

FCO 2022-08

**Floyd County Board of Commissioners
Ordinance amending American Rescue Plan Implementation Plan**

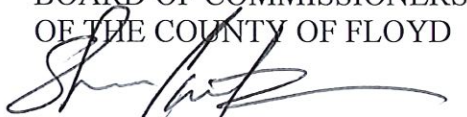
Whereas, the Floyd County Board of Commissioners met on March 1, 2022, on the matter pursuant to amend the Floyd County American Rescue Plan (ARP) Implementation Guidance Plan as approved as FCO-2021-12,


NOW, THEREFORE,

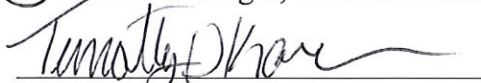
BE IT ORDAINED that Floyd County Board of Commissioners amends the Floyd County American Rescue Plan (ARP) Implementation Guide Plan as shown in Exhibit A

SO ORDAINED this 1st day of March 2022.

BOARD OF COMMISSIONERS
OF THE COUNTY OF FLOYD


Shawn Carruthers, President


John Schellenberger, Commissioner


Tim Kamer, Commissioner

ATTEST:


Jacqueline Wenning, County Auditor



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Floyd County

Implementation Guidance Plan

Distribution of Coronavirus State and Local Fiscal Recovery Funds





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Introduction

On March 11, 2021, President Biden signed into law the American Rescue Plan. One of the components of the plan was the provision of \$350 billion in funding for state, local, territorial, and Tribal governments. The funds were released to assist these units of government in responding to the acute pandemic needs, fill revenue shortfalls, and support communities and populations hit the hardest by the COVID 19 pandemic.

For Floyd County, the County was allocated \$15,251,978 to address the community needs as it recovers from the pandemic, replenish lost revenue for local government operations, support the public health response, make necessary investments in water and sewer infrastructure, address the negative economic impacts, provide premium pay for essential workers, and make the necessary investments in broadband to provide unserved and underserved locations with access to broadband.

In order to ensure the highest and best use of these funds are directed to these recovery priorities and to ensure the transparency and good governance in the distribution of these funds, the Floyd County Board of Commissioners have developed this implementation guidance plan.

As a requirement for the expenditure of the Coronavirus State and Local Fiscal Recovery Funds, this plan outlines the process of expenditure of funds, the approval process for the funds, and set the priorities for the usage of these funds. This Implementation Guidance Plan has been developed with two primary components.

One is to set the spending priorities of the funds so that these funds will be used to address the most immediate needs, provide assistance to those individuals in the community still attempting to recover for the effects of the pandemic, strengthens the community's infrastructure and economy, and reward those frontline personnel for their efforts.

Secondly, this guidance document allows for flexibility to address unknown or new needs as they may raise in an expedited fashion. Expending these funds in an effective and efficient manner is paramount in the development of this document. This document framework has been designed to address the American Rescue Act priorities and provide a nexus between spending and the Act's policy objectives. This Implementation Guidance allows for it to be amendable and address new challenges as they may arise. It also provides a framework as additional federal guidance and requirements are developed for the Act.



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Process and Procedures

The Floyd County Implementation Guidance Plan has been developed to ensure transparency, efficient, and maintain funds are expended in a proper fashion. With the development of this plan, the first component of the plan will be complete. Funds cannot be expended without their reference back to a plan.

On April 6, 2021, the County Commissioners established Floyd County Ordinance FCO 2021-08). This ordinance set the legal motion for the development of American Rescue Plan fund through the County Auditor and the establishment of this document. In May, the County requested funding through the United States Treasury for its first tranche of funding. Those funds were received by the County Treasurer on May 20, 2021

Once the funds have been received, the next step is the development of the spending plan for these funds. A schedule has been developed to provide milestones in the review of each of the Act's priorities, the development of standards for recommendations and appropriations of funds, and the amending process for future spending recommendations. Also, a framework for the expenditure of funds was established to ensure checks and balances from the request of funds, through appropriation and to reporting of expenditures.

Below is the process for the approval of spending recommendations.

1. Submission of Request for Funding to the County Commissioners
2. Review by the County Auditor to determine in funding is available
3. Review by the County Attorney to determine if request meets legal eligibility requirements
4. Placement of request on County Commissioners agenda for approval to Implementation Guidance Plan
5. Once approved, request for creation of line item and appropriation of fund to be submitted to the County Council for approval
6. Approval from County Council on requested appropriation
7. Submission of claim to be reviewed and approved by County Commissioners
8. Tracking of funding for reporting through County Commissioners Office
9. Submission of reports to United States Treasury by County Commissioners Office
10. Quarterly public reviews and reports on spending to be made to County Commissioners and County Council
11. Establishment of web page on County website detailing plan, spending and reporting



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Eligibility Determination

As stated previously, there are six key components of the Coronavirus State and Local Fiscal Recovery Funds. In determining whether a funding request is eligible the first step is to determine which of these six categories does the request address in relationship to the American Rescue Plan. These components are listed as:

- Support Public Health Response
- Replace Public Sector Revenue Loss
- Water and Sewer Infrastructure
- Address Negative Economic Impacts
- Premium Pay for Essential Workers
- Broadband Infrastructure

After the determination of eligible category, the second eligibility request is to assess whether a program or service should determine a) does it respond to the COVID-19 emergency and b) identify how the program, service or other invention addresses the identified need or impact.

Amending Plan

The Floyd County Implementation Guidance Plan has been designed to be flexible and living document. Amending the plan to respond to new or changing dynamics of the COVID 19 pandemic, is a straight forward process. Requests for amendments shall be submitted to the County Commissioners Office for placement on the next available Commissioners meeting. At that time, the request will be reviewed to determine if it meets the eligibility standards set forth in this document. If the Commissioners deem it does meet the standards of the plan, the plan will be amended and the amendments will be distributed to the County Council and placed on the County's website.

On a quarterly basis, the County Commissioners will present a report at its meeting and County Council to update the plan and to report on the progress of its implementation. Copies of quarterly reports to the United States Treasury will also be placed on the website and distributed upon its submission to the County Council.



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Support Public Health Response



Floyd County Board of Commissioners have established a schedule for the development of the spending component for supporting the public health efforts in the community. The County Commissioners will be seeking out comments and direction from a variety of stakeholders. Using the established committees formed through the County's effort to become a Stellar designated community through the Indiana Office of Community and Rural Affairs.

It is anticipated a spending plan for these funds will be developed and ready for amendment into the spending plan by September 2021.

Replacement of Lost Revenue



The County established a process to determine levels of lost revenue per the requirements of the interim rule provided by the United States Treasury. Using the County's submitted Annual Financial Report to the State of Indiana and the financial reporting of the County's financial contractor, Reedy Group, for fiscal years 2017-2020, the County utilized the revenue calculator provided by the National Association of Counties (NACO) through its partnership with Government Finance Officers Association (GFOA) to calculate the lost revenue.

The final rule allows County's to establish up to a standard lost revenue allocation not to exceed \$10M. The Floyd County Board of Commissioners accepts and establishes this as the County's standard for lost revenue determination.

Providing immediate relief to County department's which saw revenues fall to the effects of the pandemic are being determined and replacement fund levels will be requested in the spending plan. Other spending requests for general government services have been identified. These requests will need to follow the eligibility determination to ensure compliance with the objectives of the American Rescue Plan policies to be included in the plan.

The County will set an annual review of lost revenue utilizing this methodology. Annual reviews will be conducted for the future years 2022,2023 and 2024. The results from the lost revenue can be found in the proposed spending matrix of this document.

Water and Sewer Infrastructure



Developing a response to the community's need for safe potable water and to address concerns of neighborhood septic failures identified by the Floyd County Health Department, the County has created the Floyd County Community Infrastructure Program. The program will provide matching



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funds to water and sewer providers for addressing water and sewer infrastructure deficiencies. The program applications for providers will be available July 6 for submission on a rolling basis.

Priorities of the program will be to address areas without potable water, addressing flow and pressure issues which have effect of public safety, neighborhoods with identified multiple failing

septic system by the Floyd County Health Department, and opportunities for economic growth. Leveraging other funding programming will be strongly recommended to maximize the impact of these funds to address our community's needs.

Planning funding will also be set aside to address long-term needs of the community in both of these areas.

Addressing Negative Economic Impacts



Likewise, the Floyd County Board of Commissioners have established a schedule for the development of the ending component for addressing negative economic impacts in the community. Addressing the negative economic impacts from the pandemic, the County Commissioners will be seeking out comments and direction from a variety of stakeholders. Using the established committees formed through the County's effort to become a Stellar designated community through the Indiana Office of Community and Rural Affairs.

It is anticipated a spending plan for these funds with the recommendations for supporting Public Health Response will be developed and ready for amendment into the spending plan by September 2021.

Premium Pay for Essential Workers



Rewarding the dedication and tireless work of essential workers for their outstanding service to the residents of Floyd County. Presently, the County Commissioners seek to provide premium pay to the frontline workers to reward their efforts to mitigate the effects of the pandemic, organize an efficient response for the immunization efforts to reduce the devastation causes by COVID 19. Additional reviews of requests for premium pay will be reviewed through the amendment process and will adhere to the Act's criteria for determining essential workers.



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Broadband Infrastructure



During the pandemic, the Floyd County Commissioners responded by providing payment assistance to in need families within the New Albany-Floyd County School Corporation system for broadband access through eligible CARES funding. In addition, the Commissioners expanded public Wi-Fi availability and access at selected County facilities and parks.

The County had been addressing accessibility issues for residents by successfully being awarded a Next Level Broadband grant through the State of Indiana in late 2019. The implementation of this broadband framework has provided service to unserved and underserved areas. However, through this effort, it has been determined there are additional areas where residents are in the last mile of service. The County plans to develop with the State of Indiana Office of Community and Rural Affairs outreach efforts to provide assistance and direction for unserved residents to obtain service.

Additionally, the County has plans to expand its public places Wi-Fi efforts through additional public locations. Additionally, funding will be sent aside to determine methods to expand high speed broadband to underserved areas. The County will seek to identify partners to maximum funding sources through leveraging local American Rescue Plan funds.



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Addressing Negative Impacts
Allocation to date: \$1,116,795.00

The County provided funding to non-for profit organizations to assist in funding programs that addressed the negative impacts associated with the pandemic such as assistance for utility and evictions, food assistance, child and youth care, and economic revitalization programs.

NFP	Name	Request
1	Hope Southern Indiana	\$ 50,000.00
2	CASA	\$ 48,795.00
3	Hispanic Connection of Southern Indiana	\$ 3,000.00
4	Arts Alliance of Southern Indiana	\$ 50,000.00
5	4H Floyd County	\$ 50,000.00
6	Discovery Time II Child Care	\$ 50,000.00
7	Southern Indiana Fresh Market	\$ 20,000.00
8	St. Mary's NA Mens Club	\$ 25,000.00
9	FKCC Little League	\$ 45,000.00
10	Let Us Learn, Inc	\$ 50,000.00
11	Open Door Youth Shelter Foundation	\$ 50,000.00
12	VFW Post 3281	\$ 50,000.00
13	NALL	\$ 25,000.00
14	Salvation Army	\$ 50,000.00
15	Big Brothers -Big Sister Southern Indiana	\$ 50,000.00
16	MUW	\$ 50,000.00
17	Rauch, Inc.	\$ 50,000.00
18	St. Elizabeth Catholic Charities	\$ 50,000.00
19	One Southern Indiana	\$ 50,000.00
20	Homeless Coalition of Southern Indiana	\$ 20,000.00
21	Goodwill of Central - Southern Indiana	\$ 50,000.00
22	FC Animal Rescue League	\$ 50,000.00
23	Clean Socks	\$ 25,000.00
24	BSA- Lincoln Heritage Council	\$ 25,000.00
25	HYR -Little League	\$ 45,000.00
26	Liberty Place - Kaiser Home Support	\$ 50,000.00
27	Floyd County YMCA	\$ 50,000.00
28	Destination Georgetown	\$ 25,000.00
29	New Albany Floyd County Education Foundation	\$ - \$ 25,000.00
		\$ 1,181,795.00



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Water, Sewer and Storm Water Infrastructure Projects

The County provided funding to seven organizations to assist in funding water improvement projects ranging from aged line replacement, increased tower storage, emergency water line connections, meter and hydrant replacements, equipment for emergency power back and equipment for line repairs.

Water Projects

Allocation to date: **\$3,479,765.00**

Name	Type of Request	Funding Request
Floyd Knobs Water	Capital Equipment	\$ 74,223.00
Floyd Knobs Water	Hydrants	\$ 23,314.00
Floyd Knobs Water	Emergency Water	\$ 894,400.00
Floyd Knobs Water	Transmission - Replacement	\$ 150,000.00
Floyd Knobs Water	Capital Equipment	\$ 16,110.00
Silver Creek	Transmission/Capital Equipment	\$ 143,737.00
Ramsey	Transmission	\$ 325,000.00
Ramsey	Transmission	\$ 60,000.00
Borden Tri-County	Transmission	\$ 158,455.00
Edwardsville Water	Transmission	\$ 163,040.00
Edwardsville Water	Transmission	\$ 196,320.00
Edwardsville Water	Storage	\$ 500,000.00
Greenville Water	Transmission/Capital	\$ 22,000.00
Greenville Water	Transmission/Capital	\$ 65,000.00
Greenville Water	Fire Protection	\$ 60,000.00
Greenville Water	Equipment	\$ 56,000.00
Greenville Water	Transmission/Capital	\$ 102,166.00
Greenville Water	Transmission/Capital	\$ 120,000.00
Greenville Water	Transmission/Capital	\$ 150,000.00
Redevelopment Commission	Transmission/Capital	\$ 200,000.00
	Total	\$ 3,479,765.00



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Sewer Projects

Allocation to date: \$790,000.00

The County provided funding for preliminary engineering to review seven locations in the County identified by the Floyd County Health Department as having failing septic systems. The County has allocated funding for the following engineering report and projects.

Name	Funded	Summary
Preliminary Engineering Study	\$ 50,000.00	Study
Buffalo Trace Project	\$ 190,000.00	Installation Sanitary Sewer
Altawood Project	\$ 550,000.00	Installation Sanitary Sewer
	\$ 790,000.00	

Storm Water Projects

Allocation to date: \$2,655,200.00

The County provided funding for twelve (12) storm water construction projects identified by the Floyd County Storm Water Board.

Paoli Pike Culvert	\$ 248,200.00	Replacement Aged Line
Caiman Court Swale and Ditch	\$ 60,000.00	Installation Swale and Channel
Homestead Drive Concrete Channel	\$ 25,000.00	Installation Drainage Channel
Woods of Lafayette Pipe Replacement	\$ 127,000.00	Installation Pipe Replacement
Diana Drive Concrete drainage channel	\$ 100,000.00	Installation Drainage Channel
Grant Line Road Detention Basin	\$ 260,000.00	Intallation Detention Basin
Countywide Watershed Study	\$ 100,000.00	Study
Letty Walters Park Low Water Crossing	\$ 125,000.00	Creek Stabilization
Cobbler's Pipe Installation Project	\$ 80,000.00	Installation Pipe Replacement
Cedar Point 2 Detentation Basin	\$ 250,000.00	Installation Detention Basin
Oak Grove Drive Swale Installation	\$ 80,000.00	Installation Swale and Channel
Old Vincennes Road - In-line Drainage	\$ 1,200,000.00	Installation In-Road Storage
Total Allocation	\$ 2,655,200.00	

Replace Public Sector

Allocation to date: \$3,749,119

The County has calculated the lost revenue due to the COVID-19 pandemic. Funds was divided amongst the County Commissioners' funds that shown lost revenue and the County Council controlled funds that lost revenue.



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County Commissioners:

Name	Funded	Summary
Parks Department	\$ 167,119.00	Replacement-Loss Fees
Time Keeping System	\$ 100,000.00	Timekeeping System- HR
I-jury System	\$ 37,165.00	Jury Administration Software- County Clerk
Road Projects-PER	\$ 250,000.00	Various Road PER Projects
Oakes Road Construction	\$ 625,000.00	Construction New Road
Old Vincennes Road - Highlander Point	\$ 400,000.00	Construction New Road
Old Vincennes Road - PER	\$ 295,000.00	PER-Hill Stabilization
Grant Line Road - Traffic Signal	\$ 225,000.00	Intersection Signalization
Bridge 506	\$ 200,000.00	Construction Bridge
Highland Hills Middle School Turn Lane	\$ 250,000.00	Road Construction
Total to Date Allocation	\$ 2,549,284.00	

County Council:

Name			Funded	Summary
Election Machines			\$ 500,000.00	New election machines
Salary Compensation Study			\$ 70,000.00	Salary Compensation Study
I-Jury County Clerk			\$ 31,165.00	Jury Administration
COVID Recognition Pay			\$ 12,000.00	One-Time Pay to front line employees

Premium Pay

The County Council approved premium pay for the Floyd County Health Department employees in July 2021. Later in the year, the County Council has rescinded this pay being taken from American Rescue Plan funds starting in 2022. The appropriated funds will go back to unappropriated funds once the year has ended.

Name		Funded	Summary
Health Department	2021	\$ 75,140.19	Premium Pay



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Broadband

The County will be starting the planning and implementation process for expanding Broadband services in underserved areas of Floyd County in 2022. The following funds have been allocated for use in the development of a broadband plan to determine areas of need, conceptual costs for extending services, and implementation of a broadband service proposal to those areas to better serve the public.

Name	Funded	Summary
Charter Grant Application	\$ 120,000.00	Grant Area
Broadband Planning	\$ 30,000.00	Public Outreach
	\$ 150,000.00	