

TITLE 880 SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY BOARD

NOTE: Originally adopted by the State Board of Examiners on Speech Pathology and Audiology. Name changed by P.L.149-1987, SECTION 104, effective September 1, 1987.

ARTICLE 1. GENERAL PROVISIONS

Rule 1. Definitions; Licensure; Ethics; Continuing Education

880 IAC 1-1-1 Definitions

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6

Sec. 1. In addition to the definitions contained in IC 25-35.6, the following definitions apply:

- (1) "ASHA" means the American Speech-Language Hearing Association.
- (2) "Board" means the Indiana speech-language pathology and audiology board.
- (3) "Bureau" means the health professions bureau.
- (4) "Clinical fellowship" means the supervised experience requirements as set forth in IC 25-35.6-1-5(a)(5).
- (5) "Examination" means the National Examination in Speech-Language Pathology or the National Examination in Audiology administered by the Educational Testing Service of Princeton, New Jersey, or other suitable examination approved by the board.

(Speech-Language Pathology and Audiology Board; Reg PA-1, Ch I; filed Dec 4, 1974, 12:54 p.m.: Rules and Regs. 1975, p. 320; filed Jan 5, 1977, 3:30 p.m.: Rules and Regs. 1978, p. 316; filed Jan 5, 1977, 3:30 p.m.: Rules and Regs. 1978, p. 317; filed Dec 15, 1989, 5:00 p.m.: 13 IR 898; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345)

880 IAC 1-1-2 Application for license

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-3; IC 25-35.6-1-5; IC 25-35.6-3-3

Sec. 2. (a) An application for a license as a speech-language pathologist or an audiologist shall be submitted to the board on a form provided by the bureau. An application shall be typed or printed in ink, signed under the penalty of perjury, and accompanied by the following:

- (1) Fee required by section 5 of this rule.
- (2) Official transcript from an educational institution recognized by the board, certifying that the applicant possesses a master's degree or its equivalent from an accredited institution in the area for which the applicant is applying for licensure. As used in this section, "equivalent" means having completed at least two (2) full years of study in a college of liberal arts or sciences. This college course must include at least the following:
 - (A) Six (6) semester credit hours in English, biology, chemistry, mathematics, and physics.
 - (B) Three (3) semester credits in interpersonal communication, psychology, and statistics.
 - (C) Nine (9) semester credits in humanities and social sciences.

Furthermore, the applicant must meet requirements as set forth in IC 25-35.6-1-5(3)(A) through IC 25-35.6-1-5(3)(D) and at least twenty-one (21) of at least forty-two (42) semester hours in courses providing information about and practical experience in the management of speech, hearing, and language disorders must be obtained from a single college or university, and none may have been completed more than ten (10) years prior to the date of application.

- (3) Certification from the educational institution specified in subdivision (2) that the applicant has completed three hundred (300) hours of direct supervised clinical experience required by IC 25-35.6-1-5(4).
- (4) Certification of completion of a clinical fellowship which meets requirements of IC 25-35.6-1-5(5) and section 3.1 of this rule.

(5) Official score report from the Professional Examination Service certifying that the applicant has passed the examination in the area in which the applicant is applying for licensure, with a score of six hundred (600) or above.

(6) Statement from the appropriate agency in each state where the applicant has been licensed, certifying whether or not disciplinary proceedings have ever been initiated or are presently pending against the applicant.

(b) In lieu of documents required by subsection (a)(2) through (a)(5), the board will accept a certificate of clinical competence issued by ASHA or another nationally recognized association for speech-language pathology or audiology in the area in which the

applicant is applying for licensure.

(c) An applicant who applies for licensure under IC 25-35.6-3-3(a) bears the burden of proving that the requirements of the state or territory in which the applicant currently is licensed are equivalent to those requirements set forth in IC 25-35.6. The applicant shall submit documentation required by subsection (a), as well as any other information required by the board to make a determination as to whether the requirements of the other state or territory are equivalent to those set forth in IC 25-35.6.

(d) The applicant shall be notified in writing of the results of the evaluation of the applicant's application for license.

(e) An applicant who seeks licensure in both speech-language pathology and audiology must file an application in both areas as provided by IC 25-35.6-1-3.

(f) An application shall be considered abandoned if the applicant does not complete the requirements for licensure within one (1) year from the date on which application was filed. An application submitted subsequent to an abandoned application shall be treated as a new application.

(g) In addition to the requirements set forth in this section, an applicant for licensure must pass a written examination on the Indiana speech-language pathology and audiology statutes and rules. A score of seventy-five (75) or above is passing. (*Speech-Language Pathology and Audiology Board; Reg PA-1, Ch II; filed Dec 4, 1974, 12:54 p.m.: Rules and Regs. 1975, p. 320; filed Dec 15, 1989, 5:00 p.m.: 13 IR 899; errata filed Jun 7, 1990, 9:40 a.m.: 13 IR 1862; filed Aug 24, 1994, 1:40 p.m.: 18 IR 101; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

880 IAC 1-1-3 Waiver of examination; exemption from licensure requirements (Repealed)

Sec. 3. (*Repealed by Speech-Language Pathology and Audiology Board; filed Dec 15, 1989, 5:00 p.m.: 13 IR 901*)

880 IAC 1-1-3.1 Clinical fellowship

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 3.1. (a) An individual preparing to enter the clinical fellowship must notify the board by filing a form provided by the bureau, accompanied by the application fee provided by section 5 of this rule, prior to the beginning date of the clinical fellowship.

(b) The clinical fellowship shall not exceed a maximum period of eighteen (18) consecutive months.

(c) A clinical fellowship of less than fifteen (15) hours per week will not fulfill any part of the supervised experience requirement.

(d) Clinical fellowship supervision must entail the personal and direct involvement of the supervisor in any and all ways that will permit the clinical fellowship supervisor to monitor, improve, and evaluate the clinical fellow's performance in professional employment.

(e) A person who completes a clinical fellowship may not practice as a speech-language pathologist or an audiologist until the person has been approved for licensure by the board and has been issued a license by the bureau.

(f) A person completing the clinical experience requirement may not hold himself or herself out as a speech-language pathologist as set forth in IC 25-35.6-1-2(c) or an audiologist as set forth in IC 25-35.6-1-2(e). (*Speech-Language Pathology and Audiology Board; 880 IAC 1-1-3.1; filed Dec 15, 1989, 5:00 p.m.: 13 IR 900; filed Jun 9, 1994, 2:00 p.m.: 17 IR 2355; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

880 IAC 1-1-4 Speech pathology and audiology aides; registration; maximum number; supervision (Repealed)

Sec. 4. (*Repealed by Speech-Language Pathology and Audiology Board; filed Feb 3, 1987, 12:30 pm: 10 IR 1275*)

880 IAC 1-1-5 Fees

Authority: IC 25-1-8-2; IC 25-35.6-2-2

Affected: IC 25-35.6-3-7

Sec. 5. The following fees apply to licensed speech-language pathologists and audiologists:

Application/issuance fee (nonrefundable)	\$150
License renewal (December 31 of each odd-numbered year)	\$100

Aide registration	\$50
Renewal of aide registration (annually on December 31)	\$25
Registration of an individual participating in a supervised experience year	\$50
Duplicate license	\$10
Verification of licensure	\$10
<i>(Speech-Language Pathology and Audiology Board; Reg PA-1, Ch V; filed Dec 4, 1974, 12:54 p.m.: Rules and Regs. 1975, p. 323; filed Jan 5, 1977, 3:30 p.m.: Rules and Regs. 1978, p. 317; filed May 30, 1985, 10:25 a.m.: 8 IR 1306; filed Apr 30, 1986, 9:42 a.m.: 9 IR 2206; filed Mar 8, 1988, 2:08 p.m.: 11 IR 2631; filed May 20, 1996, 3:00 p.m.: 19 IR 2881; readopted filed Dec 2, 2001, 12:35 p.m.: 25 IR 1345)</i>	

880 IAC 1-1-6 Denial, suspension and revocation of licenses; unprofessional conduct; conviction of crime

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-3-5.1

Sec. 6. Denial, Suspension, and Revocation of Licenses. (A) Unprofessional Conduct—The Board may refuse to issue a license to, or may suspend or revoke the license of, any person who has been guilty of unprofessional conduct within the meaning of IC 1971, 25-35.6-3-5 *[Repealed by P.L.222-1981, SECTION 296. See IC 25-35.6-3-5.1]*. In addition to the general specifications encountered in Section 5 *[IC 25-35.6-3-5 Repealed by P.L.222-1981, SECTION 296. See IC 25-35.6-3-5.1]*, unprofessional conduct shall include, but is not limited, to the following:

- (1) Violating or conspiring to violate or aiding or abetting any person to violate the provisions of the Act *[IC 25-35.6]*.
- (2) Committing a dishonest or fraudulent act as a licensed speech pathologist or audiologist.
- (3) Diagnosing or treating individuals for speech or hearing disorders by mail or telephone unless the individual has been previously examined by the licensee and the diagnosis or treatment is related to such examination.
- (4) Incompetence or negligence in the practice of speech pathology or audiology which has endangered or is likely to endanger the health, welfare, or safety of the public.

(B) Conviction of Crime: Criteria for Rehabilitation—The Board may order a license suspended or revoked, or may decline to issue a license if an applicant or licensee has been convicted of a crime within the meaning of IC 1971, 25-35.6-3-5 *[Repealed by P.L.222-1981, SECTION 296. See IC 25-35.6-3-5.1]*.

(1) Upon the suspension or revocation of a license on the grounds that the licensee has been convicted of a crime, the Board, in evaluating the rehabilitation of such a person and his eligibility for licensure, will consider the following:

- (a) The nature and severity of the act(s) which resulted in the suspension or revocation of his license;
- (b) The extent of time elapsed since the commission of the act(s) which resulted in the suspension or revocation;
- (c) Whether the person has committed any act(s) which if done by a licensee would be grounds for suspension or revocation of a license since the date of suspension or revocation;
- (d) Whether the person has done any act(s) involving dishonesty, fraud or deceit with the intent to substantially benefit himself or another, or substantially injure another since the date of the suspension or revocation;
- (e) Whether the person has complied with any or all conditions of probation or restitution or any other civil or criminal sanction imposed against him as a result of the act(s), including such administrative penalties and conditions of probation as have been imposed on him by the Board; and
- (f) Such other evidence of rehabilitation and eligibility for licensure as the person may submit.

(Speech-Language Pathology and Audiology Board; Reg PA-1, Ch VI; filed Dec 4, 1974, 12:54 pm: Rules and Regs. 1975, p. 323; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345)

880 IAC 1-1-7 Ethical practice standards; competency standards

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-5

Sec. 7. The preservation of the highest standards of integrity and ethical principles is vital to the successful discharge of the responsibilities of all licensees. These standards of ethical practice have been promulgated by the board in an effort to highlight the fundamental rules considered essential to this basic purpose. It is the responsibility of each licensee to advise the board of instances of violation of the principles incorporated in this section.

(a) For purposes of the standards of professional conduct, ethics and competent practice of speech pathology or audiology, the following definitions apply:

(1) "Professional incompetence" may include, but is not limited to, a pattern or course of repeated conduct by a practitioner demonstrating a failure to exercise such reasonable care and diligence as is ordinarily exercised by practitioners in the same or similar circumstances in the same or similar locality.

(2) "Practitioner" means a person who holds a license in speech pathology and/or audiology issued by the board.

(b) The ethical responsibilities of the licensee require that the welfare of the person served professionally be considered paramount.

(1) The licensee who engages in clinical work must possess appropriate qualifications. Qualifications are provided by IC 25-35.6-1-5 and 880 IAC 1-1-3 [880 IAC 1-1-3 was repealed filed Dec 15, 1989, 5:00 p.m.: 13 IR 901.].

(A) The licensee must only provide services for which he is properly qualified through professional education, training, and/or experience.

(B) Any person who has not completed his professional preparation must not provide speech or hearing services except in a supervised clinical practicum situation as a part of his training. A licensee holding a clinical position and taking graduate work is not, for the purpose of this section, regarded as a student in training.

(2) The licensee must follow acceptable patterns of professional conduct in his relations with the persons he serves. The licensee shall not:

(A) Guarantee the results of any speech or hearing consultative or therapeutic procedure. A guarantee of any sort, expressed or implied, oral or written, is contrary to professional ethics. A reasonable statement of prognosis may be made.

(B) Diagnose or treat individuals' speech or hearing disorders by correspondence. This does not preclude follow-up by correspondence of individuals previously seen, nor does it preclude providing the persons served professionally with general information of an educational nature.

(C) Reveal to unauthorized persons any confidential information obtained from his client without permission.

(D) Exploit persons he serves professionally: (i) by accepting them for treatment where benefit cannot reasonably be expected to accrue; (ii) by continuing treatment unnecessarily; (iii) by charging exorbitant fees.

(3) The licensee should use every resource available, including referral to other specialists as needed, to effect as much improvement as possible in the persons he serves.

(4) The licensee must take every precaution to avoid injury to the persons he serves professionally.

(c) The duties owed by the licensee to other professional workers are many. The licensee should:

(1) Seek the freest professional discussion of all theoretical and practical issues but avoid personal invective directed toward professional colleagues or members of allied professions.

(2) Establish harmonious relations with members of other professions. He should endeavor to inform others concerning the services that can be rendered by members of the speech and hearing profession and in turn should seek information from members of related professions. He should strive to increase knowledge within the field of speech and hearing.

(d) The licensee has other special responsibilities. The licensee:

(1) Must guard against conflicts of professional interest.

(2) Must not engage in commercial or professional activities that conflict with his responsibilities to the persons he serves professionally or to his colleagues.

(3) Should help in the education of the public regarding speech and hearing problems and other matters lying within his professional competence.

(4) Should seek to provide and expand services to persons with speech and hearing handicaps, and to assist in establishing high professional standards for such programs.

(Speech-Language Pathology and Audiology Board; Reg PA-1, Ch VII; filed Dec 4, 1974, 12:54 pm: Rules and Regs. 1975, p. 324; filed May 30, 1985, 10:25 am: 8 IR 1306; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345)

880 IAC 1-1-8 Continuing professional education; rationale; definitions; responsibilities (Repealed)

Sec. 8. *(Repealed by Speech-Language Pathology and Audiology Board; filed Dec 2, 1987, 9:26 am: 11 IR 1297)*

Rule 2. Aides (Repealed)

(Repealed by Speech-Language Pathology and Audiology Board; filed Oct 6, 2003, 5:15 p.m.: 27 IR 537)

Rule 2.1. Aides

880 IAC 1-2.1-1 Definitions

Authority: IC 25-25.6-2-2

Affected: IC 25-35.6-1-2

Sec. 1. The following definitions apply throughout this rule:

- (1) "Aide" means a person employed as support personnel under the direction and authority of the supervising licensed speech-language pathologist. This rule applies to all support personnel when providing direct client services in the area of speech-language pathology intervention.
- (2) "Board" means the speech-language pathology and audiology board.
- (3) "Bureau" means the health professions bureau.
- (4) "Direct supervision" of an SLP aide I and an SLP aide II means on-site, in-view observation and guidance by the supervising speech-language pathologist while an assigned therapeutic activity is being performed.
- (5) "SLP aide I" means a speech-language pathology aide I.
- (6) "SLP aide II" means a speech-language pathology aide II.
- (7) "Supervisor", when referring to a speech-language pathology aide, means a person who holds a current Indiana license as a speech-language pathologist and has been approved by the board to supervise an aide as provided by IC 25-35.6-1-2(g).

(Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-1; filed Oct 6, 2003, 5:15 p.m.: 27 IR 534)

880 IAC 1-2.1-2 Educational requirements for SLP aide I

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 2. The minimum educational requirement for an SLP aide I shall be a high school degree or equivalent. *(Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-2; filed Oct 6, 2003, 5:15 p.m.: 27 IR 534)*

880 IAC 1-2.1-3 Educational requirements for SLP aide II

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 3. (a) The minimum educational requirement for an SLP aide II is an associate degree or its equivalent from an accredited institution in the area for which the applicant is requesting to be registered.

(b) As used in this section, "equivalent" means having completed the following:

- (1) A minimum of a sixty (60) semester credit hours in a program of study that includes general education and the specific knowledge and skills for a speech-language pathology assistant.
- (2) A minimum of twenty-four (24) credit hours of the sixty (60) semester hours required must be completed in general education. The general education curriculum shall include, but is not be *[sic.]* limited to, the following:
 - (A) Oral and written communication.
 - (B) Mathematics.
 - (C) Computer applications.
 - (D) Social sciences.
 - (E) Natural sciences.
- (3) A minimum of twenty-four (24) credit hours of the sixty (60) semester credit hours required must be completed in technical content areas. Technical content course work provides students with knowledge and skills to assume the job responsibilities and core technical skills for the speech-language pathology assistant and must include the following:
 - (A) Instruction about normal processes of communication.
 - (B) Instruction targeting the practices and methods of service delivery, which are specific to speech-language pathology assistants.

- (C) Instruction regarding the treatment of communication disorders.
- (D) Instruction targeting the following workplace behavior and skills:
 - (i) Working with clients or patients in a supportive manner.
 - (ii) Following supervisor's instructions.
 - (iii) Maintaining confidentiality.
 - (iv) Communicating with oral and written forms. and
 - (v) Following established health and safety precautions.
- (E) Clinical observation.
- (F) A minimum of one hundred (100) clock hours of supervised field experience that provides the applicant with appropriate experience for learning speech-language pathology assistant-specific job responsibilities and speech-language pathology assistant-specific workplace behaviors of the speech-language pathology assistant.

(Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-3; filed Oct 6, 2003, 5:15 p.m.: 27 IR 534)

880 IAC 1-2.1-4 Application for registration

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 4. (a) The application for approval of an SLP aide I or SLP aide II must be made on a form provided by the bureau and submitted to the board by the supervisor, under whose direct supervision the SLP aide I or SLP aide II will work, with all documentation as requested.

(b) The application must contain the following information:

- (1) The supervisor's name, address, phone number, and current Indiana license number.
- (2) The name and location of where services will be performed.
- (3) A detailed description of the responsibilities assigned to the SLP aide I or SLP aide II.
- (4) A certified statement from the supervisor that the SLP aide I and SLP aide II will be supervised as required by IC 25-35.6-1-2 and this rule.
- (5) A certified statement from the SLP aide I or SLP aide II that he or she may not perform any activity as specified in section 7 of this rule.
- (6) A certified statement that the SLP aide I or SLP aide II may perform the tasks as specified in section 8 of this rule if delegated by the supervisor.
- (7) An application fee as specified in section 5 of this rule.
- (8) Official transcripts from an educational institution:
 - (A) SLP aide I: Proof of a high school degree or equivalent.
 - (B) SLP aide II: Official transcript from an educational institution recognized by the board certifying that the applicant possesses an associate' [*sic.*, *associate's*] degree or its equivalent from an accredited institution in the area for which the applicant is requesting to be registered.
- (9) Any other information as required by the board.

(c) When an application has been approved by the board, a certificate of registration will be issued by the bureau.

(d) A SLP aide I and SLP aide II may not begin work before his or her application has been approved by the board. *(Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-4; filed Oct 6, 2003, 5:15 p.m.: 27 IR 534)*

880 IAC 1-2.1-5 Report change of information

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 5. The supervisor must report any change in activities or supervision at the time the change occurs by submitting a new application and fee as specified in section 4 of this rule within fourteen (14) days. *(Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-5; filed Oct 6, 2003, 5:15 p.m.: 27 IR 535)*

880 IAC 1-2.1-6 Renewal of registration

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 6. (a) A registration issued under section 2 of this rule expires on December 31 of each year. A supervisor must renew the registration by submitting a renewal form provided by the bureau and a fee as specified in 880 IAC 1-1-5.

(b) In order to avoid any interruption of work activity, a registration must be renewed prior to December 31 of each year.

(c) Information submitted with the renewal form shall include the following:

(1) The nature and extent of the functions performed by the aide during the preceding year.

(2) The nature and extent of the training completed by the aide during the preceding year.

(3) Any other information required by the board.

(d) The supervisor must report any change in information required by subsection (a) to the board at the time the change occurs by submitting a new application and fee as specified in 880 IAC 1-1-5.

(e) An SLP aide I and SLP aide II may not continue working after his or her registration has expired. Any such continuation will constitute a violation of this section.

(f) If a supervisor does not renew the SLP aide I or SLP aide II registration on or before December 31, the registration becomes invalid. The supervisor must submit a new application and fee as specified in section 4 of this rule. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-6; filed Oct 6, 2003, 5:15 p.m.: 27 IR 535*)

880 IAC 1-2.1-7 Activities prohibited by the SLP aide I and SLP aide II

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 7. An SLP aide I and/or SLP aide II may not perform any of the following activities:

(1) Standardized or nonstandardized diagnostic tests, formal or informal evaluations, or interpret test results.

(2) May not participate in parent conferences, case conferences, or any interdisciplinary team without the presence of the supervisor or other licensed speech-language pathologist designated by the supervisor.

(3) May not provide patient/client or family counseling.

(4) May not write, develop, or modify a patient's or client's individualized treatment plan in any way.

(5) May not assist with a patient or client without following the individualized treatment plans prepared by the supervisor or without access to supervision.

(6) May not sign any formal documents, for example, treatment plans, reimbursement forms, or reports. However, the SLP aide I and/or SLP aide II may sign or initial informal treatment notes for review and cosignature by the supervisor if specifically asked to do so by the supervisor.

(7) May not select patients or clients for services.

(8) May not discharge a patient or client from services.

(9) May not disclose clinical or confidential information either orally or in writing to anyone other than the supervisor.

(10) May not make referrals for additional service outside the scope of the intervention setting.

(11) May not communicate with the patient, client, family, or others regarding any aspect of the patient or client status or service without the specific consent of the supervisor.

(12) May not counsel or consult with the patient, client, family, or others regarding the patient or client status or service.

(13) May not represent himself or herself as a speech-language pathologist.

(*Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-7; filed Oct 6, 2003, 5:15 p.m.: 27 IR 535*)

880 IAC 1-2.1-8 Tasks that may be delegated to the SLP aide I and SLP aide II

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 8. The following tasks may be delegated to an SLP aide I and/or SLP aide II if the tasks have been planned by the supervisor and the SLP aide I and/or SLP aide II has been provided with adequate training to perform the task competently:

(1) Assist the supervisor with speech-language and hearing screenings (without interpretation).

- (2) Follow documented treatment plans or protocols developed by the supervisor.
 - (3) Document patient or client performance, for example:
 - (A) tallying data for the speech-language pathologist to use; and
 - (B) preparing charts, records, and graphs;and report this information to the supervising speech-language pathologists.
 - (4) Assist the supervisor during assessment of patients or clients.
 - (5) Assist with informal documentation as directed by the supervisor.
 - (6) Assist with clerical duties, such as preparing materials and scheduling activities, as directed by the supervisor.
 - (7) Perform checks and maintenance of equipment.
 - (8) Support the supervisor in research projects, in-service training, and public relations programs.
 - (9) Assist with departmental operations (scheduling, record keeping, and safety and maintenance of supplies and equipment).
 - (10) Correct data for quality improvement.
 - (11) Exhibit compliance with regulations, reimbursement requirements, and SLP aide I and SLP aide II job responsibilities.
- (Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-8; filed Oct 6, 2003, 5:15 p.m.: 27 IR 536)*

880 IAC 1-2.1-9 Supervisors; responsibilities

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 9. (a) Prior to utilizing an aide, the supervisor shall carefully delineate the role and tasks of the SLP aide I and/or SLP aide II, including the following:

- (1) Specific lines of responsibility and authority.
- (2) Assurance that the SLP aide I and/or SLP aide II is responsible only to the supervisor in all patient/client activities. The supervisor must assess individual client needs when deciding the appropriateness of a support personnel service delivery model.
- (b) When an aide assists in providing treatment, the supervisor of the SLP aide I and/or SLP aide II shall do the following:
 - (1) The supervisor of the SLP aide I shall provide direct supervision a minimum of twenty percent (20%) weekly for the first ninety (90) days of work and ten percent (10%) weekly after the initial work period. The supervisor must be physically present within the same building as the SLP aide I whenever direct client care is provided. The supervisor must directly provide a minimum of thirty-three percent (33%) of the patient's or client's treatment weekly.
 - (2) The supervisor of the SLP aide II shall provide direct supervision a minimum of twenty percent (20%) weekly for the first ninety (90) days of work and ten percent (10%) weekly after the initial work period. Supervision days and times should be alternated to ensure that all individuals receive direct contact with the supervisor at least once every two (2) weeks. At no time should an SLP aide II perform tasks when a supervisor cannot be reached by personal contact, telephone, pager, or other immediate means.
 - (3) The amount of supervision may be adjusted depending on the competency of the SLP aide I or SLP aide II, the needs of the patients or clients served, and the nature of the assigned tasks; however, the minimum standard must be maintained. The supervisor must determine supervision needs. Indirect supervision activities may include, but are not limited to, record review, phone conferences, or audio/video tape review.
 - (4) Determine the responsibilities assigned to the SLP aide I and/or SLP Aide II based upon the educational level, training, and experience of the aide.
 - (5) Evaluate each patient or client prior to treatment.
 - (6) Outline and direct the specific program for the clinical management of each client assigned to the SLP aide I and/or SLP aide II.
 - (7) Every five (5) working days, review all data and documentation on clients seen for treatment by the SLP aide I and/or SLP aide II.
 - (8) Ensure that, at the termination of services, the case is reviewed by the speech-language pathologists responsible for the client.
- (c) The supervisor shall not permit an SLP aide I and/or SLP aide II to make decisions regarding the diagnosis, management, or future disposition of clients.
- (d) The supervisor must officially designate an SLP aide I and/or SLP aide II, as such, on all clinical records.

(e) The supervisor must be present when the SLP aide I and/or SLP aide II provides direct client treatment outside the designated practice setting.

(f) The supervisor may designate a licensed speech-language pathologist to supervise a SLP aide I and/or SLP aide II under his or her supervision during vacation periods or illness, but for no longer than a thirty (30) day period.

(g) Within ten (10) days after the termination of the supervision of an SLP aide I and SLP aide II, the supervisor shall notify the board, in writing, of the termination and the date of the termination and may designate a licensee to serve as an interim supervisor for a period not to exceed thirty (30) days upon approval of the board. An interim supervisor is not required to pay a fee for the thirty (30) day period.

(h) A supervisor may not supervise more than three (3) aides at one (1) time.

(i) A supervisor must be a licensed speech-language pathologist for a minimum of two (2) years prior to registering and supervising an SLP aide I and/or SLP aide II. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-9; filed Oct 6, 2003, 5:15 p.m.: 27 IR 536*)

880 IAC 1-2.1-10 SLP aides previously registered under 880 IAC 1-2

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-1-2

Sec. 10. (a) SLP aides previously registered under 880 IAC 1-2, which meet the educational requirements of 880 IAC 1-2.1-2 [section 2 of this rule], shall be registered as an SLP aide I without the necessity of filing an additional application under 880 IAC 1-2.1-4 [section 4 of this rule].

(b) SLP aides previously registered under 880 IAC 1-2, which meet the educational requirements of 880 IAC 1-2.1-3 [section 3 of this rule], shall be registered as an SLP aide II without the necessity of filing an additional application under 880 IAC 1-2.1-4 [section 4 of this rule]. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-2.1-10; filed Oct 6, 2003, 5:15 p.m.: 27 IR 537*)

Rule 3. Continuing Education for Renewal of License (Repealed)

(*Repealed by Speech-Language Pathology and Audiology Board; filed Dec 15, 1989, 5:00 p.m.: 13 IR 901*)

Rule 3.1. Renewal of License; Continuing Education

880 IAC 1-3.1-1 Renewal of license

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-3-6

Sec. 1. (a) A renewal application shall be submitted to the bureau on or before December 31 of each odd-numbered year on a form provided by the bureau. The application shall be accompanied by the renewal fee required by 880 IAC 1-1-5 and evidence of continuing education required by section 2 of this rule.

(b) A person who holds a license in speech-language pathology and/or audiology must renew each license biennially as required by IC 25-35.6-3-6.

(c) A license which is not renewed on or before December 31 of each odd-numbered year becomes invalid. The license may be reinstated within two (2) years of the date of expiration by submitting the renewal fee and penalty fee required by 880 IAC 1-1-5, and continuing education required by section 2 of this rule.

(d) A license which has been invalid for more than two (2) years may not be reinstated. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-3.1-1; filed Dec 15, 1989, 5:00 p.m.: 13 IR 900; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

880 IAC 1-3.1-2 Continuing education

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-3-6

Sec. 2. (a) Thirty-six (36) clock hours of continuing education are required for license renewal as a speech-language pathologist or an audiologist.

(b) The continuing education requirement may be satisfied by participating in the following activities:

- (1) Educational programs presented by state scientific and professional organizations.
- (2) University courses taken beyond those required for initial licensure if the course content pertains to the practice of speech-language pathology or audiology.
- (3) Lectures or workshops, live or telecast, if the educational program is relevant to the practice of speech-language pathology or audiology.
- (4) Self-study, including:
 - (A) journals and books;
 - (B) audio tapes;
 - (C) films and video tapes;
 - (D) visits to facilities;
 - (E) meetings with other professionals; and
 - (F) writing articles for publication.

However, the learning experiences derived from clauses (A) through (F) must pertain to the practice of speech-language pathology or audiology and no more than six (6) hours of the continuing education requirement may be acquired through self-study.

(c) A licensee is not required to complete continuing education requirements for the year in which the initial license is issued.

(d) Continuing education clock hours must be obtained within the biennial renewal period and may not be carried over from one (1) licensure period to another. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-3.1-2; filed Dec 15, 1989, 5:00 p.m.: 13 IR 900; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

880 IAC 1-3.1-3 Responsibilities

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-3-6

Sec. 3. (a) A licensee must report continuing education required by section 2 of this rule at the time of license renewal on a form provided by the bureau.

(b) A licensee must retain a record of completion of continuing education required by section 2 of this rule for four (4) years.

(c) A licensee must present verification of completion of continuing education required by section 2 of this rule upon request by the board. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-3.1-3; filed Dec 15, 1989, 5:00 p.m.: 13 IR 901; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

880 IAC 1-3.1-4 Penalty for noncompliance

Authority: IC 25-35.6-2-2

Affected: IC 25-35.6-3-6

Sec. 4. The board will not issue a renewal license to a licensee who fails to comply with section 2 of this rule. (*Speech-Language Pathology and Audiology Board; 880 IAC 1-3.1-4; filed Dec 15, 1989, 5:00 p.m.: 13 IR 901; readopted filed Nov 9, 2001, 3:18 p.m.: 25 IR 1345*)

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