

SBoA Training School

June 2019



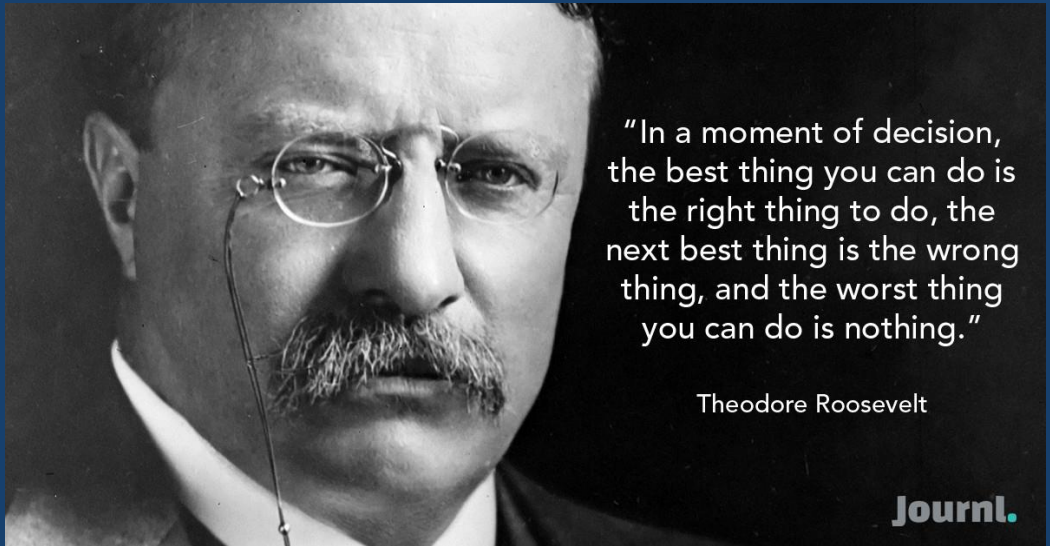
State of the State Board of Accounts

- Staffing
- Audits completed and in progress
- Special Investigations
- Modernization Projects
- Stuff you really care about?
 - Changes to federal and financial audits – increased use of specialists
 - Centralized and remote auditing
 - Gateway Uploads

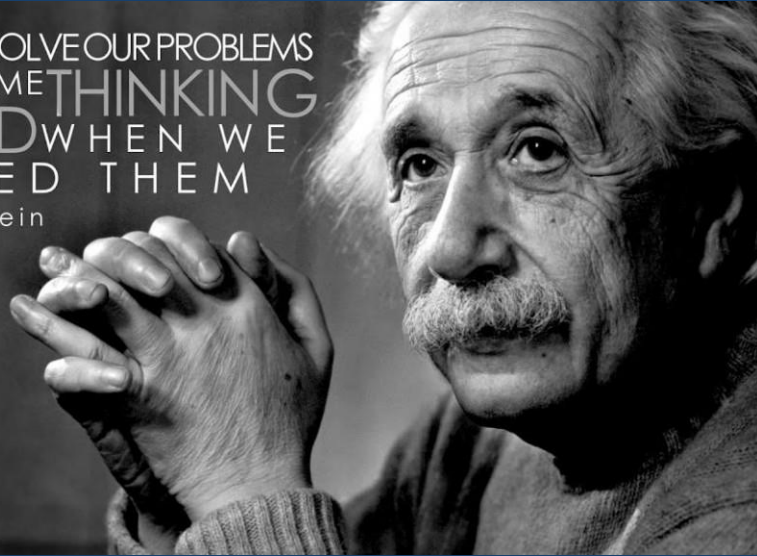


SBoA Strategic Goals

- Recreate how SBoA performs audits
- Increased utilization of technology
- Perform audits/audit steps remotely as much as possible
- Identify and implement audit efficiencies
- Movement toward GAAP reporting for local units
- Retain quality audit staff
- Mentor and develop audit staff
- Establish knowledge base for use by FEs and local officials



WE CANNOT SOLVE OUR PROBLEMS
WITH THE SAME THINKING
WE USED WHEN WE
CREATED THEM
-Albert Einstein



This is about the two choices life gives every person: either you sit, sulk and dwell on how unfair life is to you, or you could try and figure out how to make the bad situation work in your favor.

Cartoonist



JOKE OF THE DAY

■ AN eight-year-old girl went to the office with her father on "Take Your Kid to Work Day".

As they were walking around the office, the young girl started crying and getting very cranky. Her father asked what was wrong with her.

As the staff gathered round, she sobbed loudly: "Daddy, where are all the clowns that you said you worked with?"

SBoA modernization initiatives

- Remote or centralized audits
- Risk-based prioritization
- Gateway Uploads for populating audit projects and monitoring for risk factors
- Development and deployment of specialists



SBoA Accomplishments

- Passed Peer Review with highest rating in October 2018
- Filed 2,395 audit and examination reports during CY2018
- Filed 940 more reports during Jan.-Apr. 2019
- Teamed up with state, federal and local prosecutors and law enforcement in fall 2018 to execute "Operation Public Accountability"
 - Resulted in 15 arrests
 - \$1.19M in civil charges for misappropriated public funds
- Filed 55 charge reports last year involving theft or misuse of public funds



Stakeholder Input and Ideas

- Modernization, efficiency improvement efforts are not successful without collaboration with local units and associations
- Barriers or perceived barriers?
- Constructive ideas welcome
- How can we do better in collecting, encouraging, vetting, and implementing (where feasible) ideas from external partners?



Questions/Comments/Ideas?stions?

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