

## ARTICLE 8. PUBLIC SAFETY AND EXCURSIONS

### [Rule 1.](#)

Excursions, Routes, and Public Safety

### [Rule 2.](#)

Medical Services; Emergency Response

### **Rule 1. Excursions, Routes, and Public Safety**

#### [68 IAC 8-1-1](#)

Definitions (Repealed)

#### [68 IAC 8-1-2](#)

General provisions (Repealed)

#### [68 IAC 8-1-3](#)

Lake Michigan excursions and vessel requirements (Repealed)

#### [68 IAC 8-1-4](#)

Ohio River excursions (Repealed)

#### [68 IAC 8-1-5](#)

Conduct of gambling while riverboat is docked (Repealed)

#### [68 IAC 8-1-6](#)

Extended excursions (Repealed)

#### [68 IAC 8-1-7](#)

Deviations from standard excursion route (Repealed)

#### [68 IAC 8-1-8](#)

Emergency procedures (Repealed)

#### [68 IAC 8-1-9](#)

Violation of rule (Repealed)

#### [68 IAC 8-1-10](#)

Waiver, alteration, or restriction of requirement (Repealed)

#### [68 IAC 8-1-11](#)

Reports by the executive director (Repealed)

#### [68 IAC 8-1-12](#)

Approval of excursions

#### **68 IAC 8-1-1 Definitions (Repealed)**

Sec. 1. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-2 General provisions (Repealed)**

Sec. 2. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-3 Lake Michigan excursions and vessel requirements (Repealed)**

Sec. 3. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-4 Ohio River excursions (Repealed)**

Sec. 4. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-5 Conduct of gambling while riverboat is docked (Repealed)**

Sec. 5. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-6 Extended excursions (Repealed)**

Sec. 6. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-7 Deviations from standard excursion route (Repealed)**

Sec. 7. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-8 Emergency procedures (Repealed)**

Sec. 8. (Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))

#### **68 IAC 8-1-9 Violation of rule (Repealed)**

Sec. 9. *(Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))*

**68 IAC 8-1-10 Waiver, alteration, or restriction of requirement *(Repealed)***

Sec. 10. *(Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))*

**68 IAC 8-1-11 Reports by the executive director *(Repealed)***

Sec. 11. *(Repealed by Indiana Gaming Commission; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))*

**68 IAC 8-1-12 Approval of excursions**

Authority: [IC 4-33-4](#)

Affected: [IC 4-33](#)

Sec. 12. (a) A gambling excursion conducted by a riverboat licensee shall be in accordance with [IC 4-33](#) and the schedule and route approved by the executive director or commission.

(b) At least thirty (30) days before commencement of a gambling excursion, the riverboat licensee shall submit a proposed excursion schedule, route or routes, including any stops, and an emergency response plan to the executive director. The riverboat licensee shall not operate under a proposed standard excursion schedule or route until it receives approval from the executive director or commission.

(c) In the event of an emergency, an excursion route may be amended. The riverboat licensee shall immediately notify the gaming agents of the emergency amendment and submit an emergency route log to the executive director detailing the circumstances necessitating the emergency excursion route within five (5) business days. *(Indiana Gaming Commission; 68 IAC 8-1-12; filed Oct 21, 2016, 10:19 a.m.: [20161116-IR-068160052FRA](#))*

**Rule 2. Medical Services; Emergency Response**

<a href="#">68 IAC 8-2-1</a>	Applicability; definitions
<a href="#">68 IAC 8-2-2</a>	Medical services and medical supplies
<a href="#">68 IAC 8-2-3</a>	Emergency response preparedness
<a href="#">68 IAC 8-2-4</a>	Incident information summary
<a href="#">68 IAC 8-2-5</a>	Promulgation document
<a href="#">68 IAC 8-2-6</a>	Legal authority and responsibility for response
<a href="#">68 IAC 8-2-7</a>	Table of contents
<a href="#">68 IAC 8-2-8</a>	Abbreviations, definitions, and acronyms
<a href="#">68 IAC 8-2-9</a>	Planning factors
<a href="#">68 IAC 8-2-10</a>	Concept of operations
<a href="#">68 IAC 8-2-11</a>	Instructions for emergency response plan
<a href="#">68 IAC 8-2-12</a>	Record of amendments
<a href="#">68 IAC 8-2-13</a>	Emergency notification procedures
<a href="#">68 IAC 8-2-14</a>	Direction and control document
<a href="#">68 IAC 8-2-15</a>	Communications among responders
<a href="#">68 IAC 8-2-16</a>	Warning systems and emergency public notification
<a href="#">68 IAC 8-2-17</a>	Public information and community relations
<a href="#">68 IAC 8-2-18</a>	Medical information
<a href="#">68 IAC 8-2-19</a>	Resources management section
<a href="#">68 IAC 8-2-20</a>	Personal protective measures and evacuation procedures
<a href="#">68 IAC 8-2-21</a>	Fire and rescue
<a href="#">68 IAC 8-2-22</a>	Law enforcement and security
<a href="#">68 IAC 8-2-23</a>	Human services
<a href="#">68 IAC 8-2-24</a>	Documentation and investigative follow-up

<a href="#">68 IAC 8-2-25</a>	Testing and updating plan procedures
<a href="#">68 IAC 8-2-26</a>	Training
<a href="#">68 IAC 8-2-27</a>	Navigation personnel and life saving equipment
<a href="#">68 IAC 8-2-28</a>	Waiver of requirements
<a href="#">68 IAC 8-2-29</a>	Reports by the executive director

#### **68 IAC 8-2-1 Applicability; definitions**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 1. (a) This rule applies to riverboat licensees and riverboat license applicants.

(b) The following definitions apply throughout this rule:

(1) "Emergency response team" means the riverboat licensee's personnel that are responsible for coordinating activities in the event of an incident and implementing the emergency response plan.

(2) "Incident" means an accident, emergency, disaster, or event that necessitates emergency response.

(3) "Interim compliance period" means the period of time between the issuance of a certificate of suitability and the issuance of a permanent riverboat owner's license or the issuance of a notice of denial.

(4) "Planning factor" means all of the local conditions that make an emergency response plan necessary.

(5) "Riverboat license applicant" means an applicant for a riverboat owner's license that has been issued a certificate of suitability under 68 IAC 2-1-5.

*(Indiana Gaming Commission; 68 IAC 8-2-1; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2657; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-2 Medical services and medical supplies**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#); [IC 16-31-3](#)

Sec. 2. (a) A riverboat licensee shall have at least one (1) employee who is certified as an emergency medical technician under [IC 16-31-3](#) and 836 IAC 1-5 on the riverboat at all times patrons are present on the riverboat.

(b) The riverboat licensee shall maintain the emergency care equipment set forth in 836 IAC 1-3-5 on the riverboat. *(Indiana Gaming Commission; 68 IAC 8-2-2; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2657; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-3 Emergency response preparedness**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 3. (a) The riverboat license applicant shall submit an emergency response plan during the interim compliance period in accordance with 68 IAC 2-1-5. The emergency response plan shall be submitted at least sixty (60) days prior to the commencement of gambling operations.

(b) The emergency response plan shall address or contain the following elements:

(1) Incident information summary.

(2) Promulgation document.

(3) Legal authority and responsibility for response.

(4) Table of contents.

(5) Abbreviations, definitions, and acronyms section.

(6) Planning factors.

(7) Concept of operations.

- (8) Instructions for emergency response plan use.
- (9) Record of amendments.
- (10) Emergency notification procedures.
- (11) Direction and control document.
- (12) Communication among responders.
- (13) Warning systems and emergency public notification.
- (14) Public information and community relations.
- (15) Medical information.
- (16) Resource management.
- (17) Personal protective measures and evacuation procedures.
- (18) Fire and rescue measures.
- (19) Law enforcement and security.
- (20) Human services.
- (21) Documentation and investigative follow-up.
- (22) Procedures for testing and updating the emergency response plan.
- (23) Training.

The contents of each element is set forth in sections 4 through 26 of this rule.

(c) The executive director shall, in writing, approve or not object to, or both, the emergency response plan after consultation with one (1) or more of the following:

- (1) The Indiana department of natural resources.
- (2) The emergency management agency.
- (3) The United States Coast Guard.

A riverboat licensee can commence operations if an emergency response plan is approved or not objected to. A riverboat licensee shall not commence operations if the emergency response plan is disapproved.

(d) A riverboat licensee that operates from a temporary site shall submit an emergency response plan for the temporary site.

(e) Amendments to the emergency response plan shall be handled in the following manner:

(1) Amendments shall be submitted to the executive director at least sixty (60) days prior to the institution of the amendments. The executive director shall, in writing, approve or not object to, or both, the emergency response plan after consultation with one (1) or more of the following:

- (A) The Indiana department of natural resources.
- (B) The emergency management agency.
- (C) The United States Coast Guard.

(2) Emergency amendments shall be submitted to the executive director immediately. The executive director shall, in writing, approve or not object to, or both, the emergency response plan after consultation with one (1) or more of the following:

- (A) The Indiana department of natural resources.
- (B) The emergency management agency.
- (C) The United States Coast Guard.

Each emergency amendment must be approved or not objected to, or both, by the executive director. An emergency amendment that is not approved or that is objected to by the executive director shall be discontinued by the riverboat licensee upon being advised of this information, in writing, by the executive director.

(f) A riverboat licensee shall file a written report outlining the manner in which an incident was responded to within ten (10) days of the occurrence of an incident. The report shall outline the following elements:

- (1) The incident information summary set forth in section 4 of this rule.
- (2) The manner in which the incident was responded to.
- (3) The way in which the response differed from the emergency response plan submitted to the commission under subsection (b).
- (4) Any problems the riverboat licensee noted with the emergency response plan that was submitted under subsection (b).
- (5) Any other information deemed necessary by the commission to ensure compliance with the Act and this title.

*(Indiana Gaming Commission; 68 IAC 8-2-3; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2657; errata filed Aug 17, 1995, 1:30 p.m.: 19*

*IR 42; filed Apr 19, 1996, 3:00 p.m.: 19 IR 2252; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-4 Incident information summary**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 4. The incident information summary shall specify that the following information is to be recorded by the riverboat licensee's emergency response team:

- (1) The date and time of the incident.
- (2) The location of the incident.
- (3) The type of incident.
- (4) Any injuries or fatalities, or both, that resulted from the incident.
- (5) Any other information deemed necessary by the emergency response team or the executive director to provide a complete and detailed description of the incident.

*(Indiana Gaming Commission; 68 IAC 8-2-4; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-5 Promulgation document**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 5. The promulgation document shall contain the following:

- (1) A document signed by the master or the chief executive officer or the equivalent of the riverboat licensee, or both, adopting the plan.
- (2) Letters from affected jurisdiction, entities, or authorities approving the plan or offering no objection to the plan, or both.
- (3) Any letters of agreement that have been reached between the riverboat licensee and any local emergency response and notification agencies.

*(Indiana Gaming Commission; 68 IAC 8-2-5; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-6 Legal authority and responsibility for response**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 6. The legal authority and responsibility for response shall contain the following:

- (1) A description of or reference to the legal entities in the jurisdiction whose emergency response roles are described in the emergency response plan. The business addresses and telephone numbers of the legal entities shall be set forth.
- (2) A list all other authorities, including state and federal authorities, the riverboat licensee regards as essential to the emergency response plan.

*(Indiana Gaming Commission; 68 IAC 8-2-6; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-7 Table of contents**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 7. The table of contents shall list all elements of the emergency response plan, including tabs and appendices. (*Indiana Gaming Commission; 68 IAC 8-2-7; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#)*)

**68 IAC 8-2-8 Abbreviations, definitions, and acronyms**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 8. The abbreviations, definitions, and acronyms section shall identify all abbreviations and acronyms, and explain all essential terms utilized in the text of the emergency response plan. (*Indiana Gaming Commission; 68 IAC 8-2-8; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#)*)

**68 IAC 8-2-9 Planning factors**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 9. The planning factors shall set forth the following:

- (1) A list of all of the assumptions about conditions that might develop in the immediate area of the riverboat or support facility in the event of an incident at the dock site or on an excursion route.
- (2) An identification and description of facilities near the dock site or along the excursion route that might be a hazard if an incident were to occur within its boundaries.
- (3) An identification and description of other facilities, such as boats and barges, that may contribute an additional risk to an emergency response.
- (4) A description of the geographical features of the area, including sensitive environmental areas, land use patterns, water supplies, and public transportation that may impact an immediate evacuation.
- (5) A description of major demographic features of the area, including those features that impact an emergency response, including, but not limited to, the following:
  - (A) Population density.
  - (B) Special populations.
  - (C) Particularly sensitive institutions.
- (6) Critical time variables that impact on an incident, such as the time of day and year a particular incident is most likely to occur.

(*Indiana Gaming Commission; 68 IAC 8-2-9; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2658; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#)*)

**68 IAC 8-2-10 Concept of operations**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 10. The concept of operations shall include the following:

- (1) A designation of the personnel that shall constitute the emergency response team.
- (2) Identification, by title, of the individual or individuals designated the emergency coordinator or coordinators. The master shall be the incident commander in charge of an emergency response.
- (3) An explanation of the relationship between the emergency coordinator and the local government response authorities within the area.
- (4) A description of the relationship between the emergency response plan and other response plans within the affected

jurisdiction or jurisdictions.

(5) A description of the functions and responsibilities of all local response organizations within the area, including public, private, volunteer, and charitable organizations.

(6) A list of mutual aid agreements or other agreements for sharing data and response resources. Documentation that local response agencies were allowed the opportunity to view the riverboat and the location of emergency response equipment.

(7) A description of the relationship between local and state emergency response authorities.

(8) A description of the relationships between local, state, and federal response agencies, including the plans and activities of each agency.

*(Indiana Gaming Commission; 68 IAC 8-2-10; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2659; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-11 Instructions for emergency response plan**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 11. The instructions for emergency response plan use shall contain the following:

(1) A discussion of the purpose of the emergency response plan.

(2) A list of organizations and persons receiving a copy of the emergency response plan and emergency response plan amendments. The list shall indicate the date that the copy was sent and identify the copy that was sent to an organization or person.

*(Indiana Gaming Commission; 68 IAC 8-2-11; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2659; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-12 Record of amendments**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 12. The record of amendments shall describe the method for maintaining and revising the emergency response plan, recording all changes made to the emergency response plan, and a method for controlling the distribution of amendments to the emergency response plan. *(Indiana Gaming Commission; 68 IAC 8-2-12; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2659; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-13 Emergency notification procedures**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 13. The emergency notification procedures shall include the following:

(1) Procedures for providing reliable, effective, and timely notification by the riverboat emergency coordinators and community emergency coordinator to persons designated in the emergency response plan, and to the public that an incident has occurred.

(2) Include procedures for the immediate notification of the appropriate twenty-four (24) hour hotline. The notification procedures shall be located in a prominent place in the emergency response plan and at various locations on the riverboat and at the support facilities, including the security office.

(3) List the twenty-four (24) hour emergency hotline numbers for the local emergency response organizations within the area.

(4) An accurate and up-to-date emergency assistance telephone roster that includes numbers for at least the following:

(A) Technical and response personnel.



- (B) Local emergency management director.
- (C) State emergency management agency.
- (D) United States Coast Guard.
- (E) Participating state and local agencies.
- (F) Public and private sector support groups.

*(Indiana Gaming Commission; 68 IAC 8-2-13; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2659; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-14 Direction and control document**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 14. The direction and control document shall set forth the following:

- (1) Methods and procedures to be followed by the emergency response team, riverboat licensee personnel, and local emergency and medical personnel to respond to an incident.
- (2) Identify the organization within the area that is responsible for providing direction and control to the total emergency response system described in section 10 of this rule.
- (3) Identify persons or offices within each response organization who provide direction and control to each of the organizations.
- (4) Identify persons or offices providing direction and control within each of the emergency response functions.
- (5) Describe persons or offices responsible for the performance of incident command function on the riverboat and the method for utilizing the incident command system.
- (6) Describe the chain of command for the total emergency response system, for each major response function, and for the organization controlled by the incident commander.
- (7) Identify persons responsible for the activation and operation of the emergency response center (if one is set up), the on-scene command post, and the methods by which those persons will coordinate their activities.
- (8) List three (3) levels of incident severity and associated response levels.
- (9) Identify the conditions for each level of incident severity listed in subdivision (8).
- (10) Identify the responsible person or persons at each level of incident severity.

*(Indiana Gaming Commission; 68 IAC 8-2-14; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2660; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-15 Communications among responders**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 15. The communications among responders section shall include the following:

- (1) A description of all methods by which identified responders will exchange information and communicate with each other during a response, including the communications networks and common frequencies that will be utilized.
- (2) A description of the primary and back-up systems for all communication channels and systems.
- (3) Contain a diagram or matrix that shows the flow of information to be maintained within the emergency response system.

*(Indiana Gaming Commission; 68 IAC 8-2-15; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2660; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-16 Warning systems and emergency public notification**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)



Sec. 16. The warning systems and emergency public notification section shall include the following:

(1) An identification of responsible officials on board the riverboat and a description of the methods by which those officials will notify the patrons and public of an incident, impending incident, or on-board incident.

(2) Notification may be accomplished in one (1) of the following manners:

(A) A siren or other signal. The meaning of the siren or other signal and the response to be taken in reaction to a siren or other signal shall be set forth in the emergency response plan.

(B) Other methods of notification of patrons, including, but not limited to, an intercom system, deck-to-deck announcements, and room-to-room announcements.

(3) A description of the methods for coordination of emergency public notification during an emergency response.

*(Indiana Gaming Commission; 68 IAC 8-2-16; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2660; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-17 Public information and community relations**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 17. The public information and community relations section shall include the following:

(1) A description of the methods used, prior to incidents, for educating the public about possible incidents and planned protective measures.

(2) A designated public information officer and spokesperson and a description of methods for keeping the public informed during an incident, including a list of all radio, television, and press contacts.

(3) A description of the role and organizational position of the public information officer during incidents.

(4) A description of any related public information activities that should be undertaken prior to and during an incident.

*(Indiana Gaming Commission; 68 IAC 8-2-17; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2660; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-18 Medical information**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 18. The medical section shall include the following:

(1) A list of the hospitals and telephone numbers of the hospitals within the county and those in surrounding counties to which sick or injured individuals may be transported.

(2) A list of fire departments and other sources providing rescue services and the corresponding telephone numbers.

(3) The name and telephone number of the county coroner's office.

(4) A description of the methods of coordination between the riverboat medical coordinator and the medical response services in the area, including emergency medical services response personnel.

(5) A designation, by title, of an individual to function as a triage officer, the methodology to be utilized, and the method of coordination with local health and medical officials.

(6) A description of the utilization of a comprehensive management of the medical resource listing, including, but not limited to, the following:

(A) Medical personnel, emergency medical services response personnel, and other manpower.

(B) Emergency vehicles.

(C) Ambulances.

(D) Hospitals, hospital capacity, and type of treatment available.

(E) Specialized rescue units.

(F) Medical response teams.

(G) Mortuary services.

(7) A description of the relationship and coordination between the riverboat emergency coordinator, the mortuary, and the coroner in a mass casualty situation.

*(Indiana Gaming Commission; 68 IAC 8-2-18; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2661; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-19 Resources management section**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 19. The resources management section shall include the following:

(1) A description of the available emergency equipment and facilities in the community and an identification of the persons responsible for such equipment and facilities.

(2) A list of personnel resources available for emergency response by major categories, including governmental, volunteer, and private sector resources.

(3) A description of the types, quantities, capabilities, and location of emergency equipment available, including the equipment in the possession of fire departments, police departments, and emergency medical response units.

*(Indiana Gaming Commission; 68 IAC 8-2-19; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2661; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

#### **68 IAC 8-2-20 Personal protective measures and evacuation procedures**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 20. The personal protective measures and evacuation procedures section shall include the following:

(1) A description of evacuation plans, including those for precautionary evacuations and alternative evacuations.

(2) A description of the authority for ordering or recommending evacuation, including the riverboat licensee personnel authorized to recommend evacuation.

(3) A description of the authority, responsibility, and the chain of command of governmental agencies and private sector organizations.

(4) A description of the role of the affected facilities in the evacuation decision making.

(5) A description of the methods to be utilized in an evacuation, including the methods to be utilized for assisting mobility impaired individuals.

(6) A description of the relationship between evacuation procedures and other protective measures.

(7) A description of potential incidents or circumstances that would require evacuation, including, but not limited to, the following circumstances:

(A) Fire.

(B) Bomb threat.

(C) Hazardous material release in the area.

(8) A description of the emergency exits and evacuation routes, including primary and alternative routes.

(9) A description of the procedures for providing security during any evacuation and providing security for the evacuees.

(10) A description of the procedures to be utilized to manage an orderly return of people to the riverboat.

(11) Evacuation plans shall be practiced on a continuing basis, at least one (1) time every quarter. The director of security shall determine the time that the evacuation plan is practiced. The riverboat licensee shall provide the executive director of the schedule of the practice evacuations. This schedule shall be updated on an annual basis and submitted to the executive director by January 31 each year.

*(Indiana Gaming Commission; 68 IAC 8-2-20; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2661; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-21 Fire and rescue**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 21. The fire and rescue section shall include the following:

- (1) A list of the major tasks to be performed by firefighters in coping with a fire on the riverboat.
- (2) An identification of the public and private sector fire protection organizations with response capability and those with knowledge of shipboard fires.
- (3) An identification of firefighting and rescue capabilities, including trained personnel, equipment, and fire extinguishers. The location of the equipment and fire extinguishers shall be noted.
- (4) A description of the training program for navigational personnel and security personnel.

*(Indiana Gaming Commission; 68 IAC 8-2-21; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2661; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-22 Law enforcement and security**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 22. The law enforcement and security section shall include the following:

- (1) A description of the riverboat licensee's command structure including assignment of emergency responsibilities.
- (2) A list of the major law enforcement tasks related to enforcement of statutory requirements pertaining to riverboat gambling.
- (3) A description of the provisions in place to request assistance from local law enforcement agencies, if necessary.
- (4) A description of the methods in place to train all security personnel.

*(Indiana Gaming Commission; 68 IAC 8-2-22; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2662; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-23 Human services**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 23. The human services section shall include the following:

- (1) A list of the agencies and corresponding telephone numbers for those agencies responsible for providing emergency human services, including, but not limited to, the following:
  - (A) Food.
  - (B) Shelter.
  - (C) Clothing.
  - (D) Continuity of medical care.
  - (E) Crisis counseling.
- (2) A description of the major human services activities and the means for the accomplishment of those activities.

*(Indiana Gaming Commission; 68 IAC 8-2-23; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2662; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-24 Documentation and investigative follow-up**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 24. The documentation and investigative follow-up section shall include the following:

- (1) A list of all reports required by local, state, and federal agencies and the individuals responsible for preparing the reports after an incident.
- (2) A description of the methods for evaluating emergency responses and an identification, by title, of the individuals responsible for conducting the evaluations.
- (3) A description of the provisions for cost recovery.

*(Indiana Gaming Commission; 68 IAC 8-2-24; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2662; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-25 Testing and updating plan procedures**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 25. The procedures for testing and updating the plan shall include the following:

- (1) The methods and schedules for practicing the emergency response plan. The emergency response plan shall be practiced at least one (1) time every quarter.
- (2) A description of the nature of the exercises for testing the adequacy of the emergency response plan.
- (3) A list of the frequency of the practice session, by type of incident.
- (4) A practice session schedule for the current year. This practice session schedule shall be updated on an annual basis. All schedules shall be provided to the executive director by January 31 of each year.
- (5) A description of the role of affected response agencies or transportation companies, or both, in the practice sessions.
- (6) A description of the procedures utilized to evaluate performance during the practice sessions, the method utilized to revise the emergency response plan, and the method utilized to correct deficiencies in the emergency response plan.

*(Indiana Gaming Commission; 68 IAC 8-2-25; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2662; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-26 Training**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 26. The training section shall include the following:

- (1) A list of training programs for riverboat personnel and a schedule of the training programs. The schedule of training programs shall be updated annually, and a copy of the schedule provided to the executive director by January 31 of each year.
- (2) A list of the training programs and schedules for the training of local emergency response and medical personnel.
- (3) A schedule of training activities for the current year. The schedule shall be updated on an annual basis, and the updated schedule shall be provided to the executive director by January 31 of each year.

*(Indiana Gaming Commission; 68 IAC 8-2-26; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2662; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))*

**68 IAC 8-2-27 Navigation personnel and life saving equipment**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 27. (a) The riverboat licensee shall comply with the safety equipment and appliances requirements specified by the United States Coast Guard under 46 CFR 2.01 through 46 CFR 2.95.

(b) The riverboat licensee shall comply with the minimum manning requirements specified by the United States Coast Guard

under 46 CFR 2.01 through 46 CFR 2.95. (*Indiana Gaming Commission*; 68 IAC 8-2-27; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2663; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))

**68 IAC 8-2-28 Waiver of requirements**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#)

Sec. 28. The executive director may waive, restrict, or alter any requirement or procedure set forth in this rule if the executive director determines that the requirement or procedure is impractical or burdensome, and such waiver, restriction, or alteration is in the best interest of the public and the gaming industry, and is not outside the technical requirements necessary to serve the purpose of the requirement or procedure. (*Indiana Gaming Commission*; 68 IAC 8-2-28; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2663; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))

**68 IAC 8-2-29 Reports by the executive director**

Authority: [IC 4-33-4-1](#); [IC 4-33-4-2](#); [IC 4-33-4-3](#)

Affected: [IC 4-33](#); [IC 5-14-1.5-6.1](#)

Sec. 29. The executive director shall report any action he or she has taken or contemplates taking under this rule with respect to an emergency response plan at the next meeting held under 68 IAC 1-2-5 or an executive session held under [IC 5-14-1.5-6.1](#). The commission may direct the executive director to take additional or different action. (*Indiana Gaming Commission*; 68 IAC 8-2-29; filed Jun 23, 1995, 2:30 p.m.: 18 IR 2663; readopted filed Oct 15, 2001, 4:34 p.m.: 25 IR 898; filed Sep 30, 2004, 11:05 a.m.: 28 IR 527; readopted filed Sep 14, 2007, 1:40 p.m.: [20071003-IR-068070354RFA](#); readopted filed Nov 26, 2013, 3:58 p.m.: [20131225-IR-068130354RFA](#); readopted filed Sep 4, 2019, 10:57 a.m.: [20191002-IR-068190384RFA](#))

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