Indiana Utility Regulatory Commission
Improving Procedural Efficiencies ("IPE") – 2021 Draft IPE Issues List

The Indiana Utility Regulatory Commission ("IURC" or "Commission") is continuing its Improving Procedural Efficiencies ("IPE") initiative and would like your input and comments. The following is a draft of the proposed issues to be discussed in 2021:

I. Minimum Standard Filing Requirements Rule Amendment

A. Applicability – MSFR will not apply to small utility cases; should it apply to all other rate cases or only those filed under IC 8-1-2-47.2 and/or under the 300 day time constraint?

B. Test years – the rule amendment will be drafted to have separate sections for each type of test year (historic, forward looking, hybrid) with the listing of required workpapers for each type – what should be included?

C. Workpapers – what should be required and what can be eliminated?

NOTE: The current MSFR will expire on January 1, 2022. Because the rulemaking amending the rule will not be completed by that date, the IURC will be readopting the existing rule.

II. Demand Side Management ("DSM") – Energy Efficiency ("EE") Proceedings:

A. Establish a consistent filing deadline – proposed is no later than July 15th of the year prior to when the proposed DSM/EE programs are to be in effect.

B. Workpapers should be provided in Excel as IURC staff and other parties need to be able to see and verify the formulas and calculations. Read-only and pdfs may be filed, as long as an Excel version is also provided.

C. Establish a consistent filing time for evaluation, measurement, and verification ("EM&V") reports – proposed is April 30th.

D. New compliance filing dates.

E. Utility should provide the following items as part of its filing:
   1) Provide ex ante gross estimates of kW and kWh impact estimates at DSM program level.
   2) Provide ex post net estimates of kW and kWh impact estimates at DSM program level.
   3) Provide avoided cost data by year, including:
      a. Avoided energy cost;
      b. Assumed carbon tax costs included and supporting assumptions concerning amounts and timing;

   c. Avoided generating capacity costs and basis;
   d. Avoided transmission and distribution cost and basis.
4) Provide measure data, including:
   a. kW savings estimate and coincident peak factors;
   b. kWh savings estimate;
   c. Net-to-gross factor;
   d. Baseline assumptions and source reference;
   e. Expected useful life and source reference;
   f. Cost of measure compared to baseline;
   g. Customer incentive provided.
5) Provide the discount rates used in modeling.

F. Develop definitions for cost effectiveness tests.

III. Additional Issues:

Please submit any additional issues you would like considered for inclusion in the 2021 IPE initiative.