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812 IAC 3-1-1 Continuing education requirements

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-11](#); [IC 25-6.1-9-1](#)

Sec. 1. (a) Not more than eight (8) hours of continuing education may be acquired during any one (1) day. However, instruction for an approved distance learning continuing education program may be more than eight (8) hours of instruction in a one (1) day course.

(b) Notwithstanding subsection (a), continuing education credit may be granted to an individual for instructing an auctioneer continuing education course. One (1) hour of continuing education credit may be earned per one (1) hour taught. Not more than four (4) hours of continuing education credit accumulated in this manner may be applied toward license renewal requirements. Instructors of auctioneer continuing education courses may not receive credit for repeated courses.

(c) A licensee is not entitled to continuing education credit for any classroom hours that were used for required prelicensure education under 812 IAC 2-1.

(d) A licensee who attends the same continuing education course more than once in the same four (4) year license period is entitled to continuing education credit for that course only once.

(e) The commission may verify any information concerning continuing education that is submitted by the licensee as evidence supporting the course information. The commission may require licensees to provide information regarding the continuing education hours claimed on the individual's renewal. Failure to do so may lead to disciplinary action as provided for in [IC 25-1-11](#).

(f) It is the responsibility of each licensee to retain evidence to support the courses taken by the licensee for a period of three (3) years after the end of the renewal period for which the renewal application is submitted and the continuing education hours are claimed to the commission. These records shall include one (1) or more of the following:

(1) Certificates of completion, such as the following:

(A) Course attendance verification by the sponsor.

(B) Certificates of completion.

(C) Continuing education attendance history third party.

(2) Course outline or other evidence of course content.

(3) Other evidence of support and justification.

(g) For distance learning courses, licensees shall, in addition to the requirements in subsection (f), retain proof of examination grades. (*Indiana Auctioneer Commission; 812 IAC 3-1-1; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3095; filed Sep 17, 1998, 3:50 p.m.: 22 IR 453; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757FRA](#); filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-](#)*

[812080759FRA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-1.1 Core subjects as elective credits

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-11](#); [IC 25-6.1-9-1](#)

Sec. 1.1. When the licensee obtains more than the required six (6) hours of continuing education in subjects listed in [IC 25-6.1-9-1](#)(b)(1) as core subjects, the licensee may count the excess hours toward meeting the ten (10) hour elective subject requirement in [IC 25-6.1-9-1](#)(b)(2). (*Indiana Auctioneer Commission*; 812 IAC 3-1-1.1; filed Sep 17, 1998, 3:50 p.m.: 22 IR 453; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757FRA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-2 Application for auctioneer continuing education course sponsor

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1-9](#)

Sec. 2. (a) Any request for commission approval of an auctioneer continuing education course sponsor shall be by written application on a form provided by the commission.

(b) Applicants for continuing education course sponsor shall submit the following information:

- (1) Name, address, telephone number, and facsimile (FAX) number (if any) of the course sponsor.
- (2) If the ownership of the course sponsor is a partnership, the names and addresses of the partners.
- (3) If the ownership of the course sponsor is a corporation, the names and addresses of the officers and directors.
- (4) If the ownership of the course sponsor is a limited liability company, the names and addresses of the members and managers.
- (5) A course content outline describing the subjects to be offered during the approval period.
- (6) A statement that each instructor meets the qualifications required by section 7 of this rule.
- (7) A statement that the course sponsor shall provide the licensee who successfully completes an approved course a certificate of course completion that meets the requirements of section 6 of this rule.
- (8) A copy of the certificate of course completion required under section 6 of this rule.
- (9) A statement that the course sponsor shall conduct an instructor and course evaluation and that they shall be provided to the commission upon request.
- (10) A statement that any facility utilized by the course sponsor shall meet the requirements of 812 IAC 2-2-1.

(c) Notwithstanding subsection (b)(5), the Certified Auctioneers Institute and the National Auctioneer Association are not required to submit course content outlines describing the subjects to be offered during the approval period. (*Indiana Auctioneer Commission*; 812 IAC 3-1-2; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3095; errata filed Aug 1, 1996, 4:00 p.m.: 19 IR 3472; filed Sep 17, 1998, 3:50 p.m.: 22 IR 454; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-3 Course sponsor approval date

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 3. Sponsors of auctioneer continuing education courses shall be considered approved as of the date of approval by the commission, and therefore courses predating the approval date do not qualify. (*Indiana Auctioneer Commission*; 812 IAC 3-1-3; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28,

2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-4 Course outline

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 4. A course outline shall be prepared and distributed to the attendees. The outline shall state the number of continuing education hours offered. (*Indiana Auctioneer Commission; 812 IAC 3-1-4; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#)*)

812 IAC 3-1-5 Course records

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1-9-3](#)

Sec. 5. The course sponsor may be asked to provide to the commission copies of records required by [IC 25-6.1-9-3](#). (*Indiana Auctioneer Commission; 812 IAC 3-1-5; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#)*)

812 IAC 3-1-6 Approved auctioneer continuing education sponsor; certificate requirements

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1-9-2](#)

Sec. 6. Upon completion of a continuing education course, the approved auctioneer continuing education sponsor shall provide all attendees a course completion certificate that must include the information required by [IC 25-6.1-9-2\(2\)\(A\)](#) through [IC 25-6.1-9-2\(2\)\(C\)](#) and the following information:

- (1) The license number of the participant.
- (2) The course title.
- (3) The course topic, if not reflected in the course title.
- (4) The date and location of the course.
- (5) Distance learning certificates must include the instructional delivery method.

(*Indiana Auctioneer Commission; 812 IAC 3-1-6; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757FRA](#); filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#)*)

812 IAC 3-1-7 Instructors; requirements

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 7. Each instructor used by an approved auctioneer continuing education sponsor must have a minimum of two (2) years of experience in the field in which that instructor is to teach. (*Indiana Auctioneer Commission; 812 IAC 3-1-7; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#)*)

812 IAC 3-1-8 Instructors; prohibitions

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 8. An auctioneer continuing education sponsor is prohibited from hiring, or retaining in its employ, an instructor who has:

- (1) an auctioneer or auction company license revoked or suspended by any jurisdiction;
- (2) obtained or used, or attempted to obtain or use, in any manner, Indiana auctioneer licensing examination questions to be used on future examinations unless authorized by law;
- (3) been convicted of a crime that has a direct bearing on the individual's ability to competently instruct, including, but not necessarily limited to, violations of auction laws and abuse of fiduciary responsibilities;
- (4) falsely certified hours of attendance or grades for any student; or
- (5) unless allowed by law, refused to appear, or testify, or both, under oath at any hearing held by the commission.

(Indiana Auctioneer Commission; 812 IAC 3-1-8; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3096; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); filed Mar 27, 2015, 4:19 p.m.: [20150422-IR-812140386RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-9 Monitoring continuing education courses

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-11](#); [IC 25-6.1-9](#)

Sec. 9. (a) Continuing education courses offered by an approved course sponsor shall be monitored by that course sponsor to ensure that participants are physically present for the duration of the course.

(b) In order to monitor a continuing education course, an approved course sponsor must do the following:

(1) Require participants to sign in and out as they enter and exit the classroom, noting the time of arrival or departure.

(2) Designate one (1) employee to monitor the continuing education course.

(c) The duties of the individual listed in subsection (b)(2) shall include the following:

(1) Ensure that order is maintained throughout the duration of the course.

(2) Maintain the attendance sheets to assure their accuracy.

(3) Verify the participant's signature upon the participant's entrance and exit.

(4) Distribute course completion certificates to participants who have been physically present for the duration of the course.

(Indiana Auctioneer Commission; 812 IAC 3-1-9; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3097; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-10 Renewal applications

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-11](#); [IC 25-6.1-9](#)

Sec. 10. (a) The commission may request the following:

(1) Verification of any information submitted by the applicant.

(2) The applicant to submit evidence supporting the course credit claimed.

(b) The commission may request applicants to prove information as provided in section 1(f) of this rule in order to verify continuing education hours claimed in the application. Failure to do so shall subject a licensee to the sanctions provided for under [IC 25-1-11](#). (Indiana Auctioneer Commission; 812 IAC 3-1-10; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3097; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct

CONTINUING EDUCATION

28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-11 Failure to meet continuing education provisions

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1-3-2](#)

Sec. 11. (a) A licensee who does not obtain the required number of continuing education hours during a four (4) year licensure period may cure that deficiency by taking them in the next licensure period. However, the license shall not be renewed until the necessary hours are obtained. An individual whose license has lapsed longer than one (1) year is governed by [IC 25-6.1-3-2\(i\)](#).

(b) Continuing education hours obtained to cure a deficiency in a prior licensure period shall not be double-counted by also being credited toward the hours required for license renewal at the conclusion of the current licensure period. (*Indiana Auctioneer Commission*; 812 IAC 3-1-11; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3097; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757FRA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-12 Inactive license (Repealed)

Sec. 12. (Repealed by *Indiana Auctioneer Commission*; filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#))

812 IAC 3-1-13 Reinstatement of inactive license; requirements

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 13. In order to reinstate an inactive license at any time during the licensure period, the licensee must have completed sixteen (16) actual hours of continuing education from course sponsors approved by the commission. (*Indiana Auctioneer Commission*; 812 IAC 3-1-13; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3097; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); filed Jul 31, 2009, 8:37 a.m.: [20090826-IR-812080757FRA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-1-14 Commission review of continuing education compliance

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-11](#); [IC 25-6.1-9](#)

Sec. 14. (a) If, as the result of an audit or other review, the commission determines that continuing education hours a licensee has claimed do not meet the requirements of [IC 25-6.1-9](#) and this article, the commission shall notify the licensee of that determination.

(b) A licensee, who has been notified under subsection (a), may within thirty (30) days submit information to the commission giving all the substantive reasons in support of the licensee's position that an adequate number of hours has been obtained.

(c) A licensee who submits false information under section 10 of this rule and this section shall be subject to the sanctions provided for under [IC 25-1-11](#). (*Indiana Auctioneer Commission*; 812 IAC 3-1-14; filed Jul 3, 1996, 5:00 p.m.: 19 IR 3097; readopted filed Jul 18, 2002, 12:24 p.m.: 25 IR 4221; readopted filed Sep 26, 2008, 10:48 a.m.: [20081015-IR-812080335RFA](#); readopted filed Dec 2, 2014, 10:09 a.m.: [20141231-IR-812140387RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

Rule 2. Distance Learning Continuing Education

[812 IAC 3-2-1](#)

"Distance learning continuing education" defined

[812 IAC 3-2-2](#)

Distance learning continuing education requirements

[812 IAC 3-2-3](#)
[812 IAC 3-2-4](#)
[812 IAC 3-2-5](#)
[812 IAC 3-2-6](#)
[812 IAC 3-2-7](#)

Distance learning continuing education courses
Approval of distance learning continuing education
Correspondence distance learning continuing education courses
Digital instruction distance learning continuing education
Online distance learning continuing education

812 IAC 3-2-1 "Distance learning continuing education" defined

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 1. "Distance learning continuing education" means a course in which instruction does not take place in a traditional classroom setting but rather through other means where the instructor and licensed professional learners are separated by distance and sometimes time. The instruction:

(1) is:

- (A) presented in a sequential and logical order; and
- (B) offered wholly or primarily by distance study, through virtually any media; and

(2) may incorporate or make use of various media formats, including, but not limited to, the following:

- (A) Printed materials.
- (B) Digital.
- (C) Internet based delivery systems.

(Indiana Auctioneer Commission; 812 IAC 3-2-1; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-2-2 Distance learning continuing education requirements

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-1-4-0.2](#); [IC 25-6.1](#)

Sec. 2. (a) Distance learning continuing education courses must meet the requirements of section 3 of this rule and at least one (1) of the following:

(1) Courses that meet the requirements of section 4 of this rule and offered by approved organizations as defined in [IC 25-1-4-0.2](#).

(2) Courses offered by organizations other than those approved organizations defined in [IC 25-1-4-0.2](#) provided that the course meets the requirements of section 4 of this rule.

(b) A licensee must complete the distance learning continuing education course or courses within one (1) year of the date of enrollment.

(c) Mandatory and nonmandatory classes may be taken through distance learning continuing education courses. *(Indiana Auctioneer Commission; 812 IAC 3-2-2; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))*

812 IAC 3-2-3 Distance learning continuing education courses

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1-9-1](#)

Sec. 3. The commission shall approve a distance learning continuing education course if the commission determines to its satisfaction the following:

(1) The distance learning continuing education course is designed to enhance the auctioneer's knowledge and skill in providing auctioneer services relevant to the practice of auctioneering.

(2) An appropriate and complete application has been filed with the commission.

(3) The distance learning continuing education course meets the content requirements as prescribed in [IC 25-6.1-9-1\(b\)\(1\)](#) and [IC 25-6.1-9-1\(b\)\(2\)](#).

(4) The course complies with sections 5 through 7 of this rule, as applicable.

(5) The distance learning continuing education course meets all other requirements as prescribed in [IC 25-6.1-9](#) and this article.

(Indiana Auctioneer Commission; 812 IAC 3-2-3; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-2-4 Approval of distance learning continuing education

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 4. In order for a distance learning continuing education course to meet the requirements of section 2(a)(1) of this rule, the course sponsor shall submit the following information:

(1) Mission and objectives, as follows:

(A) A statement that clearly defines the mission of the provider's educational programs.

(B) The specific curricular objectives for the course.

(C) A plan for periodic review of the following:

(i) The mission statement.

(ii) Curricular objectives.

(2) Course design, as follows:

(A) A course outline that clearly states the following:

(i) The course objectives.

(ii) The desired student outcomes.

(B) A plan to ensure that the course content is:

(i) updated in a timely manner; and

(ii) distributed to:

(AA) licensees who are currently enrolled; and

(BB) future registrants.

(C) A plan for submitting substantial changes in the course to the commission. Substantial changes include, but are not limited to, the following:

(i) Expanded or reduced course content.

(ii) Changes in the time allotments for portions of the course.

(iii) Changes or redirected learning objectives.

(iv) A change of instructor.

(v) Changes in the course delivery method.

(D) The course disk or CD-ROM, if applicable.

(E) A list of reference materials provided to the licensees.

(F) A list of any prerequisites for the course and evidence that licensees are properly advised of the prerequisites before registration.

(G) Evidence that the course is structured in a mastery learning format that ensures mastery accomplishment.

(H) Evidence that the number of hours claimed is the number of hours it takes the average student to complete the course. This requirement can be met by submitting the results of the studies or field tests, or both, that will verify the claims.

(3) Interactivity, as follows:

(A) A description of how interaction is accomplished in the course.

(B) An explanation of how:

(i) interactivity is evaluated; and

(ii) feedback is gathered from licensees;

throughout the course.

(4) Course delivery, as follows:

- (A) A plan that shows evidence that technical support will be available when needed.
- (B) Evidence that instructor-licensee ratios are acceptable for the delivery method used.
- (C) The name or names and qualifications of the instructor or instructors of the course, submitting their credentials, including any specific training for teaching, via the specified delivery method, and a plan for their continued professional development. The instructor or instructors of the course must meet the requirements in 812 IAC 3-1-7.
- (D) A list of remote sites if applicable. "Remote site" means one that receives a broadcast whether by:
 - (i) satellite; or
 - (ii) teleconferencing.
- (E) A list of any site facilitators and the qualifications and credentials for each.

(5) Equipment and learning environment, as follows:

- (A) A list of equipment that the licensee will need and evidence that this information is made available to the licensee before registration.
- (B) An acceptable plan for dealing with equipment failures.

(6) Student support services, as follows:

- (A) A copy of a licensee information package that contains all the necessary information about the course. This information includes, but is not limited to, the following:
 - (i) Information about broadcasts and distance site locations.
 - (ii) Faculty contact information.
 - (iii) The course outline and learning objectives.
 - (iv) Guidelines regarding what constitutes successful completion of the course.
 - (v) Homework assignments.
 - (vi) Deadlines.
 - (vii) Fees and refunds.
 - (viii) Prerequisites.
 - (ix) Illness policy.
 - (x) A list of required licensee materials, including required software.
- (B) An explanation of how licensee orientation sessions are accomplished. Each licensee is required to have an orientation before the licensee begins the course.
- (C) An acceptable list of other support services made available to the licensees.

(7) Evaluation and assessment, as follows:

- (A) An evaluation form that solicits licensee feedback on the following:
 - (i) The delivery approach.
 - (ii) The equipment.
 - (iii) Suggestions for class improvement.
 - (iv) The licensee's overall satisfaction with the course.

An evaluation form is required to be given to every licensee in a distance learning continuing education course at the conclusion of the course.

- (B) A plan for verifying licensee identity. The course sponsor of any distance learning continuing education course must have an acceptable plan in place that verifies that the licensee enrolled in the course is the one that completes the following:

- (i) The course.
- (ii) Any required tests.

(8) Commitment to support, as follows:

- (A) A copy of the course sponsor's business plan that shows ongoing commitment to provide adequate financial and technical resources to support the distance learning continuing education course.
- (B) A statement of how long the course sponsor has been offering distance learning continuing education courses.

(Indiana Auctioneer Commission; 812 IAC 3-2-4; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#);

readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-2-5 Correspondence distance learning continuing education courses

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 5. Licensees may take distance learning continuing education courses by correspondence so long as the courses meet the following criteria:

- (1) All courses must provide a test, and the participant must score at least seventy-five percent (75%) to pass and receive credit for the course.
- (2) Tests must have multiple choice questions with at least twenty (20) questions per two (2) hours of instruction. The following types of questions are not allowed:
 - (A) True or false.
 - (B) Essay.
- (3) The organization and presentation of the instructional materials shall be in accord with sound principles of learning.
- (4) Correspondence courses must have sufficient security to assure against fraudulent practices.

(Indiana Auctioneer Commission; 812 IAC 3-2-5; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-2-6 Digital instruction distance learning continuing education

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 6. Licensees may take distance learning continuing education courses by digital instruction so long as the courses meet the following criteria:

- (1) All courses must provide a test, and the participant must score at least seventy-five percent (75%) to pass and receive credit for the course.
- (2) Tests must have multiple choice questions with at least twenty (20) questions per two (2) hours of instruction. The following types of questions are not allowed:
 - (A) True or false.
 - (B) Essay.
- (3) The organization and presentation of the instructional materials shall be in accord with sound principles of learning.
- (4) Digital instruction classes must have a monitor in the room at all times to assure proper attendance.

(Indiana Auctioneer Commission; 812 IAC 3-2-6; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

812 IAC 3-2-7 Online distance learning continuing education

Authority: [IC 25-6.1-2-5](#)

Affected: [IC 25-6.1](#)

Sec. 7. Licensees may take continuing education courses online so long as the courses meet the following criteria:

- (1) All courses must provide a test, and the participant must score at least seventy-five percent (75%) to pass and receive credit for the course.
- (2) Tests must have multiple choice questions with at least five (5) questions per two (2) hours of instruction. The following types of questions are not allowed:
 - (A) True or false.
 - (B) Essay.
- (3) The organization and presentation of the instructional materials shall be in accord with sound principles of learning.

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(4) Online courses must have sufficient security to assure against fraudulent practices.

(Indiana Auctioneer Commission; 812 IAC 3-2-7; filed Jul 31, 2009, 8:40 a.m.: [20090826-IR-812080759FRA](#); readopted filed Nov 20, 2015, 3:31 p.m.: [20151216-IR-812150228RFA](#); readopted filed Oct 28, 2021, 3:01 p.m.: [20211124-IR-812210376RFA](#); filed Nov 28, 2022, 10:20 a.m.: [20221228-IR-812220217FRA](#); readopted filed Feb 7, 2024, 10:04 a.m.: [20240306-IR-812230773RFA](#))

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