

#0: Standards without enhanced or exceptional levels (Amended)

590 IAC 6-1-3 Eligibility to receive state funds; determination

Authority: IC 4-23-7-5; IC 4-23-7.1-24

Affected: IC 4-23-7.1-11; IC 36-12-11

Sec. 3. The eligibility of a public library to receive state or federal funds and services under the provisions of any program for which the Indiana state library is the administrator shall be determined as follows:

- (1) Compliance with any requirements that may be set forth in state legislation providing for such funds.
- (2) Compliance with Indiana law.
- (3) Compliance with the standards promulgated by the Indiana library and historical board.

(Indiana Library and Historical Board; 590 IAC 6-1-3; filed Mar 17, 2010, 4:01 p.m.: 20100414-IR-590080945FRA, eff Jan 1, 2011)

590 IAC 6-1-4 Minimum standards for public libraries

Authority: IC 4-23-7.1-11; IC 4-23-7.1-24

Affected: IC 4-23-7; IC 4-23-7.1; IC 6-1.1-18.5; IC 36-12

Sec. 4.

(a) The library must operate in compliance with Indiana laws, including the following:

- (1) The Public Library Law under IC 36-12.
- (2) The Indiana Library and Historical Department Law under IC 4-23-7.¹
- (3) Other Indiana laws that affect municipal corporations, including:
 - (A) All newly constructed and existing library facilities must be in compliance with local, state, and federal building and health and safety codes.
 - (B) The library must comply with provisions of the federal Americans with Disabilities Act to make its physical and digital services available to everyone.
 - (C) All federal laws affecting employment practice.

(b) The library board is responsible for hiring and annual evaluation of a full-time library director position with the education and experience required by the librarian certification rules under 590 IAC 5.

(c) The library board and director shall maintain their separate functions as follows:

- (1) The library board is responsible for governance and policy.
- (2) The director is responsible for administration, operation, and management of the library.

(d) With the advice and recommendations of the library director, the library board shall adopt the following:

- (1) ~~An annual~~ A schedule of classification of employees.
- (2) ~~An annual Schedules-~~ schedule of salaries.
- (3) ~~A The-~~ proposed library budget.
- (4) Library policies as needed or required by the Indiana State Library.-

(e) ~~The library must comply with all (?) federal laws affecting employment practice.~~ The library shall have written personnel policies and procedures. Written personnel policies and procedures must address at least the following:

¹ Includes PLAC rules

(1) Employment practices, such as the following:

- (A) Recruitment.
- (B) Selection.
- (C) Appointment.

- (2) Personnel actions.
- (3) Salary administration.
- (4) Employee benefits.
- (5) Conditions of work.
- (6) Leaves.

(f) The ~~Indiana state~~ library will provide an orientation materials to new library board trustees in cooperation with the Indiana State Library, and shall provide training materials to all library trustees and directors annually.

(g) The library board shall adhere to the principles discussed in approved and current Indiana state library publications for library trustees.

(h) The library board shall have written bylaws that state its purpose and its operational procedures.

(1) The bylaws shall specifically state rules governing conflicts of interest issues and nepotism.

(2) The bylaws shall be reviewed by the board of trustees at least every three (3) years.

(3) A copy of the bylaws shall be submitted to the Indiana state library within 60 days of their adoption. All amendments to the bylaws that have been adopted by the board in each year shall be submitted with the library's annual report.

(i) With the advice and recommendation of the library director, the library board shall adopt the following written plans and policies:

(1) Collection development.

(2) Principles of access to all library materials and services.

(3) A long-range plan of service for between three (3) to five (5) years. The plan, updates, and revisions must be filed with the Indiana state library. At a minimum, the plan shall include the following:

(A) A statement of community needs and goals.

(B) Measurable objectives and service responses to the community's needs and goals.

(C) An assessment of facilities, services, technology, and operations.

(D) An ongoing annual evaluation process.

(E) Financial resources and sustainability.

(F) Collaboration with other:

(i) public libraries; and

(ii) community partners.

(4) With the advice and recommendation of the library director, the library board shall adopt a technology plan of service for three (3) years. The plan, updates, and revisions must be filed with the Indiana state library. At a minimum, the plan shall include the following:

(A) Goals and realistic strategy for using telecommunications and information technology.

(B) A professional development strategy.

- (C) An assessment of telecommunication services, hardware, software, and other services needed.
- (D) An equipment replacement schedule.
- (E) Financial resources and sustainability.
- (F) An ongoing annual evaluation process.
- ~~(G) An automation plan that conforms to national cataloging standards.~~

[Section moved up]

- (j) The library shall provide the following minimum services:
 - (1) Acquisition, organization, and loan of print, audiovisual, digital, and other collections.
 - ~~(2) Availability of general collections to the public during regular library hours of operation.~~
 - (2) A library shall expend at least seven and five-tenths percent (7.5%) of its operating ~~fund expenditures budget or expenditures(?)~~ for library collections².
 - (3) The library shall provide ~~multiple the following~~ means of communication with the public both during and after house, including atleast two of the following:
 - (A) A telephone listed in the library's name.
 - (B) An answering machine, voice mail, or other similar technology to provide operating hours of the library.
 - (C) An e-mail address or a means of electronic contact for the library listed on the library's website.
 - ~~(4) A means to provide copies to the public at each location. A fee may be charged not to exceed a fee established by Indiana state law.~~
 - ~~(5) A publicly discoverable calendar or list of public events and programs, which shall be updated at least monthly.~~
 - ~~(E) Technology available to transmit documents electronically or through phone lines, for example, a fax machine.~~
 - (6) The library's discoverable³, online presence must include the following:
 - (A) Hours of operation, physical address or addresses, a map for each fixed service location, phone number, and e-mail address.
 - (B) A link to electronic resources provided free of charge to the citizens of Indiana by the state of Indiana, for example, INSPIRE.
 - (C) Links to public service policies including, but not limited to, circulation policies, fees, and Internet use, adopted by the library board.
 - (D) A Link to the library's online public access catalog.
 - ~~(E) A calendar of events and programs, which shall be updated at least monthly.~~
 - (6) The library must provide interlibrary loan service within Indiana:
 - (A) Free of service charge to valid library card holders (Libraries may charge for reimbursement of actual direct photocopy and postage costs).
 - (B) The public library shall lend materials to other Indiana libraries at no cost.
 - (C) The library shall participate in at least one (1) of the following:
 - (1) Statewide reciprocal borrowing program.

² In the case of an exceptional capital expense, i.e.--a new roof, the library should request a waiver for this standard.

³ Discoverable to search engines; accessible to the public without requiring an account.

- (2) OCLC Resource Sharing.
- (3) Evergreen Indiana.
- (4) Local reciprocal borrowing with at least one (1) other public library district within the library district's county or an adjacent county.

(7) The library shall participate in the statewide delivery service provided by the Indiana state library with the following frequency from a single fixed location within the library district:

	Standard:
Class A Library	Three (3) days/week
Class B Library	Two (2) days/week
Class C Library	One (1) day/week

- (8) Adult services that include the following:
 - (A) Programs and reference services offered by a qualified individual holding the appropriate librarian certificate.
 - (B) Knowledge of and access to reference materials, including INSPIRE.
 - (C) A collection of materials for adults.
 - (D) Space designated in the library for adult services.
- (9) Young adult services that include the following:
 - (A) Programs and reference services offered by a qualified individual holding the appropriate librarian certificate.
 - (B) Knowledge of and access to reference materials, including INSPIRE.
 - (C) A collection of materials for young adults.
 - (D) Space designated in the library for young adult services.
- (10) Children's services that include the following:
 - (A) Programs and reference services offered by a qualified individual holding the appropriate librarian certificate.
 - (B) A collection of materials for children, parents, and caregivers in each fixed location.
 - (C) Space in each fixed location designated in the library for children's services.
 - (D) An annual summer reading program for a minimum of six (6) weeks at each fixed location.

(11) Library sponsored programming shall be provided with the following minimum frequency within each library district:

	Standard:
All Public Libraries	Five (5) library programs for every one thousand (1,000) people served per year, with a minimum of twelve (12) library programs per year regardless of population served.

- (12) Provide access directly or through the Indiana state library to large print books, Braille books, audio books, and enhanced media to patrons who are unable to read regular print, because of a visual or a physical disability.
- (13) An integrated library system with an online public access catalog available on the library's website.
- (14) The library shall provide public access computers with a minimum Internet connection speed of one and five-tenths megabits per second (1.5 Mbps) per fixed service location. Computers shall be provided for the free use of all persons regardless of

residency, so long as such use would not violate any laws or other legally binding prohibitions imposed upon the person, including, but not limited to, fines owed to the library or violations of library policies.

(15) The library shall have a base level of technology consisting of at least one (1) staff computer with office software and operating systems, connected to the Internet and a printer. Public access computers shall be connected to the Internet and printers as detailed as follows:

	Standard:
Class A or B Public Library	One (1) public access computer connected to the Internet per two thousand (2,000) served. Wireless Internet access for patrons in at least one (1) location.
Class C Public Library	One (1) public access computer connected to the Internet per two thousand (2,000) served or a minimum of two (2), whichever is greater.

(16) The library shall purge or mark inactive unused library patron cards at least once every three (3) years, deleting those patrons who have not used the card in the last three (3) years and do not owe materials, fines, or fees to the library.

(1) Hours of service shall be provided to allow the greatest possible access for the community as follows for each public library system. Using the definitions provided in section 2 of this rule, hours open is calculated by taking the total unique hours the district is open for regular public service for the majority of the year. The standards for hours and service days are as follows:

	Standard:
Class A Libraries	Fifty-five (55) hours per week, including six (6) evening hours and one (1) weekend day
Class B Libraries	Forty (40) hours per week, including four (4) evening hours and one (1) weekend day
Class C Libraries	Twenty (20) hours per week, including two (2) evening hours and one (1) weekend day

(m) The library shall provide support for continuing education for staff and trustees.

(n) A library district located within another library district serving an overlapping population shall be considered a branch of the larger library district for purposes of meeting public library standards.

(o) The library shall file with the Indiana state library by February 1 of each year an annual report for the preceding calendar year, in the form prescribed by the Indiana state library. Any falsification of statements certifying which standards have been met shall result in the library being required to return to the Indiana state library any state or federal funding that was received. The report shall include a statement from the director and board president or their designee

certifying which standards have been met, including a statement outlining the library's intention and specific measures planned to reach the remaining requirements not met at that time.

(p) The Indiana library and historical board may provide a temporary waiver, not to exceed one (1) year at a time, for a public library to be exempt from one (1) or multiple public library standards under the following conditions:

(A) The public library is deemed to be out of compliance with one (1) or more standards.

(B) The public library submits an appeal to the Indiana state library seeking a waiver and stipulating the reason or reasons for a temporary or one (1) year waiver to be granted.

(C) The request for a waiver illustrates unusual, unforeseen, or extreme circumstances beyond the library's control.

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