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**TITLE 750 DEPARTMENT OF FINANCIAL INSTITUTIONS****Notice of Intent to Readopt**

LSA Document #15-336

Readopts rules in anticipation of [IC 4-22-2.5-2](#), providing that an administrative rule adopted under [IC 4-22-2](#) expires January 1 of the seventh year after the year in which the rule takes effect unless the rule contains an earlier expiration date. Effective 30 days after filing with the Publisher.

**OVERVIEW:** Rules to be readopted without changes are as follows:

<a href="#">750 IAC 9-1-1</a>	Definitions
<a href="#">750 IAC 9-2-1</a>	General provisions
<a href="#">750 IAC 9-2-2</a>	Construction
<a href="#">750 IAC 9-2-3</a>	Unified coverage
<a href="#">750 IAC 9-2-4</a>	Application
<a href="#">750 IAC 9-2-5</a>	Exclusions
<a href="#">750 IAC 9-2-6</a>	Manufactured housing retailers
<a href="#">750 IAC 9-2-7</a>	Applicability of state and federal laws, regulations, and rules
<a href="#">750 IAC 9-2-8</a>	Coordination with securities commissioner
<a href="#">750 IAC 9-3-1</a>	Licensing of mortgage loan originators
<a href="#">750 IAC 9-3-2</a>	Licensing qualifications
<a href="#">750 IAC 9-3-3</a>	Criminal background checks
<a href="#">750 IAC 9-3-4</a>	Credit checks
<a href="#">750 IAC 9-3-5</a>	Prelicensing education requirements
<a href="#">750 IAC 9-3-6</a>	Prelicensing testing
<a href="#">750 IAC 9-3-7</a>	Surety bond
<a href="#">750 IAC 9-3-8</a>	Nationwide mortgage licensing system and registry
<a href="#">750 IAC 9-3-9</a>	License renewal
<a href="#">750 IAC 9-3-10</a>	Continuing education
<a href="#">750 IAC 9-3-11</a>	Suspension or revocation of license
<a href="#">750 IAC 9-4-1</a>	Record keeping and notification requirements
<a href="#">750 IAC 9-4-2</a>	Regulatory reporting
<a href="#">750 IAC 9-4-3</a>	Closing documents
<a href="#">750 IAC 9-4-4</a>	Right of rescission
<a href="#">750 IAC 9-5-1</a>	Applicability
<a href="#">750 IAC 9-5-2</a>	Department authority
<a href="#">750 IAC 9-5-3</a>	Licensee record keeping
<a href="#">750 IAC 9-5-4</a>	Director authority
<a href="#">750 IAC 9-5-5</a>	Prohibited acts
<a href="#">750 IAC 9-5-6</a>	Administrative review
<a href="#">750 IAC 9-5-7</a>	Cease and desist order
<a href="#">750 IAC 9-5-8</a>	Assurance of discontinuance
<a href="#">750 IAC 9-5-9</a>	Civil action
<a href="#">750 IAC 9-5-10</a>	Deceptive acts
<a href="#">750 IAC 9-5-11</a>	Temporary relief
<a href="#">750 IAC 9-5-12</a>	Civil penalties
<a href="#">750 IAC 9-5-13</a>	Other remedies
<a href="#">750 IAC 9-5-14</a>	Venue

Requests for any part of this readoption to be separate from this action must be made in writing within 30 days of this publication. Send written comments to the Small Business Regulatory Coordinator for this rule (see [IC 4-22-2-28.1](#)):

Constance J. Gustafson, General Counsel  
Indiana Department of Financial Institutions  
30 South Meridian Street, Suite 300  
Indianapolis, IN 46204  
(317) 232-5840

cgustafson@dfi.in.gov

Statutory authority: [IC 28-11-1-12](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Ombudsman designated by [IC 4-4-35-8](#) is:

Erik Scheub

Office of Small Business and Entrepreneurship

One North Capitol, Suite 600

Indianapolis, IN 46204

(317) 232-5679

ombudsman@osbe.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in [IC 4-4-35-8](#), specifically [IC 4-4-35-8\(9\)](#), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

*Posted: 10/07/2015 by Legislative Services Agency*

An [html](#) version of this document.