

BDDS Reportable Incidents vs. Sentinel Incidents

Reportable Incidents	Sentinel Incidents	
<p>1. Alleged, suspected or actual abuse, (which must also be reported to Adult Protective Services or Child Protective Services as indicated) which includes but is not limited to:</p>	<p>a. physical abuse, including but not limited to:</p> <ul style="list-style-type: none"> i. intentionally touching another person in a rude, insolent or angry manner; ii. willful infliction of injury; iii. unauthorized restraint or confinement resulting from physical or chemical intervention; iv. rape; 	<p>Any of the subcategories (<i>a, b, c, or d</i>) within Abuse are <i>always</i> marked as Sentinel.</p> <p>If author states “abuse” go with abuse; especially if CPS/APS notified.</p>
	<p>b. sexual abuse, including but not limited to:</p> <ul style="list-style-type: none"> i. nonconsensual sexual activity; ii. sexual molestation; iii. sexual coercion; iv. sexual exploitation; 	<p>If an individual gets drunk or has sex, that is not automatically sentinel (unless coerced, forced, etc.)</p> <p>If an incident of alleged sexual abuse involves two peers, IR must be filed for each individual.</p>
	<p>c. emotional/verbal abuse, including but not limited to communicating with words or actions in a person’s presence with intent to:</p> <ul style="list-style-type: none"> i. cause the individual to be placed in fear of retaliation; ii. cause the individual to be placed in fear of confinement or restraint; iii. cause the individual to experience emotional distress or humiliation; iv. cause others to view the individual with hatred, contempt, disgrace or ridicule; v. cause the individual to react in a negative manner. 	<p>Must code as regardless of Individual’s history of fabricating stories.</p>
	<p>d. domestic abuse, including but not limited to:</p> <ul style="list-style-type: none"> i. physical violence; ii. sexual abuse; iii. emotional/verbal abuse; iv. intimidation; v. economic deprivation; vi. threats of violence; <p>from a spouse or cohabitant intimate partner.</p>	

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<p>2. Alleged, suspected or actual neglect (which must also be reported to Adult Protective Services or Child Protective Services, as indicated) which includes but is not limited to:</p>	a. failure to provide appropriate supervision, care, or training;	<p>Any of the subcategories (a, b, c, or d) within Neglect are <i>always</i> marked as Sentinel.</p>
	b. failure to provide a safe, clean and sanitary environment;	
	c. failure to provide food and medical services as needed;	
	d. failure to provide medical supplies or safety equipment as indicated in the Individualized Support Plan (ISP).	
<p>3. Alleged, suspected or actual exploitation (which must also be reported to Adult Protective Services or Child Protective Services as indicated) which includes but is not limited to:</p>	<p>a. unauthorized use of the:</p> <ul style="list-style-type: none"> i. personal services; ii. personal property or finances; or iii. personal identity of an individual; 	<p>Any of the subcategories (a or b) within Exploitation are <i>always</i> marked as Sentinel.</p>
	<p>b. other instance of exploitation of an individual for one's own profit or advantage or for the profit or advantage of another.</p>	
<p>4. Peer-to-peer aggression that results in significant injury by one individual receiving services, to another individual receiving services.</p>		<p>This category does not qualify as sentinel.</p> <p><i>(Note: If the incident meets the definition of a sentinel event for other categories, it should be coded as such.)</i></p>
<p>5. Death (which must also be reported to Adult Protective Services or Child Protective Services, as indicated). Additionally, if the death is a result of alleged criminal activity, the death must be reported to law enforcement.</p>		<p>This category does not qualify as sentinel.</p>

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6. A service delivery site with a structural or environmental problem that jeopardizes or compromises the health or welfare of an individual.		This category does not qualify as sentinel.
7. A fire at a service delivery site that jeopardizes or compromises the health or welfare of an individual.		This category does not qualify as sentinel.
8. Elopement of an individual that results in evasion of required supervision as described in the ISP as necessary for the individual's health and welfare.		<p>This category qualifies as sentinel <i>only</i> when the Individual's health and welfare are at risk (<u>not applicable when the line of site is maintained</u>), which includes (but is not limited to):</p> <ul style="list-style-type: none"> a. Individual cannot be located for a period of time longer than specified in the ISP and cannot be located after actions specified in a search of the immediate surrounding area b. Circumstances indicate the individual may be in immediate jeopardy c. Law enforcement has been called to search for the individual <p>NOTE: losing line of site momentarily as the Individual goes around a corner or is temporarily blocked by a sign, tree, etc. is not "lost line of sight" for this category.</p>

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<p>9. Missing person when an individual wanders away and no one knows where they are.</p>		<p>This category does not qualify as sentinel.</p> <p><i>(Note: If the incident meets the definition of a sentinel event for other categories, it should be coded as such.)</i></p>
<p>10. Alleged, suspected or actual criminal activity by an individual receiving services or an employee, contractor or agent of a provider, when:</p>	<p>a. the individual’s services or care are affected or potentially affected;</p> <p>b. the activity occurred at a service site or during service activities; or</p> <p>c. the individual was present at the time of the activity, regardless of location.</p>	<p>This category qualifies as sentinel only when the incident results in a Consumer’s arrest.</p> <p>NOTE: Terminology such as “handcuffed and taken to jail” or “individual was incarcerated” are not specific enough. When originally processed the IR shall be coded as Alleged Criminal Activity but not marked as sentinel until the follow-up questions re returned and they in fact confirm actual arrest or indicate charges were filed.</p>

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<p>11. An emergency intervention for the individual resulting from:</p>	a. a physical symptom;	This category <u>always</u> qualifies as sentinel.
	b. a medical or psychiatric condition;	For medical issues, it's important to meet the definition of "emergency intervention". When trying to establish whether the event meets the standard, think in terms of "life saving measures".
	c. any other event.	<p>If trained medical professional deems the medical issue not to be a problem, then not sentinel.</p> <p>Hospital admission only if unanticipated and for life saving event. If the even takes the individual to the hospital and they are discharged shortly thereafter, it is not sentinel.</p> <p>Urgent care centers are not considered emergency intervention.</p> <p>Surgery – not sentinel unless meets other criteria (life saving intervention, ICU).</p> <p>If Individual is in ICU or CCU, assume sentinel; however, CLOSE because Individual is safe.</p> <p>If an event is sentinel and the Individual goes to the hospital, it would be "sentinel closed" as protective measures are in place.</p>

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<p>12. Any injury to an individual when the cause is unknown and the injury could be indicative of abuse, neglect or exploitation.</p>	<p>This category does not qualify as sentinel.</p> <p><i>(Note: If the incident meets the definition of a sentinel event for other categories, it should be coded as such.)</i></p>
<p>13. Any injury to an individual when the cause of the injury is unknown and the injury requires evaluation or treatment.</p>	<p>This category qualifies as sentinel only when the evaluation or treatment results in hospital admission or lifesaving interventions.</p> <p>Life saving intervention could occur in the hospital after admission or in the ER – either qualifies. Also, “hospital admission” is to be taken literally. “Admission” alone qualifies, even if it turns out to be for diagnostics or observation only. The fact that the cause of injury is unknown is the reason for this literal application. Admission = sentinel.</p> <p><i>(Note: If the incident meets the definition of a sentinel event for other categories, it should be coded as such.)</i></p>

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<p>14. A significant injury to an individual that includes but is not limited to:</p>	a. a fracture;	<p>Any of the subcategories within Significant Injury are <i>always</i> marked as Sentinel, with the <i>following exception</i>:</p> <ol style="list-style-type: none"> 1. Fracture of finger/toe is not sentinel unless multiple finger/toe fractures in previous 12 months 2. If an incident involves more than one finger or toe or combination of the two, it is sentinel.
	b. a burn, including sunburn and scalding, greater than first degree;	
	c. choking that requires intervention including but not limited to: <ol style="list-style-type: none"> i. Heimlich maneuver; ii. finger sweep; or iii. back blows. 	
	d. bruises or contusions larger than three inches in any direction, or a pattern of bruises or contusions regardless of size;	
	e. lacerations which require more than basic first aid;	
	f. any occurrence of skin breakdown related to a decubitus ulcer, regardless of severity;	
	g. any injury requiring more than first aid;	
	h. any puncture wound penetrating the skin, including human or animal bites;	
	i. any pica ingestion requiring more than first aid;	
<p>15. A fall resulting in injury, regardless of the severity of the injury.</p>		<p>This category does not qualify as sentinel.</p> <p><i>(Note: If the incident meets the definition of a sentinel event for other categories, it should be coded as such.)</i></p>
<p>16. A medication error or medical treatment error as follows:</p>	a. wrong medication given;	<p>This category qualifies as sentinel <i>only</i> when the incident results in hospitalization.</p> <p>Diagnostic or evaluation procedures or admission for observation is not enough. If the individual is taken to the ER or is admitted and the only actions are evaluation or diagnostic in nature (e.g. labs, x-rays) the event is not sentinel. If however, in the ER or after admission “active treatment” is used, the incident is deemed sentinel. Active treatment includes actions such as giving meds to counteract the med error, inducing vomiting, pumping the Individual’s stomach, etc.</p>
	b. wrong medication dosage given;	
	c. missed medication - not given;	
	d. medication given wrong route; or	
	e. medication error that jeopardizes an individual’s health and welfare and requires medical attention.	

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17. Use of any aversive technique including but not limited to:	a. seclusion (i.e. placing an individual alone in a room/area from which exit is prevented);	Any of the subcategories (a, b, c, or d) within Aversive Techniques are <i>always</i> marked as Sentinel.
	b. painful or noxious stimuli;	
	c. denial of a health related necessity;	
	d. other aversive technique identified by DDRS policy.	
18. Use of any PRN medication related to an individual's behavior.		This category does not qualify as sentinel.
19. Use of any physical or mechanical restraint regardless of :	a. planning;	This category qualifies as <u>sentinel when the following conditions are met:</u> a. Mechanical Restraint – Affixing any form of “device” to any part of an Individual’s body for the purpose of preventing that Individual’s freedom of movement b. Physical Prone Restraint – Placing an Individual’s body face-down on any surface, while restrainers physically apply pressure with their hands or other body parts to prevent the Individual from moving out of the prone position
	b. human rights committee approval;	
	c. informed consent.	
20. Admission of an individual to a nursing facility, including respite stays.		This category does not qualify as sentinel.

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21. An event with the potential for causing significant harm or injury and requiring medical or psychiatric treatments or services to or for an individual receiving services.	(e.g. self-injurious behavior, attempted suicide)	This category qualifies as sentinel <i>only</i> when the incident results in: <ul style="list-style-type: none"> a. Emergency room treatment b. In-patient observation c. Hospital admission
Notes: <ul style="list-style-type: none"> 1. Non-reportable incidents are not duplicated. 2. Urgent Care Centers are not considered an emergency intervention. 		