

Notice of Intent to Adopt a Rule

LSA Document #13-7

Under [IC 4-22-2-23](#), the Department of Insurance intends to adopt a rule concerning the following:

OVERVIEW: Amends [760 IAC 1-15.1-2](#) regarding definitions of terms used in rules for insurance holding company systems. Amends [760 IAC 1-15.1-3](#) regarding forms and general requirements. Adds [760 IAC 1-15.1-3.5](#) regarding transactions subject to prior notice. Amends [760 IAC 1-15.1-4](#) regarding Form A statements. Amends [760 IAC 1-15.1-5](#) regarding Form B statements. Amends [760 IAC 1-15.1-6](#) regarding Form C statements. Amends [760 IAC 1-15.1-7](#) regarding Form D statements. Adds [760 IAC 1-15.1-7.1](#) regarding Form E statements. Adds [760 IAC 1-15.1-7.2](#) regarding Form F statements. Amends [760 IAC 1-15.1-10](#) regarding forms and amendments. Amends [760 IAC 1-15.1-12](#) regarding requests by domestic insurers for approval of extraordinary dividends or any other extraordinary distribution to security holders. Amends [760 IAC 1-15.1-13](#) regarding disclaimers and termination of registration. Amends [760 IAC 1-15.1-14](#) regarding incorporation of answers by reference. Amends [760 IAC 1-15.1-15](#) regarding additional information and exhibits. Written comments should be addressed to the Small Business Regulatory Coordinator for this rule. Statutory authority: [IC 27-1-3-7](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Regulatory Coordinator for this rule is:

Meggan Brumbaugh
Department of Insurance
311 West Washington Street
Suite 103
Indianapolis, IN 46204
(317) 232-0143
mbrumbaugh@idoi.in.gov

For purposes of [IC 4-22-2-28.1](#), the Small Business Ombudsman designated by [IC 5-28-17-5](#) is:

Eric P. Shields
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 234-3997
smallbizombudsman@iedc.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in [IC 5-28-17-5](#), specifically [IC 5-28-17-5\(9\)](#), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

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