## **Document:** Notice of Intent to Adopt a Rule, **Register Page Number:** 28 IR 2158 **Source:** April 1, 2005, Indiana Register, Volume 28, Number 7 **Disclaimer:** This document was created from the files used to produce the official CD-ROM Indiana Register.

## TITLE 710 SECURITIES DIVISION

## LSA Document #05-46

Under IC 4-22-2-23, the Securities Division intends to adopt a rule concerning the following:

**OVERVIEW:** Amends 710 IAC 1-14-6 regarding supervision requirements for broker-dealer branch offices. Questions or comments may be directed to Silvia Miller at smiller@sos.state.in.us or by telephone at (317) 234-2741. Statutory authority: IC 23-2-1-15.