

**Proposed Rule**  
LSA Document #09-925

DIGEST

Amends [868 IAC 1.1-13-1.1](#) to add definitions and [868 IAC 1.1-13-4](#) to update application requirements for endorsement as a health service provider in psychology. Adds [868 IAC 1.1-13-3.1](#) to specify the requirements to allow a health service provider in psychology to have two years of sequential and organized professional experience in a supervised health service setting as a requirement of endorsement. Repeals [868 IAC 1.1-13-3](#). Effective 30 days after filing with the Publisher.

[IC 4-22-2.1-5 Statement Concerning Rules Affecting Small Businesses](#)

[868 IAC 1.1-13-1.1](#); [868 IAC 1.1-13-3](#); [868 IAC 1.1-13-3.1](#); [868 IAC 1.1-13-4](#)

SECTION 1. [868 IAC 1.1-13-1.1](#) IS AMENDED TO READ AS FOLLOWS:

**[868 IAC 1.1-13-1.1](#) Definitions**

**Authority:** [IC 25-33-1-3](#)

**Affected:** [IC 25-33-1](#)

Sec. 1.1. (a) The definitions in this section apply throughout this rule.

(b) "Diagnosis" means the process by which the nature and extent of an individual's mental ~~and/or~~ **or** behavioral, **or both**, disorder is ascertained and assessed. The process of diagnosis may involve various methods, which include **the following**:

- (1) Interviews.
- (2) Mental status examination.
- (3) Administration of psychological tests. ~~and~~
- (4) Review of historical and documentary data relating to the patient.

(c) Endorsement as a health service provider in psychology is required, by definition of the practice of psychology ([IC 25-33-1-2\(a\)\(2\)](#)), for all licensed psychologists who engage in the diagnosis and treatment of mental and behavioral disorders with the exception of **psychologists**:

- (1) ~~psychologists~~ working under supervision as required under section ~~3(e)~~ **3.1(d)** of this rule in order to obtain endorsement as a health service provider in psychology; or
- (2) ~~psychologists~~ who hold a limited license issued under [IC 25-33-1-18](#).

(d) "Experience in a supervised health service setting" means psychological experience in the diagnosis and treatment of mental and behavioral disorders, in a setting, which by purpose and design, delivers such services.

(e) "Health service provider in psychology" is a title conferred by endorsement upon Indiana psychologists who:

- (1) have training and experience sufficient to establish competence in an applied health service area of psychology (such as clinical, counseling, or school psychology); and ~~who~~
- (2) meet the experience requirements of [IC 25-33-1-5.1\(c\)](#).

(f) "Mental and behavioral disorders" means those conditions ~~which~~ **that** exist when behavior, signs, or symptoms conform to one (1) or more of the generally accepted diagnostic categories used in the mental health field.

(g) "**Practicum**" means a supervised training experience for which a student earns course credit that includes, but is not limited to, direct client contact, didactic, and case management activities.

(h) "Sequential and organized" means a series of planned and structured educational activities arranged in a progression of increasing complexity consistent with students' academic preparation.

(i) "Supervisor" means a:

- (1) health service provider licensed by this board;
- (2) psychologist practicing legally in Indiana; or
- (3) psychologist practicing legally in another state, territory, District of Columbia, or Canadian province where the supervised experience took place.

~~(g)~~ (j) "Treatment" refers to the provision of:

- (1) psychotherapy;
- (2) counseling;
- (3) consultation;
- (4) environmental management; or
- (5) any other form of planned intervention;

to an individual or individuals for the purpose of alleviating diagnosed mental ~~and/or~~ or behavioral, or both, disorders.

(State Psychology Board; [868 IAC 1.1-13-1.1](#); filed May 8, 1992, 5:00 p.m.: 15 IR 1960; errata filed May 15, 1992, 5:00 p.m.: 15 IR 2257; filed Nov 22, 1993, 5:00 p.m.: 17 IR 764; readopted filed Apr 23, 2001, 11:30 a.m.: 24 IR 2896; readopted filed Oct 4, 2007, 3:32 p.m.: [20071031-IR-868070065RFA](#))

SECTION 2. [868 IAC 1.1-13-3.1](#) IS ADDED TO READ AS FOLLOWS:

**[868 IAC 1.1-13-3.1](#) Supervised experience**

Authority: [IC 25-33-1-3](#)

Affected: [IC 25-33-1](#)

Sec. 3.1. (a) To obtain endorsement as a health service provider in psychology, applicants must complete not fewer than two (2) years of experience consisting of the following:

- (1) A minimum of one thousand five hundred (1,500) hours of predoctoral internship experience in a supervised health service setting that meets the requirements in subsection (b).
- (2) A minimum of one thousand six hundred (1,600) hours of supervised experience, accrued in not less than twelve (12) months, including a minimum of nine hundred (900) hours of direct patient contact, that is obtained through:
  - (A) doctoral level practicum experience that meets the requirements in subsection (c);
  - (B) post-internship experience that meets the requirements in subsection (d); or
  - (C) a combination of clauses (A) and (B).

(b) An approved predoctoral internship shall:

- (1) occur within a twenty-four (24) month period;
- (2) have approval of the psychology faculty of the applicant's academic program;
- (3) consist of sequential and organized experiences;
- (4) have at least the equivalent of two (2) full-time psychologists to provide appropriate supervision;
- (5) be designed to include a minimum of two (2) interns in the program per year;
- (6) be declared and publicized by the internship site as an internship on a yearly basis;
- (7) include one (1) psychologist who has been clearly designated as director of training or chief psychologist in charge of the program; and
- (8) provide that all psychologists responsible for case supervision be licensed or certified psychologists by the jurisdiction in which the program is located.

(c) An approved doctoral level practicum experience shall as follows:

- (1) Consist of sequential and organized experiences that occur outside of the classroom setting and involve the supervisee's direct delivery of supervised psychological services in:
  - (A) a practice;
  - (B) an agency;
  - (C) an institution;

- (D) a counseling center;
  - (E) a graduate training clinic; or
  - (F) another setting approved by the director of training or designee.
- (2) Be made or approved in advance by the director of training or designee.
- (3) Have training objectives specified in terms of the competencies expected of the supervisee.
- (4) Include at least fifty percent (50%) of the total hours of supervised experience in service-related activities, defined as:
- (A) treatment/intervention;
  - (B) assessment;
  - (C) interviews;
  - (D) report-writing;
  - (E) case presentations; and
  - (F) consultations.
- (5) Be appropriately supervised as follows:
- (A) Not less than seventy-five percent (75%) of the required supervised hours shall be provided by a supervisor as defined in section 1.1(i) of this rule.
  - (B) The remaining required supervised hours may be:
    - (i) individual or group supervision provided by a health service provider in psychology or a licensed allied mental health professional as deemed appropriate by the health service provider in psychology; or
    - (ii) individual supervision provided by either a:
      - (AA) postdoctoral supervisee eligible for licensure as a psychologist; or
      - (BB) predoctoral intern conducting supervision of the supervisee under an umbrella supervision arrangement with a health service provider in psychology.
- (6) Have, on average, not less than twenty-five percent (25%) of the supervised professional experience devoted to face-to-face patient contact.
- (7) On average, provide weekly face-to-face supervision devoted to the supervisee's cases at a ratio of not less than one (1) hour per ten (10) hours on-site and not less than one (1) hour per week.
- (8) Have a clearly identifiable supervisor to provide individual supervision of the supervisee who:
- (A) is available to the supervisee's clients and patients; and
  - (B) has responsibility for the cases being supervised.
- (9) Count toward the experience required in this section only after the following prerequisites have been met:
- (A) Completion of academic course work of a minimum of forty-eight (48) semester hours or seventy-two (72) quarter hours taken for academic credit in an applied (clinical, counseling, school, or other recognized training model in professional psychology) program. Credit from a master's or doctoral program in a related applied field evidenced by a graduate program transcript may count toward the minimum required course work, if approved by the director of training or designee.
  - (B) Completion of basic practicum experience in applied professional psychology or a related field of a minimum duration of four hundred (400) hours evidenced by a graduate transcript and approved by the director of training or designee.
- (10) Adhere to the following guidelines:
- (A) Psychological activities of the supervisee shall be subject to the supervisor's concurrence. When conflicts arise, these must be resolved to the satisfaction of the supervisor. The supervisee is responsible for providing sufficient and appropriate information to the supervisor regarding the supervisee's professional activities.
  - (B) The supervisor shall not be an employee of the supervisee or an independent contractor whose services are paid for by the supervisee.
  - (C) Supervision of others by the supervisee shall not count as direct patient contact.
  - (D) Teaching and research by the supervisee shall not count as direct patient contact.
  - (E) The supervisee's personal therapy shall not count toward the required hours of experience.
- (d) An approved post-internship experience shall as follows:
- (1) Be completed within a consecutive sixty (60) month period.
  - (2) Be conducted in:
    - (A) the office of the supervisor; or
    - (B) another suitable professional setting over which the supervisor has professional responsibility.
  - (3) Have a minimum of one (1) hour per week of individual face-to-face supervision provided on-site in:
    - (A) the office of the supervisor; or
    - (B) another suitable place within the professional setting over which the supervisor has professional

responsibility.

(4) Adhere to the following guidelines:

- (A) Psychological activities of the supervisee shall be subject to the supervisor's concurrence. When conflicts arise, these must be resolved to the satisfaction of the supervisor. The supervisee is responsible for providing sufficient and appropriate information to the supervisor regarding the supervisee's professional activities.
- (B) The supervisor shall not be an employee of the supervisee or an independent contractor whose services are paid for by the supervisee.
- (C) Supervision of others by the supervisee shall not count as direct patient contact.
- (D) Teaching and research by the supervisee shall not count as direct patient contact.
- (E) The supervisee's personal therapy shall not count toward the required hours of experience.

(State Psychology Board; [868 IAC 1.1-13-3.1](#))

SECTION 3. [868 IAC 1.1-13-4](#) IS AMENDED TO READ AS FOLLOWS:

**[868 IAC 1.1-13-4](#) Application**

**Authority:** [IC 25-33-1-3](#)

**Affected:** [IC 25-33-1](#)

Sec. 4. (a) Any person seeking endorsement as a health service provider in psychology must apply on such form and in such manner as the board shall prescribe.

(b) All applicants will be required to provide verification of experience in ~~an organized health service training program~~ **a predoctoral** internship. Verification of the internship shall be provided on a form supplied by the board or a photocopy thereof. The verification form shall be completed and signed by the director of training of the internship. If the director of training is not available for completion of the verification form, for good cause shown, another psychologist associated with the internship may complete the form. The applicant shall notify the board in writing if a supervisor is not available and the reason ~~therefore~~: **therefor**.

(c) The internship verification form shall include the following:

- (1) **The** name and address of the agency providing the ~~training program~~ **predoctoral** internship.
- (2) A description of the internship's patient population.
- (3) The exact beginning and ending dates of the applicant's training in the **predoctoral** internship.
- (4) An indication of whether or not the internship was accredited as ~~an~~ **a predoctoral** internship by the American Psychological Association.
- (5) The number of hours per week the applicant worked in the **predoctoral** internship setting.
- (6) The number of hours per week the applicant received direct, face-to-face supervision from:
  - (A) the identified supervisor; and ~~from~~
  - (B) other licensed or certified psychologists employed by the **predoctoral** internship program.
- (7) The exact beginning and ending dates of the supervisor's supervision of the applicant.
- (8) A brief description of the applicant's **predoctoral** internship experience while under the supervisor's supervision.
- (9) A brief statement by the supervising psychologist describing the psychologist's professional qualifications.
- (10) The total number of hours of supervised experience completed by the applicant.
- (11) A statement by the supervisor indicating whether or not the **predoctoral** internship was satisfactorily completed.
- (12) The signature of the supervisor attesting to the truthfulness of the statements made on the form.

(d) All applicants will be required to provide verification of ~~at least one (1) year of post degree supervised~~ **doctoral level practicum** experience ~~or post-internship, or both, as specified in a health service setting.~~ **section 3.1 of this rule.** Verification of this experience shall be provided on a form supplied by the board or a photocopy thereof. **The verification form for doctoral level practicum experience shall be completed and signed by the director of training or designee of the applicant's program.** The verification form ~~for post-internship experience~~ shall be completed and signed by each psychologist who provided supervision to the applicant during the ~~post-degree~~ **post-internship** supervised experience. If a supervisor is not available for completion of the verification form, for good cause shown, the program director or another psychologist

associated with the post-degree experience may complete the form. The applicant shall notify the board in writing if a supervisor is not available and the reason ~~therefor~~: **therefor**.

(e) **The verification form for the doctoral level practicum experience shall include the following:**

**(1) The name and address of the doctoral level practicum program.**

**(2) A description of the practicum setting or settings.**

**(3) The exact beginning and ending dates of the applicant's experience in each setting.**

**(4) The date that the basic practicum hours completed.**

**(5) The number of hours per week the applicant worked in the setting.**

**(6) The number of hours per week the applicant received direct, face-to-face supervision from the supervisor.**

**(7) A brief description of the training program's oversight of the setting.**

**(8) The total number of hours of direct patient contact by the applicant in each practicum setting.**

**(9) The total number of hours of supervised experience completed by the applicant.**

**(10) The signature of the training director attesting to the truthfulness of the statements made on the form.**

(f) The verification form for the ~~post-degree supervised~~ **post-internship** experience shall include the following:

(1) **The** name and address of the setting in which the experience was obtained.

(2) A description of the setting's patient population.

(3) The exact beginning and ending dates of the applicant's experience in the setting.

(4) The number of hours per week the applicant worked in the setting.

(5) The number of hours per week the applicant received direct, face-to-face supervision from the supervisor.

(6) The exact beginning and ending dates of the supervisor's supervision of the applicant.

(7) A brief description of the applicant's experience while under the supervisor's supervision.

(8) A brief statement by the supervising psychologist describing the psychologist's professional qualifications.

(9) The total number of hours of direct patient contact by the applicant.

(10) The total number of hours of supervised experience completed by the applicant.

(11) A statement by the supervisor indicating whether or not the supervised experience was satisfactorily completed.

(12) The signature of the supervisor attesting to the truthfulness of the statements made on the form.

*(State Psychology Board; [868 IAC 1.1-13-4](#); filed May 8, 1992, 5:00 p.m.: 15 IR 1961; readopted filed Apr 23, 2001, 11:30 a.m.: 24 IR 2896; readopted filed Oct 4, 2007, 3:32 p.m.: [20071031-IR-868070065RFA](#))*

SECTION 4. [868 IAC 1.1-13-3](#) IS REPEALED.

#### [Notice of Public Hearing](#)

*Posted: 05/05/2010 by Legislative Services Agency*

An [html](#) version of this document.