

TITLE 71 INDIANA HORSE RACING COMMISSION

ARTICLE 1. DEFINITIONS

Rule 1. Definitions

71 IAC 1-1-1 Applicability

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. The definitions in this rule apply to articles 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, and 14 of this title. (*Indiana Horse Racing Commission; 71 IAC 1-1-1; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1113; emergency rule filed Jun 15, 1995, 5:00 p.m.: 18 IR 2814, eff Jul 1, 1995; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Apr 21, 2004, 3:45 p.m.: 27 IR 2753; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jul 5, 2012, 2:14 p.m.: 20120718-IR-071120402ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-1.5 "Account wagering" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1.5. "Account wagering" means a form of pari-mutuel wagering in which an individual may deposit money in an account, in that person's name, with an association and then use the current balance in the account to place pari-mutuel wagers. (*Indiana Horse Racing Commission; 71 IAC 1-1-1.5; emergency rule filed Nov 9, 2005, 8:00 a.m.: 29 IR 829, eff Aug 9, 2005 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Secretary of State. This document was filed with the Secretary of State on November 9, 2005.]; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-2 "Act" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. "Act" means IC 4-31 which is the enabling legislation permitting pari-mutuel horse racing in this jurisdiction. (*Indiana Horse Racing Commission; 71 IAC 1-1-2; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-3 "Added money" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 3. "Added money" means the amount added into a stakes by the association, or by sponsors, state-bred programs, or other funds added to those monies gathered by nomination, entry, sustaining, and other fees coming from the horsemen. (*Indiana Horse Racing Commission; 71 IAC 1-1-3; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-4 "Age" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 4. "Age" of a horse foaled in North America shall be reckoned from the first day of January of the year of foaling. *(Indiana Horse Racing Commission; 71 IAC 1-1-4; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-5 "Also eligible" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 5. "Also eligible" means:

(1) a number of eligible horses, properly entered, which were not drawn for inclusion in a race, but which become eligible according to preference or lot if an entry is scratched prior to the scratch time deadline; or

(2) the next preferred nonqualifier for the finals or consolation from a set of elimination trials which will become eligible in the event a finalist is scratched by the judges for a rule violation or is otherwise eligible if written race conditions permit.

(Indiana Horse Racing Commission; 71 IAC 1-1-5; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 1-1-6 "Appeal" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 6. "Appeal" means a request for the commission or its designee to investigate, consider, and review any decisions or rulings of judges of a meeting. *(Indiana Horse Racing Commission; 71 IAC 1-1-6; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-7 "Applicant" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 7. "Applicant" means the person or entity filing the application for the purpose of receiving a permit from the commission; the permit will be issued in this name. *(Indiana Horse Racing Commission; 71 IAC 1-1-7; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-8 "ARCI" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 8. "ARCI" means the Association of Racing Commissioners International, Inc. *(Indiana Horse Racing Commission; 71 IAC 1-1-8; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-9 "Arrears" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 9. "Arrears" means all monies owed by a licensee, including subscriptions, forfeitures, and any default incident to these rules. (*Indiana Horse Racing Commission; 71 IAC 1-1-9; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-9.1 "Assistant executive director" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 9.1. "Assistant executive director" means the assistant executive director of the commission as appointed by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-9.1; emergency rule filed Feb 13, 1998, 10:00 a.m.: 21 IR 2398; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-10 "Association" or "permit holder" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 10. "Association" or "permit holder" means a person or business entity holding a permit from the commission to conduct racing with pari-mutuel wagering. (*Indiana Horse Racing Commission; 71 IAC 1-1-10; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-11 "Association grounds" or "enclosure" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 11. "Association grounds" or "enclosure" means all real property utilized by the association in the conduct of its race meeting, including the race track, grandstand, concession stands, offices, barns, stable area, employee housing facilities, parking lots, and any other areas under the jurisdiction of the commission, including satellite facilities. (*Indiana Horse Racing Commission; 71 IAC 1-1-11; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-12 "Authorized agent" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 12. "Authorized agent" means a person licensed by the commission and appointed by a written instrument, signed and acknowledged before a notary public by the owner on whose behalf the agent will act. (*Indiana Horse Racing Commission; 71 IAC 1-1-12; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1114; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-13 "Beneficial interest" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 13. "Beneficial interest" means profit, benefit, or advantage resulting from a contract or the ownership of an estate as

distinct from legal ownership or control. When considered as a designation of the character of an estate, "beneficial interest" means such an interest as a devisee, legatee, or donee takes solely for his own use or benefit and not as a holder of title for the use and benefit of another. (*Indiana Horse Racing Commission; 71 IAC 1-1-13; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-14 "Best efforts" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 14. "Best efforts" means an active and serious attempt which is made in good faith, and goes beyond due diligence, to provide the information required to be disclosed. (*Indiana Horse Racing Commission; 71 IAC 1-1-14; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-15 "Betting interest" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 15. "Betting interest" means one (1) or more horses in a pari-mutuel contest which are identified by a single program number for wagering purposes. (*Indiana Horse Racing Commission; 71 IAC 1-1-15; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-16 "Bleeder list" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 16. "Bleeder list" means a tabulation of all bleeders to be maintained by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-16; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-17 "Breakage" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 17. "Breakage" means the odd cents of all redistributions to be made on all mutuel contributions exceeding a sum equal to the next lowest multiple of ten (10). (*Indiana Horse Racing Commission; 71 IAC 1-1-17; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-18 "Breeder" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 18. "Breeder" means the owner or lessee of the horse's dam at the time of breeding. (*Indiana Horse Racing Commission; 71 IAC 1-1-18; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-*

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71 IAC 1-1-19 "Carryover" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 19. "Carryover" means nondistributed pool monies which are retained and added to a corresponding pool in accordance with these rules. (*Indiana Horse Racing Commission; 71 IAC 1-1-19; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-20 "Claiming race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 20. "Claiming race" means a race in which any horse starting may be claimed (purchased for a designated amount) in conformance with these rules. (*Indiana Horse Racing Commission; 71 IAC 1-1-20; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-21 "Classified race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 21. "Classified race" means a race in which entries are selected by the racing secretary on the basis of ability or past performance. (*Indiana Horse Racing Commission; 71 IAC 1-1-21; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-22 "Commission" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 22. "Commission" means the Indiana horse racing commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-22; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-23 "Complaint" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 23. "Complaint" means a written statement:

- (1) on a form prescribed by the commission;
- (2) signed by the complainant; and
- (3) filed with the commission.

(*Indiana Horse Racing Commission; 71 IAC 1-1-23; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA; emergency rule filed Dec 23, 2013, 1:43 p.m.: 20140108-IR-*

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71 IAC 1-1-24 "Conditioned race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 24. "Conditioned race" means an overnight race to which eligibility is determined according to specified conditions which include the following:

- (1) Age.
- (2) Sex.
- (3) Earnings.
- (4) Number of starts.
- (5) Position of finishes.

(Indiana Horse Racing Commission; 71 IAC 1-1-24; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1115; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 1-1-25 "Conditions" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 25. "Conditions" means qualifications which determine a horse's eligibility to be entered in a race. *(Indiana Horse Racing Commission; 71 IAC 1-1-25; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-26 "Contest" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 26. "Contest" means a competitive racing event on which pari-mutuel wagering is conducted. *(Indiana Horse Racing Commission; 71 IAC 1-1-26; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-27 "Contestant" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 27. "Contestant" means an individual participant in a contest. *(Indiana Horse Racing Commission; 71 IAC 1-1-27; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-27.5 "Controlled substance" defined

Authority: IC 4-31-3-9; IC 35-48-1-9

Affected: IC 4-31; IC 35-48-2

Sec. 27.5. "Controlled substance" means a drug, substance, or immediate precursor in Schedule I, II, III, IV, or V under:

- (1) IC 35-48-2-4, IC 35-48-2-6, IC 35-48-2-8, IC 35-48-2-10, or IC 35-48-2-12, if IC 35-48-2-14 does not apply; or
(2) a rule adopted by the Indiana state board of pharmacy, if IC 35-48-2-14 applies.

(Indiana Horse Racing Commission; 71 IAC 1-1-27.5; emergency rule filed Feb 24, 2000, 2:32 p.m.: 23 IR 1668, eff Feb 24, 2000; errata filed Mar 13, 2000, 7:36 a.m.: 23 IR 1656; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 1-1-28 "Coupled entry" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 28. "Coupled entry" means two (2) or more contestants in a contest who are treated as a single betting interest for pari-mutuel wagering purposes. Also, see section 39 of this rule for the definition of entry. *(Indiana Horse Racing Commission; 71 IAC 1-1-28; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-29 "CTA" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 29. "CTA" means Canadian Trotting Association. *(Indiana Horse Racing Commission; 71 IAC 1-1-29; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-30 "Day" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 30. "Day" means a twenty-four (24) hour period beginning at 12:01 a.m. and ending at midnight. Also known as a calendar day. *(Indiana Horse Racing Commission; 71 IAC 1-1-30; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-31 "Dead heat" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 31. "Dead heat" means the finish of a race in which the noses of two (2) or more horses reach the finish line at the same time. *(Indiana Horse Racing Commission; 71 IAC 1-1-31; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

71 IAC 1-1-32 "Declaration" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 32. "Declaration" means the naming of a particular horse as a starter in a particular race. *(Indiana Horse Racing Commission; 71 IAC 1-1-32; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)*

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71 IAC 1-1-33 "Decoder" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 33. "Decoder" means a device or means to convert encrypted audio-visual signals or data into a form recognizable as the original content of the signals. (*Indiana Horse Racing Commission; 71 IAC 1-1-33; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-34 "Downlink" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 34. "Downlink" means a receiving antenna coupled with an audio-visual signal receiver compatible with and capable of receiving simultaneous audio-visual signals or data emanating from an organization licensee, and includes the electronic transfer of received signals from the receiving antenna to television monitors within the satellite facility. (*Indiana Horse Racing Commission; 71 IAC 1-1-34; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-35 "Driver" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 35. "Driver" means a person who is licensed to drive in races. (*Indiana Horse Racing Commission; 71 IAC 1-1-35; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1116; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-36 "Early closing race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 36. "Early closing race" means a race for a definite amount of money to which entries close at least six (6) weeks prior to the race. (*Indiana Horse Racing Commission; 71 IAC 1-1-36; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-36.5 "Electronic registration system" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 36.5. "Electronic registration system" means a software application available online and approved by the commission that allows an association racing secretary, or his designee, or the horse identifier, or his designee, full access to horse, trainer, and current owner records for all tracks in North America. (*Indiana Horse Racing Commission; 71 IAC 1-1-36.5; emergency rule filed Jul 5, 2012, 2:14 p.m.: 20120718-IR-071120402ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-37 "Elimination heats" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 37. "Elimination heats" means the individual heats of a race in which the contestants must qualify for a final heat. (*Indiana Horse Racing Commission; 71 IAC 1-1-37; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-38 "Encryption", "encrypted", or "encoded" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 38. "Encryption", "encrypted", or "encoded" means the scrambling or other manipulation of the audio-visual signals to mask the original video content of the signal and so cause such signals to be indecipherable and unrecognizable to any person receiving such signals without a decoder. (*Indiana Horse Racing Commission; 71 IAC 1-1-38; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-39 "Entry" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 39. "Entry means:

(1) a horse made eligible to participate in a race; or

(2) two (2) or more horses, entered in the same race, which have common ties of ownership, leases, or training.

Also, see section 28 of this rule for the definition of coupled entry. (*Indiana Horse Racing Commission; 71 IAC 1-1-39; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-39.1 "Executive director" or "executive secretary" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 39.1. "Executive director" or "executive secretary" means the executive director of the commission as appointed by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-39.1; emergency rule filed Jun 15, 1995, 5:00 p.m.: 18 IR 2814, eff Jul 1, 1995; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-40 "Exhibition race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 40. "Exhibition race" means a race on which no wagering is permitted. (*Indiana Horse Racing Commission; 71 IAC 1-1-40; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-41 "Expired ticket" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 41. "Expired ticket" means an outstanding ticket which was not presented for redemption within the required time period for which it was issued. (*Indiana Horse Racing Commission; 71 IAC 1-1-41; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-41.5 "Extended race meet" defined

Authority: IC 4-31-3-9

Affected: IC 4-31; IC 4-33-12-6

Sec. 41.5. "Extended race meet" means the racing of a particular breed of horse, in a calendar year, of the following minimum number of race days:

- (1) Forty (40) days on dirt only.
- (2) Thirty (30) days on dirt and turf.
- (3) Forty (40) days on turf only.

For the purpose of this rule, the combination of thoroughbreds and quarter horses constitute a particular breed of horse. (*Indiana Horse Racing Commission; 71 IAC 1-1-41.5; emergency rule filed Sep 27, 2002, 2:31 p.m.: 26 IR 394; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-42 "Financial interest" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 42. "Financial interest" means an interest that could result in directly or indirectly receiving a pecuniary gain or sustaining a pecuniary loss as a result of:

- (1) ownership or interest in a horse or business entity; or
- (2) as a result of salary, gratuity, or other compensation or remuneration from any person.

The lessee and lessor of a horse have a financial interest. (*Indiana Horse Racing Commission; 71 IAC 1-1-42; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; filed Nov 10, 2014, 2:07 p.m.: 20141210-IR-071140230FRA*)

71 IAC 1-1-42.1 "Foreign substance" defined (Expired)

Sec. 42.1. (*Expired under IC 4-22-2.5, effective January 1, 2014.*)

71 IAC 1-1-42.2 "Foreign substance" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 42.2. "Foreign substance" means all substances except those that exist naturally in an untreated horse at normal physiological concentration, and includes all narcotics, stimulants, depressants, or other drugs or medications of any type. (*Indiana Horse Racing Commission; 71 IAC 1-1-42.2; emergency rule filed May 7, 2014, 2:24 p.m.: 20140514-IR-071140142ERA*)

71 IAC 1-1-43 "Forfeit" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 43. "Forfeit" means money due from an association or a licensee because of error, fault, neglect of duty, breach of contract, or penalty imposed by the judges or the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-43; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-43.5 "Gambling game" defined

Authority: IC 4-31-3-9; IC 4-35-2-5

Affected: IC 4-31; IC 4-35-1

Sec. 43.5. "Gambling game" means a game played on a slot machine approved for wagering under IC 4-35-1-1 et seq. by the Indiana gaming commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-43.5; emergency rule filed May 12, 2008, 1:29 p.m.: 20080521-IR-071080353ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-43.7 "Gaming commission" defined

Authority: IC 4-31-3-9; IC 4-35-2-3; IC 4-33-3-1

Affected: IC 4-31

Sec. 43.7. "Gaming commission" refers to the Indiana gaming commission established by IC 4-33-3-1. (*Indiana Horse Racing Commission; 71 IAC 1-1-43.7; emergency rule filed May 12, 2008, 1:29 p.m.: 20080521-IR-071080353ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-44 "Guest association" or "receiving association" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 44. "Guest association" or "receiving association" means an association which offers licensed pari-mutuel wagering on simulcasted contests conducted by another association (the host) in either the same state or another jurisdiction. (*Indiana Horse Racing Commission; 71 IAC 1-1-44; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-45 "Handicap" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 45. "Handicap" means a race in which allowances are made according to a horse's age, sex, claiming price, and performance. (*Indiana Horse Racing Commission; 71 IAC 1-1-45; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-46 "Handle" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 46. "Handle" means the aggregate of all pari-mutuel pools, excluding refundable wagers. (*Indiana Horse Racing Commission; 71 IAC 1-1-46; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1117; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-47 "Horse" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 47. "Horse" means any equine (including and designated as a mare, filly, stallion, colt, ridgeling, or gelding) registered for racing with the USTA or CTA; specifically, an entire male five (5) years of age and older. (*Indiana Horse Racing Commission; 71 IAC 1-1-47; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; filed Nov 10, 2014, 2:07 p.m.: 20141210-IR-071140230FRA*)

71 IAC 1-1-47.1 "Horse industry trust account" defined

Authority: IC 4-31-3-9

Affected: IC 4-31; IC 5-13-9.5

Sec. 47.1. "Horse industry trust account" means an interest bearing account established by an association in a fiduciary capacity for the deposit and dispersal of funds that are the property of a horsemen's association representing the owners and trainers of a designated breed racing at Indiana pari-mutuel facilities at a financial institution that is qualified to receive public monies pursuant to IC 5-13-9.5. (*Indiana Horse Racing Commission; 71 IAC 1-1-47.1; emergency rule filed Oct 29, 2009, 11:33 a.m.: 20091104-IR-071090870ERA, eff Oct 26, 2009 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #09-870(E) was filed with the Publisher October 29, 2009.]; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-48 "Host association" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 48. "Host association" means the association conducting a licensed pari-mutuel meeting from which authorized contests or entire performances are simulcast. (*Indiana Horse Racing Commission; 71 IAC 1-1-48; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-49 "In harness" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 49. "In harness" means that horses shall be hitched to a dual shaft, dual wheeled racing vehicle. (*Indiana Horse Racing Commission; 71 IAC 1-1-49; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-50 "Inquiry" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 50. "Inquiry" means an investigation by the judges of potential interference in a contest prior to declaring the result of the contest official. (*Indiana Horse Racing Commission; 71 IAC 1-1-50; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-51 "Judge" or "steward" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 51. "Judge" or "steward" means a duly appointed racing official or judge with powers and duties specified by these rules. (*Indiana Horse Racing Commission; 71 IAC 1-1-51; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; emergency rule filed Jun 15, 1995, 5:00 p.m.: 18 IR 2814, eff Jul 1, 1995; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-52 "Jurisdiction" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 52. "Jurisdiction" of the commission means the state of Indiana. (*Indiana Horse Racing Commission; 71 IAC 1-1-52; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; filed Nov 10, 2014, 2:07 p.m.: 20141210-IR-071140230FRA*)

71 IAC 1-1-53 "Late closing race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 53. "Late closing race" means a race for a fixed amount of money to which entries close less than six (6) weeks but more than three (3) days before the race is to be contested. (*Indiana Horse Racing Commission; 71 IAC 1-1-53; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-54 "Licensee" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 54. "Licensee" means an individual who has received a license from the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-54; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-55 "Maiden" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 55. "Maiden" means a horse that has never won a heat or race at the gait at which it was entered to start and for which a purse is offered. Races or purse money awarded to a horse after the official sign has been posted shall not be considered a winning performance or affect status as a maiden. (*Indiana Horse Racing Commission; 71 IAC 1-1-55; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-56 "Maiden race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 56. "Maiden race" means a contest restricted to maidens. (*Indiana Horse Racing Commission; 71 IAC 1-1-56; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-57 "Match race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 57. "Match race" means a race between two (2) or more horses under conditions agreed to by their owners. (*Indiana Horse Racing Commission; 71 IAC 1-1-57; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-58 "Matinee race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 58. "Matinee race" means a race in which no entrance fee is charged and where the premiums, if any, are other than money. (*Indiana Horse Racing Commission; 71 IAC 1-1-58; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1118; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-59 "Meeting" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 59. "Meeting" means the period of time for which permission to conduct horse racing has been granted to an association by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-59; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-60 "Minus pool" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 60. "Minus pool" means when the amount of money to be distributed on winning wagers is in excess of the amount of money comprising the net pool. (*Indiana Horse Racing Commission; 71 IAC 1-1-60; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-61 "Month" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 61. "Month" means a calendar month. (*Indiana Horse Racing Commission; 71 IAC 1-1-61; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-62 "Mutuel field" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 62. "Mutuel field" means two (2) or more contestants in a contest that are treated as a single betting interest for pari-mutuel wagering purposes because the number of betting interests exceeds the number that can be handled individually by the pari-mutuel system. (*Indiana Horse Racing Commission; 71 IAC 1-1-62; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-63 "Net pool" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 63. "Net pool" means the amount of gross ticket sales, less refundable wagers and statutory commissions. (*Indiana Horse Racing Commission; 71 IAC 1-1-63; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-64 "Nomination" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 64. "Nomination" means the naming of a horse to a certain race or series of races generally accompanied by payment of a prescribed fee. (*Indiana Horse Racing Commission; 71 IAC 1-1-64; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-65 "Nominator" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 65. "Nominator" means the person or entity in whose name a horse is nominated for a race or series of races. (*Indiana Horse Racing Commission; 71 IAC 1-1-65; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-66 "Objection" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 66. "Objection" means a verbal claim of foul in a race lodged by the horse's driver, trainer, or owner or the owner's authorized agent before the race is declared official. (*Indiana Horse Racing Commission; 71 IAC 1-1-66; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-67 "Off time" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 67. "Off time" means the moment at which, on the signal of the official starter, the starting gate is opened, officially dispatching the horses in each contest. (*Indiana Horse Racing Commission; 71 IAC 1-1-67; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-67.5 "Out of competition testing" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 67.5. "Out of competition testing" means a test conducted by the commission on a horse located in Indiana as provided in 71 IAC 8-3-5. (*Indiana Horse Racing Commission; 71 IAC 1-1-67.5; emergency rule filed Jul 23, 2007, 9:16 a.m.: 20070808-IR-071070461ERA, eff Jul 18, 2007 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #07-461(E) was filed with the Publisher July 23, 2007.]; emergency rule filed Jul 5, 2012, 2:14 p.m.: 20120718-IR-071120402ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-68 "Official order of finish" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 68. "Official order of finish" means the order of finish of the horses in a contest as declared official by the judges. (*Indiana Horse Racing Commission; 71 IAC 1-1-68; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-69 "Official starter" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 69. "Official starter" means the official responsible for dispatching the horses for a race. (*Indiana Horse Racing Commission; 71 IAC 1-1-69; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-70 "Official time" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 70. "Official time" means the elapsed time from the moment the first horse crosses the timing beam until the first horse crosses the finish line. (*Indiana Horse Racing Commission; 71 IAC 1-1-70; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1119; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-70.5 "Open race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 70.5. "Open race" means a contest whose starters are not comprised of exclusively Indiana Sired (IS) and/or Indiana Sired and Bred (ISB) horses. (*Indiana Horse Racing Commission; 71 IAC 1-1-70.5; emergency rule filed Jul 5, 2012, 2:14 p.m.: 20120718-IR-071120402ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-71 "Optional claiming race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 71. "Optional claiming race" means a contest restricted to horses entered to be claimed for a stated claiming price and to those which have started previously for that claiming price or less. In the case of horses entered to be claimed in such a race, the race shall be considered, for the purpose of these rules, a claiming race. In the case of horses not entered to be claimed in such a race, the race shall be considered a conditioned race. (*Indiana Horse Racing Commission; 71 IAC 1-1-71; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-71.5 "Out of competition testing" defined (Repealed)

Sec. 71.5. (*Repealed by Indiana Horse Racing Commission; emergency rule filed Jul 5, 2012, 2:14 p.m.: 20120718-IR-071120402ERA*)

71 IAC 1-1-72 "Outs" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 72. "Outs" means all pari-mutuel tickets unrepresented or unclaimed as of sixty (60) days after the conclusion of the year in which the ticket was purchased; also known as outstanding tickets. (*Indiana Horse Racing Commission; 71 IAC 1-1-72; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-73 "Overnight race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 73. "Overnight race" means a contest for which entries close at a time set by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-73; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-74 "Owner" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 74. "Owner" includes part owner, lessee, or lessor, but an interest in the winnings of a horse does not of itself constitute ownership. (*Indiana Horse Racing Commission; 71 IAC 1-1-74; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; emergency rule filed Mar 25, 1996, 10:15 a.m.: 19 IR 2068; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-75 "Paddock" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 75. "Paddock" means an enclosed area in which horses scheduled to compete in a contest are confined prior to racing. (*Indiana Horse Racing Commission; 71 IAC 1-1-75; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-75.1 "Pari-mutuel ticket" or "ticket" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 75.1. "Pari-mutuel ticket" or "ticket" means an electronic or printed record instrument, paper or plastic, issued by an association, and approved by the commission as evidence of a contribution to the pari-mutuel pool. (*Indiana Horse Racing Commission; 71 IAC 1-1-75.1; emergency rule filed Dec 31, 2012, 11:27 a.m.: 20130109-IR-071120674ERA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-75.5 "Pari-mutuel voucher" or "voucher" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 75.5. "Pari-mutuel voucher" or "voucher" means a bearer instrument, paper or plastic, issued by an association, and approved by the commission, acknowledging that a specified dollar amount is owned by a patron and held by an association, including winnings from pari-mutuel wagering. A pari-mutuel voucher or voucher is the same as cash and is not part of any pari-mutuel pool. (*Indiana Horse Racing Commission; 71 IAC 1-1-75.5; emergency rule filed Nov 9, 2005, 8:00 a.m.: 29 IR 829, eff Aug 9, 2005 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Secretary of State. This document was filed with the Secretary of State on November 9, 2005.]; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-76 "Pari-mutuel wagering" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 76. "Pari-mutuel wagering" means a system of wagering in which those persons who wager on horses that finish in specified positions share the total amount wagered, minus deductions permitted by law. (*Indiana Horse Racing Commission; 71 IAC 1-1-76; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-77 "Patron" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 77. "Patron" means a member of the public present on the grounds of a pari-mutuel association during a meeting for the purpose of wagering or to observe racing. (*Indiana Horse Racing Commission; 71 IAC 1-1-77; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-78 "Payoff" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 78. "Payoff" means the amount of money payable to winning wagers. (*Indiana Horse Racing Commission; 71 IAC 1-1-78; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-79 "Performance" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 79. "Performance" means a schedule of contests conducted on the same day as authorized by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-79; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-80 "Permit" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 80. "Permit" means an authorization by the commission to an association to conduct horse racing with pari-mutuel wagering at a specified location. (*Indiana Horse Racing Commission; 71 IAC 1-1-80; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-81 "Person" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 81. "Person" means:

- (1) an individual;
- (2) a corporation;
- (3) a trust;
- (4) a partnership;
- (5) a limited partnership;
- (6) an association; or
- (7) a person or other entity.

(*Indiana Horse Racing Commission; 71 IAC 1-1-81; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1120; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-81.5 "Possession" or "in their possession" defined

Authority: IC 4-31-2-17

Affected: IC 4-31

Sec. 81.5. "Possession" or "in their possession" means in, on, or about a licensee's person or a vehicle that the licensee owns, uses, or has access to; or the entire area assigned to and occupied or used by a licensee, including barns, stables, stalls, tack rooms, or feed rooms. (*Indiana Horse Racing Commission; 71 IAC 1-1-81.5; emergency rule filed Feb 24, 2000, 2:32 p.m.: 23 IR 1668, eff Feb 24, 2000; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-82 "Post position" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 82. "Post position" means the preassigned position from which a horse will leave the starting gate. (*Indiana Horse Racing Commission; 71 IAC 1-1-82; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-83 "Post time" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 83. "Post time" means the scheduled starting time for a contest. (*Indiana Horse Racing Commission; 71 IAC 1-1-83; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-83.5 "Prescription drug" defined

Authority: IC 4-31-3-9; IC 35-48-1-25

Affected: IC 4-31; IC 16-18-2-199

Sec. 83.5. "Prescription drug" means controlled substance or a legend drug (as defined in IC 16-18-2-199). (*Indiana Horse Racing Commission; 71 IAC 1-1-83.5; emergency rule filed Feb 24, 2000, 2:32 p.m.: 23 IR 1668, eff Feb 24, 2000; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-84 "Prima facie evidence" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 84. "Prima facie evidence" means evidence that, until its effect is overcome by other evidence, will suffice as proof of fact in issue. (*Indiana Horse Racing Commission; 71 IAC 1-1-84; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-85 "Profit" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 85. "Profit" means the net pool after deduction of the amount bet on the winners. (*Indiana Horse Racing Commission; 71 IAC 1-1-85; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-86 "Profit split" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 86. "Profit split" means a division of profit among separate winning betting interests or winning betting combinations resulting in two (2) or more payoff prices. (*Indiana Horse Racing Commission; 71 IAC 1-1-86; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-87 "Program" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 87. "Program" means the published listing of all contests and contestants for a specific performance. (*Indiana Horse Racing Commission; 71 IAC 1-1-87; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-88 "Protest" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 88. "Protest" means a written objection charging that a horse is ineligible to race, alleging improper entry procedures, or citing any act of an owner, trainer, driver, or official prohibited by rules, which, if true, shall exclude that horse or driver from racing. (*Indiana Horse Racing Commission; 71 IAC 1-1-88; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-89 "Purse" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 89. "Purse" means the total cash amount for which a race is contested, provided that the total cash amount for purses may be reduced by any direct remittance by an association to a horsemen's association, subject to the following:

- (1) The approval by the commission of the horsemen's contract pursuant to 71 IAC 11-1-12.
- (2) Any accounting and disbursement preapproval requirements which the commission may require of the horsemen's association.

(*Indiana Horse Racing Commission; 71 IAC 1-1-89; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; emergency rule filed Aug 29, 1994, 2:15 p.m.: 18 IR 122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-90 "Race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 90. "Race" means a contest of speed among horses:

- (1) for a purse, stakes, premiums, wager of money, or admission fees and for qualifying;
- (2) on a course; and
- (3) in the presence of a judge or judges.

Qualifying races are contests in which horses must meet standards set by the judges and the race secretary. They are bound by the same rules and regulations as a race. (*Indiana Horse Racing Commission; 71 IAC 1-1-90; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Mar 8, 2012, 11:43 a.m.: 20120321-IR-071120117ERA; readopted filed Nov 26, 2013,*

11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 1-1-91 "Record" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 91. "Record" means the fastest time at a mile by a horse in a heat or dash which it won. (*Indiana Horse Racing Commission; 71 IAC 1-1-91; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-92 "Restricted area" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 92. "Restricted area" means an enclosed portion of the association grounds to which access is limited to licensees whose occupation or participation requires access. (*Indiana Horse Racing Commission; 71 IAC 1-1-92; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-93 "Result" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 93. "Result" means that part of the official order of finish used to determine the pari-mutuel payoff of pools for each individual contest. (*Indiana Horse Racing Commission; 71 IAC 1-1-93; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1121; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-94 "Rules" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 94. "Rules" means the rules prescribed in this title and any amendments or additions thereto. (*Indiana Horse Racing Commission; 71 IAC 1-1-94; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-94.1 "Sample" defined

Authority: IC 4-31-2-23; IC 4-31-3-9

Affected: IC 4-31-12

Sec. 94.1. "Sample", when used in the context of being removed from or collected from a horse, means any amount of urine, saliva, blood, or other acceptable specimen derived from a horse. Any cleared samples may be used for research and/or investigative purposes by the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-94.1; emergency rule filed May 7, 2014, 2:27 p.m.: 20140514-IR-071140143ERA, eff May 15, 2014*)

71 IAC 1-1-95 "Satellite facility" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 95. "Satellite facility" means a location, other than a race track, authorized by the commission at which pari-mutuel wagering is conducted on horse racing conducted at distant locations and viewable by televised simulcasting. (*Indiana Horse Racing Commission; 71 IAC 1-1-95; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-96 "Satellite transponder" or "transponder" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 96. "Satellite transponder" or "transponder" means leased space segment time of earth-orbit communication satellite. (*Indiana Horse Racing Commission; 71 IAC 1-1-96; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-97 "Scoring" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 97. "Scoring" means the preliminary practice given to horses after the post parade and prior to being called to line up for the start of a race by the official starter. (*Indiana Horse Racing Commission; 71 IAC 1-1-97; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-98 "Scratch" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 98. "Scratch" means the act of withdrawing an entered horse from a contest after the closing of entries. (*Indiana Horse Racing Commission; 71 IAC 1-1-98; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-99 "Scratch time" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 99. "Scratch time" means the deadline set by the commission for withdrawal of entries from a scheduled performance. (*Indiana Horse Racing Commission; 71 IAC 1-1-99; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-100 "Simulcast" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 100. "Simulcast" means live audio and visual electronic signals emanating from a licensed horse racing meeting and transmitted simultaneously with the running of the races at the meeting. This term includes the transmission of pari-mutuel wagering odds, amounts wagered, and payoffs on such events. (*Indiana Horse Racing Commission; 71 IAC 1-1-100; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-101 "Single price pool" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 101. "Single price pool" means an equal distribution of profit to winning betting interests or winning betting combinations through a single payoff price. (*Indiana Horse Racing Commission; 71 IAC 1-1-101; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-102 "Stable name" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 102. "Stable name" means a name used other than the actual legal name of an owner or lessee and registered with the USTA or CTA and the commission. (*Indiana Horse Racing Commission; 71 IAC 1-1-102; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-103 "Stakes race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 103. "Stakes race" means a race which will be contested in a calendar year subsequent to the closing of nominations. (*Indiana Horse Racing Commission; 71 IAC 1-1-103; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-104 "Starter" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 104. "Starter" means a horse which becomes an actual contestant in a race by virtue of the starting gate opening in front of it upon dispatch by the official starter. (*Indiana Horse Racing Commission; 71 IAC 1-1-104; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1122; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-105 "Substantial evidence" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 105. "Substantial evidence" means evidence which a reasoning mind would accept as sufficient to support a particular conclusion and consists of more than a mere scintilla of evidence but may be somewhat less than a preponderance. (*Indiana Horse Racing Commission; 71 IAC 1-1-105; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001,*

11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; filed Nov 10, 2014, 2:07 p.m.: 20141210-IR-071140230FRA)

71 IAC 1-1-106 "Sulky" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 106. "Sulky" means a dual wheel racing vehicle with dual shafts not exceeding the height of the horse's withers. Shafts must be hooked separately on each side and approved by the USTA. (*Indiana Horse Racing Commission; 71 IAC 1-1-106; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; emergency rule filed Feb 20, 2001, 10:08 a.m.: 24 IR 2096; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-107 "Takeout" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 107. "Takeout" means the total amount of money, excluding breakage, withheld from each pari-mutuel pool as authorized by statute or rule. (*Indiana Horse Racing Commission; 71 IAC 1-1-107; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-108 "Totalizator" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 108. "Totalizator" means the system used for recording, calculating, and disseminating information about ticket sales, wagers, odds, and payoff prices to patrons at a pari-mutuel wagering facility. (*Indiana Horse Racing Commission; 71 IAC 1-1-108; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; errata filed Mar 9, 1994, 2:50 p.m.: 17 IR 1622; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-109 "Two in three race" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 109. "Two in three race" means a race in which a horse, must win two (2) heats to be entitled to first place money. (*Indiana Horse Racing Commission; 71 IAC 1-1-109; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-110 "Uplink" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 110. "Uplink" means an earth station broadcasting facility, whether mobile or fixed, which is used to transmit audio-visual signals or data on Federal Communications Commission-controlled frequencies, and includes any electronic transfer of audio-visual signals from within a racing enclosure to the location of the transmitter at the uplink. (*Indiana Horse Racing Commission; 71 IAC 1-1-110; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50*

a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 1-1-111 "USTA" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 111. "USTA" means the United States Trotting Association. (*Indiana Horse Racing Commission; 71 IAC 1-1-111; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-112 "Walkover" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 112. "Walkover" means a race in which only one (1) horse starts or in which all the starters are owned by the same interest. To claim the purse, the horse must start and go the distance of the race. (*Indiana Horse Racing Commission; 71 IAC 1-1-112; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-113 "Week" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 113. "Week" means a calendar week. (*Indiana Horse Racing Commission; 71 IAC 1-1-113; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-114 "Winner" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 114. "Winner" means the horse whose nose reaches the finish line first or is placed first through disqualification by the judges. (*Indiana Horse Racing Commission; 71 IAC 1-1-114; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 1-1-115 "Year" defined

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 115. "Year" means a calendar year. (*Indiana Horse Racing Commission; 71 IAC 1-1-115; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

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