TITLE 760 DEPARTMENT OF INSURANCE

Notice of Intent to Adopt a Rule
LSA Document #13-7

Under IC 4-22-2-23, the Department of Insurance intends to adopt a rule concerning the following:

OVERVIEW: Amends 760 IAC 1-15.1-2 regarding definitions of terms used in rules for insurance holding company systems. Amends 760 IAC 1-15.1-3 regarding forms and general requirements. Adds 760 IAC 1-15.1-3.5 regarding transactions subject to prior notice. Amends 760 IAC 1-15.1-4 regarding Form A statements. Amends 760 IAC 1-15.1-5 regarding Form B statements. Amends 760 IAC 1-15.1-6 regarding Form C statements. Amends 760 IAC 1-15.1-7 regarding Form D statements. Adds 760 IAC 1-15.1-7.1 regarding Form E statements. Adds 760 IAC 1-15.1-7.2 regarding Form F statements. Amends 760 IAC 1-15.1-10 regarding forms and amendments. Amends 760 IAC 1-15.1-12 regarding requests by domestic insurers for approval of extraordinary dividends or any other extraordinary distribution to security holders. Amends 760 IAC 1-15.1-13 regarding disclaimers and termination of registration. Amends 760 IAC 1-15.1-14 regarding incorporation of answers by reference. Amends 760 IAC 1-15.1-15 regarding additional information and exhibits. Written comments should be addressed to the Small Business Regulatory Coordinator for this rule. Statutory authority: IC 27-1-3-7.

For purposes of IC 4-22-2-28.1, the Small Business Regulatory Coordinator for this rule is:

Meggan Brumbaugh
Department of Insurance
311 West Washington Street
Suite 103
Indianapolis, IN 46204
(317) 232-0143
mbrumbaugh@idoi.in.gov

For purposes of IC 4-22-2-28.1, the Small Business Ombudsman designated by IC 5-28-17-5 is:

Eric P. Shields
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 234-3997
smallbizombudsman@iedc.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in IC 5-28-17-5, specifically IC 5-28-17-5(9), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

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