

Indiana Administrative Code	Violation	Violation #1	Violation #2	Violation assessment	Violation #3+	Violation assessment
440 IAC 10-3-01	Certification of opioid treatment facilities and addiction treatment facilities	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-3-02	Requirements for facility certification	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-3-03	Requirements for opioid treatment facilities and addiction treatment facilities	N/A	\$100	per code	\$200	per code
440 IAC 10-3-04	Temporary certification	\$5,000	\$10,000	per code	\$10,000	per code
440 IAC 10-3-05	Maintenance of certification	\$5,000	\$10,000	per code	\$10,000	per code
440 IAC 10-3-06	Relocation of an opioid treatment facility or addiction treatment facility	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-3-07	Conditional status of certification	\$5,000	\$10,000	per code	\$10,000	per code
440 IAC 10-3-08	Penalties and appeals	\$200	\$300	per code	\$600	per code
440 IAC 10-4-01	Specific approval of an opioid treatment program	N/A	N/A	per code	N/A	N/A
440 IAC 10-4-02	Diversion control	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-4-03	Written program policies and procedures	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-4-04	Required services	\$100	\$200	per code	\$400	per patient record
440 IAC 10-4-05	Medical services	N/A	\$200	per code	\$400	per patient record

440 IAC 10-4-06	Counseling services	\$100	\$200	per code	\$400	per patient record
440 IAC 10-4-07	Educational services	N/A	\$100	per code	\$200	per patient record
440 IAC 10-4-08	Referral services	\$500	\$1,000	per code	\$2,000	per patient record
440 IAC 10-4-09	Record keeping requirements	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-10	OTP days of operation	N/A	\$100	per code	\$200	per code
440 IAC 10-4-11	Security of medications	\$1,000	\$2,000	per code	\$4,000	per code
440 IAC 10-4-12	OTP administrative structure; general staff requirements	N/A	\$100	per code	\$200	per code
440 IAC 10-4-13	OTP staff positions	N/A	\$100	per code	\$200	per code and per each patient record
440 IAC 10-4-14	Continuing education of OTP staff	N/A	\$100	per code	\$200	per code
440 IAC 10-4-15	Patient admission criteria	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-16	Physical evaluation	\$500	\$1,000	per code	\$2,000	per code and per each patient record
440 IAC 10-4-17	Individuals not meeting admission criteria	N/A	\$100	per code	\$200	per code
440 IAC 10-4-18	Patient admission procedures	\$200	\$300	per code	\$400	per patient record
440 IAC 10-4-19	Initial opioid treatment medication	\$500	\$1,000	per code	\$2,000	per patient record

440 IAC 10-4-20	Medical history and physical examination	\$200	\$300	per code	\$600	per patient record
440 IAC 10-4-21	Substance abuse assessment	\$200	\$300	per code	\$600	per patient record
440 IAC 10-4-22	Drug testing	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-23	Treatment plan	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-24	Patient orientation	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-25	Patient compliance with program rules	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-26	Self-administered medication	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-27	Reduction or discontinuation of medication	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-28	Medication in extenuating circumstances	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-29	Interim services	N/A	\$100	per code	\$200	per patient record
440 IAC 10-4-30	Patient transfer	N/A	\$100	per code	\$200	per patient record
440 IAC 10-4-31	Outcome measures	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-32	Quality assurance	\$100	\$200	per code	\$400	per patient record
440 IAC 10-4-33	Central registry	\$100	\$200	per code	\$400	per patient record

440 IAC 10-4-34	Submission of data to the central registry	\$100	\$200	per code	\$400	per code
440 IAC 10-4-35	Incident reporting	\$100	\$200	per code	\$400	per code
440 IAC 10-4-36	Community education and outreach	N/A	\$100	per code	\$200	per code
440 IAC 10-4-37	Program monitoring	N/A	n/a	per code	n/a	per code
440 IAC 10-4-38	Program fees	\$500	\$1,000	per code	\$2,000	per code
440 IAC 10-4-39	Conditional status for specific approval of opioid treatment program	\$1,000	N/A	per code	N/A	per code and per each patient record
440 IAC 10-4-40	Termination of specific approval of opioid treatment program	\$10,000	N/A	per code	N/A	per code
440 IAC 10-4-41	Penalties and appeals	N/A	N/A	per code	N/A	per code
440 IAC 10-4-42	Phase Treatment	N/A	\$200	per code	\$400	per patient record
440 IAC 10-4-43	Legislative mandate reporting	\$500	\$1,000	per code	\$2,000	per code