



INDIANA COMMISSION ON JUDICIAL QUALIFICATIONS

Statement of Economic Interests

For the Calendar Year:

NOTE: Judicial officers who are also attorneys and prosecutors must file this form electronically by uploading to the Indiana Courts Portal at portal.courts.in.gov. Please read and follow the [Secure Document Uploader](#) instructions. See this [quick tutorial](#) to ensure you save the form correctly. **The information provided in this form covers the preceding calendar year.**

Name

Address

Apt./Unit

City

State

Zip Code

County of office held or sought

Please check box of position held or sought

Judge (appellate, trial or small claims court)

Magistrate

Prosecuting Attorney

Commissioner

Senior Judge

Referee

City or Town Court Judge

Judge *Pro Tempore*

INSTRUCTIONS

This form is divided into two parts. Part I of the form is intended to fulfill the statutory filing requirements from Indiana Code 33-23-11-14 et seq. Pursuant to that statute, Part I must be filed by all elected Prosecuting Attorneys and by all appellate judges, by full-time judges of the circuit and superior courts, by full-time judicial officers in those courts, such as magistrates, referees, and commissioners, and by any part-time judges and judicial officers in those courts who presided more than twenty days during the calendar year, including senior judges and judges *pro tempore*. Part I must also be filed by candidates for any of these offices.

Part II of the form is intended to fulfill the reporting requirements in Rules 3.13(C), 3.14(C), and 3.15 of the Code of Judicial Conduct. It must be filed by all full-time judges and judicial officers. Only Part II, #1 must be filed by part-time judges who are continuing part-time judges under the Application Section of the Code of Judicial Conduct, such as part-time commissioners and referees, and by city and town court judges and Marion County Small Claims Court judges.

The Statement of Economic Interests must be filed *electronically* with the **Indiana Commission on Judicial Qualifications** no later than February 1 of every year or for candidates, prior to filing a declaration of candidacy, petition or application for nomination or certificate of candidate selection. The information provided in this form covers the preceding calendar year and applies to any period of time during such year. Additional pages may be added if necessary. A candidate for judicial office in a county with a local selection commission must file this form also with the local commission.

Questions about this form may be directed to Larry Newman, Counsel to the Commission by email at larry.newman@courts.in.gov.

PART I: Part I must be completed by all elected Prosecuting Attorneys, by all appellate judges, by full-time judges of the circuit and superior courts, by full-time judicial officers in those courts, such as magistrates, referees, and commissioners, and by all part-time judges and judicial officers in those courts, including senior judges and judges pro tempore who presided more than twenty days during the calendar year. Part I also must be filed by candidates for these positions.

1. List the name and address of any person or entity, other than your spouse or close relatives, from whom you received a gift or gifts having a total fair market value in excess of one hundred dollars (\$100.00). (This does not include campaign contributions.)

Pursuant to Indiana Code 33-23-11-2, a "close relative" is your or your spouse's child, grandchild, great-grandchild, parent, grandparent, great-grandparent, sibling, niece, nephew, uncle or aunt. Relatives by adoption, half-blood, marriage, re-marriage are considered relatives of whole kinship.

Name and Address of Donor	Nature of Gift	Estimated Value

2. Provide the name and the nature of the business where you or your spouse were employed during the reporting year. (This does not include your office or employment pursuant to which you are filing this form.)

Your Employer	Nature of Business
Spouse's Employer	Nature of Business

3. List the name of any business or professional practice, other than a publicly-traded security, operated or owned by you or your spouse during the reporting year and indicate the nature of the business and whether it is yours or your spouse's, or both.

Name of Business	Nature of Business	Self /Spouse / Both

4. List the name of any general or limited partnership, limited liability company, or business trust of which you or your spouse was a member or trustee during the reporting year, and indicate the nature of the business and whether it is yours or your spouse's, or both.

Name of Organization	Nature of Business	Self / Spouse / Both

5. List the name of any corporation or other business entity, except a church, of which you or your spouse was an officer or director, or held any substantially equivalent position, during the reporting year, and indicate the nature of the business and whether the position is yours or your spouse's.

Name of Organization	Nature of Business	Self / Spouse / Both

6. List the name of any business entity (corporation, partnership, limited liability company, business trust or other form of organization) in which you, your spouse, or any of your unemancipated children under 18 years of age, owned stock or stock options having a fair market value in excess of ten thousand dollars (\$10,000) during the reporting year.

Name of Business	Self / Spouse / Children

PART II: This part must be completed by all full-time judges and judicial officers. Part-time judges who are continuing part-time judges under the Application Section of the Code of Judicial Conduct, such as part-time commissioners and referees, city and town court judges, and Marion County Small Claims Court judges, must complete only #1 of this part.

1. If you are a full-time judge or judicial officer, or a continuing part-time judge, list the name of any person or entity from whom you or any member of your family residing in your household received a gift, favor, bequest or loan, or non-exempted expense reimbursement, in excess of one hundred fifty dollars (\$150) and the amount of such gift, favor, bequest, loan, or reimbursement. (This section does not require disclosure of ordinary gifts or loans as described in Rule 3.13(B)(1) through 3.13(B)(10) of the Code of Judicial Conduct, does not include campaign contributions, and does not include expense reimbursements paid to a family member by the family member's employer. Judicial officers also are not required to report reimbursement of expenses and waivers of fees for participation in educational and other extrajudicial programs if the reimbursing entity or organization is an exempted one. A list of all exempted organizations can be viewed at <https://www.in.gov/courts/ojar/files/jud-qual-reimbursement-sources.pdf>.)

Name of Donor or Lender	Recipient's Name	Nature of Gift, Loan or Reimbursement	Estimated Value

2. If you are a full-time judge or judicial officer, list the date, place, and nature of any activity for which you received extra-judicial compensation, the name of the payor, and the amount of the compensation received. The definition of "compensation" as found at IC 33-23-11-3 will be used for the purposes of this part of the report. This statutory provision defines "compensation" as "any money, thing of value, or economic benefit conferred on, or received by, any person in return for services rendered, or for services to be rendered whether by that person or another." (Compensation from the performance of marriage ceremonies and from a prior law practice should be indicated in a gross amount for the year and need not include the names of payors.)

Date, Place, Nature of Activity	Payor	Amount

I certify that the foregoing information is true, accurate, and complete, to the best of my knowledge and belief.

Date

/s/
Signature

This page should be used as additional space for any answer to the questions above. Please indicate which question the additional information corresponds to.