

ARTICLE 4. COMMUNITY MENTAL HEALTH CENTERS

Rule 1.	Scope of Rules
Rule 2.	Organization and Management of Community Mental Health Centers
Rule 3.	Community Mental Health Center Services
Rule 4.	Quality of Service Provided (Repealed)
Rule 5.	Service Standards (Repealed)
Rule 6.	Enforcement and Amendment (Repealed)

Rule 1. Scope of Rules

440 IAC 4-1-1	Definitions (Repealed)
440 IAC 4-1-2	Approval required to qualify for department funding (Repealed)
440 IAC 4-1-3	Application for state funding (Repealed)
440 IAC 4-1-4	Approval of center's operating budget (Repealed)
440 IAC 4-1-5	Apportionment of funds (Repealed)
440 IAC 4-1-5.5	Memorandum of understanding (Repealed)
440 IAC 4-1-6	Reconsideration by division (Repealed)
440 IAC 4-1-7	Administrative adjudication act; formal appeal (Repealed)
440 IAC 4-1-8	Fees (Repealed)

440 IAC 4-1-1 Definitions (*Repealed*)

Sec. 1. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-2 Approval required to qualify for department funding (*Repealed*)

Sec. 2. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-3 Application for state funding (*Repealed*)

Sec. 3. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-4 Approval of center's operating budget (*Repealed*)

Sec. 4. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-5 Apportionment of funds (*Repealed*)

Sec. 5. (*Repealed by Division of Mental Health and Addiction; filed Jan 22, 1988, 1:55 pm: 11 IR 1783*)

440 IAC 4-1-5.5 Memorandum of understanding (*Repealed*)

Sec. 5.5. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-6 Reconsideration by division (*Repealed*)

Sec. 6. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-7 Administrative adjudication act; formal appeal (*Repealed*)

Sec. 7. (*Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100*)

440 IAC 4-1-8 Fees (*Repealed*)

Sec. 8. *(Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100)*

Rule 2. Organization and Management of Community Mental Health Centers

440 IAC 4-2-1	Governing board (Repealed)
440 IAC 4-2-2	Duties of governing board (Repealed)
440 IAC 4-2-3	Executive director; selection; recognition; qualifications; responsibilities (Repealed)
440 IAC 4-2-4	Medical director; responsibilities (Repealed)
440 IAC 4-2-5	Written policies and procedures (Repealed)
440 IAC 4-2-6	Fiscal audit (Repealed)
440 IAC 4-2-7	Subcontractors agreements (Repealed)
440 IAC 4-2-8	Liability insurance (Repealed)

440 IAC 4-2-1 Governing board *(Repealed)*

Sec. 1. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-2-2 Duties of governing board *(Repealed)*

Sec. 2. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-2-3 Executive director; selection; recognition; qualifications; responsibilities *(Repealed)*

Sec. 3. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-2-4 Medical director; responsibilities *(Repealed)*

Sec. 4. *(Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100)*

440 IAC 4-2-5 Written policies and procedures *(Repealed)*

Sec. 5. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-2-6 Fiscal audit *(Repealed)*

Sec. 6. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-2-7 Subcontractors agreements *(Repealed)*

Sec. 7. *(Repealed by Division of Mental Health and Addiction; filed Dec 19, 1995, 3:15 p.m.: 19 IR 1100)*

440 IAC 4-2-8 Liability insurance *(Repealed)*

Sec. 8. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

Rule 3. Community Mental Health Center Services

440 IAC 4-3-1	Mandatory services
440 IAC 4-3-2	Patient admission and treatment requirements (Repealed)
440 IAC 4-3-3	Requirements for inpatient services (Repealed)
440 IAC 4-3-4	Requirements for residential programs (Repealed)

440 IAC 4-3-5	Requirements for partial hospitalization services (Repealed)
440 IAC 4-3-6	Requirements for outpatient services (Repealed)
440 IAC 4-3-7	Requirements for consultation and education services (Repealed)
440 IAC 4-3-8	Requirements for community support program services (Repealed)
440 IAC 4-3-9	Seriously emotionally handicapped children and adolescents; requirements for services
440 IAC 4-3-10	Alcohol and other drug abusers; requirements for services
440 IAC 4-3-11	Older adults; requirements for services

440 IAC 4-3-1 Mandatory services

Authority: [IC 12-21-2-3](#)

Affected: [IC 12-29](#)

Sec. 1. (a) The management of services provided by the center shall be so structured as to promote continuity of care to every client. All services of the center must be readily accessible and information easily transferable among service elements. The department and the center shall cooperate in defining and putting into operation policies and procedures that require clinical coordination by the center for patients going into the state hospital and for patients coming from the state hospital to the care of the center.

(b) In order to be designated as a community mental health center, a provider shall, within its designated service area, provide in the following six (6) areas for the treatment and prevention of mental disorders:

- (1) Inpatient services.
- (2) Residential services.
- (3) Partial hospitalization services.
- (4) Outpatient services.
- (5) Consultation-education services.
- (6) Community support program.

(c) Centers are expected to stay sensitive to the demographic makeup of their service areas when planning for the provision of service. Care should be taken to provide for the specialized service needs of children, the older adult, and residents previously discharged from inpatient treatment at a mental health facility. Within the identified framework of mandatory services, the following target populations must be addressed:

- (1) Seriously mentally ill.
- (2) Seriously emotionally disturbed children and adolescents.
- (3) Alcohol and other drug abusers.
- (4) Older adults.

(d) In addition to the above required services, the center may provide additional services to the service area if availability of resources can be demonstrated. (*Division of Mental Health and Addiction; 440 IAC 4-3-1; filed Jun 29, 1983, 10:31 a.m.: 6 IR 1398; filed Jan 22, 1988, 1:55 p.m.: 11 IR 1777; readopted filed May 10, 2001, 2:30 p.m.: 24 IR 3235; filed Apr 3, 2003, 11:10 a.m.: 26 IR 2616, eff Jul 1, 2003; readopted filed Apr 7, 2008, 3:40 p.m.: [20080507-IR-440070745RFA](#); readopted filed Aug 11, 2014, 11:21 a.m.: [20140910-IR-440140240RFA](#); readopted filed Nov 9, 2020, 3:09 p.m.: [20201209-IR-440200502RFA](#)*)

440 IAC 4-3-2 Patient admission and treatment requirements (Repealed)

Sec. 2. (*Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474*)

440 IAC 4-3-3 Requirements for inpatient services (Repealed)

Sec. 3. (*Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474*)

440 IAC 4-3-4 Requirements for residential programs (Repealed)

Sec. 4. (*Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474*)

440 IAC 4-3-5 Requirements for partial hospitalization services *(Repealed)*

Sec. 5. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-3-6 Requirements for outpatient services *(Repealed)*

Sec. 6. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-3-7 Requirements for consultation and education services *(Repealed)*

Sec. 7. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-3-8 Requirements for community support program services *(Repealed)*

Sec. 8. *(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)*

440 IAC 4-3-9 Seriously emotionally handicapped children and adolescents; requirements for services

Authority: [IC 12-21-2-3](#)

Affected: [IC 12-29](#)

Sec. 9. The center shall provide or arrange for a full range of services for the seriously emotionally disturbed children and adolescents of its' *[sic.]* service area including but not limited to:

- (1) diagnosis and treatment;
- (2) treatment in least restrictive alternative available that is clinically appropriate;
- (3) liaison services with other child-serving community agencies;
- (4) follow-up services.

The center shall develop annually a plan to meet the identified needs of children and adolescents in the service area.

The center shall insure that adequately trained staff are available to serve children and adolescents. The center shall provide or arrange for inter-agency coordination where the center and another child-serving community agency is involved in a case. A coordinated case management system shall provide or assure access to services for all children and adolescents who reside within the service area. *(Division of Mental Health and Addiction; 440 IAC 4-3-9; filed Jun 29, 1983, 10:31 am: 6 IR 1400; filed Jan 22, 1988, 1:55 pm: 11 IR 1781; readopted filed May 10, 2001, 2:30 p.m.: 24 IR 3235; readopted filed Apr 7, 2008, 3:40 p.m.: [20080507-IR-440070745RFA](#); readopted filed Aug 11, 2014, 11:21 a.m.: [20140910-IR-440140240RFA](#); readopted filed Nov 9, 2020, 3:09 p.m.: [20201209-IR-440200502RFA](#))*

440 IAC 4-3-10 Alcohol and other drug abusers; requirements for services

Authority: [IC 12-21-2-3](#)

Affected: [IC 12-29](#)

Sec. 10. Appropriate specialized services shall be provided to alcohol and other drug abusers. These services shall include:

- (1) Diagnostic, evaluation, and treatment services in an outpatient setting shall be provided to abusers of alcohol and other drugs.

- (2) There shall be a clear referral network to other programs where the center does not provide the needed service.

- (3) Services shall be consistent with division of addiction services certification requirements.

(Division of Mental Health and Addiction; 440 IAC 4-3-10; filed Jun 29, 1983, 10:31 am: 6 IR 1400; filed Jan 22, 1988, 1:55 pm: 11 IR 1782; readopted filed May 10, 2001, 2:30 p.m.: 24 IR 3235; readopted filed Apr 7, 2008, 3:40 p.m.: [20080507-IR-440070745RFA](#); readopted filed Aug 11, 2014, 11:21 a.m.: [20140910-IR-440140240RFA](#); readopted filed Nov 9, 2020, 3:09 p.m.: [20201209-IR-440200502RFA](#))

440 IAC 4-3-11 Older adults; requirements for services

Authority: [IC 12-21-2-3](#)

Affected: [IC 12-29](#)

Sec. 11. The center shall provide for the service needs of older adults who experience significant loss of functioning due to mental health problems. This shall include but not be limited to:

(1) identification of an individual to coordinate the accessibility of traditional mental health care services available in the center to older adults;

(2) development of arrangements to provide services for home bound or institutionalized older adults consistent with their individual needs;

(3) identified consideration of older adults and their specialized service needs in the development of the annual program plan as called for in 440 IAC 4-2-2 [440 IAC 4-2-2 was repealed filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474.J].

(Division of Mental Health and Addiction; 440 IAC 4-3-11; filed Jan 22, 1988, 1:55 pm: 11 IR 1782; readopted filed May 10, 2001, 2:30 p.m.: 24 IR 3235; readopted filed Apr 7, 2008, 3:40 p.m.: [20080507-IR-440070745RFA](#); readopted filed Aug 11, 2014, 11:21 a.m.: [20140910-IR-440140240RFA](#); readopted filed Nov 9, 2020, 3:09 p.m.: [20201209-IR-440200502RFA](#))

Rule 4. Quality of Service Provided (*Repealed*)

(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)

Rule 5. Service Standards (*Repealed*)

(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)

Rule 6. Enforcement and Amendment (*Repealed*)

(Repealed by Division of Mental Health and Addiction; filed Jan 18, 1995, 10:50 a.m.: 18 IR 1474)

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