
TITLE 40 STATE ETHICS COMMISSION

Notice of Intent to Adopt a Rule

LSA Document #19-265

Under [IC 4-22-2-23](#), the State Ethics Commission intends to adopt a rule concerning the following:

OVERVIEW: Amends [40 IAC 2-2-1](#) regarding procedures for the state ethics commission. Amends [40 IAC 2-2-2](#) regarding reconsideration or clarification of formal advisory opinions. Amends [40 IAC 2-2-4](#) regarding advisory opinions signed by the director. Amends [40 IAC 2-2-5](#) regarding others providing information. Amends [40 IAC 2-2-6](#) regarding the public record. Amends [40 IAC 2-3-1](#) regarding applicable statutes and rules. Adds [40 IAC 2-3-1.5](#) to add definitions. Adds [40 IAC 2-3-2.3](#) regarding investigations. Adds [40 IAC 2-3-2.5](#) regarding complaints filed by the inspector general. Amends [40 IAC 2-3-3](#) regarding agreed settlements. Adds [40 IAC 2-3-4.1](#) regarding public hearings. Adds [40 IAC 2-4.5](#) regarding fines. Amends [40 IAC 2-5-4](#) regarding certification of documents and records. Repeals [40 IAC 2-1-1](#), [40 IAC 2-1-3](#), [40 IAC 2-1-4](#), [40 IAC 2-1-5.5](#), [40 IAC 2-1-6](#), [40 IAC 2-1-7.1](#), [40 IAC 2-1-8](#), [40 IAC 2-1-9](#), [40 IAC 2-1-14](#), [40 IAC 2-2-3](#), [40 IAC 2-3-2](#), [40 IAC 2-3-4](#), [40 IAC 2-3-5](#), [40 IAC 2-3-6](#), [40 IAC 2-3-7](#), [40 IAC 2-3-8](#), [40 IAC 2-4-2](#), [40 IAC 2-5-1](#), [40 IAC 2-5-2](#), and [40 IAC 2-5-3](#). Questions concerning this rule may be addressed to the Small Business Regulatory Coordinator. Statutory authority: [IC 4-2-6-4](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Regulatory Coordinator for this rule is:

Kelly Elliott
Office of the Inspector General
315 West Ohio Street, Room 104
Indianapolis, IN 46204
(317) 232-3850
keelliott@ig.in.gov

For purposes of [IC 4-22-2-28.1](#), the Small Business Ombudsman designated by [IC 5-28-17-6](#) is:

Katelyn Colclazier
Small Business Ombudsman
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 431-1560
kcolclazier@iedc.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in [IC 5-28-17-6](#), specifically [IC 5-28-17-6\(9\)](#), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

Posted: 05/15/2019 by Legislative Services Agency
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