

ARTICLE 14.5. QUARTER HORSE DEVELOPMENT PROGRAM

Rule 1. Indiana Bred Quarter Horse Breed Development Program

71 IAC 14.5-1-1 Indiana bred quarter horse

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) Indiana bred quarter horse means any properly registered quarter horse foaled in Indiana and whose dam was registered with the commission.

(b) The mare must:

- (1) Have entered Indiana by November 1, 2008, and by July 1, 2009, and each year thereafter in the year prior to foaling.
- (2) Remain in Indiana continuously until foaling.

The resulting foal will then be eligible to be registered as an Indiana bred.

(c) In the event a mare entered Indiana and was registered with the commission after November 1, 2008, and by July 1, 2009, and each year thereafter in the year prior to foaling, the foal (which must be foaled in Indiana) may be eligible to be registered as an Indiana bred.

(d) The mare must be bred back to a registered Indiana stallion in the year of foaling for the foal to qualify as an Indiana bred.

(e) If the mare fails to conceive when bred or is unfit to breed due to health reasons, a veterinarian certificate is required from a licensed Indiana veterinarian.

(f) If the mare does not conceive, it must:

- (1) remain open for that breeding season in order for the mare's current foal to be eligible to be registered as an Indiana bred;
- (2) remain in Indiana for a period of thirty (30) days from the foaling date; and
- (3) the mare and foal must be inspected by a commission representative prior to leaving the state.

(g) An Indiana bred quarter horse foaled prior to the year 2002 will require that the breeder must be a resident of Indiana as noted on the American Quarter Horse Association registration certificate. These horses must be registered with the commission by September 1, 2002, or will not be eligible for the Indiana bred program.

(h) The horse must be registered with the commission prior to being entered in an Indiana bred conditioned race. (*Indiana Horse Racing Commission; 71 IAC 14.5-1-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; emergency rule filed Aug 23, 2001, 9:58 a.m.: 25 IR 123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Nov 29, 2001, 1:23 p.m.: 25 IR 1190; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]*)

71 IAC 14.5-1-2 Indiana foaled quarter horse

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. Indiana foaled quarter horse means a horse whose American Quarter Horse Association registration certificate indicates Indiana as the state where the horse was foaled prior to the year 2002. A horse must be registered with the commission and a certificate issued prior to entry into an Indiana foaled conditioned race. These horses must be registered with the commission by September 1, 2002, or will not be eligible for the Indiana bred program. (*Indiana Horse Racing Commission; 71 IAC 14.5-1-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Nov 29, 2001, 1:23 p.m.: 25 IR 1191; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA*)

71 IAC 14.5-1-3 Indiana owned quarter horse (Repealed)

Sec. 3. (*Repealed by Indiana Horse Racing Commission; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3035*)

Rule 2. Registration

71 IAC 14.5-2-1 Mare registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) In order to be eligible to register a quarter horse foal as Indiana bred, such foal must be:

- (1) foaled in Indiana and its dam must be registered with the commission;
- (2) registered and have entered Indiana by November 1, 2008, by July 1, 2009, and each year thereafter; and
- (3) remain in Indiana continuously until foaling.

(b) A current copy of the mare's American Quarter Horse Association registration certificate or front and back of the mare's Jockey Club papers along with lease agreements are to be included with the registration.

(c) In the event a mare entered Indiana or is registered with the commission after November 1, 2008, by July 1, 2009, and each year thereafter, the foal (which must be foaled in Indiana) may be eligible to be registered as an Indiana bred. To be eligible, the mare must be:

- (1) bred back to a registered Indiana stallion in the year of foaling for the foal to qualify as an Indiana bred; and
- (2) the stallion must be registered with the commission in the year the foal was conceived.

(d) If the mare fails to conceive when bred or is unfit to breed due to health reasons, a veterinarian certificate is required from a licensed veterinarian.

(e) If the mare does not conceive, she must:

- (1) remain open for that breeding season in order for the mare's current foal to be eligible to be registered as an Indiana bred; and
- (2) remain in Indiana for a period of thirty (30) days from the foaling date and the mare and foal must be inspected by a commission representative prior to leaving the state.

(f) The commission must be notified in writing and provide proper documentation for any mare and/or foal leaving the state for medical treatment.

(g) Mares in foal must be reregistered every year. *(Indiana Horse Racing Commission; 71 IAC 14.5-2-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; emergency rule filed Aug 23, 2001, 9:58 a.m.: 25 IR 123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA)*

71 IAC 14.5-2-1.5 Embryo transfer registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1.5. (a) In order to be eligible to register quarter horse embryo transfer foals as Indiana bred, foals must be:

- (1) foaled in Indiana;
- (2) the donor mare; and
- (3) recipient mare must be registered with the commission.

(b) The donor mare and recipient mare must be:

- (1) registered and have entered Indiana by November 1, 2008, and by July 1, 2009, and each year thereafter; and
- (2) both mares must remain in Indiana continuously until foaling.

(c) The donor mare and the recipient mare are limited to register one (1) foal each per foaling year as an Indiana bred.

(d) In the event there is more than one (1) recipient mare:

- (1) One (1) recipient foal will be eligible to be registered as an Indiana bred.
- (2) It is the sole responsibility of the owner of the donor mare to choose and register the foals that are to be eligible to the Indiana bred program.

(e) A copy of the donor mare registration papers and all lease agreements must accompany the application for identification and ownership purposes.

(f) All foals resulting from an embryo transplant or from the donor mare that will be eligible to be registered as an Indiana bred must be as follows:

- (1) Microchipped (one hundred twenty-five (125) mhz) by a licensed Indiana veterinarian within thirty (30) days of foaling or before leaving the state, whichever would be first.
- (2) This shall be done at the owner's expense with a microchip approved by the breed development advisory committee.
- (g) The recipient mare must be able to be identified by:
 - (1) Indiana breed development identification form;
 - (2) American Quarter Horse Association certificate of registration; or
 - (3) microchip (one hundred twenty-five (125) mhz), (must be done by a licensed veterinarian at the owner's expense), documentation copies must accompany the application.
- (h) The donor mare may leave the state to participate in:
 - (1) stakes;
 - (2) medical care; or
 - (3) a mare leaving the state for an advertised public sale may:
 - (A) be gone for the interval of the sale, but must return to Indiana within fifteen (15) days of her sale; and
 - (B) written documentation of the sale is required.
- (i) The director of breed development must be notified in writing within fifteen (15) days of the departure and return of the advertised public sale.
- (j) All mares in foal must be registered every year. *(Indiana Horse Racing Commission; 71 IAC 14.5-2-1.5; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA)*

71 IAC 14.5-2-2 Foal registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. In order to be eligible to register a foal of 2002 and future as an Indiana bred with the commission, a completed application must be on file with the commission within twelve (12) months of the horse's foaling date. If the foal is not registered by the required date, a late fee will be assessed in accordance with the following schedule:

- (1) Twelve (12) months plus one (1) day to December 31 of yearling year, two hundred dollars (\$200).
- (2) Two (2) year old year, four hundred dollars (\$400).
- (3) Three (3) year old year, one thousand dollars (\$1,000) and beyond.

(Indiana Horse Racing Commission; 71 IAC 14.5-2-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA)

71 IAC 14.5-2-3 Stallion registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 3. (a) In order to be eligible to register a stallion with the commission, a completed application must be on file with the commission on or before November 1 of each year.

- (b) Each registered stallion must remain in Indiana the entire breeding season (February 1 through July 1).
- (c) New stallions arriving late cannot have covered any mares in another state during the same year.
- (d) Quarter horse stallions may breed both quarter horse and thoroughbred mares.
- (e) Thoroughbred stallions may breed quarter horse mares. Thoroughbred stallions breeding quarter horse mares must be registered in the quarter horse registry.
- (f) A stallion must be registered with the commission the year of the foal's conception to be eligible for sire races and stallion awards.

(g) Stallions must be registered each year. Any living or deceased stallion having sired foals while standing in the state of Indiana prior to the year 2001 must have on file with the commission an application covering the years it stood in the state to be eligible for sire races and stallion awards.

(h) Mare's bred reports must be on file with the commission by December 1 of each year.

(i) A copy of lease agreements must accompany the application.

(j) There will be a one hundred dollar (\$100) late fee for all applications filed after the deadline.

(k) This rule in no way restricts the shipment and use of cooled semen.

(l) Only those stallions standing in Indiana and properly registered with the Indiana horse racing commission are eligible for stallion breed development awards. *(Indiana Horse Racing Commission; 71 IAC 14.5-2-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; emergency rule filed Jul 19, 2010, 12:22 p.m.: 20100728-IR-071100480ERA)*

Rule 3. Awards

71 IAC 14.5-3-1 Owner awards

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) An owner award is the award paid to the owner of a registered Indiana bred quarter horse which places first, second, or third in any race except claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack in Indiana.

(b) In the event of multiple owners, the award will be paid to the individual listed first on the Equibase result chart. It is the responsibility of the individual who receives the owner award to distribute these monies to the remaining owners.

(c) The amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

(1) fifty percent (50%) is awarded to the winner;

(2) thirty percent (30%) is awarded to second place; and

(3) twenty percent (20%) is awarded to third place.

(d) Awards will be paid by the commission.

(e) The registered owner, breeder, and stallion owner may receive an award not to exceed ten thousand dollars (\$10,000) for a potential total payout of thirty thousand dollars (\$30,000) for winning a single race at an Indiana pari-mutuel racetrack. *(Indiana Horse Racing Commission 71 IAC 14.5-3-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3034; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.])*

71 IAC 14.5-3-2 Breeder awards

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. (a) A breeder award is the award paid to the breeder of a registered Indiana bred quarter horse which places first, second, or third in any race except claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack located in Indiana.

(b) In the event of multiple breeders, the award will be paid to the individual designated as the recipient on the foal application. It is the responsibility of the designated recipient to distribute these monies to the remaining breeders.

(c) The amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

(1) fifty percent (50%) is awarded to the winner;

(2) thirty percent (30%) is awarded to second place; and

(3) twenty percent (20%) is awarded to third place.

(d) Awards will be paid by the commission.

(e) The registered owner, breeder, and stallion owner may receive an award not to exceed ten thousand dollars (\$10,000) for a potential total payout of thirty thousand dollars (\$30,000) for winning a single race at an Indiana pari-mutuel racetrack. *(Indiana Horse Racing Commission; 71 IAC 14.5-3-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; errata filed Feb 9, 2001,*

3:38 p.m.: 24 IR 2091; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA)

71 IAC 14.5-3-3 Stallion owner awards

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 3. (a) A stallion owner award is the award paid to the owner or lessee of a registered Indiana stallion whose registered progeny places first, second, or third in any race except claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack located in Indiana.

(b) In the event of multiple stallion owners, the award will be paid to the individual designated as the recipient on the stallion application. It is the responsibility of the designated recipient to distribute these monies to the remaining stallion owners.

(c) The amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

(1) fifty percent (50%) is awarded to the winner;

(2) thirty percent (30%) is awarded to second place; and

(3) twenty percent (20%) is awarded to third place.

(d) Awards will be paid by the commission.

(e) The registered owner, breeder, and stallion owner may receive an award not to exceed ten thousand dollars (\$10,000) for a potential total payout of thirty thousand dollars (\$30,000) for winning a single race at an Indiana pari-mutuel racetrack.

(f) The award will be paid to the owner or lessee of the registered stallion at time of conception.

(g) No stallion standing outside Indiana and shipping semen into the state will be eligible to participate in any stallion breed development awards. (*Indiana Horse Racing Commission; 71 IAC 14.5-3-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]*)

71 IAC 14.5-3-4 Purse supplement in open races

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 4. A purse supplement of fifteen thousand dollars (\$15,000) is to be paid to the owner of an Indiana bred that competes in any open overnight race, excluding trials and claiming races. The supplement shall be distributed in the same manner and percentages in which purses are paid. (*Indiana Horse Racing Commission; 71 IAC 14.5-3-4; emergency rule filed Mar 3, 2011, 11:50 a.m.: 20110309-IR-071110100ERA*)

71 IAC 14.5-3-5 Open stakes bonus

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 5. (a) A bonus award will be paid to the owner of a registered Indiana bred quarter horse which qualifies for the final of an open stake, including the Stallion Auction Futurity and Derby, at a licensed pari-mutuel racetrack in Indiana. In order for the bonus to be awarded, the stake must have held trials.

(b) In the event of multiple owners, the award will be paid to the individual listed first on the Equibase result chart. It is the responsibility of the individual who receives the award to distribute these monies to the remaining owners.

(c) If there are less than four (4) registered Indiana bred qualifiers for a stake, the award amount will be ten thousand dollars (\$10,000) per horse.

(d) If there are four (4) or more registered Indiana bred qualifiers for a stake, thirty thousand dollars (\$30,000) will be divided among those qualified.

(e) A registered Indiana bred must start in the finals of the stake in order to receive award, unless scratched by the stewards

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or veterinarian. (*Indiana Horse Racing Commission; 71 IAC 14.5-3-5; emergency rule filed Mar 3, 2011, 11:50 a.m.: 20110309-IR-071110100ERA*)

Rule 4. Restricted Races

71 IAC 14.5-4-1 Restricted races

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. The commission, acting upon advice of the quarter horse development advisory committee, shall approve each year a schedule of overnight races and stake races restricted to Indiana bred or foaled. Such schedule shall include monies distributed from the quarter horse development fund as purse supplements. (*Indiana Horse Racing Commission; 71 IAC 14.5-4-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1038; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]*)

Rule 5. Indiana Bred or Foaled Preference

71 IAC 14.5-5-1 Indiana bred or foaled preference

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) A registered Indiana bred or foaled that receives a R-date or an E-date will receive starter preference over a non-Indiana bred or foaled with an equal R-date or E-date. Such preference shall apply in all races not restricted to Indiana bred or foaled stake races excepted. Indiana bred or foaled will not receive starter preference over non-Indiana bred or foaled with better R-dates, E-dates, or zero-dates.

(b) A registered Indiana bred that enters or races in an open race will retain their previous preference date when returning to a restricted Indiana bred race. (*Indiana Horse Racing Commission; 71 IAC 14.5-5-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1038; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3035; emergency rule filed Mar 3, 2011, 11:50 a.m.: 20110309-IR-071110100ERA*)

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