# **ARTICLE 3. REAL ESTATE APPRAISER LICENSURE AND CERTIFICATION**

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# **Rule 1. Definitions**

876 IAC 3-1-1 876 IAC 3-1-2 876 IAC 3-1-3 Applicability "Board" defined (Repealed) "License" defined

#### 876 IAC 3-1-1 Applicability

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 1. The definitions in this rule apply throughout this article. (Indiana Real Estate Commission; 876 IAC 3-1-1; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-1-2 "Board" defined (Repealed)

Sec. 2. (Repealed by Indiana Real Estate Commission; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

# 876 IAC 3-1-3 "License" defined

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1-1-2</u>

Sec. 3. "License" has the meaning set forth in IC 25-34.1-1-2(5), and includes any of the following:

(1) Indiana licensed trainee appraiser.

(2) Indiana licensed residential appraiser.

(3) Indiana certified residential appraiser.

(4) Indiana certified general appraiser.

(Indiana Real Estate Commission; 876 IAC 3-1-3; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; filed Dec 8, 1993, 4:00 p.m.: 17 IR 770; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; readopted filed Nov 26, 2019, 3:50 p.m.: <u>20191225-IR-876190192RFA</u>; filed Oct 11, 2023, 9:41 a.m.: <u>20231108-IR-876230295FRA</u>)

## **Rule 2. General Provisions**

<u>876 IAC 3-2-1</u>	Licenses issued by the board
<u>876 IAC 3-2-2</u>	Types of appraisals of real estate governed by federal law
876 IAC 3-2-3	Types of real estate transactions not governed by federal law
876 IAC 3-2-4	Expiration of licenses
876 IAC 3-2-5	Reinstatement of expired license
<u>876 IAC 3-2-6</u>	Transitional license expiration (Repealed)
876 IAC 3-2-7	Fee schedule
876 IAC 3-2-8	Change of name or address
876 IAC 3-2-9	Use of titles
876 IAC 3-2-10	Use of designation; corporation; partnership

876 IAC 3-2-11

Investigation of appraisals and file records

#### 876 IAC 3-2-1 Licenses issued by the board

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 1. (a) There shall be three (3) licenses issued by the board, specifically, the following:

(1) Indiana licensed trainee appraiser.

(2) Indiana certified residential appraiser.

(3) Indiana certified general appraiser.

(b) Indiana licensed residential appraiser licenses issued before January 1, 2008, continue to remain in effect after December 31, 2007, as long as renewed as required by law and subject to the disciplinary process. (Indiana Real Estate Commission; 876 IAC 3-2-1; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; filed Dec 8, 1993, 4:00 p.m.: 17 IR 771; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-2-2 Types of appraisals of real estate governed by federal law

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 2. Types of appraisals of real estate involved in transactions governed by the federal act allowed to be done by holders of the various licenses shall be governed by federal law. (*Indiana Real Estate Commission; 876 IAC 3-2-2; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)* 

#### 876 IAC 3-2-3 Types of real estate transactions not governed by federal law

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 3. (a) As concerns appraisals of real estate not involved in transactions governed by the federal act, holders of any of the four (4) licenses may do any type of appraisal they are competent to conduct as provided by the competency provision of the Uniform Standards of Professional Appraisal Practice (as adopted in 876 IAC 3-6-2 and 876 IAC 3-6-3) subject to the limitations provided for in subsection (b).

(b) Subject to the limitations stated in subsection (a), the following apply:

(1) Indiana licensed trainee appraisers may do appraisals (not review appraisals) identified in 876 IAC 3-3-11 and 876 IAC

3-3-12 subject to the requirements of 876 IAC 3-6-8 and 876 IAC 3-6-9.

(2) Indiana licensed residential appraisers may independently do appraisals of other types of property, or review appraisals performed by others, in the residential category identified in 876 IAC 3-3-11. Indiana licensed residential appraisers may do appraisals of other types of property identified in 876 IAC 3-3-12 if these appraisals are cosigned by an appraiser certified to complete these appraisals and the cosigner accepts full responsibility for all appraisals completed by the licensed residential appraiser.

(3) Indiana certified residential appraisers may independently do appraisals of other types of property, or review appraisals performed by others, in the residential category as identified in 876 IAC 3-3-11 and appraisals in the general (nonresidential) category identified in 876 IAC 3-3-12(a)(1). Indiana certified residential appraisers may do appraisals of other types of property identified in 876 IAC 3-3-12 if these appraisals are in compliance with the Uniform Standards of Professional Appraisal Practice as adopted in 876 IAC 3-6-2 and 876 IAC 3-6-3 and are cosigned by a certified general appraiser and the cosigner accepts full responsibility for all appraisals completed by the certified residential appraiser.

(4) Indiana certified general appraisers may do appraisals, or review appraisals performed by others, of all types of real

property.

(c) Indiana licensed trainee appraisers are also governed by 876 IAC 3-6-8 and 876 IAC 3-6-9.

(d) Nothing about this article shall be construed to limit the right of licensed real estate brokers to conduct appraisals of real estate except to the extent that federal law requires that they be conducted by individuals who hold Indiana licensed residential appraiser licenses, Indiana certified residential appraiser licenses, or Indiana certified general appraiser licenses issued by the board. (*Indiana Real Estate Commission; 876 IAC 3-2-3; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; filed Dec 8, 1993, 4:00 p.m.: 17 IR 771; errata filed Jan 21, 1994, 3:00 p.m.: 17 IR 1101; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1757; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)* 

# 876 IAC 3-2-4 Expiration of licenses

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-1-6-4; IC 25-34.1-3-10</u>

Sec. 4. (a) Licenses issued under this article shall expire on a date established by the Indiana professional licensing agency under IC 25-1-6-4(j).

(b) To renew a license, an individual must do the following:

- (1) Pay the fee required by section 7(b)(2) of this rule.
- (2) Complete an application for renewal on a form provided by the board.
- (3) Satisfactorily complete the continuing education required by 876 IAC 3-5.
- (4) Sign a statement under penalty of perjury that:
  - (A) the hours submitted are correct;
  - (B) the licensee attended and completed courses taken; and
  - (C) to the best of the licensee's knowledge, the courses completed meet the requirements of 876 IAC 3-5.

(c) When renewing a license, a licensee may apply for and receive an inactive license. This individual is exempt from the continuing education requirements stated in subsection (b)(3) and 876 IAC 3-5. The holder of an inactive license may not appraise real estate.

(d) To reactivate an inactive license, a licensee must:

(1) complete an application for reactivation;

(2) have obtained the number of qualifying continuing education hours required under  $\underline{IC 25-34.1-3-10}$  and the provisions of 876 IAC 3-5-1; and

(3) within the continuing education required by subdivision (2), have obtained seven (7) hours of Uniform Standards of Professional Appraisal Practice (USPAP) as required by 876 IAC 3-5-1.5 that covered the version of the USPAP in effect at the time the application for reactivation is filed.

(Indiana Real Estate Commission; 876 IAC 3-2-4; filed Sep 24, 1992, 9:00 a.m.: 16 IR 736; filed Jan 8, 1993, 4:00 p.m.: 17 IR 771; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2113; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2790; errata filed Jul 3, 1995, 12:00 p.m.: 18 IR 2796; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1758; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Dec 3, 2002, 3:00 p.m.: 26 IR 1106; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA; filed Feb 3, 2009, 10:12 a.m.: 20090304-IR-876080357FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

# 876 IAC 3-2-5 Reinstatement of expired license

Authority: IC 25-1-8-2; IC 25-34.1-3-8

Affected: <u>IC 25-1-8-6</u>; <u>IC 25-34.1</u>

Sec. 5. To reinstate an expired license, a licensee must:

(1) meet the requirements of <u>IC 25-1-8-6;</u>

(2) have obtained the number of qualifying continuing education hours required under IC 25-1-8-6 and the provisions of

#### 876 IAC 3-5-1; and

(3) within the continuing education required by subdivision (2), have obtained seven (7) hours of Uniform Standards of Professional Appraisal Practice (USPAP) as required by 876 IAC 3-5-1.5 that covered the version of the USPAP in effect at the time the application for reinstatement is filed.

(Indiana Real Estate Commission; 876 IAC 3-2-5; filed Sep 24, 1992, 9:00 a.m.: 16 IR 737; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1758; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Dec 3, 2002, 3:00 p.m.: 26 IR 1107; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

## 876 IAC 3-2-6 Transitional license expiration (Repealed)

Sec. 6. (Repealed by Indiana Real Estate Commission; filed Dec 8, 1993, 4:00 p.m.: 17 IR 782)

## 876 IAC 3-2-7 Fee schedule

Authority: <u>IC 25-1-8-2</u>; <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1-8-7.5</u>

Sec. 7. (a) This section establishes the fee schedule for the real estate appraiser licensure and certification program. The fees stated in subsection (b) apply to the following:

(1) Indiana licensed trainee appraisers.

(2) Indiana licensed residential appraisers.

(3) Indiana certified residential appraisers.

(4) Indiana certified general appraisers.

However, the fee for licensed trainee appraisers under subsection (b)(2), (b)(3), and (b)(5) through (b)(7) shall be one hundred ten dollars (\$110) (including the ten dollars (\$10) for the investigative fund under <u>IC 25-34.1-8-7.5</u>), because there is not a requirement under federal law to transmit these amounts for licensed trainee appraisers.

(b) The fee schedule is as follows:

(1) Application for admittance to the examination	\$100
(2) Fee for issuance of a license or certificate (after passing the examination) during the first twelve (12) months of the renewal cycle (including eighty dollars (\$80) required by federal law to be transmitted to the federal government and ten dollars (\$10) for the investigative fund under IC 25-34.1-8-7.5)	\$190
(3) Fee for issuance of a license or certificate (after passing the examination) during the last twelve (12) months of the renewal cycle (including forty dollars (\$40) required by federal law to be transmitted to the federal government and ten dollars (\$10) for the investigative fund under IC 25-34.1-8-7.5)	\$150
(4) Application for licensure by reciprocity	\$100
<ul><li>(5) Fee for a license or certificate by reciprocity (after approval by the board) during the first twelve (12) months of the renewal cycle (including eighty dollars (\$80) required by federal law to be transmitted to the</li></ul>	\$100
federal government and ten dollars (\$10) for the investigative fund under IC 25-34.1-8-7.5)	\$190
(6) Fee for a certificate by reciprocity (after approval by the board) during the last twelve (12) months of the renewal cycle (including forty dollars (\$40) required by federal law to be transmitted to the federal government and ten dollars (\$10) for the investigative fund under IC 25-34.1-8-7.5)	\$150
(7) Application for the renewal of a license or certification (including eighty dollars (\$80) required by federal law to be transmitted to the federal government and ten dollars (\$10) for the investigative fund	
under <u>IC 25-34.1-8-7.5</u> )	\$190
(8) Duplicate license or certificate	\$10
(9) Duplicate pocket card	\$10
(10) Certification of license to another state	\$10
(11) Application by a holder of an Indiana trainee appraiser license to be approved for a regular license	\$25
(12) Application for the issuance of a permit for temporary practice	\$150

(c) All fees are nonrefundable and nontransferable. (Indiana Real Estate Commission; 876 IAC 3-2-7; filed Sep 24, 1992, 9:00 a.m.: 16 IR 737; filed Dec 8, 1993, 4:00 p.m.: 17 IR 772, eff Jan 2, 1994 [IC 4-22-2-36] suspends the effectiveness of a rule document for thirty (30) days after filing with the secretary of state. LSA Document #93-130 was filed Dec 8, 1993.]; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2791; filed Jun 21, 1996, 10:00 a.m.: 19 IR 3111; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2697; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Dec 3, 2002, 3:00 p.m.: 26 IR 1107; filed Apr 26, 2004, 2:15 p.m.: 27 IR 2740; filed Aug 12, 2004, 10:12 a.m.: 28 IR 212, eff Oct 1, 2004; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Nov 4, 2011, 4:00 p.m.: 20111130-IR-876110176FRA, eff Jan 1, 2012; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-2-8 Change of name or address

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 8. All licenses and certificate holders shall notify the board in writing of each change of address or name under which the licensee conducts business, within ten (10) days of said change. The address shall be sufficiently descriptive to enable the board to correspond with and locate the licensee or certificate holder. *(Indiana Real Estate Commission; 876 IAC 3-2-8; filed Sep 24, 1992, 9:00 a.m.: 16 IR 737; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; readopted filed Nov 26, 2019, 3:50 p.m.: <u>20191225-IR-876190192RFA</u>)* 

#### 876 IAC 3-2-9 Use of titles

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 9. (a) Inasmuch as individuals licensed by the board are not required to be designated and because many appraisers are designated, Indiana licensed trainee appraisers, Indiana licensed residential appraisers, Indiana certified residential appraisers, or Indiana certified general appraisers, cannot use abbreviations connoting licensure or certification after their names.

(b) Whenever a licensee signs the licensed appraiser's name on an appraisal report or correspondence concerning an appraisal report, the licensed appraiser must include, with the licensed appraiser's signature, the words, "Indiana licensed trainee appraiser", "Indiana licensed residential appraiser", "Indiana certified residential appraiser", or "Indiana certified general appraiser", whichever is applicable, and the licensed appraiser's license number. This wording shall not be in letters larger than the licensed appraiser's name.

(c) Whenever an individual licensed by a temporary permit signs an appraisal report or correspondence concerning an appraisal report, the temporary permit holder must include with the signature the words "Operating under Indiana Temporary Permit Number" followed by the temporary permit number. The temporary permit holder must also state the license type, license number, and state of issue of the appraisal license given on the temporary permit application. (Indiana Real Estate Commission; 876 IAC 3-2-9; filed Sep 24, 1992, 9:00 a.m.: 16 IR 737; filed Dec 8, 1993, 4:00 p.m.: 17 IR 772; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2698; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-2-10 Use of designation; corporation; partnership

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 10. The terms "Indiana licensed residential appraiser", "Indiana certified residential appraiser", or "Indiana certified general appraiser" shall only be used to refer to individuals who hold licenses or certificates and may not be used following or immediately in connection with the name of a partnership, association, corporation, or group, or in such a manner that it might be interpreted as referring to a firm, partnership, corporation, group, or anyone other than an individual holder of the license or certificate shall be issued to a firm, partnership, corporation, or group practice. However, this shall not be

construed to prevent an appraiser licensed by the board from signing an appraisal report on behalf of a corporation, partnership, firm, or group practice, although the individual is solely responsible for the appraisal. (*Indiana Real Estate Commission; 876 IAC 3-2-10; filed Sep 24, 1992, 9:00 a.m.: 16 IR 738; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)* 

#### 876 IAC 3-2-11 Investigation of appraisals and file records

Authority: <u>IC 25-34.1-2-5</u> Affected: <u>IC 25-34.1-2-5</u>

Sec. 11. An appraiser shall provide access to all appraisal records and related documents upon request by Indiana professional licensing agency compliance officers for investigative purposes. Indiana professional licensing agency compliance officers shall have the right to inspect, review, and copy these documents. (Indiana Real Estate Commission; 876 IAC 3-2-11; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2698; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

# Rule 3. Requirements for Real Estate Appraisers; Licensure and Certification

876 IAC 3-3-2Admittance to examination876 IAC 3-3-2.5Criminal history background check requirement876 IAC 3-3-2.7Incorporation of criteria applicable to all appraiser classifications876 IAC 3-3-3Educational requirements for Indiana licensed residential appraiser (Repealed)876 IAC 3-3-3.1Educational requirements for Indiana licensed trainee appraiser876 IAC 3-3-4Educational requirements for Indiana certified residential appraiser (Repealed)	
876 IAC 3-3-2.7Incorporation of criteria applicable to all appraiser classifications876 IAC 3-3-3Educational requirements for Indiana licensed residential appraiser (Repealed)876 IAC 3-3-3.1Educational requirements for Indiana licensed trainee appraiser	
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876 IAC 3-3-3.1         Educational requirements for Indiana licensed trainee appraiser	
876 IAC 3-3-4 Educational requirements for Indiana certified residential appraiser (Repealed)	
876 IAC 3-3-4.1 Educational requirements for Indiana certified residential appraiser	
876 IAC 3-3-5 Educational requirements for Indiana certified general appraiser (Repealed)	
876 IAC 3-3-5.1 Educational requirements for Indiana certified general appraiser	
876 IAC 3-3-5.2 Conversion of quarter hours to semester hours (Repealed)	
876 IAC 3-3-6 Credit for courses obtained after June 30, 1990	
876 IAC 3-3-7 Credit for courses completed prior to July 1, 1990	
876 IAC 3-3-8 Education course qualifications for credit (Repealed)	
876 IAC 3-3-9 Experience requirements for three licenses issued by the board	
876 IAC 3-3-10 Credit for appraisal performance	
876 IAC 3-3-11 Property defined under residential category	
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876 IAC 3-3-13 Hour value of appraisal work established (Repealed)	
876 IAC 3-3-13.1 Hour value of appraisal work established	
876 IAC 3-3-13.2 Hour value of general category appraisal work established (repealed)	
876 IAC 3-3-14 Examination for three licenses issued by the board	
876 IAC 3-3-15 Application for examination	
876 IAC 3-3-16 Special administration of examination	
876 IAC 3-3-17 Instructions for scheduling examinations; deadline	
876 IAC 3-3-18 Examination content	
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876 IAC 3-3-20 Requirements for holder of an Indiana licensed residential appraiser license applyi	ng
for an Indiana certified residential appraiser license (Repealed)	
876 IAC 3-3-20.1 Indiana licensed trainee appraiser; exemption from examination for transitional licensed	nse
holders (Repealed)	
876 IAC 3-3-21Permit for temporary practice	

#### 876 IAC 3-3-22

Indiana licensed trainee appraiser; examination; licensure procedures

#### 876 IAC 3-3-1 Scope of rule

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 1. This rule establishes education, experience, and examination requirements to obtain a license from the board. (Indiana Real Estate Commission; 876 IAC 3-3-1; filed Sep 24, 1992, 9:00 a.m.: 16 IR 738; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-2 Admittance to examination

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 2. To be admitted to the examination for any of the licenses, an applicant must:

(1) meet all the requirements for the license for which application has been made except for the passing of the examination;(2) complete the application provided for in section 15 of this rule; and

(3) pay the applicant's cost of purchasing the examination, payable to the examination service.

(Indiana Real Estate Commission; 876 IAC 3-3-2; filed Sep 24, 1992, 9:00 a.m.: 16 IR 738; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2791; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-2.5 Criminal history background check requirement

Authority: <u>IC 25-34.1-3-8</u>; <u>IC 25-34.1-8-10</u> Affected: <u>IC 25-1-11-19</u>; <u>IC 25-34.1-8-10</u>

Sec. 2.5. (a) This section establishes requirements for fingerprints and a national criminal history background check for each applicant for initial licensure or certification as a real estate appraiser in Indiana under  $\underline{IC 25-34.1-8}$  and this article. It does not apply to applicants for licensure or certification who already hold some level of real estate appraiser licensure or certification in Indiana.

(b) The board shall use the results of the national criminal history background check to determine whether licensure or certification should be denied for any reason set forth in IC 25-34.1-8-10(a)(1) or issued on probation as provided for in IC 25-1-11-19. (Indiana Real Estate Commission; 876 IAC 3-3-2.5; filed Aug 16, 2010, 3:36 p.m.: 20100915-IR-876090677FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-2.7 Incorporation of criteria applicable to all appraiser classifications

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 2.7. (a) This section establishes criteria applicable to all licenses issued by the board.

(b) The board adopts and incorporates by reference the criteria applicable to all appraiser classifications established by the Real Property Appraiser Qualification Criteria, January 2022 edition. (Indiana Real Estate Commission; 876 IAC 3-3-2.7; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-3 Educational requirements for Indiana licensed residential appraiser (Repealed)

Sec. 3. (Repealed by Indiana Real Estate Commission; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008)

#### 876 IAC 3-3-3.1 Educational requirements for Indiana licensed trainee appraiser

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 3.1. (a) This section establishes the educational requirements for the Indiana trainee appraiser license.

(b) The minimum prerequisite to sit for the Indiana trainee appraiser examination is completion of the education criteria stated in subsection (c) and completion of the supervisor/trainee appraiser course as outlined in 876 IAC 3-6-9.

(c) The minimum classroom hours shall be the required core curriculum for trainee appraisers, established by The Real Property Appraiser Qualification Criteria, January 2022 edition. (Indiana Real Estate Commission; 876 IAC 3-3-3.1; filed Dec 8, 1993, 4:00 p.m.: 17 IR 773; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

## 876 IAC 3-3-4 Educational requirements for Indiana certified residential appraiser (Repealed)

Sec. 4. (Repealed by Indiana Real Estate Commission; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008)

# 876 IAC 3-3-4.1 Educational requirements for Indiana certified residential appraiser

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 4.1. (a) This section establishes the educational requirements for an Indiana certified residential appraiser.

(b) The minimum prerequisite to sit for the Indiana certified residential appraiser examination is completion of the education criteria, or alternative criteria, and the minimum qualifying education classroom hours of qualifying education for a certified residential real property appraiser established by the Real Property Appraiser Qualification Criteria, January 2022 edition.

(c) The fifteen (15) hours of Uniform Standards of Professional Appraisal Practice course will meet the requirements under subsection (b) if the course was taken prior to January 1, 2004. (Indiana Real Estate Commission; 876 IAC 3-3-4.1; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; errata filed Nov 21, 2018, 9:03 a.m.: 20181212-IR-876180517ACA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-5 Educational requirements for Indiana certified general appraiser (Repealed)

Sec. 5. (Repealed by Indiana Real Estate Commission; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008)

#### 876 IAC 3-3-5.1 Educational requirements for Indiana certified general appraiser

 Authority:
 IC 25-34.1-3-8

 Affected:
 IC 25-34.1

Sec. 5.1. (a) This section establishes the educational requirements for an Indiana certified general appraiser.

(b) The prerequisite to sit for the Indiana certified general appraiser examination is completion of the education criteria, or alternative criteria, and the minimum qualifying education classroom hours of qualifying education for a certified general real property appraiser established by the Real Property Appraiser Qualification Criteria, January 2022 edition.

(c) The fifteen (15) hours of Uniform Standards of Professional Appraisal Practice course will meet the requirements under subsection (b) if the course was taken before January 1, 2004. (Indiana Real Estate Commission; 876 IAC 3-3-5.1; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; errata filed Nov 21, 2018, 9:03 a.m.: 20181212-IR-876180517ACA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# 876 IAC 3-3-5.2 Conversion of quarter hours to semester hours (Repealed)

Sec. 5.2. (Repealed by Indiana Real Estate Commission; filed Dec 29, 2014, 1:39 p.m.: <u>20150128-IR- 876140304FRA</u>, eff Jan 1, 2015 [<u>IC 4-22-2-36</u> suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.])

# 876 IAC 3-3-6 Credit for courses obtained after June 30, 1990

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 6. To obtain credit under sections 3.1 through 5.1 of this rule for courses obtained after June 30, 1990, an applicant must have a certificate of course completion, which must:

(1) state the applicant's name;

(2) contain the name of the sponsoring organization;

(3) indicate the course title;

(4) identify the course content;

(5) state the date and location of the course;

(6) certify the course minimum of fifteen (15) classroom hours;

(7) indicate hours completed;

(8) confirm passing grade; and

(9) contain the:

(A) instructor's name;

- (B) type of license or faculty position;
- (C) license number; and

(D) issuing state.

(Indiana Real Estate Commission; 876 IAC 3-3-6; filed Sep 24, 1992, 9:00 a.m.: 16 IR 741; filed Dec 8, 1993, 4:00 p.m.: 17 IR 775; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; errata filed Nov 15, 2002, 3:38 p.m.: 26 IR 1109; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; errata filed Nov 21, 2018, 9:03 a.m.: 20181212-IR-876180517ACA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# 876 IAC 3-3-7 Credit for courses completed prior to July 1, 1990

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 7. (a) To obtain credit for education under sections 3.1 through 5.1 of this rule for courses completed prior to July 1, 1990, verification may comply with section 6 of this rule or may be in the form of a certificate transcript or letter issued by the course

provider showing course title, date of successful course completion, and number of classroom hours of applicant.

(b) Notwithstanding subsection (a), the board may consider a sworn notarized affidavit attesting to the successful completion of the educational requirements. (Indiana Real Estate Commission; 876 IAC 3-3-7; filed Sep 24, 1992, 9:00 a.m.: 16 IR 741; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-8 Education course qualifications for credit (Repealed)

Sec. 8. (Repealed by Indiana Real Estate Commission; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-3-9 Experience requirements for three licenses issued by the board

Authority: IC 25-34.1-3-8

Affected: <u>IC 25-34.1</u>

Sec. 9. (a) This section and sections 10 through 13.2 of this rule establish the experience requirements for the licenses issued by the board except for the Indiana trainee appraiser license, which has no experience requirements.

(b) At least fifty percent (50%) of the appraisals claimed for experience credit must have been performed during the sixty (60) months preceding the filing of the application.

(c) Notwithstanding the five (5) year retention requirement of the Uniform Standards of Professional Appraisal Practice, in order for an appraisal to qualify for experience credit regardless of when the appraisal was done, the applicant must have the appraisal report and file available for board review under subsection (d) or (e). Submission of appraisals that are not in substantial compliance with the version of the Uniform Standards of Professional Appraisal Practice (as adopted in 876 IAC 3-6-2 and 876 IAC 3-6-3) in effect on the inspection date of the appraisal may result in the denial of the appraisal experience required for licensure as:

(1) a certified residential appraiser; and

(2) a certified general appraiser.

(d) An applicant for certified residential appraiser shall submit, on a form prescribed by the board, a list of prior appraisals completed totaling at least two thousand five hundred (2,500) hours of appraisal experience that the applicant has performed. The experience must be obtained over a period of at least twenty-four (24) months before the filing of the application. The board may request documentation in the form of reports or file memoranda for any appraisal in support of the claim for experience.

(e) An applicant for certified general appraiser shall submit, on a form prescribed by the board, a list of prior appraisals completed totaling at least three thousand (3,000) hours of appraisal experience that the applicant has performed over a period of not less than thirty (30) months. At least two thousand (2,000) hours must be in the general category. The board may request documentation in the form of reports or file memoranda for any appraisal in support of the claim for experience. (*Indiana Real Estate Commission; 876 IAC 3-3-9; filed Sep 24, 1992, 9:00 a.m.: 16 IR 741; filed Dec 8, 1993, 4:00 p.m.: 17 IR 775; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1761, eff Jan 1, 1998 [IC 4-22-2-36 suspends the effectiveness of a rule document for thirty (30) days after filing with the secretary of state. LSA Document #97-65 was filed Dec 24, 1997.]; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)* 

#### 876 IAC 3-3-10 Credit for appraisal performance

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 10. (a) The quantitative experience requirements

shall be consistent with the generic experience criteria, established by the Real Property Appraiser Qualification Criteria, January 2022 edition.

(b) An applicant may be given full credit for performance of at least seventy-five percent (75%) of the work associated with an appraisal, including preparation of the appraisal report. Full credit may be claimed for that appraisal, even if the work was

reviewed by a supervising appraiser who signed the appraisal report, notwithstanding subsection (c). No credit may be given to an applicant performing less than seventy-five percent (75%) of the work on an appraisal. No credit may be given to an applicant for an appraisal unless the applicant either signed the report or was given credit for seventy-five percent (75%) of the work within the certification pages of the appraisal report.

(c) An applicant may be given credit for twenty-five percent (25%) of the hours allotted for the type of property appraised if the applicant reviewed an appraisal prepared by a trainee under the applicant's supervision, and the applicant signed the report as the supervisor.

(d) An applicant may be given credit for fifty percent (50%) of the hours allotted for the type of property appraised if the applicant prepared a Uniform Standards of Professional Appraisal Practice (USPAP) Standard 3 review report of an appraisal prepared by another person and prepared a separate written review appraisal report.

(e) An applicant may be given credit for an additional fifty percent (50%) of the hours allotted for the type of property appraised if the applicant performed an appraisal for condemnation purposes where there was a partial taking of the property and documented before and after value of the property was completed.

(f) An applicant may be given credit for fifty percent (50%) of the total experience requirement for practicum courses that are approved by the AQB Course Approval Program or board. A practicum course must include the generally applicable methods of appraisal practice for the credential category. Content includes, but is not limited to, the following:

(1) Requiring the student to produce credible appraisals that utilize an actual subject property.

(2) Performing market research containing actual sales analysis.

(3) Applying and reporting the applicable appraisal approaches in conformity with the USPAP.

Assignments must require problem solving skills for a variety of property types for the credential category. Experience credit shall be granted for the actual classroom hours of instruction and hours of documented research and analysis as awarded from the practicum course approval process.

(g) An appraisal for which experience is claimed under this rule must:

(1) be either:

(A) an appraisal report; or

(B) a restricted report; and

(2) comply with the Scope of Work Rule of the USPAP (as adopted in 876 IAC 3-6-2).

(h) The total credit given for appraisal work consisting of restricted appraisal reports shall not exceed five hundred (500) hours. (Indiana Real Estate Commission; 876 IAC 3-3-10; filed Sep 24, 1992, 9:00 a.m.: 16 IR 741; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2115; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2698; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; errata filed Nov 21, 2018, 9:03 a.m.: 20181212-IR-876180517ACA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-11 Property defined under residential category

Authority: <u>IC 25-34.1-3-8</u> Affected: IC 25-34.1

Sec. 11. (a) Types of property under the residential category are defined as follows:

(1) Single family.

(2) Multiple family, not more than four (4) units.

(3) One (1) to four (4) vacant residential lots (more than four (4) lots is addressed by section 12 of this rule).

(4) Rural residential parcels up to one hundred (100) acres with or without a residence.

(5) Other activities described in section 12(c)(4) through 12(c)(8) of this rule.

(b) No credit may be given for the following:

(1) Market value estimates performed by real estate licensees in connection with the listing or sale, or both, of real property.

(2) Business appraisals.

(3) Feasibility or market analysis, except to the extent that the market value of a proposed real estate project is being estimated.

- (c) Acceptable appraisal experience includes, but is not limited to, the following:
- (1) Fee appraisal.
- (2) Appraisals where the appraiser has not made an inspection of the subject real estate.
- (3) Review appraisal, with or without an inspection of the subject real estate, of the work under review.
- (4) Ad valorem tax appraisal.
- (5) Appraisal analysis.
- (6) Real estate counseling.
- (7) Highest and best use analysis.
- (8) Feasibility analysis or study.
- (d) Not more than five hundred (500) hours of credit will be accepted in any single category in subsection (c)(2) through

(c)(8).
 (e) Not more than seven hundred fifty (750) cumulative hours of credit will be accepted for the total amount of experience under subsection (c)(4) through (c)(8).

(f) Indiana licensed trainees are not permitted to do review appraisal assignments. (Indiana Real Estate Commission; 876 IAC 3-3-11; filed Sep 24, 1992, 9:00 a.m.: 16 IR 742; filed Dec 8, 1993, 4:00 p.m.: 17 IR 776; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2116; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1762, eff Jan 1, 1998 [IC 4-22-2-36 suspends the effectiveness of a rule document for thirty (30) days after filing with the secretary of state. LSA Document #97-65 was filed Dec 24, 1997, 1:00 a.m.: 2007, 12:30 p.m.: 24 IR 2699; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; errata filed Nov 21, 2018, 9:03 a.m.: 20181212-IR-876180517ACA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-12 Property defined under general (nonresidential) category

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 12. (a) Types of property under the general (nonresidential) category are as follows:

- (1) Land, including the following:(A) Operating farms agricultural tracts.
  - (B) Undeveloped tracts.
  - (C) Residential multiple family sites.
  - (D) Commercial sites.
  - (E) Industrial sites.
  - (F) Land in transition.
  - (G) Similar projects.
- (2) Residential multiple family (five (5) or more units), including the following:
  - (A) Apartments.
  - (B) Condominiums.
  - (C) Townhouses.
  - (D) Mobile home parks.
- (3) Commercial, including the following:
  - (A) Office building.
  - (B) Retail store.
  - (C) Restaurant.
  - (D) Service station.
  - (E) Bank.
  - (F) Daycare center.
  - (G) Similar projects.
- (4) Industrial, including the following:

- (A) Warehouse.
- (B) Manufacturing plant.
- (C) Similar projects.
- (5) Special purpose, including the following:
  - (A) Rest home.
  - (B) Nursing home.
  - (C) Hospital.
  - (D) School.
  - (E) Church.
  - (F) Government building.
  - (G) Other special purpose properties, including intensive agricultural and business uses.
- (6) Other activities described in subsection (c)(4) through (c)(8).
- (b) No credit may be given for the following:

(1) Market value estimates performed by real estate licensees in connection with the listing or sale, or both, of real property.

- (2) Business appraisals.
- (3) Personal property appraisals.

(4) Feasibility or market analysis, except to the extent that the market value of a proposed real estate project is being estimated.

- (c) Acceptable appraisal experience includes, but is not limited to, the following:
- (1) Fee appraisal.
- (2) Appraisals where the appraiser has not made an inspection of the subject real estate.
- (3) Review appraisal, with or without an inspection of the subject real estate, of the work under review.
- (4) Ad valorem tax appraisal.
- (5) Appraisal analysis.
- (6) Real estate counseling.
- (7) Highest and best use analysis.
- (8) Feasibility analysis or study.
- (d) Not more than five hundred (500) hours of credit will be accepted in any single category in subsection (c)(2) through (c)(8).

(e) Not more than one thousand (1,000) cumulative hours of credit will be accepted for the total amount of experience under subsection (c)(4) through (c)(8).

(f) Indiana licensed trainees are not permitted to do review appraisal assignments. (Indiana Real Estate Commission; 876 IAC 3-3-12; filed Sep 24, 1992, 9:00 a.m.: 16 IR 742; filed Dec 8, 1993, 4:00 p.m.: 17 IR 776; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2116; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1762; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

# 876 IAC 3-3-13 Hour value of appraisal work established (Repealed)

Sec. 13. (Repealed by Indiana Real Estate Commission; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA)

# 876 IAC 3-3-13.1 Hour value of appraisal work established

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 13.1. (a) This section establishes the maximum value of appraisal work that may qualify for experience credit. An applicant may receive credit for actual time spent on an appraisal up to the maximum hour value allowed for the type of appraisal performed. The following table states the maximum values:

Residential Category	Appraiser	Supervisor	Reviewer
Single unit residential	6.0	2.0	3.0
Single unit condominiums	6.0	2.0	3.0
2-4 unit residences	8.0	2.0	3.0
Manufactured homes	6.0	2.0	1.0
Vacant residential land less than 5 acres	6.0	1.0	1.0
Residential Adjustments:			<b>I</b>
Subtract if no inspection of the subject was made	-4.0	-1.0	-1.0
Subtract if only exterior inspection of the subject was made	-2.0	-0.5	05
Subtract if a restricted report	-1.0	0.0	0.0
Add for Eminent Domain Appraisal – Long Form	+6.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Short Form	+2.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Restricted Report	+1.0	+1.0	+1.0
Add for cost approach with land comparable sales	+1.0	+0.5	+0.5
Add for income approach with rentable comparables	+1.0	+0.5	+0.5
Exceptions to the above maximum allowances can be given by submis	sion and approval of th	e real estate appra	iser licensure
and certification board (REAB).			
Farm and Agricultural Category	Appraiser	Supervisor	Reviewer
Subject site includes 5-10 acres	7.0	1.0	1.0
Subject site includes 11-20 acres	8.0	1.0	1.0
Subject site includes 21-100 acres	10.0	2.0	2.0
Subject site includes 101+ acres	15.0	3.0	3.0
Farm and Agricultural Adjustments:			•
Add when subject includes a residence and buildings	2.0	1.0	1.0
Add when subject includes intensive livestock operation	8.0	3.0	3.0
Subtract if no inspection of the subject was made	-4.0	-1.0	-1.0
Subtract if only exterior inspection of the subject was made	-2.0	-0.5	05
Subtract if a restricted report	-1.0	0.0	0.0
Add for Eminent Domain Appraisal – Long Form	6.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Short Form	+4.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Restricted Report	+1.0	+1.0	+1.0
Add for boarding stables	+3.0	+2.0	+1.0
Add for other special agricultural operations	+3.0	+2.0	+2.0
Add for cost approach with land comparable sales	+1.0	+0.5	+0.5
Add for income approach with rentable comparables	+1.0	+0.5	+0.5
Exceptions to the above maximum allowances can be given by submis	sion and approval of th	ne REAB.	•
Multi-Family Category	Appraiser	Supervisor	Reviewer
Subject includes 5-10 units	12.0	2.0	2.0
Subject includes 11-20 units	15.0	2.0	2.0
Subject includes 21-50 units	20.0	3.0	3.0
Subject includes 51-100 units	25.0	5.0	5.0
Subject includes 101+ units	30.0	7.0	7.0
Multi-Family Adjustments:			
Subtract if no inspection of the subject was made	-3.0	-1.0	-1.0
Subtract if only exterior inspection of the subject was made	-2.0	-0.5	05

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Subtract if a restricted report	-1.0	0.0	0.0
Add for Eminent Domain Appraisal – Long Form	+8.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Long Form	+4.0	+1.0 +1.0	+1.0 +1.0
Add for Eminent Domain Appraisal – Short Form	+4.0	+1.0 +1.0	+1.0 $+1.0$
	+10.0	+1.0 +2.0	+1.0 +2.0
Add for retail or office included with the subject			
Add for ground rent analysis	+2.0	+10	+1.0
Add for cost approach with land comparable sales	+2.0	+1.0	+0.5
Add for Income approach with rentable and expense comparables	+3.0	+1.0	+1.0
Exceptions to the above maximum allowances can be given by submission			
Commercial - Industrial Category	Appraiser	Supervisor	Reviewer
Commercial – Industrial site with 0-5 acres	8.0	3.0	3.0
Single tenant subject includes 1,000 -5,000 sq. ft.	12.0	2.0	2.0
Single tenant subject includes 5,001 -25,000 sq. ft.	16.0	3.0	3.0
Single tenant subject includes 25,001+ sq. ft.	20.0	4.0	4.0
Multi-tenant subject with 2-10 tenants	20.0	3.0	3.0
Multi-tenant subject includes 51-100 tenants	30.0	5.0	5.0
Multi-tenant subject includes 101+ tenants	40.0	7.0	7.0
Commercial – Industrial Adjustments:			
Subtract if no inspection of the subject was made	-5.0	-2.0	-1.0
Subtract if only exterior inspection of the subject was made	-2.0	-0.5	05
Subtract if a restricted report	-1.0	0.0	0.0
Add for Eminent Domain Appraisal – Long Form	+8.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Short Form	+4.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Restricted Report	+2.0	+1.0	+1.0
Add for retail or office included with the subject	+10.0	+2.0	+2.0
Add for ground rent analysis	+2.0	+10	+1.0
Add for cost approach with land comparable sales	+2.0	+0.5	+0.5
Add for income approach with rentable and expense comparables	+4.0	+1.0	+1.0
Exceptions to the above maximum allowances can be given by submission	and approval of th	e REAB.	
Special Purpose Properties	Appraiser	Supervisor	Reviewer
Schools or religious facilities 1-5,000 sq. ft.	18.0	2.0	2.0
Schools or religious facilities 5,001-20,000 sq. ft.	24.0	3.0	3.0
Schools or religious facilities $20,000 + \text{sq. ft.}$	30.0	5.0	3.0
Transportation facilities, terminals, stations, etc.	30.0	5.0	5.0
Nursing homes, hospitals, convalescence, daycare (adult-child)	36.0	5.0	5.0
Hotels, motels, bed and breakfast 2-20 units	24.0	5.0	5.0
Hotels, motels, bed and breakfast 21 + units	30.0	5.0	5.0
Cemetery, funeral homes, mortuary, etc.	24.0	5.0	5.0
Jails, correctional facilities, courthouses, city hall, etc.	28.0	5.0	5.0
Outdoor signs and advertising mediums	12.0	2.0	2.0
Residential subdivisions, condo projects, and mobile home parks	32.0	5.0	5.0
	24.0	5.0	5.0
Airports and facilities			
Airports and facilities Special Purpose Adjustments:			
Special Purpose Adjustments: Subtract if no inspection of the subject was made	-5.0	-2.0	-1.0

Subtract if a restricted report	-1.0	0.0	0.0
Add for Eminent Domain Appraisal - Long Form	+4.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Short Form	+2.0	+1.0	+1.0
Add for Eminent Domain Appraisal – Restricted Report	+1.0	+1.0	+1.0
Add for retail or office included with the subject	+10.0	+2.0	+2.0
Add for ground rent analysis	+2.0	+10	+1.0
Add for cost approach with land comparable sales	+2.0	+0.5	+0.5
Add for income approach with rentable and expense comparables +4.0 +1.0 +1.0		+1.0	
Exceptions to the above maximum allowances can be given by submission and approval of the REAB.			

(b) The hour value of other appraisal work, whether it be in the residential or general category, shall be the actual number of hours, provided that this is a reasonable number of hours, with the limits provided in subsection (a). However, the board shall have the right to grant more than these maximum hours upon written request. (Indiana Real Estate Commission; 876 IAC 3-3-13.1; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# 876 IAC 3-3-13.2 Hour value of general category appraisal work established (repealed)

Sec. 13.2. (Repealed by Indiana Real Estate Commission; filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

## 876 IAC 3-3-14 Examination for three licenses issued by the board

Authority: <u>IC 25-34.1-3-8</u>

Affected: <u>IC 25-34.1</u>

Sec. 14. (a) This section and sections 15 through 18 of this rule govern the examination for the three (3) licenses issued by the board.

(b) To qualify for real estate appraiser licensure or certification, an examination shall be passed that is designed to measure the applicant's knowledge and understanding of subject matter essential to real estate appraiser practice.

(c) An applicant for licensure shall obtain a license within one (1) year of passing the examination. An applicant failing to obtain a license within one (1) year shall:

(1) have the applicant's examination results voided; and

(2) not be eligible for licensure.

(d) Notwithstanding subsection (c), the board may grant a license to an applicant who has not obtained a license within one (1) year of passing the examination if the applicant demonstrates a good faith reason for not obtaining the license within one (1) year.

(e) If an applicant's examination results are voided under subsection (c), the applicant must:

(1) file a new application for examination; and

(2) pay the appropriate fees.

(Indiana Real Estate Commission; 876 IAC 3-3-14; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; filed Dec 8, 1993, 4:00 p.m.: 17 IR 777; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2791; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1763; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# 876 IAC 3-3-15 Application for examination

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 15. (a) An application for examination shall be filed on a form prescribed by the board.

(b) The board shall require applicants to provide documentation in support of education, experience, and other relevant data.

(c) The form prescribed by the board may require an applicant to provide information and documentation including, but not limited to, the following:

(1) A designation establishing the basis and type of certification sought by the applicant.

(2) General relevant contact information for the applicant.

(3) Educational transcripts, or other acceptable documentation, establishing that the applicant has met the educational requirements set forth in this rule.

(4) A statement of all prelicensing education accrued, including the course title, sponsor, number of credit hours, and the date completed.

(5) A listing of additional professional licenses held in Indiana or another state or states, including the license type, the state or states where the license is held, the license number, the issuance date, and the status of the license.

(6) Except for minor traffic violations and convictions expunged, a statement describing criminal convictions incurred by the applicant prior to making application.

(Indiana Real Estate Commission; 876 IAC 3-3-15; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; filed Sep 21, 2018, 3:27 p.m.: <u>20181017-IR-876180065FRA</u>; filed Oct 11, 2023, 9:41 a.m.: <u>20231108-IR-876230295FRA</u>; readopted filed Mar 21, 2024, 4:07 p.m.: <u>20240417-IR-876230829RFA</u>)

#### 876 IAC 3-3-16 Special administration of examination

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 16. A special administration of the examination can be arranged if the applicant is unable to sit for examination under normal test conditions because of visual or physical problems. (*Indiana Real Estate Commission; 876 IAC 3-3-16; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)* 

#### 876 IAC 3-3-17 Instructions for scheduling examinations; deadline

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 17. (a) After an application has been processed and approved by the board, the board will send a letter of approval to the applicant. The instructions for scheduling examinations will be included with the approval letter.

(b) An applicant must pass the examination no later than one (1) year after the date of the approval letter described in subsection (a).

(c) The board may grant extensions to the time limit in subsection (b) due to physical incapacity, military service, and similar good cause. (Indiana Real Estate Commission; 876 IAC 3-3-17; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2704; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-18 Examination content

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 18. (a) The real estate appraiser licensure and certification examinations will contain questions relating to the following: (1) The appraisal of residential one (1) to four (4) unit properties.

(2) The appraisal of all types of properties.

(b) Although most of the topics listed in subsection (a) will be covered on both the licensure and certification appraiser

examinations, questions on these common topics will frequently be more challenging on the certified appraiser examination. (Indiana Real Estate Commission; 876 IAC 3-3-18; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

#### 876 IAC 3-3-19 Individuals licensed in another state; licensing requirements; reciprocity

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 19. An individual who holds a valid license or certification from another state or territory may be issued an Indiana license or certification in the category of the out-of-state license or certification so long as the following are met:

(1) The current requirements for examination, education, and experience in the state the individual is licensed or certified meet or exceed those in Indiana.

(2) The individual meets all of the other Indiana requirements for the particular license or certification.

(3) The individual pays the fees under 876 IAC 3-2-7(b)(4) and 876 IAC 3-2-7(b)(5) or 876 IAC 3-2-7(b)(6). (Indiana Real Estate Commission; 876 IAC 3-3-19; filed Sep 24, 1992, 9:00 a.m.: 16 IR 745; filed Dec 8, 1993, 4:00 p.m.: 17 IR 777; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2791; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1764; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2705; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Aug 22, 2014, 4:02 p.m.: 20140917-IR-876140060FRA; readopted filed Nov 19, 2020, 9:56 a.m.: 20201216-IR-876200513RFA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# 876 IAC 3-3-20 Requirements for holder of an Indiana licensed residential appraiser license applying for an Indiana certified residential appraiser license (*Repealed*)

Sec. 20. (Repealed by Indiana Real Estate Commission; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2792)

# 876 IAC 3-3-20.1 Indiana licensed trainee appraiser; exemption from examination for transitional license holders (Repealed)

Sec. 20.1. (Repealed by Indiana Real Estate Commission; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1767)

#### 876 IAC 3-3-21 Permit for temporary practice

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 21. (a) The board will recognize, on a temporary basis, the license or certificate of an appraiser issued by another state, provided the following:

(1) The appraiser's business is of a temporary nature.

(2) The appraiser registers with the board.

(3) The license or certificate issued by the other state is appropriate for the type of property to be appraised.

(4) The work in Indiana does not last longer than twelve (12) months.

(b) An applicant must do the following:

(1) Apply on a form provided by the board.

(2) Pay a fee required by 876 IAC 3-2-7(b)(12).

(c) Each temporary license or certificate is limited to performing the appraisals or specialized services required by the contract for appraisal services.

(d) Temporary privileges expire:

(1) upon completion of the work required by the assignment or specialized service; or

(2) after twelve (12) months;

whichever is earlier, and no more than four (4) different temporary licenses may be issued to an individual per calendar year. Each licensed or certified appraiser working on an appraisal assignment must obtain a temporary permit. Cosigning an appraisal report with another appraiser constitutes an assignment.

(e) An applicant:

- (1) must consent to service of process in Indiana; and
- (2) may not advertise or represent themselves as an Indiana licensed or certified appraiser.

(f) An individual who has been denied either admission to an examination or a license by the board will not be eligible for a temporary permit for the level of licensure for which the individual was denied or greater level of license. However, regardless of this subsection, an individual who otherwise qualifies under this section shall be eligible for a permit for federally related transactions. (Indiana Real Estate Commission; 876 IAC 3-3-21; filed Sep 24, 1992, 9:00 a.m.: 16 IR 746; filed Dec 8, 1993, 4:00 p.m.: 17 IR 777; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2792; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2705, eff Jan 1, 2002; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Jul 15, 2002, 2:28 p.m.: 25 IR 4111; errata filed Nov 15, 2002, 3:39 p.m.: 26 IR 1109; filed Dec 18, 2006, 1:20 p.m.: 20070117-IR-876060093FRA; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

## 876 IAC 3-3-22 Indiana licensed trainee appraiser; examination; licensure procedures

Authority: <u>IC 25-34.1-3-8</u> Affected: IC 25-34.1

Sec. 22. (a) An applicant for an Indiana licensed trainee appraiser license shall be required to pass the examination as provided for in sections 14 through 18 of this rule.

(b) On a form provided by the board, the applicant shall provide the name and license number of the appraiser with whom the applicant is associating and the prospective supervising appraiser must sign that form. The appraiser must meet the requirements to be a supervising appraiser stated in 876 IAC 3-6-9(b).

(c) If the applicant is otherwise qualified, after an applicant passes the examination and pays the fee required by 876 IAC 3-2-7(b)(2) or 876 IAC 3-2-7(b)(3), the board shall issue the following:

(1) An Indiana licensed trainee appraiser license to the applicant to be held by the supervising appraiser.

(2) To the Indiana licensed trainee appraiser an identification card that:

- (A) certifies that the Indiana licensed trainee appraiser is licensed; and
- (B) indicates the:
  - (i) expiration date of the license; and
  - (ii) name of the supervising appraiser with whom the Indiana licensed trainee appraiser is associated.

(d) If the Indiana licensed trainee appraiser has not associated with a supervising appraiser, the trainee shall be issued an inactive license, either upon:

(1) initial issuance of the license; or

(2) the termination of an association with a supervising appraiser.

(e) If a trainee appraiser license remains inactive under subsection (d) for more than three (3) years, prior to reactivation of the Indiana licensed trainee license, the trainee appraiser may be required to complete such remediation and additional training as deemed appropriate by the board given the lapse of time involved.

(f) An Indiana licensed trainee and a supervising appraiser shall notify the board in writing upon termination of the Indiana licensed trainee appraiser's association with the supervising appraiser described in subsection (b) within five (5) working days of the termination. The board shall reissue an identification card upon the association with another appraiser, who qualifies to be a supervising appraiser, upon application as described in subsection (b) with the license to be held by the new supervising appraiser. (Indiana Real Estate Commission; 876 IAC 3-3-22; filed Dec 8, 1993, 4:00 p.m.: 17 IR 778; filed Jun 14, 1995, 11:00 a.m.: 18 IR 2792; errata filed Nov 13, 1995, 10:00 a.m.: 19 IR 675; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1764; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Dec 3, 2002, 3:00 p.m.: 26 IR 1107; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; filed Apr 16, 2012, 3:43 p.m.: 20120516-IR-876110428FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; readopted filed Mar 21, 2024, 4:07 p.m.: 20240417-IR-876230829RFA)

# Rule 4. Real Estate Appraiser Course Provider Approval (Repealed)

(Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

# **Rule 5.** Continuing Education

<u>876 IAC 3-5-1</u>	Continuing education requirements
876 IAC 3-5-1.5	Mandatory continuing education courses; Uniform Standards of Professional Appraisal
	Practice
<u>876 IAC 3-5-2</u>	Application for board approval of real estate appraiser continuing education courses
	(Repealed)
876 IAC 3-5-2.5	Criteria for approval of continuing education course
876 IAC 3-5-3	Course records
876 IAC 3-5-4	Real estate appraiser continuing education course provider renewal (Repealed)
876 IAC 3-5-5	Advertising (Repealed)
876 IAC 3-5-6	Approved real estate appraiser continuing education courses; certification
	requirements
876 IAC 3-5-6.1	Required instructional materials (Repealed)
876 IAC 3-5-7	Instructors
876 IAC 3-5-8	Instructors; prohibitions
876 IAC 3-5-9	Continuing education from another state (Repealed)
876 IAC 3-5-10	Continuing education from another profession (Repealed)
876 IAC 3-5-11	Other approved continuing education (Repealed)

# 876 IAC 3-5-1 Continuing education requirements

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-1-4-5; IC 25-1-4-6; IC 25-34.1</u>

Sec. 1. (a) As a prerequisite to renewal of a real estate appraiser license or certification, the licensee or certificate holder shall satisfy the criteria specific to continuing education, established by The Real Property Appraiser Qualification Criteria, January 2022 edition.

(b) A licensee is not entitled to continuing education credit for classroom hours that were used for required prelicensure education under 876 IAC 3-3.

(c) The board may verify information concerning continuing education that is submitted by the licensee or certificate holder as evidence supporting the course information. The board may require licensees or certificate holders to provide information regarding the continuing education hours claimed on the individual's renewal. Failure to do so may lead to action under IC 25-1-4-5 or IC 25-1-4-6.

(d) It is the responsibility of each licensee or certificate holder to retain evidence to support the courses taken for a period of three (3) years after the end of the renewal period for which the renewal application is submitted to the board. These records shall include one (1) or more of the following:

- (1) Course attendance verification by the sponsor.
- (2) Certificates of course completion.
- (3) Continuing education attendance history by employer or third party.
- (4) Other evidence of support and justification.

(Indiana Real Estate Commission; 876 IAC 3-5-1; filed Sep 24, 1992, 9:00 a.m.: 16 IR 747; filed Dec 8, 1993, 4:00 p.m.: 17 IR 779; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2123; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1764, eff Jan 1, 1998 [IC 4-22-2-36] suspends the effectiveness of a rule document for thirty (30) days after filing with the secretary of state. LSA Document #97-65 was filed Dec 24, 1997.]; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2705, eff Jan 2, 2002; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Aug 6, 2003, 12:00 p.m.: 27 IR 184; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:08 a.m.: 20080604-IR-876070388IFRA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338ACA; filed Aug 22, 2014, 4:02 p.m.: 20140917-IR-876140060FRA; readopted filed Nov 19, 2020, 9:56 a.m.: 20201216-IR-876200513RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

# 876 IAC 3-5-1.5 Mandatory continuing education courses; Uniform Standards of Professional Appraisal Practice

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-1-11; IC 25-34.1-8</u>

Sec. 1.5.

(a) The continuing education required on the Uniform Standards of Professional Appraisal Practice (USPAP) must be:

(1) obtained not later than six (6) months after the effective date of a new edition of the USPAP; and

(2) an updated version to cover the new edition.

(b) If the updated course required by subsection (a) is available before the effective date of a new edition of the USPAP, a real estate appraiser who takes that course before the effective date complies with subsection (a). (Indiana Real Estate Commission; 876 IAC 3-5-1.5; filed Apr 12, 2001, 12:30 p.m.: 24 IR 2707, eff Jan 2, 2002; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Aug 6, 2003, 12:00 p.m.: 27 IR 185, eff Jan 2, 2004; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA; filed Feb 3, 2009, 10:12 a.m.: 20090304-IR-876080357FRA; filed Aug 28, 2013, 10:24 a.m.: 20130925-IR-876120610FRA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-5-2 Application for board approval of real estate appraiser continuing education courses (Repealed)

Sec. 2. (Repealed by Indiana Real Estate Commission; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-5-2.5 Criteria for approval of continuing education course

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-1-4-0.5</u>; <u>IC 25-1-11</u>; <u>IC 25-34.1</u>

Sec. 2.5. (a) Continuing education courses not approved by the Appraiser Qualifications Board, as provided for in  $\underline{\text{IC 25-1-4-}}$ <u>0.5</u>(1)(B) and section 1 of this rule, must be approved by the board under this section. Applications for board approval may be submitted by the course provider or by an appraiser taking the course. The content of the course must comply with subsection (b)(3).

(b) In order to be an approved continuing education course, a course must satisfy the following criteria:

(1) The course must:

(A) involve a minimum of two (2) classroom hours of instruction on real estate appraisal or related topics; and

(B) be an education offering that is consistent with the purpose of continuing education and cover real property related appraisal topics, including, but not limited to:

(i) ad valorem taxation;

- (ii) arbitration, dispute resolution;
- (iii) courses related to real estate appraisal or consulting;
- (iv) development cost estimating;
- (v) ethics and standards of professional practice, USPAP;
- (vi) land use planning, zoning;
- (vii) management, leasing, timesharing;

(viii) property development, partial interests;

- (ix) real estate law, easements, and legal interests;
- (x) real estate litigation, damages, condemnation;
- (xi) real estate financing and investment;
- (xii) real estate appraisal-related computer applications; and
- (xiii) real estate securities and syndication.

#### (2) The course materials or syllabus must include the following:

- (A) A course description that clearly describes the content of the course.
- (B) Specific learning objectives that:
  - (i) are appropriate for a continuing education course;
  - (ii) clearly state the specific knowledge and skills students are expected to acquire by completing the course;

(iii) are consistent with the:

(AA) course description; and

(BB) instructional materials; and

(iv) are reasonably achievable within the number of classroom hours allotted for the course.

(3) Instructional materials for students must be provided unless the applicant demonstrates that the materials are not needed to accomplish the stated course objectives. Any such instructional materials must:

(A) be appropriate in view of the stated course learning objectives;

(B) reflect current knowledge and practice;

(C) contain no significant errors;

(D) reflect correct grammatical usage and spelling;

(E) effectively communicate and explain the information presented;

(F) be suitable in layout and format;

(G) be suitably bound or packaged; and

(H) be produced in a quality manner.

(4) For courses containing examinations, course examinations may consist of either a series of examinations or a comprehensive final examination, or both. The course examination must comply with the following criteria:

(A) The examination must contain a sufficient number of questions to adequately test the subject matter covered in the course.

(B) The amount of time devoted to examinations must be appropriate for the course.

(C) Examination questions must, individually and collectively, test at a difficulty level appropriate to measure attendee achievement of the stated course learning objectives.

(D) The subject matter tested by examination questions must be adequately addressed in the course instructional materials.

(E) Examination questions must be:

(i) written in a clear and unambiguous manner; and

(ii) accurate and the intended correct answer must clearly be the best answer choice.

(5) The provider of an approved continuing education course provider must have a written attendance policy that requires the student attendance to be verified.

(Indiana Real Estate Commission; 876 IAC 3-5-2.5; filed Apr 26, 2004, 2:15 p.m.: 27 IR 2740; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA; filed Feb 3, 2009, 10:12 a.m.: 20090304-IR-876080357FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Sep 21, 2018, 3:27 p.m.: 20181017-IR-876180065FRA)

#### 876 IAC 3-5-3 Course records

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 3. The provider of an approved real estate appraiser continuing education course must retain records of students who complete the course for a minimum of six (6) years. The records must include the following:

(1) Attendance records.

(2) Examination score records (if applicable).

(3) Duplicate copies of completion certificates.

(Indiana Real Estate Commission; 876 IAC 3-5-3; filed Sep 24, 1992, 9:00 a.m.: 16 IR 747; filed Dec 8, 1993, 4:00 p.m.: 17 IR 780; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; filed May 5, 2008, 11:21 a.m.: <u>20080604-IR-876070338FRA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; readopted filed Nov 26, 2019, 3:50 p.m.: <u>20191225-IR-876190192RFA</u>)

## 876 IAC 3-5-4 Real estate appraiser continuing education course provider renewal (Repealed)

Sec. 4. (Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

#### 876 IAC 3-5-5 Advertising (Repealed)

Sec. 5. (Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

#### 876 IAC 3-5-6 Approved real estate appraiser continuing education courses; certification requirements

Authority: <u>IC 25-34.1-3-8</u>

Affected: IC 25-34.1

Sec. 6. Upon completion of a continuing education course, the course provider of the approved continuing education course shall provide all attendees a completion certificate that must include the following information:

- (1) The attendee's name.
- (2) The name of the course sponsor.
- (3) The course title.
- (4) The course content.
- (5) The date and location of the course.
- (6) The hours completed.
- (7) Confirmation of a passing grade (if an examination is required).
- (8) Instructor's name, type of license or faculty position, license number, and issuing state.

(Indiana Real Estate Commission; 876 IAC 3-5-6; filed Dec 8, 1993, 4:00 p.m.: 17 IR 780; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; filed May 5, 2008, 11:21 a.m.: <u>20080604-IR-876070338FRA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; readopted filed Nov 26, 2019, 3:50 p.m.: <u>20191225-IR-876190192RFA</u>)

## 876 IAC 3-5-6.1 Required instructional materials (Repealed)

Sec. 6.1. (Repealed by Indiana Real Estate Commission; filed Feb 3, 2009, 10:12 a.m.: 20090304-IR-876080357FRA)

#### 876 IAC 3-5-7 Instructors

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 7. Each instructor for an approved real estate appraiser continuing education course must possess at least one (1) of the following minimum requirements:

(1) Is a licensed or certified real estate appraiser or licensed real estate broker and has a bachelor's degree:

(A) with a major or minor in real estate from an accredited college or university; or

(B) from an accredited college or university and a minimum of two (2) years of experience in real estate appraising. Each instructor qualified under this subdivision must also meet the competency requirements of the Uniform Standards of Professional Appraisal Practice (USPAP) (as adopted in 876 IAC 3-6-2 and 876 IAC 3-6-3) for each course that they teach. (2) Is a licensed or certified real estate appraiser and has a minimum of five (5) years of experience as a real estate appraiser. An instructor qualified under this subsection may not teach a course that contains subject matter that is beyond their licensed ability to appraise. Each instructor qualified under this subdivision must also meet the competency requirements of the USPAP (as adopted in 876 IAC 3-6-2) for each course that they teach.

(3) Has two (2) years of experience as a qualified instructor or professor in the business, finance, or economics department of an accredited college or university.

(4) Has an Indiana real estate broker's license and a minimum of five (5) years of experience as a real estate broker. Each instructor qualified under this subdivision must also meet the competency requirements of the USPAP (as adopted in 876 IAC 3-6-2 and 876 IAC 3-6-3) for each course that they teach.

(5) Has at least five (5) years of experience in an industry related to or associated with real estate appraisals, including:

(A) financial institution underwriters, quality control administrators, or appraisal policy managers;

(B) representatives of appraisal management companies or agents;

(C) state or federal government appraiser regulatory officials;

(D) policy officials, underwriters, administrators, or executive officers of secondary mortgage market investors, insurers, or government sponsored enterprises; or

(E) legal, financial, architecture, engineering, or government experts in areas related to real estate appraisal.

(6) Notwithstanding the criteria in subdivisions (1) through (5), the board retains the authority to approve instructors with experience and knowledge of specific value to real estate appraisers in Indiana.

(Indiana Real Estate Commission; 876 IAC 3-5-7; filed Dec 8, 1993, 4:00 p.m.: 17 IR 780; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1765; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Aug 6, 2003, 12:00 p.m.: 27 IR 185, eff Jan 2, 2004; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-5-8 Instructors; prohibitions

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 8. A course provider of an approved real estate appraiser continuing education course is prohibited from hiring, or retaining in its employ, an instructor who has:

(1) had a real estate appraiser license revoked or suspended by any jurisdiction;

(2) obtained or used, or attempted to obtain or use, in any manner, Indiana real estate appraiser licensing examination questions to be used on future examinations, unless authorized by law;

(3) been convicted of a crime that has a direct bearing on the individual's ability to competently instruct, including, but not necessarily limited to:

(A) violations of real estate appraiser laws; and

(B) abuse of fiduciary responsibilities;

(4) falsely certified hours of attendance or grades for any student; or

(5) unless allowed by law, refused to appear or testify under oath, or both, at any hearing held by the board.

(Indiana Real Estate Commission; 876 IAC 3-5-8; filed Dec 8, 1993, 4:00 p.m.: 17 IR 780; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-5-9 Continuing education from another state (Repealed)

Sec. 9. (Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

## 876 IAC 3-5-10 Continuing education from another profession (Repealed)

Sec. 10. (Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

#### 876 IAC 3-5-11 Other approved continuing education (Repealed)

Sec. 11. (Repealed by Indiana Real Estate Commission; filed May 5, 2008, 11:21 a.m.: 20080604-IR-876070338FRA)

# **Rule 6. Standards of Practice for Appraisers**

876 IAC 3-6-1	Disciplinary sanctions
876 IAC 3-6-2	Uniform Standards of Professional Appraisal Practice
876 IAC 3-6-3	Deletions from the Uniform Standards of Professional Appraisal Practice
876 IAC 3-6-4	Supervision of licensed residential, certified residential, and certified general
	appraisers

876 IAC 3-6-5	Supervision of unlicensed and uncertified assistants (Repealed)
876 IAC 3-6-6	Retention of licenses and certificates (Repealed)
876 IAC 3-6-7	Advertising
<u>876 IAC 3-6-8</u>	Indiana licensed trainee appraisers; required use and prohibitions
876 IAC 3-6-9	Indiana licensed trainee appraisers; supervision
876 IAC 3-6-10	Indiana licensed trainee appraisers; supervisor/trainee appraiser course

#### 876 IAC 3-6-1 Disciplinary sanctions

Authority:	<u>IC 25-34.1-3-8</u>
Affected:	IC 25-34.1-8-11

Sec. 1. Under IC 25-34.1-8-11, the board has the authority to impose appropriate disciplinary sanctions concerning an individual's license or certification for violation of that section even if the behavior in question was done when the individual was engaged in an appraisal of real estate not involved in transactions governed by the federal act or the practitioner was purportedly functioning as a real estate broker. However, this section shall not be interpreted to mean that the board may take action against a practitioner's real estate broker license. (Indiana Real Estate Commission; 876 IAC 3-6-1; filed Sep 24, 1992, 9:00 a.m.: 16 IR 748; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-6-2 Uniform Standards of Professional Appraisal Practice

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 4-22-2; IC 25-34.1</u>

Sec. 2. (a) That certain document being titled Uniform Standards of Professional Appraisal Practice (USPAP), 2020-2021 edition, as published by the Appraisal Standards Board of the Appraisal Foundation, 1155 15th Street, NW, Suite 1111, Washington, D.C. 20005, copyright The Appraisal Foundation, is hereby incorporated by reference as if fully set out in this rule except for the revisions stated in section 3 of this rule. The Advisory Opinions are not adopted as part of this rule. The Comments are adopted as part of this rule.

(b) No subsequent editions, amendments, supplements, or releases of the USPAP will be in effect in Indiana or adopted by the commission except by following the rulemaking provisions of IC 4-22-2.

(c) As used in this article, "appraiser" refers to the following:

- (1) An Indiana licensed trainee appraiser.
- (2) An Indiana licensed residential appraiser.
- (3) An Indiana certified residential appraiser.
- (4) An Indiana certified general appraiser.

(Indiana Real Estate Commission; 876 IAC 3-6-2; filed Sep 24, 1992, 9:00 a.m.: 16 IR 748; filed Dec 8, 1993, 4:00 p.m.: 17 IR 781; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2124; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1766; filed May 10, 1999, 12:42 p.m.: 22 IR 2879; filed Apr 24, 2000, 12:48 p.m.: 23 IR 2243; filed May 25, 2001, 2:42 p.m.: 24 IR 3068; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed May 13, 2002, 2:05 p.m.: 25 IR 3181; filed May 1, 2003, 12:15 p.m.: 26 IR 3043; filed Apr 8, 2004, 3:25 p.m.: 27 IR 2738; filed Apr 18, 2005, 2:30 p.m.: 28 IR 2717; filed Aug 8, 2006, 11:03 a.m.: 20060906-IR-876060040FRA; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:30 a.m.: 20080604-IR-876070744FRA; filed Apr 29, 2010, 12:10 p.m.: 20100526-IR-876090769FRA; filed Jun 11, 2012, 2:38 p.m.: 20120711-IR-876110718FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Jul 7, 2014, 11:02 a.m.: 20140806-IR-876140030FRA; filed May 25, 2016, 1:48 p.m.: 20160622-IR-876160005FRA; filed Jun 1, 2018, 2:30 p.m.: 20180627-IR-876180130FRA; filed Feb 10, 2021, 2:58 p.m.: 20210310-IR-876200071FRA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-6-3 Deletions from the Uniform Standards of Professional Appraisal Practice

Authority: <u>IC 25-34.1-3-8</u> Affected: IC 25-1-11-5; IC 25-34.1 Sec. 3. (a) Standards 5 through 10 are deleted.

(b) The references to Standards 5 through 10 of the Uniform Standards of Professional Appraisal Practice (USPAP), 2020-2021 edition, are deleted or revised as follows:

(1) In the seventh bullet point in the third paragraph of the Preamble, delete the last three (3) sentences.

(2) In the Comment under the Conduct category of the Ethics rule, delete the commas after "1-1" and "3-1" and delete "5-1, 7-1 and 9-1", and before "3-1", insert "and".

(3) In the fourth paragraph under the Management category of the Ethics rule, delete the commas after "2-3" and "4-3" and delete "6-3, 8-3, and 10-3", and before "4-3", insert "and".

(4) In the comment under the Problem Identification category under the Scope of Work Rule, delete the commas after "1-2" and "3-2" and delete "SR 5-2, SR 7-2, and SR 9-2", and before "SR 3-2", insert "and".

(5) In the Comment under Standards Rule 1-4(g), delete "(See STANDARD 7)" and "(See STANDARD 9)".

(6) In Standards Rule 3-3(c)(i), delete "STANDARDS 1, 5, 7, and 9" and insert "STANDARD 1".

(7) In the third bullet point in the third paragraph of the Comment under Standards Rule 3-3(c), delete the commas after "1" and "3" and delete "5, 7, or 9", and before "3", insert "or".

(8) In the Comment under Standards Rule 4-2(j)(iii), delete the second, fourth, and fifth bullet points.

(9) In the second paragraph of the Comment under Standards Rule 4-3(b), substitute "Rule" for "Rules", delete the comma after "2-3", and delete "6-3, 8-3 and 10-3".

(c) In the When Do USPAP Rules and Standards Apply portion of the Preamble, delete the first four (4) sentences.

(d) In the Ethics Rule, delete the second paragraph except for "An appraiser must comply with USPAP.". (Indiana Real Estate Commission; 876 IAC 3-6-3; filed Sep 24, 1992, 9:00 a.m.: 16 IR 748; filed Dec 8, 1993, 4:00 p.m.: 17 IR 781; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2124; errata filed May 8, 1995, 4:30 p.m.: 18 IR 2262; filed Dec 24, 1997, 11:00 a.m.: 21 IR 1767; filed May 10, 1999, 12:42 p.m.: 22 IR 2880; errata, 22 IR 3420; filed Apr 24, 2000, 12:48 p.m.: 23 IR 2244; filed May 25, 2001, 2:42 p.m.: 24 IR 3068; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed May 13, 2002, 2:05 p.m.: 25 IR 3181; filed May 1, 2003, 12:15 p.m.: 26 IR 3044; filed Apr 8, 2004, 3:25 p.m.: 27 IR 2739; filed Apr 18, 2005, 2:30 p.m.: 28 IR 2717; filed Aug 8, 2006, 11:03 a.m.: 20060906-IR-876060040FRA; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed May 5, 2008, 11:30 a.m.: 20080604-IR-876070744FRA; filed Apr 29, 2010, 12:10 p.m.: 20100526-IR-876090769FRA; filed Jul 1, 2012, 2:38 p.m.: 20120711-IR-876110718FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Jul 7, 2014, 11:02 a.m.: 20140806-IR-876140030FRA; filed May 25, 2016, 1:48 p.m.: 20160622-IR-876160005FRA; filed Jul 1, 2018, 2:30 p.m.: 20180627-IR-876180130FRA; filed Feb 10, 2021, 2:58 p.m.: 20210310-IR-876200071FRA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-6-4 Supervision of licensed residential, certified residential, and certified general appraisers

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 4. (a) When an Indiana licensed residential, certified residential, or certified general appraiser assists another licensed appraiser in the performance of a real estate appraisal, each is subject to the Uniform Standards of Professional Appraisal Practice, as adopted in this rule, and the appraiser assisting as well as the appraiser being assisted must clearly indicate on the appraisal report the extent of significant professional assistance provided by each signatory to the report. Absent a statement to the contrary, each signatory will be mutually responsible for the content of the report.

(b) When an Indiana licensed residential, certified residential, or certified general appraiser in the performance of an appraisal review of an Indiana licensed residential, certified residential, or certified general appraiser or any other licensed appraiser, the reviewer must comply with the Uniform Standards of Professional Appraisal Practice as adopted in this rule. (Indiana Real Estate Commission; 876 IAC 3-6-4; filed Sep 24, 1992, 9:00 a.m.: 16 IR 749; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Aug 6, 2003, 12:00 p.m.: 27 IR 186; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

#### 876 IAC 3-6-5 Supervision of unlicensed and uncertified assistants (Repealed)

Sec. 5. (Repealed by Indiana Real Estate Commission; filed Dec 8, 1993, 4:00 p.m.: 17 IR 782)

#### 876 IAC 3-6-6 Retention of licenses and certificates (Repealed)

Sec. 6. (Repealed by Indiana Real Estate Commission; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-6-7 Advertising

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 7. (a) When advertising or otherwise holding out as an Indiana real estate appraiser, a licensed residential appraiser shall identify himself or herself as an Indiana licensed appraiser; a certified residential appraiser shall identify himself or herself as an Indiana certified general appraiser shall identify himself or herself as an Indiana certified general appraiser shall identify himself or herself as an Indiana certified general appraiser shall identify himself or herself as an Indiana certified general appraiser.

(b) An Indiana licensed or certified real estate appraiser doing business as a partnership, association, corporation, or other business entity shall not represent in any manner to the public that the partnership, association, corporation, or other business entity is either licensed or certified by the state of Indiana to engage in the business of real estate appraising.

(c) In the event that any licensee or certificate holder shall advertise in any manner using a firm name, corporate name, or an assumed name which does not set forth the surname of the licensee or certificate holder, he or she shall first notify the board in writing of such name and furnish the board with a copy of each registration of an assumed name filed under IC 23-15-1 [IC 23-15-1] was repealed by P.L.118-2017, SECTION 49, effective January 1, 2018.]. (Indiana Real Estate Commission; 876 IAC 3-6-7; filed Sep 24, 1992, 9:00 a.m.: 16 IR 749; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; readopted filed Nov 26, 2019, 3:50 p.m.: 20191225-IR-876190192RFA)

# 876 IAC 3-6-8 Indiana licensed trainee appraisers; required use and prohibitions

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 8. (a) Indiana licensed residential appraisers, Indiana certified residential appraisers, and Indiana certified general appraisers may only employ:

(1) Indiana licensed residential appraisers;

(2) Indiana certified residential appraisers;

(3) Indiana certified general appraisers; and

(4) Indiana licensed trainee appraisers;

to assist in the performance of real estate appraisals.

(b) Subsection (a) does not prohibit the use of unlicensed individuals to perform clerical functions.

(c) Indiana licensed trainee appraisers shall be subject to the supervision of a supervising appraiser as provided for in section 9 of this rule and may not:

(1) work independently;

(2) hold themselves out to the general public as licensed or certified appraisers;

(3) have their own clients; or

(4) accept payment directly from clients for appraisal work.

(d) Indiana licensed trainee appraisers may not do the following:

(1) Review appraisals submitted by other appraisers.

(2) Hold themselves out as a review appraiser.

(Indiana Real Estate Commission; 876 IAC 3-6-8; filed Dec 8, 1993, 4:00 p.m.: 17 IR 781; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; readopted filed Jul 19, 2007, 1:16 p.m.: <u>20070808-IR-876070068RFA</u>; filed Apr 16, 2012, 3:43 p.m.: <u>20120516-IR-876110428FRA</u>; readopted filed Nov 25, 2013, 9:21 a.m.: <u>20131225-IR-876130283RFA</u>; readopted filed Nov 26, 2019, 3:50 p.m.: <u>20191225-IR-876190192RFA</u>; filed Oct 11, 2023, 9:41 a.m.: <u>20231108-IR-876230295FRA</u>)

#### 876 IAC 3-6-9 Indiana licensed trainee appraisers; supervision

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1</u>

Sec. 9. (a) This section establishes requirements for the use and supervision of licensed trainee appraisers.

(b) Indiana licensed trainee appraisers shall be subject to direct supervision, including inspection of all properties by a supervising appraiser who

satisfies all general criteria of the supervisory appraiser requirements, established by the Real Property Appraiser Qualification Criteria, January 2022 edition.

(c) The Indiana licensed trainee appraiser is permitted to have more than one (1) supervising appraiser in the office of the supervising appraiser with whom the Indiana licensed trainee appraiser has associated under 876 IAC 3-3-22. An additional supervisor shall be acting in substitution for the supervising appraiser identified under 876 IAC 3-3-22 and is only responsible for the Indiana licensed trainee appraiser on appraisals where responsibility is assumed by the additional supervisor, at the consent of the supervisor of record identified under 876 IAC 3-3-22. Overall responsibility for the trainee appraiser remains with the supervisor of record as long as the association with the trainee appraiser is in effect under 876 IAC 3-3-22.

(d) An appraiser may not be the supervising appraiser for more than three (3) trainees.

(e) An appraisal log shall be maintained by the Indiana licensed trainee appraiser and supervising appraiser.

The appraisal log shall follow the requirements established by the supervisory appraiser requirements, established by The Real Property Appraiser Qualification Criteria, January 2022 edition.

It is the responsibility of the trainee to retain the log for submission to the board with any future application for license certification. The trainee appraiser shall be entitled to copies of appraisals, including appraisal reports and any work files, that the trainee appraiser completes. However, the original records shall be maintained at the office of the supervising appraiser.

(f) The supervising appraiser shall accompany the Indiana licensed trainee appraiser and inspect the subject and comparable properties on the following appraisal assignments:

(1) The first fifty (50) assignments performed by the trainee where the scope of the work includes a physical inspection of the property.

(2) During the first year the trainee holds an active license, all assignments located more than fifty (50) miles from the supervising appraiser's office.

(g) The supervising appraiser and the licensed trainee appraiser shall meet with each other on a reasonable schedule to interact about the appraisal work of the trainee.

(h) Subsections (d) and (f) do not apply when an Indiana licensed trainee appraiser is an employee of a governmental entity acting in the course of the governmental entity's activities. (Indiana Real Estate Commission; 876 IAC 3-6-9; filed Dec 8, 1993, 4:00 p.m.: 17 IR 782; filed Apr 10, 1995, 10:00 a.m.: 18 IR 2124; readopted filed May 29, 2001, 10:00 a.m.: 24 IR 3238; filed Dec 3, 2002, 3:00 p.m.: 26 IR 1108; filed Dec 1, 2003, 9:45 a.m.: 27 IR 1182; readopted filed Jul 19, 2007, 1:16 p.m.: 20070808-IR-876070068RFA; filed Aug 15, 2007, 10:01 a.m.: 20070912-IR-876060095FRA, eff Jan 1, 2008; errata filed Jan 23, 2008, 10:22 a.m.: 20080206-IR-876060095ACA; filed Apr 16, 2012, 3:43 p.m.: 20120516-IR-876110428FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; readopted filed Oct 12, 2021, 10:28 a.m.: 20211110-IR-876210380RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

#### 876 IAC 3-6-10 Indiana licensed trainee appraisers; supervisor/trainee appraiser course

Authority: <u>IC 25-34.1-3-8</u> Affected: <u>IC 25-34.1-8</u>

Sec. 10. (a) A supervising appraiser under section 9 of this rule shall successfully complete a supervisor/trainee appraiser course in order to be a supervising appraiser. The course must be completed prior to commencement of association with a trainee appraiser.

(b) The supervisor/trainee appraiser course shall qualify for continuing education credit for the supervising appraiser under 876 IAC 3-5.

(c) A supervisor/trainee appraiser course shall be approved by the board or the Appraisal Qualifications Board and shall provide adequate information to ensure the supervisory appraiser and trainee appraiser understand the qualifications and responsibilities of that role. Specifically, the

course objectives shall be consistent with the supervisory appraiser and trainee appraiser course objectives, established by The Real Property Appraiser Qualification Criteria, January 2022 edition. (Indiana Real Estate Commission; 876 IAC 3-6-10; filed Apr 16, 2012, 3:43 p.m.: 20120516-IR-876110428FRA; readopted filed Nov 25, 2013, 9:21 a.m.: 20131225-IR-876130283RFA; filed Dec 29, 2014, 1:39 p.m.: 20150128-IR-876140304FRA, eff Jan 1, 2015 [IC 4-22-2-36 suspends the effectiveness of a rule document for 30 days after filing with the Publisher. LSA Document #14-304 was filed Dec 29, 2014.]; readopted filed Oct 12, 2021, 10:28 a.m.: 20211110-IR-876210380RFA; filed Oct 11, 2023, 9:41 a.m.: 20231108-IR-876230295FRA)

# **Rule 7.** Convictions of Concern

<u>876 IAC 3-7-1</u>	Scope
876 IAC 3-7-2	Issuance of license
876 IAC 3-7-3	Authority to issue license on probation
<u>876 IAC 3-7-4</u>	Convictions of concern
876 IAC 3-7-5	Convictions in another jurisdiction
876 IAC 3-7-6	Prelicensure determinations; fee

#### 876 IAC 3-7-1 Scope

Authority: <u>IC 25-1-1.1-6</u> Affected: <u>IC 25-1-1.1-6</u>; <u>IC 25-34.1-8</u>

Sec. 1. This rule implements <u>IC 25-1-1.1-6</u> regarding convictions of concern that may disqualify an individual for licensure by the Indiana real estate commission, and includes in section 4 of this rule an explicit list of crimes that may disqualify an individual from receiving a license under <u>IC 25-34.1-8</u>. (Indiana Real Estate Commission; 876 IAC 3-7-1; filed Nov 22, 2023, 8:57 a.m.: 20231220-IR-876230032FRA)

#### 876 IAC 3-7-2 Issuance of license

Authority: <u>IC 25-1-1.1-6</u> Affected: <u>IC 25-1-1.1-6</u>; <u>IC 25-34.1-8</u>

Sec. 2. An applicant for licensure who has a conviction of concern may still be granted a license based on the criteria stated in IC 25-1-1.1-6(h). (Indiana Real Estate Commission; 876 IAC 3-7-2; filed Nov 22, 2023, 8:57 a.m.: 20231220-IR-876230032FRA)

#### 876 IAC 3-7-3 Authority to issue license on probation

Authority: <u>IC 25-1-1.1-6</u> Affected: <u>IC 25-1-1.1-6</u>; <u>IC 25-1-11-19</u>; <u>IC 25-34.1-8</u>

Sec. 3. This rule and <u>IC 25-1-1.1-6</u> do not limit the authority of the real estate appraiser licensure and certification board to issue a license on probation if appropriate under <u>IC 25-1-11-19</u> or any other applicable statute. *(Indiana Real Estate Commission; 876 IAC 3-7-3; filed Nov 22, 2023, 8:57 a.m.: 20231220-IR-876230032FRA)* 

#### 876 IAC 3-7-4 Convictions of concern

Authority: IC 25-1-1.1-6

Affected: <u>IC 6-3-6-11; IC 7.1-5-1-3; IC 7.1-5-7-8; IC 9-26-1-1.1; IC 9-30-5-4; IC 9-30-5-5; IC 11-8-8-17; IC 11-8-8-18;</u> <u>IC 12-17.2-5-1; IC 12-24-17-3; IC 12-24-17-7; IC 16-36-5-27; IC 16-36-5-28; IC 16-42-19; IC 23-0.5-2-9; IC 23-19-5-2; IC 25; IC 31-33-22; IC 31-34-2.3-7; IC 31-34-2.3-8; IC 35</u>

Sec. 4. The following are convictions of concern which may disqualify an applicant from licensure:

(1) Crimes involving sexual acts that can be considered without respect to age of conviction as follows:

(A) Child molesting (IC 35-42-4-3). (B) Child seduction (IC 35-42-4-7). (C) Criminal deviate conduct (IC 35-42-4-2) (before its repeal). (D) Incest (IC 35-46-1-3). (E) Making an unlawful proposition (IC 35-45-4-3). (F) Prostitution (IC 35-45-4-2). (G) Rape (IC 35-42-4-1). (H) Sexual misconduct with a minor (IC 35-42-4-9(a)). (2) Crimes of violence that can be considered without respect to age of conviction as follows: (A) Aggravated battery (IC 35-42-2-1.5). (B) Attempted murder (IC 35-41-5-1). (C) Burglary as a Level 1 felony, Level 2 felony, Level 3 felony, or Level 4 felony (IC 35-43-2-1). (D) Child exploitation as a Level 5 felony under IC 35-42-4-4(b) or a Level 4 felony under IC 35-42-4-4(c). (E) Child molesting (IC 35-42-4-3). (F) Criminal deviate conduct (IC 35-42-4-2) (before its repeal). (G) Involuntary manslaughter (IC 35-42-1-4). (H) Kidnapping (IC 35-42-3-2). (I) Murder (IC 35-42-1-1). (J) Operating a vehicle while intoxicated causing death (IC 9-30-5-5). (K) Operating a vehicle while intoxicated causing serious bodily injury to another person (IC 9-30-5-4). (L) Rape (IC 35-42-4-1). (M) Reckless homicide (IC 35-42-1-5). (N) Resisting law enforcement as a felony (IC 35-44.1-3-1). (O) Robbery as a Level 2 felony or a Level 3 felony (IC 35-42-5-1). (P) Sexual misconduct with a minor as a Level 1 felony under IC 35-42-4-9(a)(2) or a Level 2 felony under IC 35-42-4-9(b)(2). (Q) Unlawful possession of a firearm by a serious violent felon (IC 35-47-4-5). (R) Voluntary manslaughter (IC 35-42-1-3). (3) Abuse of corpse (IC 35-45-11-2). (4) Adoption deception (IC 35-46-1-9.5). (5) Agricultural terrorism (IC 35-47-12-2) (before its repeal). (6) Altering historic property (IC 35-43-1-6). (7) Armor-piercing ammunition; offense; exception (IC 35-47-5-11.5). (8) Arson (IC 35-43-1-1). (9) Assisting a criminal (IC 35-44.1-2-5). (10) Attempt under IC 35-41-5-1 to commit an offense listed in this section. (11) Attempting to purchase handgun by ineligible person (IC 35-47-2.5-15) (before its repeal). (12) Auto theft (IC 35-43-4-2.5) (before its repeal). (13) Battery (IC 35-42-2-1). (14) Battery, neglect, or exploitation of endangered adult or person with mental or physical disability; failure to report; unlawful disclosures; referrals; retaliation (IC 35-46-1-13). (15) Bestiality (IC 35-46-3-14). (16) Bribery (IC 35-44.1-1-2). (17) Burglary (IC 35-43-2-1). (18) Carjacking (IC 35-42-5-2) (before its repeal). (19) Causing suicide (IC 35-42-1-2). (20) Cemetery mischief (IC 35-43-1-2.1). (21) Check deception (IC 35-43-5-5) (before its repeal). (22) Check fraud (IC 35-43-5-12) (before its repeal). (23) Child exploitation; possession of child pornography; exemptions; defenses (IC 35-42-4-4).

- (24) Child sexual trafficking (IC 35-42-3.5-1.3).
- (25) Child solicitation (<u>IC 35-42-4-6</u>).
- (26) "Chinese throwing star" defined; related offenses (IC 35-47-5-12).
- (27) Computer trespass; computer hoarding programs (<u>IC 35-43-2-3</u>).
- (28) Conflict of interest (<u>IC 35-44.1-1-4</u>).
- (29) Conspiracy under <u>IC 35-41-5-2</u> to commit a felony offense listed in this section.
- (30) Consumer product tampering; offenses (IC 35-45-8-3).
- (31) Contributing to the delinquency of a minor (IC 35-46-1-8).
- (32) Conversion (<u>IC 35-43-4-3</u>).
- (33) Corrupt business influence (IC 35-45-6-2).
- (34) Counterfeiting; false or fraudulent sales receipts; forgery; application fraud (IC 35-43-5-2).
- (35) Criminal confinement (<u>IC 35-42-3-3</u>).
- (36) Criminal mischief; institutional criminal mischief; controlled substance criminal mischief (IC 35-43-1-2).
- (37) Criminal organization recruitment (IC 35-45-9-5).
- (38) Criminal parole violation by a sexual predator (IC 35-44.1-3-9).
- (39) Criminal recklessness; element of hazing; liability barred for good faith report or judicial participation (IC 35-42-2-2).
- (40) Criminal stalking (<u>IC 35-45-10-5</u>).
- (41) Criminal transfer of a firearm (IC 35-47-2.5-16).
- (42) Criminal trespass; denial of entry; permission to enter; exceptions (IC 35-43-2-2).
- (43) Criminal use or possession of code grabbing device (<u>IC 35-45-12-2</u>).
- (44) Cruelty to a law enforcement animal (<u>IC 35-46-3-11</u>).
- (45) Cruelty to a search and rescue dog ( $\underline{\text{IC 35-46-3-11.3}}$ ).
- (46) Cruelty to a service animal (IC 35-46-3-11.5).
- (47) Dangerous control of a firearm (IC 35-47-10-6).
- (48) Dangerous possession and unlawful transfer of a firearm ( $\underline{IC 35-47-10-5}$ ).
- (49) Dealing in a controlled substance by a practitioner (<u>IC 35-48-4-1.5</u>).
- (50) Dealing in a controlled substance resulting in death ( $\underline{\text{IC 35-42-1-1.5}}$ ).
- (51) Dealing in a counterfeit substance (<u>IC 35-48-4-5</u>).
- (52) Dealing in a schedule I, II, or III controlled substance (IC 35-48-4-2).
- (53) Dealing in a schedule IV controlled substance (<u>IC 35-48-4-3</u>).
- (54) Dealing in a schedule V controlled substance ( $\underline{IC 35-48-4-4}$ ).
- (55) Dealing in a substance represented to be a controlled substance (<u>IC 35-48-4-4.5</u>) (before its repeal).
- (56) Dealing in a synthetic drug or synthetic drug lookalike substance (IC 35-48-4-10.5) (before its repeal).
- (57) Dealing in cocaine or narcotic drug (IC 35-48-4-1).
- (58) Dealing in marijuana, hash oil, hashish, or salvia (<u>IC 35-48-4-10</u>).
- (59) Dealing in methamphetamine (<u>IC 35-48-4-1.1</u>).
- (60) Dealing in paraphernalia (<u>IC 35-48-4-8.5</u>).
- (61) Deception (<u>IC 35-43-5-3</u>).
- (62) Deception with intent to cause withholding of CPR (IC 16-36-5-28).
- (63) Delivery of deadly weapon to intoxicated person (<u>IC 35-47-4-1</u>).
- (64) Deploying a booby trap (<u>IC 35-47.5-5-10</u>).
- (65) Destruction of declaration; forgery of revocation (IC 16-36-5-27).
- (66) Destructive device or explosive to kill, injure, or intimidate or to destroy property (IC 35-47.5-5-8).
- (67) Destructive devices (<u>IC 35-47.5-5-2</u>).
- (68) Disarming a law enforcement officer (IC 35-44.1-3-2).
- (69) Disrupting operation of aircraft (IC 35-47-6-1.6).
- (70) Dissemination of matter or conducting performance harmful to minors (IC 35-49-3-3).
- (71) Distribution of destructive device, explosive, or detonator to a minor (IC 35-47.5-5-5).
- (72) Distribution of regulated explosives to persons convicted of felony (IC 35-47.5-5-4).
- (73) Domestic battery (<u>IC 35-42-2-1.3</u>).

- (74) Domestic violence animal cruelty (<u>IC 35-46-3-12.5</u>).
- (75) Dumping controlled substance waste (IC 35-48-4-4.1).
- (76) Duties of driver of motor vehicle involved in accident; sentencing (IC 9-26-1-1.1).
- (77) Electrocution or decompression of animals (<u>IC 35-46-3-15</u>).

(78) Enticing or taking a patient away or aiding a patient to escape from the custody of an administrator or a superintendent (IC 12-24-17-7).

- (79) Escape (<u>IC 35-44.1-3-4</u>).
- (80) Evasion of tax; offenses; prosecution (IC 6-3-6-11).

(81) Exploitation of dependent or endangered adult; financial exploitation of endangered adult; violation classification (<u>IC</u> <u>35-46-1-12</u>).

- (82) Failure of carriers of dangerous communicable diseases to warn persons at risk (IC 35-45-21-3).
- (83) Failure to appear (<u>IC 35-44.1-2-9</u>).
- (84) Failure to make report (<u>IC 31-33-22-1</u>).
- (85) Failure to report a dead body (IC 35-45-19-3).
- (86) Failure to respond to a summons (IC 35-44.1-2-10).
- (87) False government issued identification (<u>IC 35-43-5-2.5</u>).
- (88) False identity statement (IC 35-44.1-2-4).
- (89) False reporting; false informing (IC 35-44.1-2-3).
- (90) False reports; criminal and civil liability; notification of prosecuting attorney (IC 31-33-22-3).
- (91) Firearm, explosive, or deadly weapon; possession in commercial or chartered aircraft (IC 35-47-6-1).
- (92) Firearm, explosive, or deadly weapon; possession in controlled access areas of an airport (IC 35-47-6-1.3).
- (93) Firearms and handguns; giving false information or offering false evidence of identity (IC 35-47-2-17).
- (94) Fraud (IC 35-43-5-4).
- (95) Fraud on financial institutions (IC 35-43-5-8) (before its repeal).
- (96) Ghost employment (<u>IC 35-44.1-1-3</u>).
- (97) Harassment; "obscene message" defined (IC 35-45-2-2).
- (98) Hindering or obstructing detection, disarming, or destruction of destructive device (IC 35-47.5-5-7).
- (99) Hoax devices or replicas (<u>IC 35-47.5-5-6</u>).
- (100) Home improvement fraud (<u>IC 35-43-6-12</u>) (before its repeal).
- (101) Homicide (<u>IC 35-42-1</u>).
- (102) Human organ trafficking (<u>IC 35-46-5-1</u>).
- (103) Human trafficking (<u>IC 35-42-3.5-1.4</u>).
- (104) Identity deception (<u>IC 35-43-5-3.5</u>).
- (105) Impersonating a firefighter at an emergency incident area (IC 35-44.1-4-7).
- (106) Impersonation of a public servant (IC 35-44.1-2-6).
- (107) Inappropriate communication with a child ( $\underline{IC 35-42-4-13}$ ).
- (108) Inhaling toxic vapors (<u>IC 35-46-6-2</u>).
- (109) Inmate fraud (<u>IC 35-43-5-20</u>) (before its repeal).
- (110) Insurance fraud; insurance application fraud (IC 35-43-5-4.5) (before its repeal).
- (111) Insurance fraud (IC 35-43-5-7.2) (before its repeal).
- (112) Intentional signing of false document (IC 23-0.5-2-9).
- (113) Interference with custody (IC 35-42-3-4).
- (114) Interference with jury service (<u>IC 35-44.1-2-11</u>).
- (115) Interference with the reporting of a crime (IC 35-45-2-5).
- (116) Interference with witness service (IC 35-44.1-2-12).
- (117) Interfering with drug or alcohol screening test (IC 35-43-5-19).
- (118) Intimidation (<u>IC 35-45-2-1</u>).
- (119) Invasion of privacy; offense; penalties (IC 35-46-1-15.1).
- (120) Knife with a detachable blade ( $\underline{IC 35-47-5-2}$ ).
- (121) Legend drug deception; penalty (<u>IC 35-43-10-3</u>).

- (122) Loansharking (<u>IC 35-45-7-2</u>).
- (123) Machine gun (<u>IC 35-47-5-8</u>).
- (124) Malicious mischief (<u>IC 35-45-16-2</u>).
- (125) Making a false sales document (IC 35-43-5-16) (before its repeal).
- (126) Making an unlawful proposition (<u>IC 35-45-4-3</u>).
- (127) Manufacture of paraphernalia (<u>IC 35-48-4-8.1</u>).
- (128) Manufacturing methamphetamine (IC 35-48-4-1.2).
- (129) Medicaid fraud (<u>IC 35-43-5-7.1</u>) (before its repeal).
- (130) Money laundering; defenses (IC 35-45-15-5).
- (131) Neglect, abuse, or maltreatment of a patient in a state institution; penalty (IC 12-24-17-3).
- (132) Neglect of a dependent; child selling (<u>IC 35-46-1-4</u>).
- (133) Nitrous oxide distribution; nonmedical purposes (<u>IC 35-46-6-3</u>).
- (134) Nonsupport of a dependent child (<u>IC 35-46-1-5</u>).
- (135) Nonsupport of a parent (<u>IC 35-46-1-7</u>).
- (136) Nonsupport of a spouse (<u>IC 35-46-1-6</u>).
- (137) Notario publico deception (<u>IC 35-43-5-3.7</u>).
- (138) Notary fraud; notarial fraud (IC 35-43-5-23).
- (139) Obscene performance (IC 35-49-3-2).
- (140) Obstructing a firefighter (IC 35-44.1-4-8).
- (141) Obstructing an emergency medical person (IC 35-44.1-4-9).
- (142) Obstruction of delivery of prescription drug (IC 35-45-21-5).
- (143) Obstruction of justice (<u>IC 35-44.1-2-2</u>).
- (144) Obstruction of traffic (<u>IC 35-44.1-2-13</u>).

(145) Obtaining child abuse information under false pretenses; knowingly falsifying records or interfering with an investigation (IC 31-33-22-2).

- (146) Offense against computer users (IC 35-43-1-8).
- (147) Offense against intellectual property (<u>IC 35-43-1-7</u>).
- (148) Offenses concerning Social Security numbers (IC 35-44.2-4-2).
- (149) Offenses relating to registration labeling and prescription forms (IC 35-48-4-14).
- (150) Official misconduct (<u>IC 35-44.1-1-1</u>).
- (151) Operating a motorboat while intoxicated (<u>IC 35-46-9-6</u>).
- (152) Operating a vehicle while intoxicated (<u>IC 9-30-5</u>).
- (153) Operating loaded machine gun (IC 35-47-5-9).
- (154) Operation of home without proper licensure; prohibition (IC 12-17.2-5-1).
- (155) Operation of tobacco business near school; prohibited (IC 35-46-1-11.2).
- (156) Participation in criminal organization; offense (IC 35-45-9-3).
- (157) Perjury (IC 35-44.1-2-1).
- (158) Permitting child to possess a firearm (IC 35-47-10-7).
- (159) Pointing firearm at another person (IC 35-47-4-3).
- (160) Possession of a cellular telephone while incarcerated (IC 35-44.1-3-8).
- (161) Possession of a controlled substance; obtaining a schedule V controlled substance (IC 35-48-4-7).
- (162) Possession of a dangerous device while incarcerated (IC 35-44.1-3-7).
- (163) Possession of a fraudulent sales document manufacturing device (IC 35-43-5-15) (before its repeal).
- (164) Possession of a knife on school property (IC 35-47-5-2.5).
- (165) Possession of cocaine or narcotic drug (IC 35-48-4-6).
- (166) Possession of device or substance used to interfere with drug or alcohol screening test (IC 35-43-5-18).

(167) Possession of electronic gaming device; maintaining a professional gambling site; exception for antique slot machines possessed for decorative, historic, or nostalgic purposes (<u>IC 35-45-5-3.5</u>).

(168) Possession of firearms on school property or a school bus; possession of firearms in a motor vehicle parked in a school parking lot (IC 35-47-9-2).

(169) Possession of marijuana, hash oil, hashish, or salvia (IC 35-48-4-11).

- (170) Possession of methamphetamine ( $\underline{IC 35-48-4-6.1}$ ).
- (171) Possession of paraphernalia (<u>IC 35-48-4-8.3</u>).
- (172) Possession or sale of drug precursors (IC 35-48-4-14.5).
- (173) Profiteering from public service (<u>IC 35-44.1-1-5</u>).
- (174) Profiting from adoption (IC 35-46-1-9).
- (175) Prohibited sales or transfers of ownership (IC 35-47-2-7).
- (176) Promoting an animal fighting contest (IC 35-46-3-9.5).
- (177) Promoting professional gambling; acts constituting; boat manufacturers; public utilities (IC 35-45-5-4).
- (178) Promoting prostitution (<u>IC 35-45-4-4</u>).
- (179) Promotion of child sexual trafficking; promotion of sexual trafficking of a younger child (IC 35-42-3.5-1.2).
- (180) Promotion of human labor trafficking (<u>IC 35-42-3.5-1</u>).
- (181) Promotion of human sexual trafficking (IC 35-42-3.5-1.1).
- (182) Promotion, use of animals, or attendance with animal at animal fighting contest (IC 35-46-3-9).
- (183) Public intoxication prohibited; failure to enforce by a law enforcement officer (IC 7.1-5-1-3).
- (184) Public safety remote aerial interference (<u>IC 35-44.1-4-10</u>).
- (185) "Qualified egg bank"; unlawful transfer of human organisms; exceptions; penalties (IC 35-46-5-3).
- (186) Railroad mischief (<u>IC 35-43-1-2.3</u>).
- (187) Reckless supervision (<u>IC 35-46-1-4.1</u>).
- (188) Refusal to aid an officer (IC 35-44.1-3-3).
- (189) Registration violations; penalty (IC 11-8-8-17).
- (190) Regulated explosives; persons convicted of felonies; prior unrelated convictions (IC 35-47.5-5-3).
- (191) Remote aerial harassment (IC 35-45-10-6).
- (192) Removal of attack dog's vocal cords; animal cruelty (IC 35-46-3-13).
- (193) Residential entry (<u>IC 35-43-2-1.5</u>).
- (194) Resisting law enforcement (<u>IC 35-44.1-3-1</u>).
- (195) Rioting (<u>IC 35-45-1-2</u>).
- (196) Robbery (<u>IC 35-42-5-1</u>).
- (197) Sale, distribution, or exhibition of obscene matter (IC 35-49-3-1).
- (198) Sale of kit or device for unauthorized use of cable television system services (IC 35-43-5-6.5) (before its repeal).
- (199) Sale or distribution of HIV testing equipment (IC 35-45-21-2).

(200) Sale to minors prohibited; furnishing property for the purpose of enabling minors to consume alcohol prohibited ( $\underline{IC}$  <u>7.1-5-7-8</u>).

- (201) Sex offender Internet offense (<u>IC 35-42-4-12</u>).
- (202) Sex offender residency restrictions (IC 35-42-4-11).
- (203) Sex offender unmanned aerial vehicle offense (<u>IC 35-42-4-12.5</u>).
- (204) Sexual battery (IC 35-42-4-8).
- (205) Sexual misconduct with a service provider (IC 35-44.1-3-10).
- (206) Sexually violent predator; duty to notify (<u>IC 11-8-8-18</u>).
- (207) Stolen valor fraud (IC 35-43-5-22) (before its repeal).
- (208) Strangulation (<u>IC 35-42-2-9</u>).
- (209) Stun guns; purchase, possession, and sale; use in commission of crime; use on law enforcement officer (IC 35-47-8-5).
- (210) Synthetic drug or synthetic drug lookalike substance (IC 35-48-4-11.5) (before its repeal).
- (211) Synthetic identity deception (<u>IC 35-43-5-3.8</u>) (before its repeal).
- (212) "Synthetic urine" (IC 35-43-5-19.5).
- (213) Tampering with a water supply; poisoning (<u>IC 35-43-1-5</u>).
- (214) Tattooing or body piercing a minor (<u>IC 35-45-21-4</u>).
- (215) Terrorism (<u>IC 35-47-12-1</u>) (before its repeal).
- (216) Terroristic deception (<u>IC 35-43-5-3.6</u>) (before its repeal).
- (217) Terroristic mischief (<u>IC 35-47-12-3</u>) (before its repeal).

- (220) Torture or mutilation of a vertebrate animal; killing a domestic animal (IC 35-46-3-12).
- (221) Trafficking with an inmate; carrying a deadly weapon into a correctional facility (IC 35-44.1-3-5).
- (222) Trafficking with an inmate outside a facility (<u>IC 35-44.1-3-6</u>).
- (223) Transferring contaminated body fluids (IC 35-45-21-1).
- (224) Unauthorized adoption advertising; advertisement requirements (IC 35-46-1-21).
- (225) Unauthorized adoption facilitation (IC 35-46-1-22).
- (226) Undisclosed transport of dangerous device (IC 35-47-6-1.1).
- (227) Unlawful acts relating to caves; offense (IC 35-43-1-3).
- (228) Unlawful documentation of a gift of organs, tissue, eyes, or body parts (IC 35-46-5-4).
- (229) Unlawful employment by a sexual predator (IC 35-42-4-10).
- (230) Unlawful entry of school property by a serious sex offender (IC 35-42-4-14).
- (231) Unlawful entry to restricted area of airport (IC 35-47-6-1.4).
- (232) Unlawful delivery, manufacture, distribution, or possession of a substance represented to be a controlled substance (IC 35-48-4-4.6).
- (233) Unlawful manufacture or sale of police or fire insignia (IC 35-44.1-2-8).
- (234) Unlawful participation in human cloning; exception (IC 35-46-5-2).
- (235) Unlawful photography and surveillance on private property (IC 35-46-8.5-1).
- (236) Unlawful possession of a card skimming device (IC 35-43-5-4.3) (before its repeal).
- (237) Unlawful possession of a firearm by a domestic batterer (IC 35-47-4-6).
- (238) Unlawful possession of a firearm by a serious violent felon (IC 35-47-4-5).
- (239) Unlawful practices; investment advisers and investment adviser representatives; investment advisory contract ( $\underline{\text{IC 23-19-5-2}}$ ).
- (240) Unlawful promotion or organization of combative fighting (IC 35-45-18-3).
- (241) Unlawful purchase of a handgun (<u>IC 35-47-2.5-14</u>) (before its repeal).
- (242) Unlawful sale or possession of a transaction manipulation device (IC 35-43-5-4.6) (before its repeal).
- (243) Unlawful transfer of fetal tissue (IC 35-46-5-1.5).
- (244) Unlawful use of a communications medium; definitions (IC 35-45-2-3) (before its repeal).
- (245) Unlawful use of body armor (<u>IC 35-47-5-13</u>).
- (246) Unlawful use of a police radio (IC 35-44.1-2-7).
- (247) Use of overpressure device (IC 35-47.5-5-9).
- (248) Use of regulated explosive in violation of commission rule (<u>IC 35-47.5-5-11</u>).
- (249) Vicarious sexual gratification; sexual conduct in presence of a minor (IC 35-42-4-5).
- (250) Violation of certain no contact orders; offense; penalty (IC 35-46-1-15.3).
- (251) Violation of civil rights (<u>IC 35-46-2-1</u>).
- (252) Violation of <u>IC 25-2.1-12</u> (unauthorized practice of accounting) under <u>IC 25-2.1-13-3</u>.
- (253) Violation of <u>IC 25-2.5</u> (unauthorized practice of acupuncture) under <u>IC 25-2.5-3-4</u>.
- (254) Violation of <u>IC 25-3.7</u> (unauthorized practice of an anesthesiologist assistant) under <u>IC 25-3.7-3</u>.
- (255) Violation of IC 25-5.1-4-1 (unauthorized practice of athletic trainer) under IC 25-5.1-4-2.
- (256) Violation of IC 25-5.2-2-12 (misconduct by athlete agent) under IC 25-5.2-2-12.
- (257) Violation of IC 25-6.1-7-1 (unauthorized practice of auctioneering) under IC 25-6.1-7-1.
- (258) Violation of IC 25-6.1-7-2 (violation of auctioneering statute) under IC 25-6.1-7-2.
- (259) Violation of IC 25-8-15.4 (unauthorized operation of a tanning facility) under IC 25-8-15.4-25.
- (260) Violation of IC 25-10-1 (unauthorized practice of chiropractic) under IC 25-10-1-11.
- (261) Violation of IC 25-11-1 (violation of the collection agency statute) under IC 25-11-1-12.
- (262) Violation of <u>IC 25-13-1</u> (unauthorized practice of dental hygienist) under <u>IC 25-13-1-3</u>.
- (263) Violation of <u>IC 25-14-1</u> (unauthorized practice of dentistry) under <u>IC 25-14-1-25</u>.
- (264) Violation of IC 25-14-1-25.5 (preventing a dentist from following retirement procedures) under IC 25-14-1-25.5.
- (265) Violation of <u>IC 25-14-4</u> (unlawful referral services for a dentist) under <u>IC 25-14-4-6</u>.

<sup>(218)</sup> Theft (IC 35-43-4-2).

<sup>(219)</sup> Threats; refusal to join or withdraw from organization; intimidation offense (IC 35-45-9-4).

(266) Violation of <u>IC 25-14.3</u> (violation of diabetes educator statute) under <u>IC 25-14.3-5-1</u> .
(267) Violation of <u>IC 25-14.5</u> (unauthorized practice of dietitian) under <u>IC 25-14.5-7-2</u> .
(268) Violation of <u>IC 25-16-1</u> (violation of employment services statute) under <u>IC 25-16-1-18</u> [IC 25-16] was repealed by
P.L.149-2023, SECTION 20, effective July 1, 2023.].
(269) Violation of <u>IC 25-17.3-5</u> (violation of genetic counselors statute) under <u>IC 25-17.3-5-3</u> .
(270) Violation of IC 25-17.6-8-2 (unauthorized practice of professional geologist) under IC 25-17.6-8-2.
(271) Violation of IC 25-20-1 (unauthorized practice of hearing aid dealer) under IC 25-20-1-21.
(272) Violation of IC 25-20.7-5-1 (unauthorized practice of interior designer) under IC 25-20.7-5-1.
(273) Violation of IC 25-21.5-5-10 (making false statements in an application to become a surveyor) under IC 25-21.5-5-10.
(274) Violation of IC 25-21.5-13-2 (unauthorized practice of surveying) under IC 25-21.5-13-2.
(275) Violation of <u>IC 25-21.8</u> (unauthorized practice of massage therapy) under <u>IC 25-21.8-7-1</u> .
(276) Violation of <u>IC 25-22.5</u> (unauthorized practice of medicine, midwifery, osteopathic medicine, or physician assistant)
under <u>IC 25-22.5-8-1</u> and <u>IC 25-22.5-8-2</u> .
(277) Violation of IC 25-22.5 (violation of temporary medical permit statute) under IC 25-22.5-8-3.
(278) Violation of <u>IC 25-23-1</u> (unauthorized practice of nursing) under <u>IC 25-23-1-27</u> .
(279) Violation of <u>IC 25-23.5-3</u> (unauthorized practice of occupational therapy) under <u>IC 25-23.5-3-2</u> .
(280) Violation of IC 25-23.6-3 (unauthorized practice of marriage and family therapy) under IC 25-23.6-3-3.
(281) Violation of IC 25-23.6-4 (unauthorized practice of social work) under IC 25-23.6-4-4.
(282) Violation of IC 25-23.6-4.5 (violation of mental health counselor statute) under IC 25-23.6-4.5-4.
(283) Violation of IC 25-23.6-7-6 (providing false information about counselor's educational background) under IC 25-23.6-
7-7.
(284) Violation of IC 25-23.6-10.1 (unauthorized practice of addiction counselor or therapist) under IC 25-23.6-10.1-6.
(285) Violation of IC 25-23.6-11-1 (unauthorized practice of addretion counselor of inerapity under IC 25-23.6- (285) Violation of IC 25-23.6-11-1 (unauthorized use of term "psychotherapy" or "clinical psychology") under IC 25-23.6-
$\frac{1025-25.0-11-1}{11-1}$ (unautionized use of term psychology of terminear psychology ) under $\underline{1025-25.0-11-1}$
(286) Violation of <u>IC 25-23.6</u> (unauthorized use of license issued by behavioral health and human services board) under
IC 25-23.6-11-2.
(287) Violation of $\underline{IC 25-23.6-11-3}$ (presenting false information to the behavioral health and human services board to obtain license) under IC 25-23.6-11-3.
(288) Violation of IC 25-23.7-7-5 (unauthorized practice of home installing) under IC 25-23.7-7-5.
(289) Violation of IC 25-23.4 (unauthorized practice of direct entry midwife) under IC 25-23.4-3-7.
(290) Violation of <u>IC 25-24-1</u> (unauthorized practice of optometry) under <u>IC 25-24-1-18</u> .
(291) Violation of <u>IC 25-26-13-29</u> (unauthorized practice of pharmacy or as a pharmacist) under <u>IC 25-26-13-29</u> .
(292) Violation of any state drug law related to wholesale legend drug distribution ( <u>IC 25-26-14</u> ).
(293) Violation of <u>IC 25-26-19-9</u> (unauthorized practice of pharmacy technician) under <u>IC 25-26-19-9</u> .
(294) Violation of <u>IC 25-26-21</u> (unauthorized provision of home medical equipment provider services) under <u>IC 25-26-21</u> -
<u>11</u> .
(295) Violation of IC 25-27-1 (unauthorized practice of physical therapy) under IC 25-27-1-12.
(296) Violation of IC 25-27.5-7 (unauthorized practice of physician assistant) under IC 25-27.5-7-2.
(297) Violation of IC 25-28.5-1 (unauthorized practice of plumbing) under IC 25-28.5-1-31.
(298) Violation of IC 25-29 (unauthorized practice of podiatry) under IC 25-29-9-1.
(299) Violation of IC 25-30-1 (unauthorized practice of being a private investigator) under IC 25-30-1-21.
(300) Violation of IC 25-30-1.3 (unauthorized operation of a security agency) under IC 25-30-1.3-23.
(301) Violation of IC 25-31-1-13 (making a false statement in an application to become an engineer) under IC 25-31-1-13.
(302) Violation of $\underline{IC 25-31-1}$ (unauthorized practice of engineering) under $\underline{IC 25-31-1}$ .
(303) Violation of IC 25-31.5-8-7 (unauthorized practice of engineering) under IC 25-31.5-8-7.
(304) Violation of IC 25-33-1-14 (unlawful use of title "psychologist") under IC 25-33-1-15.
(305) Violation of <u>IC 25-34.5-3</u> (unauthorized practice of respiratory care) under <u>IC 25-34.5-3-2</u> .
(306) Violation of IC 25-35.6 (unauthorized practice of speech-language pathology and audiology) under IC 25-35.6-3-10.
(307) Violation of IC 25-36.1-2 (violation of certified surgical technologist statute) under IC 25-36.1-2-3.
(308) Violation of $\underline{IC} 25-36.5-1-10$ (unauthorized practice of timber buying or timber buying agent) under $\underline{IC} 25-36.5-1-10$

and IC 25-36.5-1-15.

(309) Violation of <u>IC 25-38.1</u> (unauthorized practice of veterinary medicine) under <u>IC 25-38.1-4-10</u>.

(310) Violation of IC 25-38.1 (unauthorized practice of veterinary technician) under IC 25-38.1-4-11.

(311) Violation of <u>IC 25-39-5</u> (unlawful drilling and well installation) under <u>IC 25-39-5</u>.

(312) Violation of  $\underline{\text{IC } 25-41-1-1}$  (before its repeal) (unauthorized practice of behavior analyst) under  $\underline{\text{IC } 25-41-1-2}$  (before its repeal).

(313) Violation of Indiana Legend Drug Act (<u>IC 16-42-19</u>).

(314) Violation of order by parent or other custodian of child (<u>IC 31-34-2.3-7</u>).

(315) Violation of order by alleged perpetrator of child abuse or neglect (IC 31-34-2.3-8).

(316) Violations for conversion or misappropriation of title insurance escrow funds (IC 35-43-9-7) (before its repeal).

(317) Visiting a common nuisance; maintaining a common nuisance (<u>IC 35-45-1-5</u>).

(318) Voyeurism; public voyeurism; aerial voyeurism (<u>IC 35-45-4-5</u>).

(319) Welfare fraud (<u>IC 35-43-5-7</u>) (before its repeal).

(320) Worker's compensation fraud (IC 35-43-5-21) (before its repeal).

(Indiana Real Estate Commission; 876 IAC 3-7-4; filed Nov 22, 2023, 8:57 a.m.: 20231220-IR-876230032FRA)

#### 876 IAC 3-7-5 Convictions in another jurisdiction

Authority: <u>IC 25-1-1.1-6</u> Affected: <u>IC 25-34.1-8</u>

Sec. 5. A crime in any other jurisdiction for which the elements of the crime for which conviction was entered are substantially similar to the elements of a crime included on the list in section 4 of this rule shall also be a crime that may disqualify an individual from receiving a license and therefore included on the list. *(Indiana Real Estate Commission; 876 IAC 3-7-5; filed Nov 22, 2023, 8:57 a.m.: 20231220-IR-876230032FRA)* 

876 IAC 3-7-6 Prelicensure determinations; fee

Authority: <u>IC 25-1-1.1-6</u> Affected: <u>IC 25-1-1.1-6</u>; IC 25-34.1-8

Sec. 6. The fee for a petition under  $\underline{IC 25-1-1.1-6}(g)$  for a determination as to whether an individual's misdemeanor or felony conviction may disqualify the individual from receiving a license or certification is twenty-five dollars (\$25). (Indiana Real Estate Commission; 876 IAC 3-7-6; filed Nov 22, 2023, 8:57 a.m.:  $\underline{20231220-IR-876230032FRA}$ )

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