

Race:

American Indian or Alaska Native (include tribal affiliation for the Alaska native when possible) - A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

Asian - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Black or African American - A person having origins in any of the Black racial groups of Africa.

Native Hawaiian or Other Pacific Islander - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific islands.

White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

Respondents have the option of selecting one or more racial designations.

Resolution of Complaint/Problem Area – is in a client’s favor when (1) the client is satisfied with the result of the intervention or (2) the expressed wish or stated goal of the client is either fully attained or negotiated to an agreeable outcome, or (3) the violation in the stated case complaint/problem area was remedied.

Systemic Advocacy Activities – are the efforts taken to implement changes in policies and practices of systems that impact persons with mental illness. These "systems" include, but are not limited to, State agencies, various public and private residential care and treatment facilities, and other service providers, etc. [The PAIMI Rules at 42 CFR 51.24 (a) PAIMI Priorities state that systemic activities shall be addressed in the development and implementation of program priorities].

Technical assistance - Assistance provided to family members, non-legal guardians, professionals or other advocates in consultation regarding an area of the law in which the P&A has expertise. It is considered a non-client directed activity.